

# KUFA MEDICAL JOURNAL

## KMJ

Vol. 20, No.1 (2024)



Published by  
Faculty of Medicine, University of Kufa

*ISSN (Online) : 2709-4464*

*ISSN (Print) : 1993-517X*

[kufamed.journal@uokufa.edu.iq](mailto:kufamed.journal@uokufa.edu.iq)

<https://doi.org/10.36330/kmj>

<https://journal.uokufa.edu.iq/index.php/kmj>

## About the Journal

**Kufa Medical Journal (KMJ) ISSN (Print): 1993-517X ISSN (Online): 2709-4464**

**KMJ:** is a peer-reviewed journal that publish original articles to advocate changes in, or illuminate aspects of medical and health sciences. It accepts submission of State of the artful length research papers\articles, Review articles, Case reports, and Communication articles. KMJ considers the double-blind peer-review system to assure the quality of the publication and tests plagiarism at two stages, at submission and after considering the reviewers comments.

**KMJ is issued biannually (2 issues per year) by the Faculty of Medicine, University of Kufa, Iraq** considering that the first hard copy of the first issue was printed at 1999.

**Indexed in:** [Directory of Open Access Journals \(DOAJ\)](#), [EBSCO](#), [Google scholar](#), [Iraq Academic Scientific Journals \(IASJ\)](#), [Crossref](#), [Dimensions](#), [Internet archive](#), [LOCKSS](#), [CLOCKSS](#), [PKP PN](#)

### **Related links:**

**Journal DOI:** <https://doi.org/10.36330/kmj>

**Current issue DOI:** <https://doi.org/10.36330/kmj.v20i1>

### **Contact Details:**

**Editor in Chief:** Prof. Dr. Arafat Aldujaili

**Editorial Manger:** Asst. Prof. Dr. Wijdan Rajh Al-Kraity

**E-mail:** [kufamed.journal@uokufa.edu.iq](mailto:kufamed.journal@uokufa.edu.iq)

**Address:** Faculty of Medicine, University of Kufa, P.O Box 21, Kufa, Najaf Governorate, Iraq.



### Editor-in-Chief

**Professor Dr. Arafat Aldujaili**

Consultant Psychiatrist.

Associate Dean for Scientific Affairs,

Faculty of Medicine, University of Kufa, Najaf, Iraq.

Chairman of Affective Department of Pan Arab Psychiatrists.

E-mail: [Arafat.aldujaili@uokufa.edu.iq](mailto:Arafat.aldujaili@uokufa.edu.iq)

### Executive Editor

**Heider Qassam**

BSc. Pharm, MSc, DPhil, HonF (UoL)

Lecturer of Molecular Pharmacology,

Dept of Pharmacology and Therapeutics,

Faculty of Medicine, University of Kufa,

Najaf, Iraq.

E-mail: [heidars.qassam@uokufa.edu.iq](mailto:heidars.qassam@uokufa.edu.iq)

### Editorial Manger

**Wijdan Rajh Hamza Al-Kraity**

Asst. Prof. Dr. Medical Physiology

Department of Human Anatomy

Faculty of Medicine, University of Kufa.

Najaf, Iraq.

E-Mail: [Wijdanr.alkraity@uokufa.edu.iq](mailto:Wijdanr.alkraity@uokufa.edu.iq)

### Editorial Board members:

- **David G. Lambert**  
Prof of anesthetic pharmacology  
Ex Dean for Doctoral studies  
University of Leicester, United Kingdom  
E-mail: [dgl3@leicester.ac.uk](mailto:dgl3@leicester.ac.uk)
- **Najah R Hadi**  
Professor of Clinical Pharmacology Dept of  
Pharmacology and Therapeutics, Faculty of  
Medicine, University of Kufa, Najaf, Iraq.  
Email: [drnajhhadi@yahoo.com](mailto:drnajhhadi@yahoo.com)
- **Dr. Shoaleh Bigdeli**  
Professor, Department of Medical Education,  
School of Medicine  
Iran University of Medical Sciences (IUMS)  
Tehran, Iran  
E-mail: [sbigdeli@alumni.sfu.ca](mailto:sbigdeli@alumni.sfu.ca)
- **Basim M. Al-Khafaji**  
Senior Staff Pathologist, St. John Hospital &  
Medical Center, Associate Professor of  
Pathology, Wayne State University, School of  
Medicine, Detroit, MI, USA  
E-mail: [Basim.al-Khafaji@ascension.org](mailto:Basim.al-Khafaji@ascension.org)
- **Babar Sultan Hasan**  
Department of Pediatrics and Child Health  
Aga Khan University (AKU), Stadium Road,  
Karachi, Pakistan.  
E-mail: [drbabarhasan@yahoo.com](mailto:drbabarhasan@yahoo.com)
- **Salam Jasim Mohammed Al-fatlawi**  
Professor of Community Medicine  
Department of Family and Community  
Medicine, Faculty of Medicine, University of  
Kufa, Najaf, Iraq  
E-mail: [salam.alfatlawi@uokufa.edu.iq](mailto:salam.alfatlawi@uokufa.edu.iq)
- **Huda Ghazi Hameed**  
Professor of Community Medicine  
Department of Family & community medicine,  
College of Medicine, University of Kufa,  
Najaf, Iraq.  
E-mail: [huda.almashhadi@uokufa.edu.iq](mailto:huda.almashhadi@uokufa.edu.iq)
- **Falah Mahdi Dananah**  
Assistant Professor of Medical Physiology  
Department of Medical Physiology  
Faculty of Medicine, University of Kufa  
Al-Imam Al Sajjad General Hospital  
Najaf Health Directorate, Najaf, Iraq.  
E-mail: [falah.swadi@uokufa.edu.iq](mailto:falah.swadi@uokufa.edu.iq)

- **Ahmed Naseer Kaftan**  
Assistant Professor of Chemical pathology  
Department of biochemistry  
Faculty of Medicine, University of Kufa  
Najaf, Iraq.  
E-mail: [ahmedn.kaftan@uokufa.edu.iq](mailto:ahmedn.kaftan@uokufa.edu.iq)
- **Language Editor: Abdulhussein Kadhim Reishaan**  
PhD, English Language and Linguistics/  
Pragmadiialectics, Professor, Medical  
Education Unit, Faculty of Medicine,  
University of Kufa, Najaf, Iraq.  
E-mail: [abdulhussein.alshebly@uokufa.edu.iq](mailto:abdulhussein.alshebly@uokufa.edu.iq)
- **Website Manager: Salam Kadhim Al-Khammasi**  
M.Sc. (University of Bridgeport, USA),  
Computer Engineering, ITRDC, University of  
Kufa, Department of Electronics and  
Communication Engineering, Faculty of  
Engineering, University of Kufa, Najaf, Iraq.  
E-mail: [salam.alshemmari@uokufa.edu.iq](mailto:salam.alshemmari@uokufa.edu.iq)
- **Mohammed Al-Uzri**  
Professor and Consultant Psychiatrist &  
Associate Medical, Director, Leicestershire  
Partnership NHS Trust, Hon. Chair, Health  
Sciences Department, University of Leicester  
Presidential Lead for International Affairs.  
The Royal College of Psychiatrists, Leicester,  
United Kingdom  
E-mail: [mmaul@leicester.ac.uk](mailto:mmaul@leicester.ac.uk)
- **Wajdy Al-Awaida**  
PhD, Associate Professor of Biochemistry  
Dean faculty of science  
American University of Madaba, Jordan  
E-mail: [w.alawaida@aum.edu.jo](mailto:w.alawaida@aum.edu.jo)
- **Mohammed Saeed Abdulzahra**  
MBChB, DM, CABM  
Professor of Internal Medicine  
Department of Internal Medicine  
Faculty of Medicine, University of Kufa  
Najaf, Iraq.  
E-mail: [mohammed.alnaseri@uokufa.edu.iq](mailto:mohammed.alnaseri@uokufa.edu.iq)
- **Abbas Ali Mansour**  
Professor of Medicine, Senior Consultant  
Endocrinologist, Faiha Specialized Diabetes,  
Endocrine and Metabolism Center (FDEMC)  
College of Medicine, University of Basrah.,  
Iraq, E-mail: [abbas.mansour@fdemc.iq](mailto:abbas.mansour@fdemc.iq)
- **Falah Hasan Al-Khafaji**  
PhD Physical Chemistry -Nottingham  
University (England)  
Emeritus Professor, College of Pharmacy,  
University of Babylon, Iraq  
E-mail: [abohasan\\_hilla@yahoo.com](mailto:abohasan_hilla@yahoo.com)
- **Mohammad Daneshzand**  
Post-Doctoral Fellowship  
A.A Martinos Center for Biomedical Imaging  
Massachusetts General Hospital, Harvard  
Medical School, Boston, Massachusetts, USA  
E-mail: [mdaneshzand@mgh.harvard.edu](mailto:mdaneshzand@mgh.harvard.edu)
- **Abdul-Aziz Ahmed Aziz**  
MBChB, MSc, PhD  
Prof. of Medical Physiology  
Presidency of The University  
University of Telafer, Mosul, Iraq  
E-mail: [abahaz1957@yahoo.com](mailto:abahaz1957@yahoo.com)
- **Kaswer Musa Jaffar Altariahi**  
Professor of Pathology, Department of  
pathology and forensic medicine, Faculty of  
medicine, University of Kufa, Consultant  
pathologist, Al Sadar medical city, Najaf, Iraq  
E-mail: [qaswar.alturahi@uokufa.edu.iq](mailto:qaswar.alturahi@uokufa.edu.iq)
- **Bassim Irheim Mohammad**  
MBChB, MSc, PhD  
Prof. of Clinical Pharmacology  
College of Medicine, University of Al  
Qadisiyah, Iraq  
E-mail: [jumabassim@yahoo.co.uk](mailto:jumabassim@yahoo.co.uk)
- **Wadhah Mahbuba**  
Professor of Thoracic and Cardiovascular  
Surgery, Faculty of Medicine, University of  
Kufa, Thoracic and Cardiovascular Surgeon  
Najaf Health Directorate, Iraq  
E-mail: [wadhah.mahboba@uokufa.edu.iq](mailto:wadhah.mahboba@uokufa.edu.iq)

- **Elham Kh Abdullah Aljammas**  
PhD in community Medicine, Diploma in psychiatry, Department of Medicine, College of Medicine, University of Mosul, Iraq  
E-mail: [elham.aljammas@gmail.com](mailto:elham.aljammas@gmail.com)
- **Adel Talib Mohammed**  
Professor of Molecular Parasitology  
Department of Medical Microbiology  
University of Duhok  
Kurdistan Region, Iraq.  
E-mail: [adelalsaeed@uod.ac](mailto:adelalsaeed@uod.ac)
- **Alaa Salah Jumaah**  
Pathology, Professor, Department of Pathology and forensic medicine, Faculty of Medicine, University of Kufa, Consultant Pathologist, Al Sadar Medical City, Najaf, Iraq  
E-mail: [alaa.alshammari@uokufa.edu.iq](mailto:alaa.alshammari@uokufa.edu.iq)
- **Shaymaa Abdul Lateef Alfadhul**  
Assistant Professor of Community Medicine  
Department of Family & community medicine  
Medical Education Unit, College of Medicine  
University of Kufa, Najaf, Iraq  
E-mail: [shaymaa.alfadhul@uokufa.edu.iq](mailto:shaymaa.alfadhul@uokufa.edu.iq)
- **Rusul Najah Abdalkadhum Alnomani**  
Hematopathology  
Department of Pathology and Forensic Medicine, Faculty of Medicine, University of Kufa, Najaf, Iraq.  
E-mail: [rusuln.alnomani@uokufa.edu.iq](mailto:rusuln.alnomani@uokufa.edu.iq)

## Editorial

### *Getting Your Work Published: Personal Reflections*

#### **Time Restricted Feeding: Implications to Healthy Well-Being**

By Ghizal Fatima\*, M.M.A.Faridi

\*Era's Lucknow Medical College and Hospital, Era University, Lucknow

[Ghizalfatima8@gmail.com](mailto:Ghizalfatima8@gmail.com)

Dean and Principal, Era's Lucknow Medical College and Hospital, Era University, Lucknow.

### **Abstract:**

Circadian rhythmicity optimizes the health and physiology by coordinating temporally the cellular functions, tissue functions, and behavior. These endogenously generated rhythms gets dampen with age and therefore compromise the temporal coordination. The pattern of fasting and feeding sets an external cue that profoundly influences the robustness of daily circadian rhythms. Irregular eating patterns can change the temporal coordination of physiology and metabolism leading to chronic diseases. Robust sustaining of fasting and feeding cycle, without altering nutrition quality, can reverse or prevent the chronic diseases as already depicted in an animal model. However, in humans, studies have shown that erratic pattern of eating can elevate the risk of diseases, whereas sustained fasting and feeding cycle, or prolonged overnight fasting, is correlated with protection from different cancers. Therefore, by just optimizing the external cues

timing well defined eating patterns, can thus sustain a robust circadian clock, which may result in preventing many diseases and can improve the prognosis. Time-restricted feeding (TRF) is a form of intermittent fasting, comprising a longer daily fasting period. Preliminary studies report that TRF improves cardiometabolic diseases, diabetes and cancer in rodents and humans.

**KEYWORDS:** circadian rhythm, lifespan, time-restricted feeding, diseases

“For everything there is a season, and time for every matter under heaven:  
a time to be born, and a time to die;  
a time to plant, and a time to pluck up what is planted;  
a time to weep, and a time to laugh, God has made everything beautiful in its time”

**Ecclesiastes 3:1**

Nearly all living organisms present on Earth, ranging from archaea to mammals displays the circadian rhythms. Circadian (circa – approximately; dian – day) rhythms are approximately 24 hour oscillations that can be found at the molecular, physiological, and behavioral level in all living beings. (1) The circadian rhythms regulates the sleep and activity cycle and the associated rhythms in metabolic states emerging from a complex interplay of endogenous autonomous circadian oscillators, including daily exposure to light and darkness, and daily patterns of feeding and fasting. These daily behavioral rhythms easily oscillates or cues the functions of almost all organ systems: including metabolic organs, digestive system, immune system, reproductive system, endocrine systems, cardiovascular system, and several brain systems. The cells circadian oscillator in mammals is based on interlocked transcription-translation feedback loops. The circadian molecular clock regulates the cell’s internal environment including redox state, NAD<sup>+</sup> levels, Ca<sup>2+</sup> levels and energy state (ATP/AMP ratio) (2). The invention of electric light, override the natural cycle of mechanism of diurnal rhythm by self-selecting a sleep-wake pattern that is according to the working schedule, which resulted to associated alterations in the fasting and feeding cycle, the biggest culprit in human health. Such a chronic disruption of circadian rhythms can therefore compromise the health and wellbeing through multiple discrete mechanisms. Reduced sleep thus disrupted the metabolic homeostasis by mechanisms that are yet to be fully understood with (3,4). Lights during the night time suppress sleep and promote extended wakefulness time, thus allowing the disturbed and injected behavior to continue late into the night. This extended eating period may contribute to elevated caloric intake that often correlates with modern human lifestyle. Therefore, eating at sub-optimal time of the 24 hours day can promote excessive energy storage, leading to obesity and metabolic syndrome. Quality

of nutrition can also impact hunger, satiety, and hedonic drive for intake of food and thereby affecting the daily pattern of eating, leading to impact the robustness of circadian oscillators in various organs. Chronic disruption in circadian rhythm due to erratic lifestyle or shift work compromises health and wellbeing and results in the increase of several chronic diseases that are associated with aging (5,6). Conversely, recent research has shown that maintaining a defined daily feeding-fasting rhythm, as in time-restricted feeding (TRF), can prevent or attenuate several chronic diseases. TRF is well defined as eating within a  $\leq 10$  hour period and fasting for at least 14 hours per day. TRF has a broad class of interventions that have alternate eating periods and extended fasting time. In animal models, TRF, has reported to improve cardiometabolic health, slow tumor growth, reduce cancer incidence, regenerate organs by increasing stem cell production, and increase lifespan (7,8). In humans, TRF related data is little but it suggests that it lowers the body weight, insulin levels, blood pressure, inflammation, and appetite, and by improving the insulin sensitivity and lipid profiles (9,10). These clinical features are driven by a reduction in insulin levels; improved insulin signaling; a reduction in oxidative stress; an increase in antioxidant defenses and autophagy; a reprogramming of aging-related pathways and hormones such as sirtuin 1 (SIRT1), brain-derived neurotrophic factor (BDNF), mechanistic target of rapamycin (mTOR), and insulin-like growth factor (IGF-1) and other mechanisms (11). Although TRF can also be included in the venture of Ramadan fasting, several studies in rodents model reported that TRF has reduced the body weight, improved glycemic index, lowered the insulin levels, reduced the blood pressure, prevented hyperlipidemia, decreased hepatic fat, improved the inflammatory markers, slowed down the tumor growth, and increased the lifespan, even when food intake is matched to the control group. (12,13,14) Several studies on TRF have already been conducted in Humans, Interestingly, they resulted in weight loss and improvements in cardiometabolic events like insulin levels, insulin sensitivity, and blood pressure when participants ate early or in the middle of the day (15,16,17) but worsened cardio-metabolic health when they ate late in the day (18,19).

These endogenously generated circadian rhythm system may greatly explain these effects on health. The circadian system orchestrates, approximately 24-hour circadian period in a day, it therefore changes the normal rhythm in metabolism, physiology, and behavior of an individual. It produces these rhythms through coordinated transcriptional–translational feedback loops involving circadian genes such as *BMAL1*, *CLOCK*, *PER1/2*, and *CRY1/2*, which causes oscillations in downstream targets. For example, sensitivity in insulin and the thermic food effect

exhibits a 24-hour rhythm that peaks in the morning (20). A large number of plasma lipids and age-related hormones such as cortisol, insulin, and growth hormone also vary across the 24-hour day. Metabolic and hormonal rhythms peak in the morning and are downregulated in the evening time, thus implicating morning time as optimal for food intake time (20). Therefore, eating in sync with these rhythms may improve cardiometabolic health and overall health of an individual. In contrast, when eating in the circadian misalignment for example eating late in the day, worsens several cardiometabolic endpoints, particularly glucose tolerance and disturbs the stomach enzymes rhythmic pattern of digestion (21,22) Therefore, TRF interventions where food intake is limited to early in the day time may be particularly effective in improving cardiometabolic health and overall wellbeing.

TRF also improves several facets of health through both circadian and mechanisms related to fasting. It improves glycemic index by lowering 24-hour glucose levels, reducing glycemic excursions, and potentially by improving signaling of insulin. Importantly, these improvements in glycemic index may be driven not only by eating earlier in the day time but also by having a short meal time interval, suggesting that TRF interventions with longer inter-meal intervals may be less effective at improving glucose levels. TRF also alters the diurnal patterns in fasting cholesterol, ketones, cortisol, and diurnal clock genes; particularly, it modestly elevates ketone levels in the morning time and improves the amplitude of the cortisol rhythmicity. Furthermore, TRF also affects hormones and genes related to longevity and autophagy. These important findings demonstrate that TRF improves cardiometabolic health, alters diurnal rhythms, and may also have anti-aging effects.

**Conflict of Interest:** None declared

## REFERENCES:

- 1-Edgar RS, Green EW, Zhao Y, van Ooijen G, Reddy AB. Peroxiredoxins are conserved markers of circadian rhythms. *Nature*. 2012;485:459–464.
- 2- Peek CB, Affinati AH, Ramsey KM, Kuo H-Y, Yu W, Sena LA. Circadian clock NAD<sup>+</sup> cycle drives mitochondrial oxidative metabolism in mice. *Science*. 2013;342:1243417.
- 3- Huang W, Ramsey KM, Marcheva B, Bass J. Circadian rhythms, sleep, and metabolism. *J. Clin. Invest.* 2011
- 4- Sharma S, Kavuru M. Sleep and metabolism: An overview. *Int. J. Endocrinol.* 2010
- 5- Castanon-Cervantes O, Wu M, Ehlen JC, Paul K. Dysregulation of inflammatory responses by chronic circadian disruption. *J. Immunol.* 2010;185:5796–5805.
- 6- Qian J, Scheer FAJL. Circadian System and Glucose Metabolism: Implications for Physiology and Disease. *Trends Endocrinol. Metab.* 2016;27:282–293.
- 7- Mattson M.P., Longo V.D., Harvie M. Impact of intermittent fasting on health and disease processes. *Ageing Res. Rev.* 2017;39:46–58.
- 8- Harvie M., Howell A. Potential Benefits and Harms of Intermittent Energy Restriction and Intermittent Fasting Amongst Obese, Overweight and Normal Weight Subjects-A Narrative Review of Human and Animal Evidence. *Behav. Sci.* 2017;7:4.
- 9-Tinsley G.M., Horne B.D. Intermittent fasting and cardiovascular disease: Current evidence and unresolved questions. *Fut. Cardiol.* 2018;14:47–54.
- 10- Patterson R.E., Sears D.D. Metabolic Effects of Intermittent Fasting. *Annu. Rev. Nutr.* 2017;37:371–393.
- 11- Longo V.D., Mattson M.P. Fasting: Molecular mechanisms and clinical applications. *Cell Metab.* 2014;19:181–192.
- 12- Belkacemi L., Selselet-Attou G., Bulur N., Louchami K., Sener A., Malaisse W.J. Intermittent fasting modulation of the diabetic syndrome in sand rats. III. Post-mortem investigations. *Int. J. Mol. Med.* 2011;27:95–102.
- 13- Sundaram S., Yan L. Time-restricted feeding reduces adiposity in mice fed a high-fat diet. *Nutr. Res.* 2016;36:603–611.
- 14- Park S., Yoo K.M., Hyun J.S., Kang S. Intermittent fasting reduces body fat but exacerbates hepatic insulin resistance in young rats regardless of high protein and fat diets. *J. Nutr. Biochem.* 2017;40:14–22.
- 15- Sutton E.F., Beyl R., Early K.S., Cefalu W.T., Ravussin E., Peterson C.M. Early Time-Restricted Feeding Improves Insulin Sensitivity, Blood Pressure, and Oxidative Stress Even without Weight Loss in Men with Prediabetes. *Cell Metab.* 2018;27:1212–1221.
- 16- Ravussin E., Beyl R.A., Poggiogalle E., Hsia D.S., Peterson C.M. Early Time-Restricted Feeding Reduces Appetite and Increases Fat Oxidation but Does Not Affect Energy Expenditure in Humans. *Obesity*. 2019 in press.

- 17- Antoni R., Robertson T.M., Robertson M., Johnston J. A pilot feasibility study exploring the effects of a moderate time-restricted feeding intervention on energy intake, adiposity and metabolic physiology in free-living human subjects. *J. Nutr. Sci.* 2018;7:1–6.
- 18- Tinsley G.M., Forsse J.S., Butler N.K., Paoli A. Time-restricted feeding in young men performing resistance training: A randomized controlled trial. *Eur. J. Sport Sci.* 2017;17:200–207.
- 19- Stote K.S., Baer D.J., Spears K. A controlled trial of reduced meal frequency without caloric restriction in healthy, normal-weight, middle-aged adults. *Am. J. Clin. Nutr.* 2007;85:981–988
- 20- Poggiogalle E., Jamshed H., Peterson C.M. Circadian regulation of glucose, lipid, and energy metabolism in humans. *Metabolism.* 2018;84:11–27.
- 21- Scheer F.A., Hilton M.F., Mantzoros C.S., Shea S.A. Adverse metabolic and cardiovascular consequences of circadian misalignment. *Proc. Natl. Acad. Sci. USA.* 2009;106:4453–4458.
- 22- Wefers J., van Moorsel D., Hansen J. Circadian misalignment induces fatty acid metabolism gene profiles and compromises insulin sensitivity in human skeletal muscle. *Proc. Natl. Acad. Sci. USA.* 2018;115:7789–7794.

## List of Contents

### ORIGINAL ARTICLES

---

- **Impact of Internet and Social Media on Academic Performance, Social Interaction, and Mental Health among a Sample of Iraqi University Students**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.13900>

Taqi Mohammed Jwad Taher, Diana Mazlum Ali

- **The Histomorphometric and Histological Evaluation of Renal Cortex in Response to Sleep Disturbance in Adult Male Rat**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.13995>

Zahraa Aboud Mohsin, Huda Rashid Kamoona

- **The Prevalence of Hashimoto's Thyroiditis among Rheumatoid Arthritis Patients**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14270>

Baneen Ali Diab, Rana Fadhil Obaid

- **Clinical and Epidemiological Characteristics Associated with the Severity of Bronchiolitis in Hospitalized Children in Iraq**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.13898>

Azad A. Haleem, Nareen A. Abdulrahman, Nizar B. Yahya, Akrem M. Atrushi,

Kiner I. Hussein

- **Evaluating the Effect of Copper Oxide Nanoparticles after Added to the Maxillofacial Silicone on the Adherence of Staphylococcus Epidermidis**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14459>

Ahmed Jameel Mashloosh, Faiza M. Abdul-Ameer

- **Evaluation of serum CTLA-4 levels in patients with HBs Ag (-)/HBc IgG (+)/Hbs Ab (+): Across sectional study in the Najaf Government**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14656>

Baneen Abdul Hadi Jalaout Al-Hamdani, Saif Jabbar Yasir Al-Mayah

- **Meningioma as a Rare Presentation**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14672>

Mais Almumen, Liqaa Mohammed Muslim, Ammar Saeed Rasheed

- **Terms Used to Describe Abnormalities of Joint Kinematics: An Overview**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14725>

Jagar Omar Doski

- **Usefulness of Phase Sensitive Inversion Recovery MRI Sequence in the Detection of Cortical Lesions in Multiple Sclerosis**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14765>

Rezq Ahmed Shakir, Haider Najim Al-Tameemi, Hayder Hasson, Zahraa Ayad Zahraa Ayad, Raaed Hamza Jawad, Haider Abd AlRouda Jassim

- **Investigation the Influence of Stress on Salivary Features, Oral Hygiene and Gingival Health Condition among a Group of Adolescents Male Students**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.14843>

- **The Correlation between Serum Levels of Progranulin and Inflammatory Markers in Patients with Chronic Obstructive Pulmonary Disease**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15052>

Fatima Ali Abdulwahed Alkyoon, Falah Mahdi Dananah

- **The Effect of Age on Right Ventricular Systolic Function Using Traditional Echocardiographic Measures**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15055>

Elham Hussien Manhal Al-Obaidi, Asaad Hasan Noaman Al-Aboodi

- **The Sensitivity and Specificity of Measuring the Thickness of Myometrium to Predict the Time of Spontaneous Labour in Preterm Prelabor Rupture of Membranes and Oligohydramnios**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15206>

Luma Zeiny

- **Long Term Effect of Post-Covid-19 Syndrome on Hematological Parameters in Iraqi People**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15305>

Sara Basim Zwain, Sami Raheem Al-Katib, Falah Mahdi Dananah, Basim MH Zwain

- **Shear-Wave Elastographic Evaluation of Splenic Stiffness in Patients with Chronic Liver Diseases as A Predictor of the Oesophageal Varices Grade**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15362>

Ahmed Faaz Nasser, Haider Najim Al-Tameemi

- **Investigating the Panton-Valentine Leukocidin Gene in Methicillin-Resistant Staphylococcus aureus from Diabetic Foot Infections**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15375>

Abbas Hussein Al-salami, Majida Malik Al-shammari, Majid Hadi Al-Kalabi

- **M Multi-Organ Histopathological Changes in SARS COV2 Infection: A Systematic Review and Meta-analysis**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.15435>

Maream Mohammed Ali Al-Haboobi, Rihab Hameed Al-Mudhafar

- **Estimate the Prevalence of Depression in Type 2 Diabetes Patients**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.16115>

Zahraa Mohammed AL-Khaqani, Thanaa Shams Al-Deen Al-Turaihi

- **Role of Cathepsin G in Rheumatoid Arthritis Diagnosis and Disease Activity Evaluation**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.16114>

Mays Saleh Khamees, Raad Abdulameer Alasady

- **Admission Pattern and Treatment Outcome in Pediatric Intensive Care Unit in Al Zahraa Teaching Hospital, Iraq, Najaf**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.16144>

Neam Arkan Mohsen, Alaa Jumaah Manji Nasrawi

- **Causes of Neonatal Re-admission in 24 hours after Cesarean Section**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.16179>

Hayder Abdulsalam Alnakkash, Raid Mohammed Ridha Umran, Alaa Jumaah Manji Nasrawi

- **Knowledge and Attitude of The Medical Staff Toward Poliomyelitis and Polio Vaccination Campaign: A Cross–Sectional Study in Al-Najaf Al -Ashraf City–Iraq**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.16212>

Hawraa Makki Kareem, Huda Ghazi Hameed

- **Effect of Depressive Symptoms on Weight among Adults at Al-Najaf Province: A case-control Study**

**DOI:** <https://doi.org/10.36330/kmj.v20i1.16222>

Mohammed Abbas Mohammed, Salam Jasim Mohammed

# Impact of Internet and Social Media on Academic Performance, Social Interaction, and Mental Health among a Sample of Iraqi University Students

Taqi Mohammed Jwad Taher<sup>1</sup> and Diana Mazlum Ali<sup>2</sup>

<sup>1,2</sup> Wasit University, College of Medicine, Family and Community Medicine Department, Iraq.

Email: [ttahir@uowasit.edu.iq](mailto:ttahir@uowasit.edu.iq)

## ABSTRACT

**Background:** Social media is an online communication tool that is now a necessary component of our everyday existence. It enables people to communicate, exchange data, and produce web content.

**Aim of the study:** The study aims to display the effect of social media on academic performance, social interaction, and mental health among a sample of Iraqi university students.

**Patients and methods:** The study was conducted on 440 Iraqi students from different colleges, and the data were collected through a self-administered questionnaire.

**Results:** The majority of the sample (98.4%) mentioned they currently use social media, and the mobile phone was the most used device (95.9%) for viewing social media. The study found that social media has both positive and negative effects on social interaction and academic performance. Most of the participants (60.7%) and (21.6%) agreed and strongly agreed respectively that the use of social media is improving connection and information exchange with others. However, (30.9%) strongly agreed that social media may lead them to limit their time with friends and family members. Regarding academic performance, most of the sample (44.5%) strongly agreed that the use of social media may lead to wasting time specified for studying, and 26.8% of them strongly agreed that it distracted them from studying. More than half of those students (53.4%) agreed and strongly agreed that social media harms their academic performance in general.

**Conclusions:** The study concluded that social media has a significant impact on the academic performance, social interaction, and mental health of university students, and it is important to spread awareness among them to reduce excessive and bad use of social media.

**Keywords:** University Students, Academic Performance, Mental Health, Social Relationships, Communication, Social Media.

## Article Information

Received: November 7, 2023; Revised: May 15, 2024; Online: June, 2024

## INTRUDUCTION

Social media (SM) is defined as “any electronic-based communication tool, such as websites or applications for social networking, through which users can create online communities to share information, ideas, and connect with people”<sup>(1)</sup>. Social networking sites

are one of the latest technologies, at present, that have changed the course of communications significantly. So, access to information has become available and various social networking sites have emerged like Facebook, WhatsApp, Messenger, Instagram... etc. to connect people all over the world and in all areas of life. In

addition, social networking sites and networks are characterized by ease of use and speed of spread which make the individuals spend a long time using it without feeling the passage of time. However, it is, like any technology, has those who support and those who oppose its use <sup>(2)</sup>. Some see positive effects in that use as it may transcend time and space, provide information for all, and give anyone the opportunity to see the ideas and opinions of others and to dialogue with them without restrictions. Others believe that it has negative effects on the individuals who may prefer to communicate through it and distance from direct relationships with others from the family, relatives, and friends, which leads to the impact and contraction of realistic social relations <sup>(3)</sup>.

The availability of smartphones, tablets, laptops, and other smart devices increased students' every day heavy reliance on social media. The student's life, social interaction, academic achievement, and even self-concept is all affected by this addiction (4,5). It was found that the extreme use of social media may have a negative effect on the user's personal and professional life (6,7). One undesirable effect is that it can easily lead clients to be habituated to it (8,9). A previous study demonstrated social media addiction and declining levels of self-esteem are significantly correlated (10). Students who spend more time on social media may have psychological and mental problems like depression and anxiety due to negative interactions in their communication with friends and families. University students are using social media for different reasons like reaching the scientific information that helps in their study and the availability of sites and groups for discussion makes them more liable for addiction. So, it is crucial to look at the functions that social networking sites serve for those valuable groups of society (11). The effect of social media use and academic success are highly reliant on the individual student; while

some use it to raise their academic performance, others find it more distracting (12).

In Iraq, one study was conducted on a sample of Kufa University students and found that students from the College of Medicine suffered from internet addiction with (54.6%) of them having moderate internet addiction <sup>(13)</sup>. Another study was conducted to assess the impact of social media on academic performance, political views, and the economic situation of the students <sup>(14)</sup>. Few studies aimed to assess the benefit of the Internet and social media to improve the interaction between students and lecturers, in addition to improving their English language <sup>(15,16)</sup>. Even though a lot of studies examined the effect of social media on academic performance among university students, no recent study assessed the effect on their face-to-face social interaction with others and the effect on their mental health for different colleges. This study was conducted on students from Wasit University / Iraq to give a description of the impact of social media on students' educational achievement. In addition to its effect on communication skills and their mental health. It will be beneficial to spread awareness among them to reduce excessive and bad use of social media.

## PATIENTS AND METHODS

The study population was Wasit University students from different colleges (scientific and humanitarian). The minimum required sample size for this study was 361 students according to the sample size equation calculation for cross-sectional studies <sup>(17)</sup>. Considering that social media can affect 37.5% of students' academic performance from a previous study <sup>(18)</sup>. Inclusion criteria include all students from colleges of Wasit University who agreed to participate and fill out the questionnaire aged above 18 years old. Samples were selected randomly from students' name lists by a computer program. The questionnaires

were distributed to the selected students by hand and were filled by the student himself/ herself. A total of 440 filled questionnaires were returned to the researcher for the final analysis.

### The Study Design

This is designed as a descriptive cross-sectional study conducted during the period from 1<sup>st</sup> May till the end of July 2023 in Wasit province/ Iraq.

### Subjects

This study included 440 Iraqi population was Wasit University students from different colleges (scientific and humanitarian).

### Exclusion criteria

Those who were engaged within the lecture rooms during the data collection time or those who had exams were excluded.

### Data collection:

The study compares how frequently students use the following social media sites: Facebook, Twitter, Instagram, Viber, YouTube, and WhatsApp. Three sections make up this questionnaire. The first demographic profile like age, gender, social status, place of living, type of college, stage, and grade in the last academic year. The second section included data about using social media, duration of usage, and the used instrument for that purpose. The third part includes questions related to the effect of social media on academic performance, social interaction, and mental health. Section three questions were answered by using structured items based on five Likert scale items to measure the impact of SM on the study variables starting with strongly agree, agree, neutral, disagree, and strongly disagree.

The instrument was designed depending on previously published articles <sup>(19-21)</sup> after some modification and translation from English to the Arabic language by an expert translator. The

validity was done by the researcher's specialist consultant in community medicine, and his comments and suggestions were incorporated into the final draft. The questionnaire was also pretested on 7 selected students for suitability of time and understandability of terms.

### STATISTICAL ANALYSIS

The data were entered and analyzed by the Statistical Package for Social Sciences software program (SPSS) version 26. Frequency and percentage were used to describe the categorical data while mean and standard deviation (SD) were used for quantitative continuous data.

### RESULTS

In this study, the data were collected from 440 Iraqi students with a mean± SD age (23.01±2.49) years old ranging from 18 to 31 years. Table 1 shows the socio-demographic features of the study sample. Females represented about (71.8%) of the total sample, and about (63.2%) lived in city centers. Most of the participants (81.4%) were from scientific colleges, and more than one-quarter (25.7%) of the participants were in the sixth stage of college. The highest percentage of the students (38%) passed the last academic year with 'good' grade.

**Table 1: Socio-demographic features of the study sample (n=440).**

| Variable                | Category                | Frequency | Percentage |
|-------------------------|-------------------------|-----------|------------|
| Sex                     | Male                    | 124       | 28.2       |
|                         | Female                  | 316       | 71.8       |
| Social status           | Single                  | 371       | 84.3       |
|                         | Married                 | 69        | 15.7       |
| Place of living         | City center             | 278       | 63.2       |
|                         | District or subdistrict | 129       | 29.3       |
|                         | Village or countryside  | 33        | 7.5        |
| Type of college         | Scientific              | 358       | 81.4       |
|                         | Humanitarian            | 82        | 18.6       |
| Stage of college        | First                   | 16        | 3.6        |
|                         | Second                  | 37        | 8.4        |
|                         | Third                   | 69        | 15.7       |
|                         | Fourth                  | 100       | 22.7       |
|                         | Fifth                   | 105       | 23.9       |
|                         | Sixth                   | 113       | 25.7       |
| Student grade last year | Fail                    | 2         | 0.5        |
|                         | Pass                    | 48        | 10.9       |
|                         | Average                 | 116       | 26.4       |
|                         | Good                    | 167       | 38.0       |
|                         | Very good               | 87        | 19.8       |
|                         | Excellent               | 20        | 4.5        |

The majority of the sample (98.4%) mentioned that they currently use social media. The highest percentage (40%) among them used it for a period (6-10) years followed by (37%) from 1-5 years. The mobile phone was the aperture used by most of them (95.9%) for viewing these media for a period reached more than 3 hours per day in about 58.9% of them as shown in Table 2.

**Table 2: History of social media use among the study samples (n=440).**

| Variable                                    | Category                      | Frequency | Percentage |
|---|-------------------------------|-----------|------------|
| Current use of social media                 | Yes                           | 433       | 98.4       |
|   | No                            | 7         | 1.6        |
| Number of years of social media use         | Never or less than 1 year     | 16        | 3.7        |
|   | 1-5 years                     | 166       | 37.7       |
|   | 6-10 years                    | 176       | 40.0       |
|   | More than 10 years            | 82        | 18.6       |
| Number of hours of social media use per day | Never or less than 30 minutes | 7         | 1.6        |
|   | 30 minutes-1 hour             | 18        | 4.1        |
|   | 1-2 hours                     | 54        | 12.3       |
|   | 2-3 hours                     | 102       | 23.2       |
|   | More than 3 hours             | 259       | 58.9       |
| Tools used for social media                 | Mobile phone                  | 422       | 95.9       |
|   | PC or I-pad                   | 18        | 4.1        |

Table 3 shows the effect of social media on social interaction. Most of the participants (60.7%) and (21.6%) agreed and strongly agreed that the use of social media is improving connection and information exchange with others. While (30.9%) were strongly agreed that social media may lead them to limit their time with friends and family members. Half of them (50.7%) also agreed about that. So, about (41.8%) and (22.5%) were agreed and strongly agreed that using SM may lead to social isolation. Most of the sample (56.8%) thought it may lead to a violation of personal privacy.

Table 4 shows students' responses to questions related to the effect of social media on academic performance. Most of the sample (44.5%) strongly agreed that the use of SM may lead to wasting time specified for studying. And 26.8% of them strongly agreed that it distracted them from studying. So, more than half of those students (53.4%) strongly agreed and agreed that social media harms their academic performance in general. Even though, 73.2% strongly agreed and agreed with the positive effect of SM on academic performance.

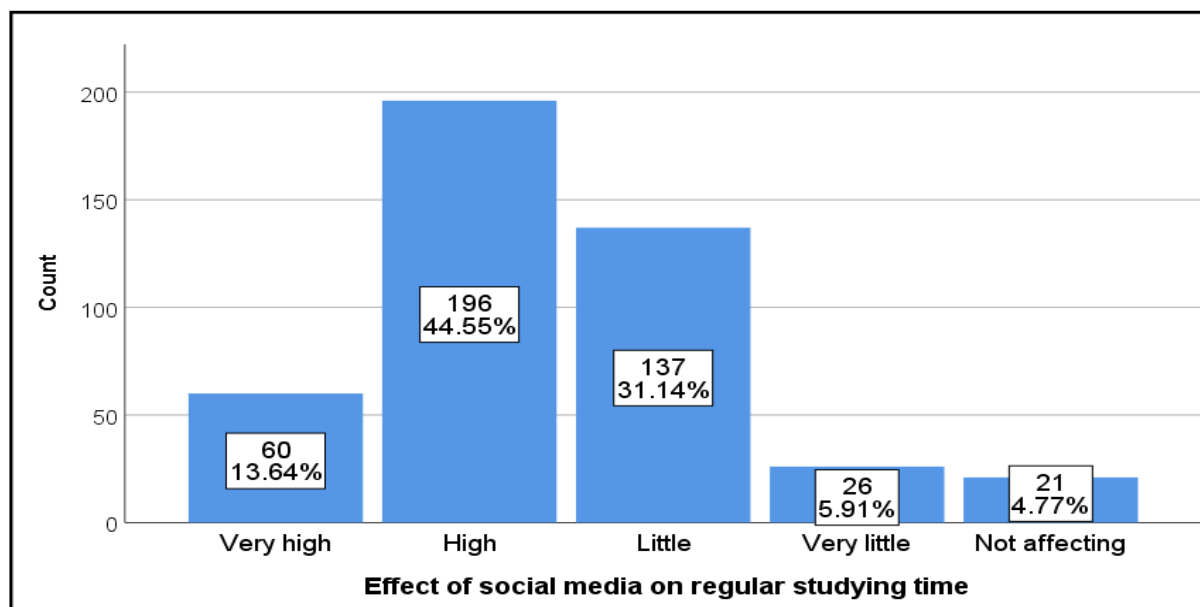
**Table 3: Frequency distribution of participant response to the effect of social media on social factors (n=440).**

| Social factors  | Strongly agree | Agree      | Neutral    | Disagree  | Strongly disagree |
|---|----------------|------------|------------|-----------|-------------------|
| Improve connection and information exchange with friends and family | 95(21.6%)      | 267(60.7%) | 65(14.8%)  | 10(2.3%)  | 3(0.7%)           |
| Limit or reduce the time you spend with family and friends          | 136(30.9%)     | 223(50.7%) | 60(13.6%)  | 15(3.4%)  | 6(1.4%)           |
| This can lead to social isolation                                   | 99(22.5%)      | 184(41.8%) | 105(23.9%) | 49(11.1%) | 3(0.7%)           |
| This leads to a violation of privacy                                | 59(13.4%)      | 191(43.4%) | 111(25.2%) | 76(17.3%) | 3(0.7%)           |
| Improve social connection in general                                | 36(8.2%)       | 180(40.9%) | 136(30.9%) | 82(18.6%) | 6(1.4%)           |

**Table 4: Students' responses to questions related to the effect of social media on academic performance.**

| Academic performance                          | Strongly agree | Agree      | Neutral    | Disagree  | Strongly disagree |
|---|----------------|------------|------------|-----------|-------------------|
| Lead to wasting studying time                 | 169(44.5%)     | 158(35.9%) | 57(13%)    | 25(5.7%)  | 4(0.9)            |
| Distract from studying                        | 118(26.8%)     | 151(34.3%) | 86(19.5%)  | 85(19.3%) | 0(0%)             |
| Had a positive effect on academic performance | 105(23.9%)     | 217(49.3%) | 74(16.8%)  | 37(8.4%)  | 7(1.6%)           |
| Had a negative effect on academic performance | 93(21.1%)      | 142(32.3%) | 134(30.5%) | 66(15%)   | 5(1.1%)           |

When students were asked about the magnitude in which SM affects their regular studying time, around (44.6%) mentioned a higher effect as shown in figure 1. Only 4.8% said it was not affecting and 5.9% mentioned a very little effect.



**Figure 1: Effect of social media on regular studying time.**

In Table 5, the effect of social media on psychological and mental health was demonstrated. More than one-third of the participants (33.2 %) agreed and strongly agreed that the SM may cause hostility, and about (45%) agreed and strongly agreed that the SM causes loss of self-esteem. Sleeping disturbances can also arise from SM as most of the samples (72.3%) mentioned. Leading to a reduced concentration and feelings of tiredness as 65% of the study participants agreed and strongly agreed with this.

**Table 5: Effect of social media on psychological and mental health of the study samples.**

| Psychological and mental effects                     | Strongly agree | Agree      | Neutral    | Disagree   | Strongly disagree |
|--|----------------|------------|------------|------------|-------------------|
| Causing hostility                                    | 33(7.5%)       | 113(25.7%) | 137(31.1%) | 157(35.7%) | 0(0%)             |
| Sleeping disturbance                                 | 107(24.3%)     | 211(48%)   | 46(10.5%)  | 66(15%)    | 10(2.3%)          |
| Loss of self-esteem and passion                      | 66(15%)        | 136(30%)   | 109(24.8%) | 107(24.3%) | 22(5%)            |
| Feeling tired, low energy, and reduced concentration | 88(20%)        | 198(45%)   | 80(18.2%)  | 65(14.8%)  | 9(2%)             |

Figure 2 shows the effect of social media on sleeping time. The highest percentage of the sample (40%) mentioned a little effect on their sleeping time by social media followed by (32.06%) who represented a high effect on their sleeping time by use of social media.

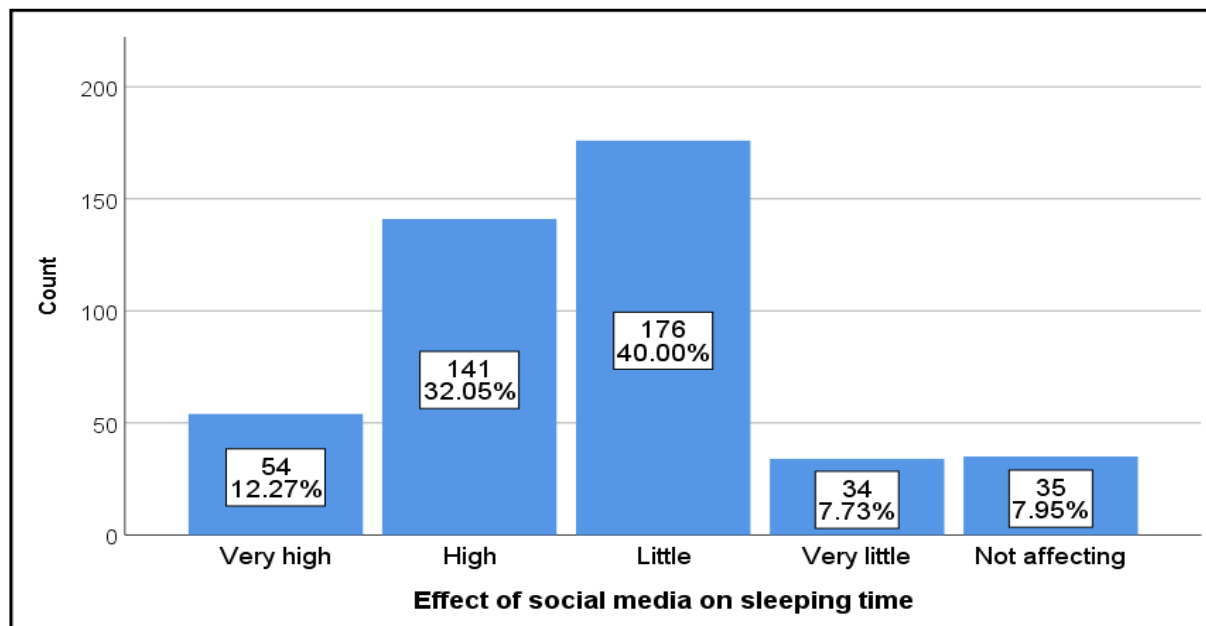


Figure 2: The effect of social media on sleeping time among the study sample.

## DISCUSSION

Social media usage is currently one of the most common activities commonly distributed among children, adolescents, and adults approximately in all societies. Social media provides an easy way of entertainment and communication, in addition to accessing up-to-date information and news <sup>(22)</sup>. This study included 440 Iraqi students, from both scientific and humanities colleges. In this study, the prevalence of using social media among university students was 98.4%. This finding coincides with Perrin's study <sup>(23)</sup> which stated that the use of social media among American adults aged 18-29 years, who represent a high percentage of university students, rose from 12% in 2005 to 90% in 2015. Also, a 93.4% prevalence was reported among medical students in Turkey. The reported high prevalence rate is attributed to the integrated use of social media into the lifestyle of the younger generation, as well as the current popular use of social media as a means of communication between college administration and students <sup>(24)</sup>.

Regarding the time spent on social media, this study shows more than 3 hours per day spent on social media, this finding agreed with

the findings of a previous study <sup>(25)</sup> in which students reported that they spent a lot of time reached to 6-8 hours daily on social media. These findings also agreed with the findings of Ajewole and Fasola <sup>(26)</sup> who reported that students spend 6-7 hours daily on social media. The finding of the study disagreed with Chaffeys' report in 2016, which found that students spend only 2 hours daily on social media.

In the current study, students mentioned that social media has both positive and negative effects on social interaction. The majority of participants agreed and strongly agreed that social media improves connection and information exchange with others. Another recently published study found a positive relationship between using SM with social interaction among youth and this positive effect is perhaps decided by the level of trust present inside online communities <sup>(27)</sup>. It is important to note that the relationship between social media use and social interaction is complex and may depend on various factors, such as the type of social media use and the individual's personality and social context <sup>(28)</sup>. However, a significant

proportion of participants (30.9%) strongly agreed that social media may lead them to limit their time with friends and family members, and half of them (50.7%) agreed with this statement. The majority agreed that social isolation situations may occur due to excessive use of SM and depending on hours spent using it. The same result was shown among Saudi Arabian students who mentioned negative effects on their relations with friends and families in about 59%<sup>(29)</sup>.

Additionally, most of the sample (56.8%) thought that social media use may lead to a violation of personal privacy. These findings are consistent with the concerns expressed in the search results about social media's impact on privacy. Social media platforms are designed to get users to share personal information willingly, and this can lead to breaches of privacy<sup>(30,31)</sup>. Overall, the results suggest that social media has both positive and negative effects on social interaction and privacy. So, it is recommended to be aware of these effects and to use social media responsibly and mindfully. Social media users should take steps to protect their privacy, such as adjusting their privacy settings and being cautious about sharing personal information online.

The results indicate that while a majority of students strongly agreed and agreed with the positive effects of social media on academic performance, a significant portion of the sample strongly agreed that the use of social media may lead to wasting time specified for studying, and more than one-quarter of them strongly agreed that it distracted them from studying. This suggests that social media can have both positive and negative impacts on students' academic performance. The positive impact of social media on academic performance is also found in the findings of Kabilan et al.<sup>(32)</sup> who reported that students who use social media learn correct spelling, sentences, pronunciation, and essay writing by Googling the words into

nets. Besides, corroborated by Mehmood and Taswir<sup>(11)</sup> who also found that social media is useful in improving correct spelling and writing for students. A lot of positive effects of social media on academic performance were reported like enhancement of online learning and improved Creative Element<sup>(33)</sup>. On the other hand, harming academic performance by SM was shown in Ezeji's<sup>(34)</sup> study which revealed that the rate of social media usage among students was very high and harmed students in general grade. Another study among medical students in China found that students thought SM caused wasted time and distracted them from studying<sup>(35)</sup>. The impact of social media on academic performance can vary depending on factors such as personality traits, time spent on social media, and the purpose of use<sup>(36)</sup>.

A recently published study indicated that social media can cause mental disorders in students such as sleepiness, isolation, violent action, depression, insomnia, and anxiety<sup>(37)</sup>. In this study, more than one-third of participants agreed and strongly agreed that SM causes hostility, nearly half agreed and strongly agreed that SM causes loss of self-esteem, and most of them suffered from sleepiness. This finding corroborated Zubair et al. study that shows social media causes sleepiness, isolation, and mental disorders<sup>(38)</sup>. Hostility which can be caused by social media, is associated with an increased risk of adverse cardiac events and poor health outcomes. It may promote poor health behaviors such as smoking, physical inactivity, and medication nonadherence. It is important to be aware of the potential negative effects of social media and to take steps to manage stress and promote healthy behaviors<sup>(39)</sup>. Studies have found diverse results about the effect of social media on mental health. Some found it may help enhance connection, increase self-esteem, and improve a sense of belonging. But others found that it can lead to tremendous stress, pressure to compare oneself to others, and increased sadness and isolation<sup>(40)</sup>. Sleep

problems that arise due to SM can also affect the academic performance and physical function of the body<sup>(41-43)</sup>. Even some mentioned no difference between those with high and low-grade academic performance with their sleep style<sup>(44)</sup>.

## CONCLUSIONS

Social media can be considered a double-edged sword for the whole population and especially for university students. It can both positively and negatively affect their social communication with friends and society, their academic performance, and even their mental health and psychology.

## RECOMMENDATIONS

It is recommended to guide those students to use SM in a way that makes them get the maximum benefits for improving their communication skills, academic results, and mentality. So, awareness should be distributed in addition to more research on different aspects of social media. It's so important to make another study for smaller age groups like primary and kindergarten.

## REFERENCES

1. Liu JS, Ho MH-C, Lu LY. Recent themes in social networking service research. *PLoS One*. 2017;12(1): e0170293. <https://doi.org/10.1371/journal.pone.0170293>
2. AbdelHamid M. "Media theories and trends of influence". 2nd edition. the world of books: Cairo; 2000.
3. Kapoor K K, Tamilmani K, Rana N P, Patil P, Dwivedi Y K, Nerur S. Advances in Social Media Research: Past, Present and Future. *Inf Syst Front*. 2018; 20: 531–558.
4. Othman W R, Apandi Z F, Ngah N H. The uses of social media on student's communication and self-concepts among TATIUC students. *Indian Journal of Science and Technology*. 2016; 9(17):1-8. <https://doi.org/10.17485/ijst/2016/v9i17/88730>
5. Taher TM, Sarray F. Internet as a Source of Information for Breast Cancer Early Detection. *Pakistan Journal of Medical and Health Sciences*. 2020;14(2):1589-92.
6. Guedes E, Nardi AE, Guimarães FM, Machado S, King AL. Social networking, a new online addiction: A review of Facebook and other addiction disorders. *Med Express*. 2016;3:M160101.
7. Lahiry S, Choudhury S, Chatterjee S, Hazra A. Impact of social media on academic performance and interpersonal relation: A cross-sectional study among students at a tertiary medical center in East India. *J Educ Health Promot*. 2019; 8:73. [https://doi.org/10.4103/jehp.jehp\\_365\\_18](https://doi.org/10.4103/jehp.jehp_365_18)
8. Ryan T, Chester A, Reece J, Xenos S. The uses and abuses of Facebook: A review of Facebook addiction. *J Behav Addict*. 2014; 3:133–48. <https://doi.org/10.1556/jba.3.2014.016>
9. Muench F, Hayes M, Kuerbis A, Shao S. The independent relationship between trouble controlling Facebook use, time spent on the site and distress. *J*

- Behav Addict. 2015; 4:163–9.  
<https://doi.org/10.1556/2006.4.2015.013>
10. Malik S, Khan M. Impact of Facebook addiction on narcissistic behavior and self-esteem among students. *J Pak Med Assoc.* 2015; 65:260–3.
  11. Mehmood S, Taswir T. The effects of social networking sites on the academic performance of students in College of Applied Sciences, Nizwa, Oman. *Int J Arts Commer.* 2013;2(1):111–25.
  12. Melkevik O, Haug E, Rasmussen M, Fismen AS, Wold B, Borraccino A, et al. Are associations between electronic media use and BMI different across levels of physical activity?. *BMC Public Health.* 2015; 15: 497.  
<https://doi.org/10.1186/s12889-6-1810-015>
  13. Alfadhul SA, Ghazi Hameed H, Mohammed SJ. Internet Addiction Disorder among Medical Students in University of Kufa: A Cross Sectional Study. *J Gen Pract.* 2018;6:369.  
<https://doi.org/10.4172/2329-9126.1000368>
  14. Aljuboori AF, Fashakh AM, Bayat O. The impacts of social media on University students in Iraq. *Egyptian Informatics Journal.* 2020;21(3):139-144.  
<https://doi.org/10.1016/j.eij.2019.12.003>
  15. Ahmed AA, Jafr MM, Hama Saeed MA, Ali AO, Mahmood BF, Muhammad SN, et al. The Impact of Social Media on the Interaction Between Students and Teachers at the University of Halabja. *J. Philol. Educ. Sci.* [Internet]. 2022 Dec;1(1):27-3. Available from: <https://engiscience.com/index.php/jpes/article/view/jpes2022113>
  16. Nesrallah O, Murad Zangana I. Impact of Social Media in Learning EFL Iraqi Students New Words. *Utopia and prax. Latinam.* [Internet]. 2020;25(1):436-49. Available at: <https://produccioncientificaluz.org/index.php/utopia/article/view/31957>
  17. Pourhoseingholi MA, Vahedi M, Rahimzadeh M. Sample size calculation in medical studies. *Gastroenterol Hepatol Bed Bench.* 2013;6(1):14-17.
  18. Takiuddin SZ, Alghamdi FS, Fida HL, Alghamdi MK, Kamfar RA, Alsaidlani RH, et al. Effects of social networking services on academic performance and self-esteem: A cross-sectional study among medical students. *J Family Med Prim Care.* 2022 Oct;11(10):6221-6226.  
[https://doi.org/10.4103/jfmpc.jfmpc\\_528\\_22](https://doi.org/10.4103/jfmpc.jfmpc_528_22)
  19. Abbas J, Aman J, Nurunnabi M, Bano S. The impact of social media on learning behavior for sustainable education: Evidence of students from selected universities in Pakistan. *Sustainability.* 2019; 11(6); 1683.  
<https://doi.org/10.3390/su11061683>
  20. Akram W, Kumar R. A study on positive and negative effects of social media on society.

- International Journal of Computer Sciences and Engineering. 2017; 5(10): 351-354.
21. Stadtfeld C, Voros A, Elmer T, Boda Z, Raabe IJ. Integration in emerging social networks explains academic failure and success. *Proc. Natl. Acad. Sci. USA* 2019; 116(3): 792–797.  
<https://doi.org/10.1073/pnas.1811388115>
22. Vorderer P, Krömer N, Schneider FM. Permanently online permanently connected: explorations into university students' use of social media and mobile smart devices. *Comput Human Behav*, 2016;63:694–703.  
<https://doi.org/10.1016/j.chb.2016.05.085>
23. Perrin A. Social Networking Usage: 2005–2015. Pew Research Center; October 2015. Available from: <https://www.pewinternet.org/2015/10/08/2015/SocialNetworking-Usage-2005-2015/>
24. Avcı K, Çelikden SG, Eren S, Aydenizöz D. Assessment of medical students' attitudes on social media use in medicine: a cross-sectional study. *BMC Med Educ*. 2015;15(1):18.  
<https://doi.org/10.1186/s12909-0150300-y>
25. Chaiwat T, Nithiwadee K, Aungkana S. The impact of social media on students' time consumption outside the classroom. *International Journal of Information and Education Technology*. 2017;7(10):753-757.  
<https://doi.org/10.18178/ijiet.2017.7.10.967>
26. Ajewole OO, Fasola OS. Social network addiction among youths in Nigeria. *Journal of Social Science and Policy Review*.2012;4:62-71.
27. Hatamleh IHM, Safori AO, Habes M, Tahat O, Ahmad AK, Abdallah RA-Q, et al. Trust in Social Media: Enhancing Social Relationships. *Social Sciences*. 2023; 12(7):416.  
<https://doi.org/10.3390/socsci12070416>
28. Taylor-Jackson J, Moustafa AA. The relationships between social media use and factors relating to depression. *The Nature of Depression*. 2021;171-182.  
<https://doi.org/10.1016/B978-0-12-817676-4.00010-9>
29. Kolhar M, Kazi RNA, Alameen A. Effect of social media use on learning, social interactions, and sleep duration among university students. *Saudi J Biol Sci*. 2021;28(4):2216-2222.  
<https://doi.org/10.1016/j.sjbs.2021.01.010>
30. Zulfahmi M, Elsandi A, Apriliansyah A, Anggreainy MS, Iskandar K, Karim S. Privacy protection strategies on social media. *Procedia Computer Science*. 2023 Jan; 216:471-8.  
<https://doi.org/10.1016/j.procs.2022.12.159>
31. Cho H. Privacy helplessness on social media: its constituents, antecedents and consequences. *Internet Research*. 2022 Jan 18;32(1):150-71.

- <https://doi.org/10.1108/INTR-05-2020-0269>
32. Kabilan M, Ahmad N, Jafre M. Facebook: An online environment for learning of English in institutions of higher Education?. *The Internet and Higher Education*. 2010;13(4):179-187. <https://doi.org/10.1016/j.iheduc.2010.07.003>
33. Singh A. Positive & Negative Effect of Social Media On Education. January 27, 2023. Available from: <https://www.theasianschool.net/blog/positive-negative-effect-of-social-media-on-education/>
34. Ezeji PO, Ezeji KE. Effect of social media on the study habits of students of Alvan Ikoku Federal College of Education, Owerri. *World Academy of Science, Engineering and Technology. International Journal of Education and Pedagogical Science*. 2018;12(1):220-224. <https://doi.org/10.5281/zenodo.1316686>
35. Ashraf MA, Khan MN, Chohan SR, et al. Social Media Improves Students' Academic Performance: Exploring the Role of Social Media Adoption in the Open Learning Environment among International Medical Students in China. *Healthcare (Basel)*. 2021;9(10):1272. <https://doi.org/10.3390/healthcare9101272>
36. Sharma S, Behl R. Analysing the Impact of Social Media on Students' Academic Performance: A Comparative Study of Extraversion and Introversion Personality. *Psychol Stud (Mysore)*. 2022;67(4):549-559. <https://doi.org/10.1007/s12646-022-00675-6>
37. Bozzola E, Spina G, Agostiniani R, Barni S, Russo R, Scarpato E, et al. The Use of Social Media in Children and Adolescents: Scoping Review on the Potential Risks. *Int J Environ Res Public Health*. 2022;19(16):9960. <https://doi.org/10.3390/ijerph19169960>
38. Zubair U, Khan MK, Albashari M. Link between excessive social media use and psychiatric disorders. *Ann Med Surg (Lond)*. 2023;85(4):875-878. <https://doi.org/10.1097/MS9.000000000000112>
39. Beyari H. The Relationship between Social Media and the Increase in Mental Health Problems. *Int J Environ Res Public Health*. 2023;20(3):2383. <https://doi.org/10.3390/ijerph20032383>
40. Zsila Á, Reyes ME. Pros & cons: impacts of social media on mental health. *BMC psychology*. 2023 Jul 6;11(1):201. <https://doi.org/10.1186/s40359-023-01243-x>
41. Tandon A, Kaur P, Dhir A, Mäntymäki M. Sleepless due to social media? Investigating problematic sleep due to social media and social media sleep hygiene. *Computers in human behavior*. 2020 Dec; 113:106487. <https://doi.org/10.1016/j.chb.2020.106487>

42. Pirdehghan A, Khezme E, Panahi S. Social Media Use and Sleep Disturbance among Adolescents: A Cross-Sectional Study. *Iran J Psychiatry*. 2021;16(2):137-145. <https://doi.org/10.18502/ijps.v16i2.5814>
43. Khawaja U, Wasim MA, Abid Ali F. Association between poor sleep hygiene practices, sleep deprivation, and their effects on medical students of Karachi: A cross-sectional study. *J Contemp Stud Epidemiol Public Health*. 2023;4(1): ep23004. <https://doi.org/10.29333/jconseph/13004>
44. Jalali R, Khazaei H, Paveh BK, Hayrani Z, Menati L. The Effect of Sleep Quality on Students' Academic Achievement. *Adv Med Educ Pract*. 2020; 11:497-502. <https://doi.org/10.2147/AMEP.S261525>.

# Histomorphometric and Histological Evaluation of Renal Cortex in Response to Sleep Disturbance in Adult Male Rat

Zahraa Aboud Mohsin<sup>1</sup> and Huda Rashid Kamoona<sup>2</sup>

<sup>1,2</sup> Al Nahrin university, Faculty of Medicine, Department of Anatomy, Iraq.

Email: [zahraaaboud92@gmail.com](mailto:zahraaaboud92@gmail.com)

## ABSTRACT

**Background:** Sleep disturbance affects kidney structure reflected in functional derangement causing renal diseases; this occurs through sympathetic system activation and inflammation. Changes in the renal vascular bed affect the renal corpuscle-related structures such as the glomerular area, and urine space, in addition to the kidney tubular apparatus. Sleep deprivation or sleep interruption differ in their effect on systolic blood pressure causing renal tissue changes that predispose to chronic kidney disease (CKD). **Aim of the study:** The study aims to evaluate the effect of sleep disturbance on histological changes of renal tissue in control and experiment groups.

**Patients and methods:** An experimental study on a sample of thirty adult male albino Rats, was divided into three groups (10 animals per group). The control group had a normal sleep rhythm which was 12 hours in the dark and 12 hours in light. Group A: at 12 hours light and 12 hours dark with the production of a flashlight at three-time intervals, every 2 hours, during their sleep period, while Group B includes rats that were exposed to a reduction in sleep time by continuous flashlight stimulation for 7 hours per day, during their sleep period. Then, the kidneys were dissected and prepared for histological evaluation and quantification. The experiment lasted for 14 days for all groups, and the study was performed during the period between the 1st of January 2023 to the 1st of August 2023 in the anatomy department in Al- Nahrain Medical College.

**Results:** This study showed the effect of sleep disturbance patterns (sleep reduction, and sleep interruption) by light stimulation in adult male rats on cortical renal tubules and cortical vessels. A prominent dilatation in cortical renal tubules with the presence of cortical hemorrhagic areas and cortical necrosis with inflammatory cell infiltration was seen to be associated with sleep deprivation prominently. Histological changes of renal corpuscular areas, glomerular tuft area, and renal space area showed significant variations in sleep disturbance groups, in a  $p$ -value  $\leq 0.05$ .

**Conclusions:** Changes in sleep patterns indicate the importance of sleep in maintaining renal cortical tissue structural integrity by its effect on local hemodynamics of cortical vessels that ultimately affect the structure and area of the renal corpuscles. Sleep deprivation represents a powerful factor for renal cortical changes that lead to corpuscular and tubular damage.

**Keywords:** Blood Pressure, Kidney, Light Stimulation, Sleep Disturbance.

## Article Information

Received: November 11, 2023; Revised: May 15, 2024; Online: June, 2024

## INTRUDUCTION

Sleep is the most significant peripheral factor that sustains a circadian rhythm. Sleep issues may have a role in the renal system function and diseases (1). Since the physical function of the kidneys has a diurnal rhythm (2), the volume of the urine excreted, the renal blood flow, and the glomerular filtration rate, some chemical compounds filtration including sodium (3), calcium, potassium, and phosphorus (4), and several other metabolites, are dependent on the circadian pattern of the kidney. The typical circadian rhythm is mainly derived from a self-sufficient mechanism which is named the circadian clock system which is composed of a central part called the circadian clock positioned in the suprachiasmatic nucleus (SCN) in the anterior part of the hypothalamus, and a peripheral clock primarily located in the liver, kidneys, heart, lungs, skeletal muscles, adipose tissue, and other tissues. These components respond to and are derived from upstream signals from the circadian setup in the SCN (5). The central biological clock depends upon the light stimulus which is transformed from optical signals to electrical signals on the retina; these signals are then transmitted to the SCN via the retinal hypothalamic tract and have a role in the regulations of the expression of core clock gene in the brain, as well as having a direct effect on the expression of peripheral genes in various organs. This is all done to regulate the functions of these organs (6). Additionally, the timing mechanism of the central and peripheral nervous system can be disrupted when exposed to light at night, which impairs the transmission of internal rhythm to the external environment, and dysfunctions of normal and ideal 24-hour physiological and behavioral habits of the individuals. Though the direct impact of artificial light at night (ALAN) on the human circadian clock has not been studied directly by human researchers, the data collected from human correlative studies and various data from nocturnal and periodic studies on animals, suggest that the circadian rhythm is disordered, resulting in nocturnal and diurnal similar result found in mammals (7). Sleep disorder could activate the sympathetic nervous system (8), or activate systemic inflammation which leads to damaging glomerular endothelium and proteinuria (9), however, both sympathetic nervous system activation (10) and systemic

inflammation (11) lead to the progression of chronic kidney disease. A growing number of studies have demonstrated that sleep disturbance affects the evolution of kidney disease, probably via the increased inflammation and sympathetic activity present at the renal vascular bed, these effects negatively affect the glomerular basement membrane and the tubular kidney apparatus (12,13). The renal corpuscle, is the component of the kidney that is responsible for filtration, is composed of the glomerulus and Bowman's capsule (14). A glomerulus is made by a bunch of capillaries through which blood flows to produce urine filtrate through a blood-urine barrier (15). The kidneys are vital organs that participate in the control of blood pressure, and previous research has demonstrated that the kidneys are involved in the body's periodical adjustment of blood pressure. For example, Bankir et al. (2008) (16) showed that the diurnal pattern of renal excreted sodium is crucial to the nocturnal drop in blood pressure. Studies of adults have proved a link between sleep duration and increased blood pressure (17). Other studies have demonstrated that individuals with short sleep duration have a higher probability of experiencing a decrease in eGFR as demonstrated by research on the connection between short sleep time and the rapid decrease in kidney function (18). The present study aims to evaluate the effect of sleep disturbance on histological changes of renal tissue in control and experiment groups.

## PATIENTS AND METHODS

An experimental study was performed during the period between the 1<sup>st</sup> of January 2023 to the 1<sup>st</sup> of August 2023 and conducted at the Anatomy Department in Al-Nahrain Medical College

### Subjects

A sample of thirty adult male albino rats (*Rattus Norvagigus*) was selected and maintained at a temperature of  $22 \pm 2$  °C. All animals in this experiment are kept and used according to the National Institute of Health (NIH) guidelines, in the Anatomy Department at Al Nahrain College of Medicine. The rats were divided into three groups of 10 animals per group.

## Controls

At normal sleep rhythm which is 12 hours in the dark and 12 hours in light; Group A: at 12 hours in light and 12 hours dark with the production of a flashlight at three-time intervals of every 2 hours, during their sleep period; and Group B: rats were exposed to a reduction in sleep time by continuous flashlight stimulation for 7 hours per day, during their sleep period.

## Method

Animals were euthanized in a chloroform closed chamber, and the kidneys were dissected, and fixed by formaldehyde (10%), for paraffin block preparation. Then, a thin series of sections of (5  $\mu\text{m}$ ) thickness were cut and placed on a regular glass slide for H&E staining according to (Suvarna et al., 2013) (19), and the slide was examined under a light microscope (LEICA DM750, Germany), for histological evaluation and quantification, the field captured by using Digital Microscope Camera, Image J analysis was done using the software Image J (Java8-based image processing program developed at the National Institutes of Health, USA) for morphometric study and measuring the areas of the renal corpuscle, which are the capsule, glomerulus, and renal space.

## STATISTICAL ANALYSIS

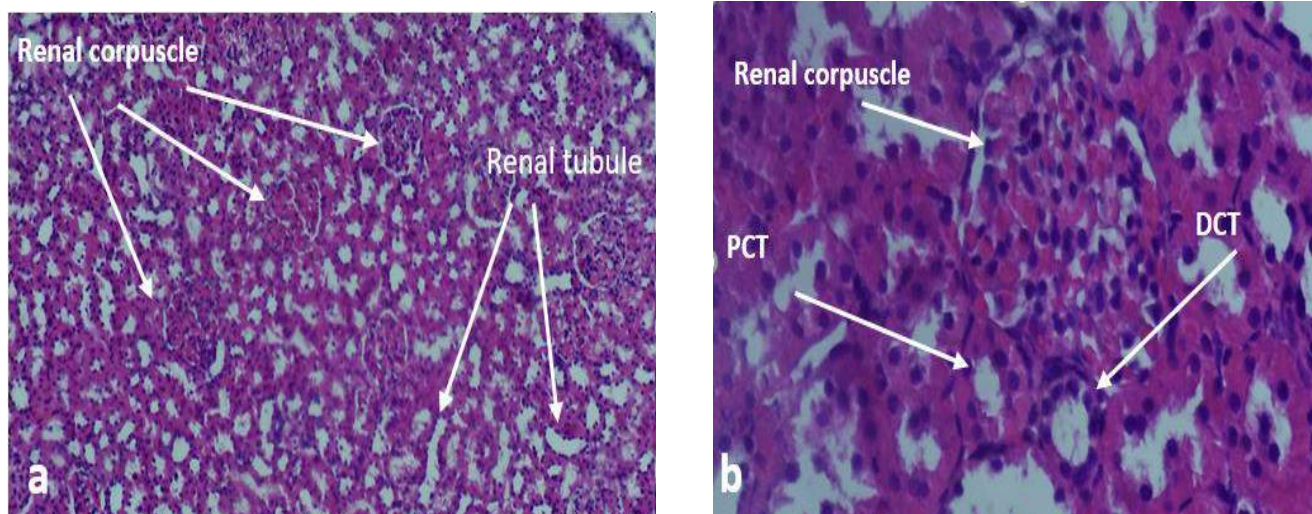
An SPSS version 25 was used for statistical analysis by using an unpaired t-test to compare between groups, a significant P value  $\leq 0.05$  was selected as statistically significant.

## RESULTS

The cortex is characterized by the presence of renal corpuscle and convoluted tubules; proximal convoluted tubules (PCT) are lined by simple cuboidal to low columnar epithelium with a characteristic brush border, while the distal convoluted tubule (DCT) is lined with simple cuboidal epithelium with smaller and flattened cells, that lake of brush border.

The renal corpuscle appeared as a rounded structure that is surrounded by Bowman capsules which are lined by simple squamous epithelium with the presence of a tuft of capillaries (glomerulus) in the center, and the glomerulus fills the space of renal corpuscles. Fig. 1 (a & b).

The mean total capsular area measured by image j was 5036.33; the mean glomerular area was 4343.98, and the mean renal space was 692.35. Tab. 1



**Figure 1: The cross-section in the kidney cortex of the control group shows: a) renal corpuscles and convoluted tubules (10X H&E stain), b) proximal and distal convoluted tubule and renal corpuscle (40x H&E stain).**

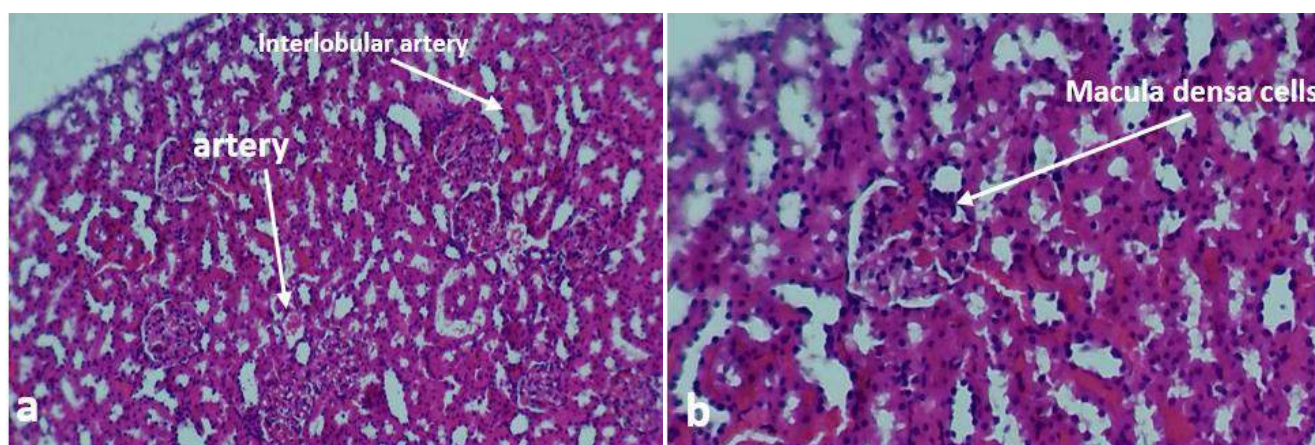
**Table 1: The difference in the capsule, space, and glomerular area between group A and the control group by unpaired t-test.**

| Parameter  | Control group<br>N=35 / Mean±SD | Group A<br>N=35 / Mean±SD | P value* |
|------------|---------------------------------|---------------------------|----------|
| Capsule    | 5036.33±916.47                  | 4518.19±1152.52           | 0.041    |
| Space      | 692.35±209.22                   | 827.72±435.31             | 0.104    |
| Glomerulus | 4343.98±817.45                  | 3690.48±1007.58           | 0.004    |

Significant P-value  $\leq 0.05$

Vascular structures seen in the cortex are small interlobular arteries that are distributed evenly within the cortex in between the glomeruli, the interlobular arteries give to the afferent arteriole that enters the renal corpuscle and exits as an efferent arteriole.

At the vascular pole, there is a row of closely packed nuclei that densely stained part of the distal convoluted tubule which is considered part of the juxtaglomerular (Jg) apparatus called Macula densa. Fig. 2 (a & b).

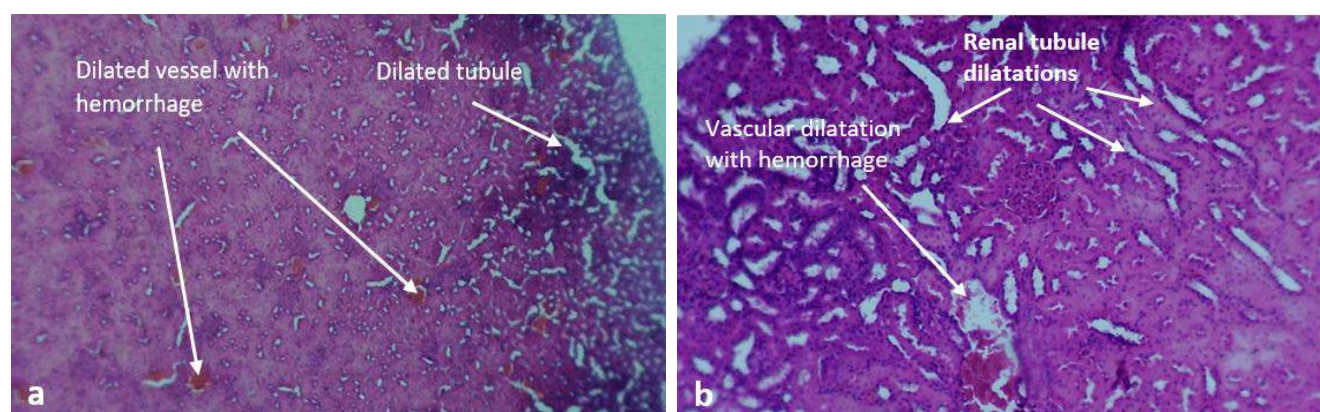


**Figure 2: The cross-section in the renal cortex of the control group: a) demonstrates the distribution of cortical vasculature. / b) Macula densa at the vascular pole of the renal corpuscle (40x) H&E stain.**

#### Renal cortex in sleep-interrupted group (A):

This group showed specific variations including widening of the renal tubules at the outer

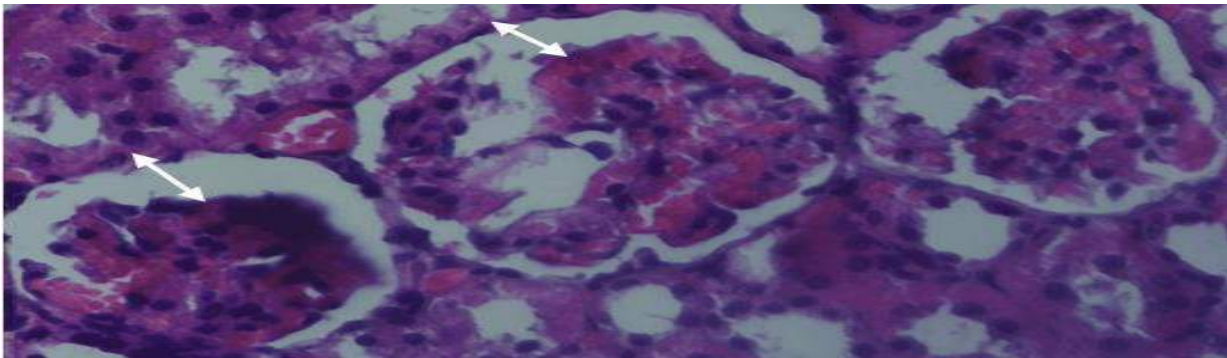
cortex and dilatation of cortical vessels with the presence of small hemorrhagic areas Fig. 3 (a & b).



**Figure 3: Cross-section in the kidney of group A: shows the dilatations of the renal tubules and vascular dilatation with hemorrhage. a: (4x), b: (10x) H&E stain.**

The renal corpuscle seems to be irregular in shape and showed a significant reduction in both the capsular area and glomerular area with P-values

0.041 and 0.004 respectively, with non-significant enlargement in capsular space (Tab 1) Fig. 4



**Figure 4: Cross-section in the renal cortex of group A: shows the enlargement of renal space(H&E,40x).**

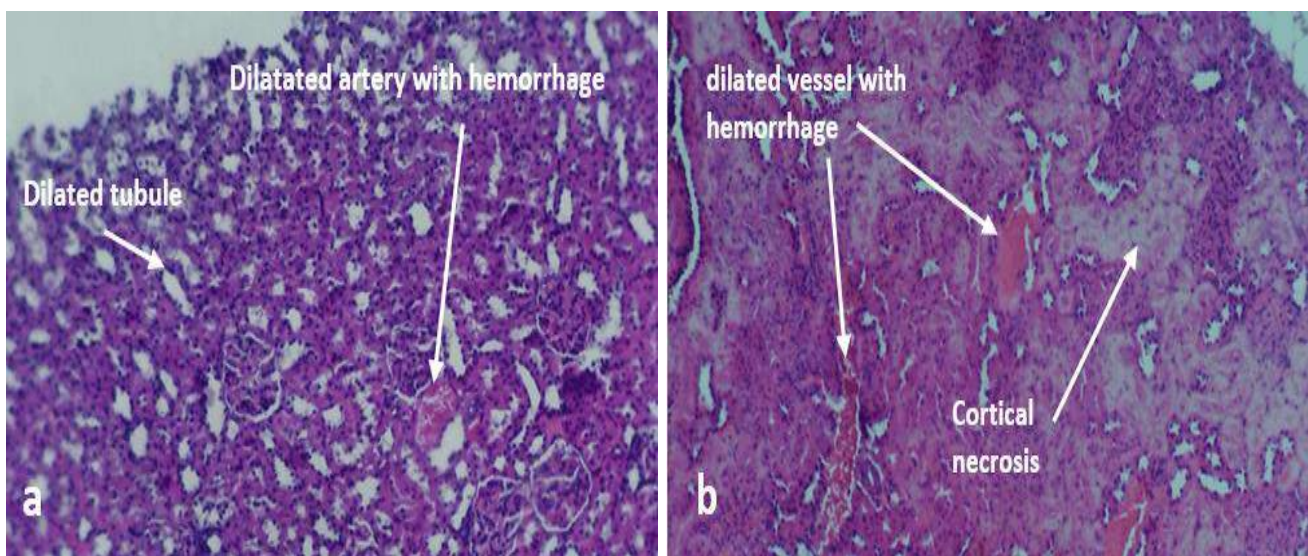
The comparison between the sleep-interrupted group and the control group shows significant changes in the renal capsule and glomerulus at  $p \leq 0.05$ , but in renal space, there is no significance difference between group A and the control group. Tab 1.

#### **Renal cortex in sleep deprived group (B).**

Changes in this group showed multiple variations including marked dilatation of renal tubules of the cortex and blood vessels and the presence of large areas of hemorrhage distributed

among renal corpuscles. There is also a cortical necrosis (coagulative necrosis) with inflammatory cell infiltration in which the cell's outline and structure are somewhat preserved but the cells are in fact dead without any visibly stained nuclei and appear more acidophilic than the surrounding tissue.

There is a significant increase in the capsular area of group B compared to the control with a P-value of 0.034 and a highly significant increase in the capsular space with a P-value  $>0.001$  and a non-significant change in the glomerular area. Fig. 5 (a, b, c, d) Tab 2.



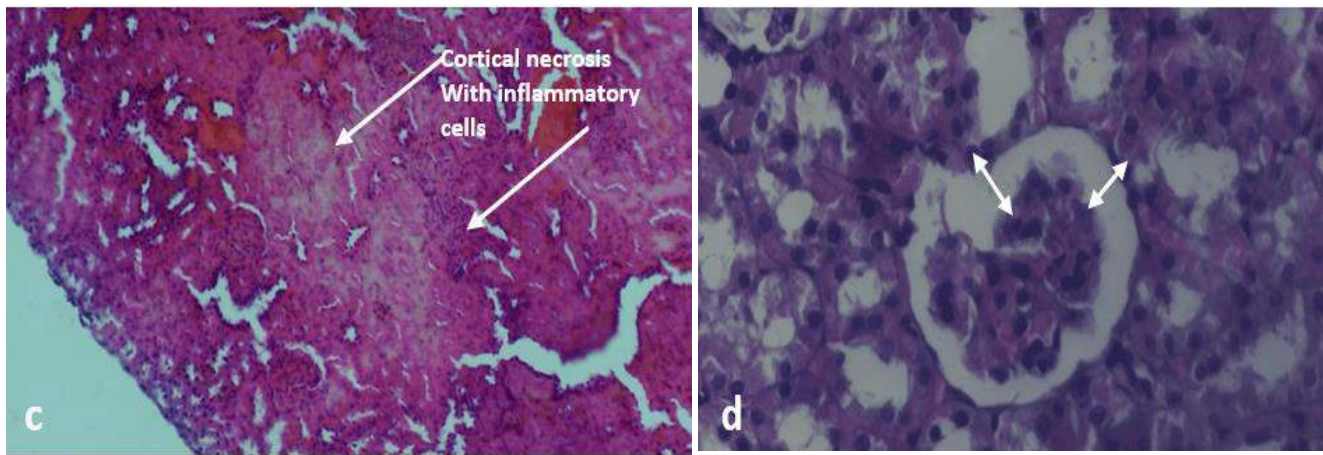


Figure 5: A cross-section of the renal cortex of group B shows: - a) dilated tubules and dilated vessels with hemorrhage. b) dilated vessel with hemorrhage and cortical necrosis (H&E stain,10x). c) ghostly appearance of renal tissue or cortical necrosis. d) enlargement of renal space (H&E,40x).

Table 2: Comparison of capsule, space, and glomerular area between group B and control group by unpaired t-test.

| Parameter  | Control group<br>N=35<br>Mean±SD | Group B<br>N=35<br>Mean±SD | P value* |
|------------|----------------------------------|----------------------------|----------|
| Capsule    | 5036.33±916.47                   | 5565.43±1121.77            | 0.034    |
| Space      | 692.35±209.22                    | 1081.13±409.84             | <0.001   |
| Glomerulus | 4343.98±817.45                   | 4484.3±999.82              | 0.523    |

Significant P-value ≤ 0.05

Table 3: Comparison of capsule, space, and the glomerular area between group A and group B by unpaired t-test

| Parameter  | Group A<br>N=35; Mean±SD | Group B<br>N=35; Mean±SD | P value |
|------------|--------------------------|--------------------------|---------|
| Capsule    | 4518.19±1152.52          | 5565.43±1121.77          | <0.001  |
| Space      | 827.72±435.31            | 1081.13±409.84           | 0.015   |
| Glomerulus | 3690.48±1007.58          | 4484.3±999.82            | 0.002   |

Significant P-value ≤ 0.05

## DISCUSSION

Sleep disturbance has a pronounced effect on body health and life quality. There is a documented relationship between sleep disturbances and kidney diseases but limited knowledge of sleep disturbance and its effect on normal kidneys.

Light changes shift the endogenous oscillator in the suprachiasmatic nucleus (SCN), by the environmental day-night cycle. The setting of circadian rhythms originates in the eye and through an axonal pathway of retinal ganglion cells to the SCN (20). Animal data suggested circadian rhythm changes may induce kidney damage; however, human research on the link of sleep factors with incident kidney disease

needed to be evaluated. In animals, hereditary factors of circadian rhythms change lead to renal impairment such as an increase in serum creatinine, and fibrotic cortical changes with glomerular and tubular damage; these are preventable by strict adjustments of light-dark periodicity to maintain normal circadian rhythms (21).

The current results showed renal tubule dilatation which may be due to tubular injury mentioned previously, which Wang et al., (2011) (22) also mentioned in their study and they considered tubular dilatation, vascular changes, leukocyte infiltration, and edematous capillaries as a specific feature of renal tubular injury. Further, Jones et al., (2008) (23) showed that any elevation in serum creatinine level means a glomerular filtration rate was reduced. Sleep deprivations result in the disruption of circadian rhythm and the local circadian clock in peripheral organs such as the kidney (21).

Results of the present study in the sleep fragmentation group showed vascular dilatation in the renal cortex and discrete cortical hemorrhage while in the sleep reduction group, wider areas of vascular dilatation and hemorrhage were encountered in the cortical area. This may be related to the sleep disturbance effect on cortical blood flow which was explained by Spiegel et al., (2004)(24) who mentioned that sleep disorders and fragmentation lead to an increase in hypoxemia and sympathetic nervous system stimulation and decreased parasympathetic activity which results in a reduced fall in nocturnal blood pressure.

In this study, vascular changes in the experimental groups may related to the incident light on the retina that is connected to the SCN which leads to the activation of the sympathetic nervous system and changes in cortical vascular resistance. This was mentioned by Pepin et al. (2014)(25) who explained that the sleep disturbances were related to activations of the hypothalamic-pituitary-adrenal axis and sympathetic nervous system, as well as chronic inflammation, which may promote a non-dipping pattern, hypertension and subsequently alter renal function.

The present results showed significant histopathological changes in the capsular area, glomerular area, and renal space between experimental and control groups. This could be

due to the effect of light stimulations on sympathetic activation which in turn affects cortical hemodynamics leading to changes in the histopathological parameter. This was explained by Qiu et al. (2019)(26) that hypertension alters peripheral resistance and blood flow pulsation leading to glomerular microvascular damage and alteration in glomerular filtration rate (27). Cottone et al., (2009) (28) linked changes in blood pressure during night shift work to endothelial dysfunction and symptoms of renal damage, specifically a decrease in GFR.

Blood pressure is closely linked to generalized endothelial dysfunction and subclinical atherosclerosis which may also be another pathophysiological mechanism of blood pressure-related early symptoms of renal damage (28). Therefore, blood pressure may be on the causal pathway between night shift work and decreased eGFR. Oberleithner., (2005) and Ishigaki et al., (2016) (29,30) mentioned that stress factors lead to sympathetic activation and a decrease in renal plasma flow and GFR, which affect renal function and activation of the renin-angiotensin-aldosterone system (RAAS) that lead to an increase in intraglomerular pressure and vascular endothelial cell damage.

The current results showed dilatations in cortical renal tubules specifically in the outer cortex of sleep disturbance groups and specifically in sleep reduction patterns. This may be due to changes in hemodynamics concerning circadian changes that affect GFR; this was mentioned by Martino et al. (2008) (21) as circadian disruption affects the proliferation of renal tubular epithelium and cell apoptosis. In addition, Kannan et al., (2014) and Sata et al., (2018) (31,32) mentioned that sympathetic activation leads to an increase in renin secretion and renal tubular sodium reabsorption and a decrease in renal blood flow.

The present results showed a prominent large area of cortical necrosis in the sleep reduction group (group B) which is related to sympathetic stimulation and parasympathetic suppression due to sleep reduction. This is supported by Emans et al. (2017) (33) as the oxygen content is more vulnerable to changes during the night, also Rabelink et al., (2007) (34) mentioned hypoxia induces endothelial dysfunction, leukocyte infiltrations and blocked blood flow leading to tissue loss of nephron. On

the other hand, McGettrick and O'Neill, (2020) (35) reported ischemia/hypoxia is one of the commonest causes of inflammation and inflammatory cell infiltration.

Moreover, (Sradnick et al., 2016) (36) reported selective epithelial injury in the tubular epithelial cells concerning a reduction in regional renal oxygen supply leading to inflammation, ischemia, necrosis, and reflecting the imbalance between arterial pressure and vascular resistance.

Furthermore, melatonin supplementation showed a beneficial effect in reducing blood pressure, inflammation, apoptosis, and promoting angiogenic properties, this was confirmed by (Nava et al., 2003) (37) as melatonin treatment reduces blood pressure, renal tissue inflammation, and oxidative stress. Research has shown that melatonin regulates the biological circadian clock, and acts as an antioxidant, inhibiting sympathetic activity, and preservation of endothelial function (38-40). Its effects on the kidney have been proven by experimental data. Animal studies have demonstrated that melatonin treatment improves hypertension, and the mechanism of effect is associated with decreased renal inflammation resulting, in turn, from a reduction in local oxidative stress (37).

## CONCLUSIONS

Sleep disturbance provides a stressful activation on the hypothalamic-pituitary axis, leading to local changes in peripheral organs including the kidneys mainly through an increase in blood pressure specifically by sympathetic over-activation. Kidney structural changes in response to changes in cortical hemodynamics including vasodilatation and hemorrhage were more prominent in the sleep reduction group. Glomerular structure change, including changes in capsular, glomerular, and renal space areas in response to sleep disturbance, is more pronounced in the sleep reduction group. High blood pressure due to sympathetic over activation can lead to damage of small blood vessels in the kidney over time and narrowing or blockage of these vessels can reduce blood flow to the renal cortex, leading to ischemia and then to necrosis of the affected region.

## REFERENCES

1. Sakaguchi Y, Shoji T, Kawabata H. High prevalence of obstructive sleep apnea and its association with renal function among nondialysis chronic kidney disease patients in Japan: a cross-sectional study[J]. (2011).;6(5):995–1000.
2. Zhang R, Lahens NF, Ballance HI. A circadian gene expression atlas in mammals: implications for biology and medicine[J]. (2014).;111(45):16219–16224.
3. Nikolaeva S, Pradervand S, Centeno G. The circadian clock modulates renal sodium handling[J]. (2012).;23(6):1019–1026.
4. Giskeodegard GF, Davies SK, Revell VL. Diurnal rhythms in the human urine metabolome during sleep and total sleep deprivation[J] (2015).;5(1):14843.
5. Reppert, S.M. and Weaver, D.R. Coordination of Circadian Timing in Mammals. *Nature*, (2002). 418, 935-941.
6. Takahashi, J.S. Transcriptional Architecture of the Mammalian Circadian Clock. *Nature Reviews Genetics*, (2017). 18, 164-179.
7. Bonnell, E.K.; Huggins, C.E.; Huggins, C.T.; McCaffrey, T.A.; Palermo, C.; Bonham, M.P. Influences on Dietary Choices during Day versus Night Shift in Shift Workers: A Mixed Methods Study. (2017). 9, 193.
8. Castro-Diehl C, Diez Roux AV, Redline S. Sleep duration and quality in relation to autonomic nervous system measures: the multi-ethnic study of atherosclerosis (MESA). *Sleep* (2016) ;39(11):1927–1940
9. Yamamoto R, Nagasawa Y, Iwatani H, et al. Self-reported sleep duration and prediction of proteinuria: a retrospective cohort study. (2012). 59(3):343–355

10. Kochi M, Kohagura K, Shiohira Y, Iseki K, Ohya Y. Inflammation as a risk of developing chronic kidney disease in rheumatoid arthritis. *PLoS One* (2016). 118e0160225.
11. Hering D, Esler MD, Schlaich MP. Chronic kidney disease: role of sympathetic nervous system activation and potential benefits of renal denervation. *EuroIntervention* 9 Suppl (2013). RR127R135.
12. D'Elia JA, Roshan B, Maski M, Weinrauch LA. Manifestation of renal disease in obesity: pathophysiology of obesity-related dysfunction of the kidney. (2009). 2:39–49.
13. Poonit ND, Zhang YC, Ye CY. Chronic intermittent hypoxia exposure induces kidney injury in growing rats. *Sleep Breath.*; (2018). 22(2):453–461.
14. Healy JC. Kidney and ureter. In: Standring S, Borley NR, Collins P, Crossman AR, Gatzoulis MA, Healy JC, et al. eds. *Gray's anatomy: the anatomical basis of clinical practice*. 40th ed. Edinburgh: Elsevier Churchill Livingstone; (2008): p.1225-38.
15. Ross MH., Pawlina W., *Histology: a text and atlas with correlated cell and molecular biology*. 6th ed. Baltimore: Lippincott Williams & Wilkins; (2011). p.698-714.
16. Bankir L. , Bochud M. , Maillard M., Nighttime Blood Pressure and Nocturnal Dipping Are Associated with Daytime Urinary Sodium Excretion in African Subjects. (2008). 51, 891-898.
17. Makarem N. , Shechter A. , Carnethon MR. , Mullington JM. , Hall MH. Abdalla M. Sleep duration and blood pressure: recent advances and future directions. (2019).21(5):33.
18. McMullan C.J., Curhan G.C., Forman, J.P. Association of Short Sleep Duration and Rapid Decline in Renal Function. *Kidney International*, (2016). 89, 1324-1330.
19. Suvarna K.S., Layton C., Bancroft J. D. (Eds.). *Bancroft's theory and practice of histological techniques* E-Book. Elsevier health sciences. (2018).
20. Von Schantz M., Provencio I. , Foster R. G. , *Invest. Ophthalmol. Vis. Sci.* (2000). 41, 1605.
21. Martino TA, Oudit GY, Herzenberg AM, Tata N, Koletar MM, Kabir GM, et al. Circadian rhythm disorganization produces profound cardiovascular and renal disease in hamsters. (2008). ;29(5):R1675–83.
22. Wang Z., Gall J., Bonegio R., Havasi A., Hunt C., Sherman M., et al. Induction of heat shock protein 70 inhibits ischemic renal injury. *Kidney International*, (2011).79(8), pp.861-870.
23. Jones C., Jones C., Wilson I., Knox T., Levey A., Spiegelman D., et al. Cystatin C and Creatinine in an HIV Cohort: The Nutrition for Healthy Living Study. *American Journal of Kidney Diseases*, (2008). 51(6), pp.914-924.
24. Spiegel K., Leproult R., L'hermite-Balériaux M., Copinschi G., Penev PD., Van Cauter E. Leptin levels are dependent on sleep duration: relationships with sympathovagal balance, carbohydrate regulation, cortisol, and thyrotropin. (2004). 89:5762–5771.
25. Pepin JL, Borel AL, Tamisier R, et al. Hypertension and sleep: overview of a tight relationship. (2014). 18: 509–519.
26. Qiu Y. , Zhao Q. , Gu Y. , Wang N. , Yu Y. Wang, R., et al. Association of Metabolic Syndrome and Its Components with Decreased Estimated Glomerular Filtration Rate in Adults. (2019). 75, 168–178.
27. Hashimoto J., Ito S., Central pulse pressure and aortic stiffness determine renal hemodynamics:

- Pathophysiological implication for microalbuminuria in hypertension. *Hypertension*, (2011). 58, 839–846.
28. Cottone S. , Mulè G. , Guarneri M. , Palermo A. , Lorito M.C. Riccobene, R. , et al. Endothelin-1 and F2-isoprostane relate to and predict renal dysfunction in hypertensive patients. (2009). 24, 497–503.
29. Oberleithner H. Aldosterone makes human endothelium stiff and vulnerable. (2005). 67, 1680–1682.
30. Ishigaki S.; Ohashi N.; Isobe S.; Tsuji N.; Iwakura T.; Ono M., et al. Impaired endogenous nighttime melatonin secretion relates to intrarenal renin-angiotensin system activation and renal damage in patients with chronic kidney disease. (2016). 20, 878–884.
31. Kannan A. , Medina R.I. , Nagajothi N. Balamuthusamy, S. Renal sympathetic nervous system and the effects of denervation on renal arteries. *World J. Cardiol.* (2014). 6, 814–823.
32. Sata Y. , Head G.A. , Denton K. , May C.N. , Schlaich M.P. Role of the Sympathetic Nervous System and Its Modulation in Renal Hypertension. *Front. Med.*, (2018). 5, 82.
33. Emans TW, Janssen BJ, Joles JA, et al. Circadian Rhythm in Kidney Tissue Oxygenation in the Rat[J]. *Front Physiol.* (2017).8:205.
34. Rabelink TJ, Wijewickrama DC, de Koning EJ. Peritubular endothelium: the Achilles heel of the kidney? *Kidney Int.* (2007). 72 (8):926–930
35. McGettrick AF, O'Neill LAJ. The Role of HIF in Immunity and Inflammation. *Cell Metab.* (2020).32(4):524–536.
36. Sradnick J. , Rong S. , Luedemann A. , Parmentier S.P. Bartaun C. , Todorov V.T. , et al. Extrarenal Progenitor Cells Do Not Contribute to Renal Endothelial Repair. *J. Am. Soc. Nephrol.*, (2016). 27, 1714–1726.
37. Nava M, Quiroz Y and Vaziri N: Melatonin reduces renal interstitial inflammation and improves hypertension in spontaneously hypertensive rats. *Am J Physiol Renal Physiol.* (2003). 284: F447-54.
38. Russcher M. , Koch B. , Nagtegaal E. , van der Putten K. , ter Wee P. , Gaillard C. The role of melatonin treatment in chronic kidney disease. *Front Biosci.* (2012).17:2644–56.
39. Kalra S, Agrawal S, Sahay M. The renopineal axis: a novel role for melatonin. *Indian J Endocrinol Metab.* (2012).16:192–4.
40. Simko F, Reiter RJ, Pechanova O, Paulis L. Experimental models of melatonin-deficient hypertension. *Front Biosci.* (2013). 18:616–25.

## Clinical and Epidemiological Characteristics Associated with the Severity of Bronchiolitis in Hospitalized Children in Iraq

Azad A. Haleem<sup>1</sup>, Nareen A. Abdulrahman<sup>2</sup>, Nizar B. Yahya<sup>3</sup>, Akrem M. Atrushi<sup>4</sup> and Kiner I. Hussein<sup>5</sup>

<sup>1,3,4,5</sup> University of Duhok, College of Medicine, Department of Pediatric, Iraq.

<sup>2</sup> University of Duhok, College of Medicine, Department of Community and Family Medicine, Iraq.

Email: [azad.haleem@uod.ac](mailto:azad.haleem@uod.ac)

### ABSTRACT

**Background:** Bronchiolitis is the most common acute infection of infants' lower respiratory tract especially in the first two years of age. Its clinical course is complex where symptoms vary in severity and may progress rapidly to respiratory failure. Some factors can predispose to severe bronchiolitis like inhalation of cigarette smoke, crowded living environment, congenital heart defects and lack of breastfeeding. **Aim of the study:** The study aims to study the clinical and sociodemographic factors that are related to the severity of acute bronchiolitis in the patients included in the study. **Patients and Methods:** A cross-sectional study achieved at Hevi pediatric teaching Children Hospital in Duhok north of Iraq in the period from 1<sup>st</sup> November 2022 to 1<sup>st</sup> February 2023. The study included all patients aged 1-24 months diagnosed to have bronchiolitis and treated as inpatient in the hospital during that period. The diagnosis was made according to the American Academy of Pediatrics (AAP) diagnostic criteria in 2014. The severity of bronchiolitis was classified according to the Modified Cincinnati Bronchiolitis Score (MCBS). For every participant, the clinical aspects and epidemiological factors associated with bronchiolitis were obtained through a questionnaire filled by direct interview with parents. The data were analyzed by using Statistical Package for Social Sciences (SPSS) software version 26; the clinical significance was considered when P value is less than 0.05. **Results:** The study included 148 patients with bronchiolitis. Most of them were of moderate severity and female were more common. The age group (3-6 months) was significantly associated with severity. Most of patients lived in urban areas and were delivered by Caesarean section. Exposure to tobacco smoke was significantly associated with the severity of the disease. The artificial feeding was the most common with significant association with the severity. Most of patients had siblings attending school and childcare without significant association with the severity and less than a quarter of them had a family history of asthma. Poor living conditions was significantly associated with severity. Local cradle (landik) was significantly associated with severity. Most chest X ray findings were normal in 126 patients (85.1%) with no significant association with severity. **Conclusion:** Age, exposure to tobacco smoke, type of feeding, poor living conditions and sleeping in the local cradle (landik) were significantly related to the bronchiolitis severity in Duhok.

**Keywords:** Bronchiolitis, Feeding, Infant, Severity, Smoke.

### Article Information

Received: November 15, 2023; Revised: April 8, 2024; Online: June, 2024

## INTRUDUCTION

Bronchiolitis is the most common acute lower respiratory tract infection of infancy<sup>(1,2)</sup>. Yearly 150 million infants are diagnosed with bronchiolitis over the world, and 2–3% of them are hospitalized. There is evidence that

bronchiolitis brings about wheezing bronchitis and bronchial asthma in the future<sup>(3)</sup>. Bronchiolitis results in increased expenditure both to families and healthcare systems<sup>(4,5)</sup>. Acute respiratory distress syndrome leads 2-3% of the pediatric patients to hospital admission

and 5% of them to intensive care units' admission. Severe bronchiolitis causes a mortality of 1-7% and is responsible for 30-40% of bronchopulmonary dysplasia in children, congenital heart defects, and preterm birth. Pediatric patients should have an early diagnosis and prompt treatment to minimize mortality <sup>(6)</sup>. When reaching the age of two years, most of children will have had at least one attack of bronchiolitis <sup>(7)</sup>. Some factors can predispose to severe bronchiolitis with longer hospitalization time and higher death rate like inhalation of cigarette smoke, crowded living environment, congenital heart defects and lack of breastfeeding <sup>(8)</sup>.

To the best of the researcher's knowledge, lack of studies has been identified in the area in dealing with bronchiolitis despite its being a very common health problem. Knowing epidemiological factors, clinical findings and the risk factors of bronchiolitis are important aspects that help identify severe cases of bronchiolitis as an everyday practice clinical syndrome.

## PATIENTS AND METHODS

A descriptive cross-sectional study was carried out at Hevi Pediatric Teaching Hospital in Duhok north of Iraq in the period from 1<sup>st</sup> November 2022 to 1<sup>st</sup> February 2023. Ethical approval for this study was obtained from the Council of Medical Ethics of the General Directorate of Health of Duhok, and an informed consent was also obtained from parents. The study has included all patients with age 1-24 months diagnosed to have bronchiolitis and admitted to the hospital during that period. The diagnosis of bronchiolitis was made according to the diagnostic criteria of the American Academy of Pediatrics (AAP) in 2014, as follows<sup>(9)</sup>:

1. Upper respiratory tract inflammation: cough, fever, rhinorrhea and sneezing
2. Progression to chest indentation, tachypnea or intercostal muscle pull within 48 to 72 hours. Air stasis signs of were probably detected in chest X-

ray or clinical examination

3. First or second attack of wheezing
  4. Lung examination: crackles, hissing or rhonchi heard mainly during exhalation. There may be decreased vesicular murmur or no heard rale
- Diagnosing the bronchiolitis severity of depended on the Modified Cincinnati Bronchiolitis Score (MCBS) <sup>(6)</sup>, Table 1. For every participant, the clinical aspects and epidemiological factors associated with bronchiolitis were obtained through a questionnaire filled by direct interview with parents.

## The Study Design

It is a cross-sectional study.

## Subjects

The study included 148 patients with bronchiolitis. Thirty-three (22.29%) had mild disease, 65 (43.91%) had a moderate disease and 50 (33.78%) had a severe disease.

## Exclusion criteria

Children were to be excluded from the study if they have more than two attacks wheezing, were diagnosed to have bronchial asthma, if their ages were beyond the ages specified in this study, or the parents refused participation in the study.

## STATISTICAL ANALYSIS

The data were analyzed by using the Statistical Package for Social Sciences (SPSS) software version 26. The association between categorical variables was analyzed by using Pearson's chi-square test. The data were presented as mean  $\pm$  standard error of the mean. Significance was considered when P value is less than 0.05.

## RESULTS

The study included 148 patients with bronchiolitis. Thirty-three (22.29%) had mild disease, 65 (43.91%) had a moderate disease and 50 (33.78%) had a severe disease. As shown in Table 2, females were more common with no significant association with the severity. The largest age group was 3-6 months, with significant association with the severity. Their residence was most commonly in urban areas 84(56.8%). Only 8(5.4%) of the patients had a past medical history. Caesarean section was the most common mode of delivery but without any significant association with the severity of the

disease. Exposure to tobacco smoke was significantly associated with the severity of the disease. The artificial feeding was the most common with significant association with the severity. Ninety (68.8%) had siblings attending school and child care without significant association with the severity. Thirty-four (23%) had a family history of asthma. Poor living conditions were significantly associated with severity. Local cradle (landik) was significantly associated with severity. Most chest X ray findings were normal in 126 patients (85.1%) with no significant association with severity.

**Table 1: The Modified Cincinnati Bronchiolitis Score (MCBS).**

| Factors  | 0                   | 1                                      | 2                                |
|--|---------------------|--|----------------------------------|
| Respiratory rate   | Normal              | >50/minute when not crying or agitated |                                  |
| Muscles  | Normal              | Moderate retractions                   | Severe retraction                |
| Air exchange   | Normal              | Localized decreased                    | Multi area decreased             |
| Wheezes  | None/End expiratory | Entire expiratory                      | Entire expiration and inhalation |
| Evaluation: Mild: 0-2 points; Moderate: 3-5 points; Severe: 6-7 points |                     |  |                                  |

**Table 2: Clinical, epidemiological and associated factors of bronchiolitis**

| Variables        | Mild<br>No.(%) | Moderate<br>No.(%) | Severe<br>No.(%) | Total<br>No.(%) | X <sup>2</sup> | df | P-Value |
|------------------|----------------|--------------------|------------------|-----------------|----------------|----|---------|
| <b>Age group</b> |                |                    |                  |                 |                |    |         |
| > 3              | 9(27.3)        | 17(26.2)           | 13(26)           | 39(26.4)        | 11             | 6  | 0.04    |
| 3 to < 6 months  | 8(24.2)        | 16(24.6)           | 19(38)           | 43(29.1)        |                |    |         |
| 6 to < 12 months | 6(18.2)        | 15(23.1)           | 15(30)           | 36(24.3)        |                |    |         |
| 1 to 2 years     | 10(30.3)       | 17(26.2)           | 3(6)             | 30(20.3)        |                |    |         |
| <b>Gender</b>    |                |                    |                  |                 |                |    |         |
| Male             | 16(48.5)       | 32(49.2)           | 25(50)           | 73(49.3)        | 0.01           | 2  | 0       |
| Female           | 17(51.5)       | 33(50.8)           | 25(50)           | 75(50.7)        |                |    |         |

| <b>Residency</b>                                      |          |          |        |           |      |   |       |
|---|----------|----------|--------|-----------|------|---|-------|
| City  | 18(54.5) | 39(60)   | 27(54) | 84(56.8)  | 1.75 | 4 | 0     |
| Rural   | 13(39.4) | 19(29.2) | 19(38) | 51(34.5)  |      |   |       |
| Camp  | 2(6.1)   | 7(10.8)  | 4(8)   | 13(8.8)   |      |   |       |
| <b>History of a previous attack</b>                   |          |          |        |           |      |   |       |
| YES   | 1(3)     | 3(4.6)   | 4(8)   | 8(5.4)    | 1.1  | 2 | 0     |
| NO  | 32(97)   | 62(95.4) | 46(92) | 140(94.6) |      |   |       |
| <b>Mode of delivery</b>                               |          |          |        |           |      |   |       |
| VD  | 14(42.4) | 26(40)   | 13(26) | 53(35.8)  | 3.2  | 2 | 0     |
| CS  | 19(57.6) | 39(60)   | 37(74) | 95(64.2)  |      |   |       |
| <b>Premature birth</b>                                |          |          |        |           |      |   |       |
| YES   | 2(6.1)   | 8(12.3)  | 10(20) | 20(13.5)  | 3.4  | 2 | 0     |
| NO  | 31(93.9) | 57(87.7) | 40(80) | 128(86.5) |      |   |       |
| <b>Chronic heart or lung disease</b>                  |          |          |        |           |      |   |       |
| YES   | 0(0)     | 0(0)     | 2(4)   | 2(1.4)    | 3.9  | 2 | 0     |
| NO  | 33(100)  | 65(100)  | 48(96) | 146(98.6) |      |   |       |
| <b>Depressed immune system</b>                        |          |          |        |           |      |   |       |
| YES   | 0(0)     | 0(0)     | 1(2)   | 1(0.7)    | 1.9  | 2 | 0     |
| NO  | 33(100)  | 65(100)  | 49(98) | 147(99.3) |      |   |       |
| <b>Exposure to tobacco smoke</b>                      |          |          |        |           |      |   |       |
| YES   | 9(27.3)  | 32(49.2) | 34(68) | 75(50.7)  | 13.2 | 2 | 0.001 |
| NO  | 24(72.7) | 33(50.8) | 16(32) | 73(49.3)  |      |   |       |
| <b>Feeding types</b>                                  |          |          |        |           |      |   |       |
| Breast feeding  | 12(36.4) | 21(32.3) | 1(2)   | 34(23)    | 24   | 4 | 0.001 |
| Artificial feeding                                    | 7(21.2)  | 25(38.5) | 31(62) | 63(42.6)  |      |   |       |
| Mixed   | 14(42.4) | 19(29.2) | 18(36) | 51(34.5)  |      |   |       |
| <b>Having siblings who attended School or daycare</b> |          |          |        |           |      |   |       |
| YES   | 16(48.5) | 24(36.9) | 18(36) | 58(39.2)  | 1.5  | 2 | 0     |
| NO  | 17(51.5) | 41(63.1) | 32(64) | 90(60.8)  |      |   |       |
| <b>Family History of Asthma</b>                       |          |          |        |           |      |   |       |
| YES   | 7(21.2)  | 18(27.7) | 9(18)  | 34(23)    | 1.5  | 2 | 0     |
| NO  | 26(78.8) | 47(72.3) | 41(82) | 114(77)   |      |   |       |
| <b>Poor living condition</b>                          |          |          |        |           |      |   |       |
| YES   | 12(36.4) | 30(46.2) | 36(72) | 78(52.7)  | 12.1 | 2 | 0.002 |
| NO  | 21(63.6) | 35(53.8) | 14(28) | 70(47.3)  |      |   |       |
| <b>Maternal education</b>                             |          |          |        |           |      |   |       |

|  |          |          |         |           |     |   |      |
|--|----------|----------|---------|-----------|-----|---|------|
| Illiterate                               | 24(72.7) | 41(63.1) | 35(70)  | 100(67.6) | 5.7 | 4 | 0    |
| Primary & secondary school               | 3(9.1)   | 18(27.7) | 9(18)   | 30(20.3)  |     |   |      |
| College & institute                      | 6 (18.2) | 6(9.2)   | 6(12)   | 18(12.2)  |     |   |      |
| <b>Sleeping in local cradle (Landik)</b> |          |          |         |           |     |   |      |
| YES                                      | 24(72.7) | 56(86.2) | 47(94)  | 127(85.8) | 7.4 | 2 | 0.02 |
| NO                                       | 9(27.3)  | 9(13.8)  | 3(6)    | 21(14.2)  |     |   |      |
| <b>X Ray details</b>                     |          |          |         |           |     |   |      |
| Irrelevant                               | 28(84.8) | 57(87.7) | 41(82)  | 126(85.1) | 2.3 | 4 | 0.6  |
| Hyperinflation                           | 5(15.2)  | 8(12.3)  | 8(16)   | 21(14.2)  |     |   |      |
| Collapse                                 | 0(0)     | 0(0)     | 1(2)    | 1(0.7)    |     |   |      |
| <b>Total</b>                             | 33(100)  | 65(100)  | 50(100) | 148(100)  |     |   |      |

## DISCUSSION

In this study, females were more common than males (50.7 vs 49.3). This result is in contrast to other studies that showed that males were more commonly affected at 69.2% 10; 63%14, male to female ratio of 1.42:115. The age of patients in this study shows that infants at age of less than 6 months are the most prevalent (55.5%) which is similar to what was found in another study: 57% were younger than six months <sup>(6)</sup>. There is a significant association between age and severity of bronchiolitis since the underdeveloped immune system at younger age and narrower caliber of bronchioles make them more likely to get infected and have a severe course of disease <sup>(10,11)</sup>. This is in line with other studies that found similar results <sup>(6,12,13)</sup>.

Among the factors compared between severe and mild and moderate cases of bronchiolitis, exposure to tobacco smoke was significantly associated with severity of bronchiolitis. Similar results were also found by other studies <sup>(6,14-20)</sup>. This is because of the irritant effect of inhaled smoke on the respiratory tract epithelium. The present study revealed that the type of feeding is strongly associated with severity of bronchiolitis. Various immunologic factors present in breast milk exert a protective role against infections including bronchiolitis. This

goes in line with studies done in different parts of the world <sup>(6,19-21)</sup>. Poor living conditions, especially living in an overcrowded house, are significantly associated with bronchiolitis severity in this study. This is in line with other studies that found that poor living conditions are related significantly to bronchiolitis severity <sup>(6,11,15,19,20)</sup>. This is because as the number of household members increases, the risk of exposure to respiratory secretions increases <sup>(13)</sup>. Sleeping in the local cradle (landik), where the infant is swaddled and tied to the cradle at a fixed supine posture, is significantly associated with severity of bronchiolitis. A study by Baudin et al showed that prone positioning in sleep for infants with bronchiolitis has a beneficial effect through decreasing respiratory effort and the metabolic cost of breathing <sup>(22)</sup>. In the current study, gender was not found to be associated significantly with severity of bronchiolitis in line with another study <sup>(6)</sup> but this in contrast to other studies that found a significant association <sup>(8,23)</sup>. Though living in urban areas was more common among infants with bronchiolitis, no significant association with severity was proved in this study. Air pollution in urban areas was found to a risk factor for bronchiolitis in other studies <sup>(19,20)</sup>. Delivery by Caesarean section was the most

common mode of delivery in most of the infants included in this study but no significant association was found with severity of presentation although it is known that delivery by Caesarean section impairs immunity thus increasing the risk of infection <sup>(6,11,24)</sup>.

History of premature delivery was not found as a significantly associated factor with bronchiolitis severity in this study in contrast to other studies that found such a significant association <sup>(6,10,20,25,26)</sup>. This can be explained by smaller airway and suboptimal immune response in premature <sup>(26)</sup>.

Chronic heart diseases were infrequent and not associated with severity of bronchiolitis. Different other studies found this a very significant associate with bronchiolitis severity <sup>(20,25)</sup>. The increased lung stiffness and hypoxemia associated with heart diseases intensifies the narrowing of airway and severity of presentation of bronchiolitis. Though having siblings attending school or day care is expected to an important way through which bronchiolitis is acquired by infants, the present study did not find a significant association with the severity of bronchiolitis in contradiction to other studies that found it significant <sup>(6,19)</sup>.

Almost a quarter of the patients had a family history of asthma but this was not significantly associated, on the contrary to a study that found history of asthma in the mother a significant factor associated with severity of bronchiolitis <sup>(24)</sup>. The maternal education is not significantly associated with bronchiolitis severity in the current study although other studies found it significant <sup>(6)</sup>.

## CONCLUSIONS

This study shows that age, exposure to tobacco smoke, type of feeding, poor living conditions and sleeping in the local cradle (landik) were related significantly to severity of bronchiolitis in Duhok.

## ACKNOWLEDGMENT

No specific grant for this research was received from any funding agencies in the commercial, public or non-profitable sector.

## REFERENCES

1. Midulla F, Scagnolari C, Bonci E, et al. Respiratory syncytial virus, human bocavirus and rhinovirus bronchiolitis in infants. *Arch Dis Child* 2010; 95: 35–41.
2. Ralston SL, Lieberthal AS, Meissner HC, et al. Clinical practice guideline: the diagnosis, management, and prevention of bronchiolitis. *Pediatrics* 2014; 134: e1474–e1502
3. Mallory MD, Shay DK, Garrett J, et al. Bronchiolitis management preferences and the influence of pulse oximetry and respiratory rate on the decision to admit. *Pediatrics* 2003; 111: e45–e51.
4. House SA, Ralston SL. Diagnosis, prevention, and management of bronchiolitis in children: review of current controversies,” *Minerva Pediatrica*, vol. 69, no. 2, pp. 141–155, 2017.
5. Castro-Rodriguez JA, Rodriguez-Martinez CE, Sossa-Briceno MP. Principal findings of systematic reviews for the management of acute bronchiolitis in children. *Paediatric Respiratory Reviews*, vol. 16, no. 4, pp. 267–275, 2015.
6. Nguyen SN, NguyenTNT, Vu LT,Nguyen TD. Clinical Epidemiological Characteristics and Risk factors for severe bronchiolitis caused by Respiratory Syncytial Virus in Vietnamese children. *Int J Pediatr*. 2021;2021:9704666. Doi: 10.1155/2021/9704666.
7. Smith DK, Seales S, Budzik C. Respiratory syncytial virus bronchiolitis in children. *American Family Physician* 2017 ;95(2):94–99.

8. Robledo-Aceves M, Moreno-Peregrina MJ, Velarde-Rivera F, Ascencio-Esparza E, Preciado-Figueroa F, Caniza MA et al. Risk factors for severe bronchiolitis caused by respiratory virus infections among Mexican children in an emergency department. *Medicine*, 2018;97(9): article e0057.
9. Ralston SL, Lieberthal AS, Meissner HC, Alverson BK, Baley JE, Gadomski AM et al. Clinical practice guideline: the diagnosis, management, and prevention of bronchiolitis. *Pediatrics*, 2014;134(5):e1474–e1502.
10. Osman S, Alaa adeen A, Hetta O, Alsaraihi A, Bader M, Aloufi A, et al. Epidemiology and risk factor analysis Of Children with bronchiolitis Admitted to Intensive care unit at a tertiary care center in Saudi Arabia. *Children* 2023, 10,646.  
<http://doi.org/10.3390/children10040646>
11. Sala, K.A.; Moore, A.; Desai, S.; Welch, K.; Bhandari, S.; Carroll, C.L. Factors associated with disease severity in children with bronchiolitis. *J. Asthma* 2015, 52, 268–272
12. Kulhalli P, Dakshayini VH, Shivanand I, Wari PK. Risk factors for bronchiolitis. *J Pediatr Crit Care* 2020;7:70-83.
13. Meenaghan S, Breatnach C, Smith H. Risk factors for respiratory syncytial virus admissions. *Ir Med J*. 2020 Jan 16;113(1):9.
14. Jha A, Jarvis H, Fraser C, Openshaw PJM. Respiratory syncytial virus in SARS, MERS and Other Viral Lung Infections, D. S. Hui, G. A. Rossi, and S. L. Johnston, Eds., European Respiratory Society, Sheffield, UK, 2016.
15. Jones LL, Hashim A, McKeever T, Cook DG, Bitton J, Lionardi-Bee J. Parental and household smoking and the increased risk of bronchitis, bronchiolitis and other lower respiratory infections in infancy; systemic review and meta-analysis. *Respiratory Research* 2011;12:article 5.
16. Chatzimichael A, Tsalkidis A, Cassimos D, Gardikis S, Tripsianis G, Deftereos S et al. The role of breastfeeding and passive smoking on the development of severe bronchiolitis in infants. *Minerva Pediatrica* 2007;59(3):199-206.
17. Farzana R, Hoque M, Kamal MS, Choudhury MMU. Role of Parental Smoking in Severe Bronchiolitis: A Hospital Based Case-Control Study. *Int Pediatr* 2017;2017:9476367
18. Semple MG, Taylor-Robinson DC, Lane S, Smyth RL. Household tobacco smoke and admission weight pre-dict severe bronchiolitis in infants independent of deprivation: prospective cohort study,” *PLoS ONE* 2011;6(7):Article ID e22425,.
19. Sritippayawan S, Prapphal N, Wong P, Tosukhowong P, Samransamruajkit R, Deerojanawong J. Environmental tobacco smoke exposure and respiratory syncytial virus infection in young children hospitalized with acute lower respiratory tract infection. *Journal of the Medical Association of Thailand* 2006;89(12):2097-2103.
20. Mineva GM, Prill H, Dunne C, Philip R. Impact of breastfeeding on the incidence and severity of respiratory syncytial virus associated acute lower respiratory infections in infants; a systemic review highlighting the global relevance of primary prevention. *BMJ Glob Health*. 2023 :8(2):e009693
21. Nenna R, Cutrera R, Frassanito A, Alessandrini C, Nicolai A, Cangiano G, et al. Modifiable risk factors associated with bronchiolitis. *Ther Adv Respir Dis* 2017;11:393-401.
22. Meissner HC. Vial Bronchiolitis in Children. *N Engl Med* 2016 May 5;374(18):1793-4.

23. Baudin F, Emeriaud G, Essouri S, et al: Physiological effect of prone position in children with severe bronchiolitis: A randomized cross-over study (BRONCHIO-DV). *J Pediatr* 2019; 205:112–119.e4
24. A. Nicolai, M. Ferrara, C. Schiavariello et al., “Viral bronchiolitis in children: a common condition with few therapeutic options,” *Early Human Development* 2013;89:Supplement 3, pp. S7–11.
25. Moore H. C., de Klerk N., Holt P., Richmond P. C., Lehmann D. (). Hospitalisation for bronchiolitis in infants is more common after elective caesarean delivery. *Arch. Dis. Child.* 2012;97(5):410–414.  
10.1136/archdischild-2011-300607
26. Fauroux B., Hascoët J.-M., Jarreau P.-H., Magny J.-F., Rozé J.-C., Saliba E., Schwarzinger M. Risk factors for bronchiolitis hospitalization in infants: A French nationwide retrospective cohort study over four consecutive seasons (2009–2013) *PLoS ONE.* 2020;15:e0229766. doi: 10.1371/journal.pone.0229766.

# Prevalence of Hashimoto's Thyroiditis among Rheumatoid Arthritis Patients

Baneen Ali Diab<sup>1</sup>, and Rana Fadhil Obaid<sup>2</sup>

<sup>1,2</sup> Kufa University, Faculty of Medicine, Department of Microbiology, Iraq.

Email: [ranafa.hilal@uokufa.edu.iq](mailto:ranafa.hilal@uokufa.edu.iq).

## ABSTRACT

**Background:** Rheumatoid arthritis is an inflammatory illness that affects the entire body but its cause is unknown. There has been a considerable debate about the relation between thyroid gland and Rheumatoid arthritis. **Aim of the study:** To determine the frequency of Hashimoto's thyroiditis in patients with Rheumatoid Arthritis and determine whether patients with Rheumatoid arthritis are at higher risk of Hashimoto's thyroiditis. **Patients and methods:** This study is a cross-sectional observational study done in the governorate of Najaf from September 2022 to February 2023 involving 140 participants diagnosed with Rheumatoid arthritis, determined by rheumatologist doctors in line with ACR/EULAR 2010 Criteria and serological testing. Patients including 16 males and 124 females, ranging between the ages of 20 and 60. All participants underwent complete clinical and laboratory assessments. The data were collected during the direct patient interview and the information from the questionnaire, verbal approval has been received from the study participant. **Results:** The frequency of Hashimoto's disease in the selected patients of rheumatoid arthritis (N=140), was 45 (32.14%), While euthyroidism (Rheumatoid arthritis patients without Hashimoto's) was 95(67.9%). Hashimoto's disease included subclinical 9 (6.4%) and overt Hashimoto's thyroiditis 36(25.7%). **Conclusions:** HT is frequent among patients with RA. Therefore, there is a need of screening of thyroid hormone dysfunction as well as presence of Anti-TPO antibodies as markers of HT in RA patients particularly in young patients, females, and those with high disease activity. The association was a significance among RA patients with HT and ACCP, BMI, and ESR.

**Keywords:** Rheumatoid arthritis, Hypothyroidism, Hashimoto's.

## Article Information

Received: November 30, 2023; Revised: May 17, 2024; Online: June, 2024

## INTRODUCTION

Rheumatoid arthritis (RA) is a polyarticular symmetric illness that has an impact on a number of joints on both sides of the body. Pain as well as swelling, mainly in the hands and feet joints, are the most typical signs of RA. The most swollen joints are the wrists, metacarpophalangeal, metatarsophalangeal, and proximal interphalangeal (1).

One of the most important aspects of disease management is its focus on early detection and treatment (2). The increased occurrence of thyroid dysfunction in RA patients has been reported (3). Depending on the study population, geographic area, and definition of Autoimmune thyroid disease (AITD), the prevalence of AITD among RA patients has ranged from 3 to 30% (4).

A variety of studies have shown that hypothyroidism and its prevalent symptoms of discomfort, weariness, weight gain, and dyslipidemia can be easily missed in the early stages of rheumatoid arthritis since they are so similar to the initial signs of the disease (5).

AITD is an autoimmune attack on the thyroid gland caused by immune system dysfunction. It affects more people than any other autoimmune disorder (6). The etiology is complex, that involves genetic, environmental, and dietary variables. The most common form of AITD is Hashimoto's thyroiditis (HT) (7).

Women and the elderly are particularly at risk for developing hypothyroidism in RA patients (8). The exact mechanism through which RA and AITD are linked remains unknown, numerous studies have shown autoimmunity as a key player in the development of both conditions. Some genes, including STAT4, HLA-DRB1, and the vitamin D receptor, were also found to have important roles in the progression of both disorders (9). Further, environmental risk factors, including as infection and smoking, play important roles in the development of both RA and AITD (3)

The question of whether there are other links between RA and AITD, such as AITD having a causal effect on the onset of RA or sharing environmental triggers, remains unanswered. To better understand the nature of the link between RA and AITD, it may be helpful to evaluate the risk of acquiring AITD at different points in time for patients with RA (10). Several studies have linked hypothyroidism to a worsening of rheumatoid arthritis, particularly the destructive arthropathy that mostly affects the proximal interphalangeal joints(5).

The purpose of this study is to investigate the prevalence of HT among patients with RA.

## PATIENTS AND METHODS

Participants in this research were Iraqi patients with RA who attended the Rheumatology department in Al-Sadr Medical City in Najaf.

### The Study Design

It is a cross-sectional observational study.

### Subjects

This research was carried out on a total of 140 participants diagnosed with RA, determined by rheumatologist doctors in line with ACR/EULAR 2010 criteria and serological testing. The patients including 16 males and 124 females, ranging the ages of 20 and 60. Patients were asked about their name, gender, age, and any other items. Prior to the start of the study, the ethical committee of the Faculty of Medicine, University of Kufa, provided its permission. Individuals' informed agreement was also gained.

### Exclusion criteria

Participants who have other rheumatologically diseases, prior thyroidectomy, pregnant women, Evidence of malignancy, patients have chronic liver or renal diseases, or hyperthyroidism were excluded.

### Data collection:

For data collection a questionnaire was designed to record the subject's information.

### Instruments

The equipment utilized in this study include gel serum tubes 5 & 10 ml, disposable sterile syringes, 5 & 10 ml, disposable pipette tips, Eppendorf tubes, 1.5 & 2 ml, disposable ESR tubes, and disposable gloves. The instruments utilized in this study were a centrifuge, deep freeze, incubator, ELISA, Refrigerator, micro pipettes with different sizes.

## Methods

The sample collected of venous blood was from five to ten ml. The blood sample was divided in half: 2 mL was placed in a disposable ESR tube for the Westergren method of measuring ESR, and 3–7 mL was placed in a sterile gel tube for further analysis. After the blood was drawn in its whole, it was left undisturbed at room temperature to clot within an average of about 10-20 minutes. Centrifugation at 3,000 to 3,000 rpm for 20 minutes was used to dislodge the clot. The sample needs to be centrifuged once more if precipitates form during the reservation. Each patient's sample was separated into three parts and stored in an Eppendorf tube at temperatures ranging from minus 20 to minus 45 °C. Determination of Anti-CCP antibodies, anti-thyroid peroxidase (Ab-TPO) autoantibodies, Thyroid-stimulating hormone (TSH), and T4 in RA patients by ELISA kit.

## STATISTICAL ANALYSIS

The SPSS program, version 26, was utilized to analyze the data. Descriptive statistics was done through calculation of frequency, percentage, mean, and standard error of mean of sociodemographic characteristics of study sample presented by tables. After testing the normality of data, inferential statistics were by application of independent T test and ANOVA to differentiate means of rheumatoid and autoimmune thyroiditis markers in addition to testing correlation and regression relationship of some numerical parameters. Chi square and Fishers exact probability tests were applied for categorical association. statistical significance was regarded as having a P value that must be equal to or less than 0.05.

## RESULTS

Table 1 Show thyroid dysfunction definitions (Ralli et al., 2020).

Table 2 shows Frequency of Hashimoto's disease in the selected patients of rheumatoid arthritis (N=140), was 45 (32.14%), While euthyroidism, RA patients without HT, was 95(67.9%). HT disease included subclinical 9 (6.4%) and overt 36(25.7%).

**Table 3** shows 36 (25.7%) patients had TSH levels  $>4 \mu\text{IU/mL}$  and  $\text{FT4} < 0.8 \text{ ng/dl}$  with elevated Anti-TPO ( $> 50 \text{ IU/mL}$ ); therefore, they were classified as having overt HT and 9 (6.4%) patients with TSH levels  $>4\mu\text{IU/mL}$  and normal FT4, with elevated Anti-TPO ( $> 35$ ) as subclinical HA. There were no significant differences in regard to age, gender, residency, and duration of RA disease, between euthyroid patients and HT, (P-value  $>0.05$ ). While Family history of RA and Treatment response have significant differences among three groups (euthyroid, overt HT and subclinical HT), (P-value  $<0.05$ ). Family history of RA have (P-value=0.032) was more in euthyroid RA patients compared to RA patients with HT. Treatment response have (P-value=0.018), poor response was more in overt HT and subclinical HT compared to euthyroid RA patients the percent (55.6%,55.6%,30.5%) respectively.

**Table 1: Thyroid dysfunction definitions.**

| Thyroid dysfunction | TSH ( $\mu$ IU/L) | Free T4 (ng/dl)    | Anti-TPO (IU / ml) |
|---------------------|-------------------|--------------------|--------------------|
| Euthyroidism        | 0.3– 4 (normal)   | (0.8–1.8)(normal)  | < 50 (normal)      |
| Subclinical HT      | >4 (increased)    | (0.8-1.8) (normal) | > 50 (increase)    |
| Overt HT            | >4 (increased)    | <0.8 (decreased)   | > 50 (increase)    |

**Table 2: Number and percent of thyroid dysfunction among selected group (140).**

| Thyroid dysfunction | No. | (%). |
|---------------------|-----|------|
| Euthyroidism        | 95  | 67.9 |
| Subclinical HT      | 9   | 6.4  |
| Overt HT            | 36  | 25.7 |
| Total               | 140 | 100  |

**Table 3: Comparison of socio and clinical characteristics between euthyroid patients and Hashimoto's patients.**

| Patient's characteristics |            |     | Study sample (N=140) |               |                | Total        | P-value |
|---------------------------|------------|-----|----------------------|---------------|----------------|--------------|---------|
|                           |            |     | Subclinical HT; n=9  | Overt HT n=36 | Euthyroid n=95 |              |         |
| Age groups (Year)         | $\leq 40$  | (%) | 2 (22.2%)            | 14 (38.9%)    | 26 (27.4%)     | 42 30.0%     | .382    |
|                           | >40        | (%) | 7 ( 77.8%)           | 22 (61.1%)    | 69 (72.6%)     | 98 70.0%     |         |
|                           | Total      |     |                      | 9 (100.0%)    | 36 (100.0%)    | 95 (100.0%)  |         |
| Gender                    | Female     | (%) | 8 (88.9%)            | 35 (97.2%)    | 81 (85.3%)     | 124(88.6%)   | .158    |
|                           | Male       | (%) | 1 (11.1%)            | 1 (2.8%)      | 14 (14.7%)     | 16 (11.4%)   |         |
|                           | Total      |     |                      | 9 (100.0%)    | 36(100.0%)     | 95(100.0%)   |         |
| Residency                 | Urban      | (%) | 7 (77.8%)            | 30 (83.3%)    | 74 (77.9%)     | 111( 79.3%)  | .785    |
|                           | Rural      | (%) | 2 (22.2%)            | 6 (16.7%)     | 21 (22.1%)     | 29 (20.7%)   |         |
|                           | Total      |     |                      | 9(100.0%)     | 36(100.0%)     | 95(100.0%)   |         |
| Marital status            | Married    | (%) | 9 (100.0%)           | 35 (97.2%)    | 89 (93.7%)     | 133 (95.0%)  | .550    |
|                           | Single     | (%) | 0 (0.0%)             | 1( 2.8%)      | 6 (6.3%)       | 7 (5.0%)     |         |
|                           | Total      |     |                      | 9(100.0%)     | 36(100.0%)     | 95(100.0%)   |         |
| Occupation                | Unemployed | (%) | 8 (88.9%)            | 33 (91.7%)    | 88 (92.6%)     | 129 (92.1%)  | .609    |
|                           | Employed   | (%) | 1 (11.1%)            | 3 (8.3%)      | 4 (4.2%)       | 8 (5.7%)     |         |
|                           | Students   | (%) | 0 (0.0%)             | 0 (0.0%)      | 3 (3.2%)       | 3 (2.1%)     |         |
| Total                     |            |     | 9 (100.0%)           | 36(100.0%)    | 95 (100.0%)    | 140 (100.0%) |         |
| Duration of RA (Months)   | $\leq 12$  | (%) | 3 (33.3%)            | 9 (25.0%)     | 35 (36.8%)     | 47 (33.6%)   | .440    |
|                           | > 12       | (%) | 6 (66.7%)            | 27 (75.0%)    | 60 (63.2%)     | 93 (66.4%)   |         |

|                      |      |       |            |             |             |              |      |
|----------------------|------|-------|------------|-------------|-------------|--------------|------|
|                      |      | Total | 9 (100.0%) | 36(100.0%)  | 95 (100.0%) | 140(100.0%)  |      |
| Family history of RA | Yes  | (%)   | 2 (22.2%)  | 2 (5.6%)    | 25 (26.3%)  | 29(20.7%)    | .032 |
|                      | No   |       | 7 (77.8%)  | 34 (94.4%)  | 70(73.7%)   | 111(79.3%)   |      |
|                      |      | Total | 9(100.0%)  | 36(100.0%)  | 95(100.0%)  | 140(100.0%)  |      |
| Family history of HT | Yes  | (%)   | 0 (0.0%)   | 2 (5.6%)    | 6 (6.3%)    | 8 (5.7%)     | .737 |
|                      | No   | (%)   | 9 (100.0%) | 34 (94.4%)  | 89 (93.7%)  | 132 (94.3%)  |      |
|                      |      | Total | 9 (100.0%) | 36(100.0%)  | 95(100.0%)  | 140(100.0%)  |      |
| Treatment response   | Good | (%)   | 4 (44.4%)  | 16 (44.4%)  | 66 (69.5%)  | 86 (61.4%)   | .018 |
|                      | Poor | (%)   | 5 (55.6%)  | 20 (55.6%)  | 29 (30.5%)  | 54 (38.6%)   |      |
|                      |      | Total | 9 (100.0%) | 36 (100.0%) | 95 (100.0%) | 140 (100.0%) |      |

Table 4 show that there was a higher mean concentration of ESR among patients with overt HT ( $38.05 \pm 2.46$  mm/h) and subclinical ( $43.88 \pm 6.39$  mm/h), when compared to the mean concentration of the Euthyroid RA patients ( $30.33 \pm 1.96$  mm/h); i. e., there was a significant difference (P-value = 0.019) among RA patients with HT and euthyroid RA patients regarding to the concentration of ESR. There was a higher mean concentration of Anti-CCP,

Anti-TPO, TSH among patients with HT (overt and subclinical) in compared to euthyroid patients. The mean concentration of Anti-CCP, Anti-TPO, TSH in overt HT was ( $73.78 \pm 5.38$  U/ml,  $142.98 \pm 10.29$  IU/ml,  $5.87 \pm 0.174$  mlU/L) respectively, while in subclinical was ( $75.52 \pm 14.98$  U/ml,  $139.17 \pm 22.97$  IU/ml,  $5.940 \pm 0.52$  mlU/L) respectively and in euthyroid was ( $40.46 \pm 4.29$  U/ml,  $0.169 \pm 0.0057$  IU/ml,  $1.39 \pm 0.050$  mlU/L) respectively.

**Table 4: Comparison of study parameters according to clinical status of Hashimoto's disease**

| Laboratory Estimates<br>(Mean $\pm$ SE) | Study sample (RA) (N=140) (Mean. $\pm$ Standard error ) |                    |                    | P value |
|---|---|--------------------|--------------------|---------|
|   | Subclinical HT, n=9                                     | Overt HT; n=36     | Euthyroid; n=95    |         |
| ESR mm/h                                | $43.88 \pm 6.39$  | $38.05 \pm 0.2.46$ | $30.33 \pm 1.96$   | 0.019   |
| Anti-CCP U/ml                           | $75.52 \pm 14.98$                                       | $73.78 \pm 5.38$   | $40.46 \pm 4.29$   | 0.0001  |
| TSH mlU/L                               | $5.940 \pm 0.52$  | $5.87 \pm 0.174$   | $1.39 \pm 0.050$   | 0.0001  |
| T4 ng/dl                                | $1.257 \pm 0.08$  | $0.51 \pm 0.034$   | $1.36 \pm 0.023$   | 0.0001  |
| Anti-TPO IU/ml                          | $139.17 \pm 22.97$                                      | $142.98 \pm 10.29$ | $0.169 \pm 0.0057$ | 0.0001  |
| BMI kg/m <sup>2</sup>                   | $35.24 \pm 1.86$  | $35.68 \pm 0.82$   | $31.63 \pm 0.65$   | 0.002   |

That means there were a highly significant differences (P-value = 0.0001) among RA patients with HT and euthyroid RA regarding to the concentration of Anti-CCP, Anti-TPO, TSH. Besides, T4 shows a highly significant difference (P-value = 0.0001) among RA patients with HT and euthyroid RA patients, the mean concentration in overt HT was ( $0.51 \pm .034$  ng/dl) and subclinical HT was ( $1.257 \pm .08$  ng/dl) compared to the mean concentration of the euthyroid RA patients ( $1.36 \pm .023$  ng/dl). BMI has significant differences (P-value = 0.002) among RA patients with HT and euthyroid RA patients, the mean concentration in overt HT was ( $35.68 \pm .82$  kg/m<sup>2</sup>) and subclinical HT was ( $35.24 \pm 1.86$  kg/m<sup>2</sup>) compared to the mean concentration of the Euthyroid patients ( $31.63 \pm .65$  kg/m<sup>2</sup>).

## DISCUSSION

The current study involved 140 adult RA patients, 16 males (11.4%) and 124 (88.6%) females. HT was present in 45 (32.14%) RA patients including subclinical HT 9(6.4) and overt HT 36(25.7) which agrees with (11) that show 36 (24%) RA patients had overt hypothyroidism, while 6 (4%) had subclinical hypothyroidism; And near similar to (12) who found that 20 (38.4 %) patients had hypothyroidism. The correlation in our study was a significant among RA patients with HT and ACCP (P= 0.0001), BMI (P=0.002), and ESR (P= 0.019). This indicates that hypothyroid present in patients with high ESR, obese, and positive ACCP. These results are similar to (11), (12), and (5) who found that patients with RA and hypothyroidism had a statistically significant correlation with BMI, and ESR, (P< 0.05). In addition, the present study has found no significant association among RA patients with HT in regards to age that have P value= 0.382 and gender (P=0.158). This is compatible

with (12), (11), and (5), who find no significant association of age and gender P value >0.05. Besides, (13) finds that the P value of age =0.32, and Gender =0.18 and disagrees with (8) who documented a rise in the occurrence of hypothyroidism as individuals age, along with a greater prevalence among females compared to males. A recent study shows a significant difference (p<0.05) between RA patients with HT and treatment response (P=0.018). HT is higher in patients with poor response compared to good response; this disagrees with (8) who found that there is no association between medication for RA and an increased risk of hypothyroidism.

In the recent study, no significant difference between RA patients with HT and disease duration (P=0.44); this agrees with an Egyptian study by (3) who found that the disease duration has no significant differences (p=0.49) and disagree with (11), (12) and (5). They reported a statistically significant correlation (P<0.05) between RA patients with HT and disease duration. In a recent study that compared the sociodemographic characteristics of the RA patients with HT and euthyroid RA patients according to residency, it has been found that there was no significant difference of p > 0.05. Family history of RA was more in RA patients without HT, as there was a significant difference P-value <0.05 compared to RA patients with HT

## CONCLUSIONS

HT is frequent among patients with RA. Therefore, there is a need of screening of thyroid hormone dysfunction as well as presence of Anti-TPO antibodies as markers of HT in RA patients particularly in young



patients, females, and those with high disease activity. The association was a significance among RA patients with HT and ACCP, BMI, and ESR.

## REFERENCES

1. ALETAHA, Daniel; SMOLEN, Josef S. Diagnosis and management of rheumatoid arthritis: a review. *Jama*, 2018, 320.13: 1360-1372.
2. SCHERER, Hans Ulrich; HÄUPL, Thomas; BURMESTER, Gerd R. The etiology of rheumatoid arthritis. *Journal of autoimmunity*, 2020, 110: 102400.
3. SAQRE, Israa M., et al. Autoimmune thyroid disease in Egyptian patients with rheumatoid arthritis. *The Egyptian Rheumatologist*, 2019, 41.3: 167-171.
4. WALDENLIND, Kristin. *The Impact of Autoimmune Thyroid Disease (AITD) on Rheumatoid Arthritis (Ra) and the Impact of RA on Aitd*. Karolinska Institutet (Sweden), 2022.
5. MUHAMMED, Marwa Abduwahab,. Impact of Primary Hypothyroidism on Rheumatoid Arthritis Patients. *Diyala Journal of Medicine*, 2022, 23.2: 69-77.
6. BOGUSŁAWSKA, Joanna, et al. Cellular and molecular basis of thyroid autoimmunity. *European Thyroid Journal*, 2022, 11.1.
7. RAYMAN, Margaret P. Multiple nutritional factors and thyroid disease, with particular reference to autoimmune thyroid disease. *Proceedings of the nutrition society*, 2019, 78.1: 34-44.
8. HUANG, Chung-Ming, et al. Hypothyroidism risk associated with rheumatoid arthritis: A population-based retrospective cohort study. *Medicine*, 2022, 101.1.
9. BAGHERZADEH-FARD, Mahsa, et al. The prevalence of thyroid dysfunction and autoimmune thyroid disease in patients with rheumatoid arthritis. *BMC rheumatology*, 2022, 6.1: 63.
10. WALDENLIND, Kristin, et al. Risk of thyroxine-treated autoimmune thyroid disease associated with disease onset in patients with rheumatoid arthritis. *JAMA network open*, 2018, 1.6: e183567-e183567.
11. ELATTAR, Enas A.; YOUNES, Takwa B.; MOBASHER, Sameh A. Hypothyroidism in patients with rheumatoid arthritis and its relation to disease activity. *Egyptian Rheumatology and Rehabilitation*, 2014, 41: 58-65.
12. JOSHI, Prakash, et al. Prevalence of hypothyroidism in rheumatoid arthritis and its correlation with disease activity. *Tropical doctor*, 2017, 47.1: 6-10.
13. RATERMAN, H. G., et al. The metabolic syndrome is amplified in hypothyroid rheumatoid arthritis patients: a cross-sectional study. *Annals of the rheumatic diseases*, 2010, 69.01: 39-42.



## Evaluating the Effect of Copper Oxide Nanoparticles after Added to the Maxillofacial Silicone on the Adherence of *Staphylococcus Epidermidis*

Ahmed Jameel Mashloosh<sup>1</sup> and Faiza M. Abdul-Ameer<sup>2</sup>

<sup>1,2</sup> University of Baghdad, Baghdad, Collage of Dentistry, Department of Prosthodontics, Iraq.

E-mail: [ahmed.jameel2201@codental.uobaghdad.edu.iq](mailto:ahmed.jameel2201@codental.uobaghdad.edu.iq)

### ABSTRACT

**Background:** Maxillofacial defects may arise from congenital, developmental, traumatic, or surgical procedures. The presence of such defects may adversely affect an individual's appearance and function, resulting in an inability to lead a typical life and impacting their psychological well-being. Surgical reconstruction is often regarded as the primary therapeutic option in such instances. However, it may not be feasible in numerous cases due to various unfavorable conditions. Consequently, the need for maxillofacial prosthesis reconstruction becomes obligatory. **Purpose:** This study assesses the antibacterial efficacy of copper oxide nanoparticles at different rates against *Staphylococcus epidermidis* after incorporation into maxillofacial silicone. **Methods:** A pilot study was first conducted in Iraq and copper oxide nanoparticles were added to VST50F silicone elastomer in different five percentages (0.01 wt%, 0.02 wt%, 0.03 wt%, 0.04 wt%, and 0.05 wt%). Thirty specimens were prepared and grouped into six groups: one control group and five experimental groups, and then the best effective two percentages (0.03 wt% and 0.04 wt%) were selected for the main study. Thirty specimens for the main study were divided into three groups: control group (A) and two experimental groups (B and C). A statistical analysis was done with an ANOVA and the Games-Howell multiple comparison test ( $P < 0.05$ ). The data's normal distribution and homogeneity were assessed. Field emission scanning electron microscopy (FES-EM) and Fourier transform infrared spectroscopy (FTIR) were also conducted. **Results:** The statistical analysis showed a significant difference between all groups with  $P < 0.05$ . There was a significant difference between control group A and experimental groups B and C with  $P < 0.05$ , as well as between experimental groups B and C ( $P < 0.05$ ). FESEM showed that nanoparticles were distributed well within the silicone matrix. FTIR spectra proved no chemical reaction to occur between the copper oxide nanoparticles and VST50F silicone. **Conclusion:** Incorporating copper oxide nanoparticles into VST50F maxillofacial silicone improved their antibacterial efficacy against *Staphylococcus epidermidis*.

**Keywords:** Maxillofacial Prosthesis; Nanoparticles; Silicone Elastomers; *Staphylococcus Epidermidis*

### Article Information

Received: December 9, 2023; Revised: May17, 2024; Online: June, 2024

## INTRUDUCTION

Maxillofacial defects may arise from congenital, developmental, traumatic, or surgical procedures. The presence of such defects may adversely affect an individual's appearance and function, resulting in an inability to lead a typical life and impacting their psychological well-being (1). Surgical reconstruction is often regarded as the primary therapeutic option in such instances. However, it may not be feasible in numerous cases due to various unfavorable conditions. Consequently, the need for maxillofacial prosthesis reconstruction becomes obligatory (2). Polymeric materials are commonly employed in the fabrication of maxillofacial prostheses., the aforementioned materials encompass vinyl chloride polymers and co-polymers, acrylic types such as polymethyl methacrylate, and silicone elastomers, which can be classified into either high temperature vulcanization or room temperature vulcanization. Silicone elastomers have garnered a significant clinical significance due to their notable attributes such as heat and chemical inertness, durability, strength, elasticity, ease of manipulation, and esthetics (2,3).

However, it is worth noting that there is currently no single maxillofacial material, including silicone, that fully satisfies the criteria for an ideal prosthesis. These materials have encountered challenges such as mechanical deterioration, color instability, limited durability, and changes in properties. Various factors induce the issues, which require frequent replacement of the prosthesis (4). Thus, in order to overcome these issues, it is necessary to reinforce the silicone maxillofacial material. Due to the development of nanoscience and nanotechnology, the application of nanoparticles (NPs) in elastomers has been investigated as a means of improving their properties. Numerous NPs have undergone testing, and subsequent studies demonstrate the efficacy of NPs in enhancing the mechanical, physical, and antimicrobial characteristics of silicone elastomers (5,6). Microbial biofilms have been identified as significant contributors to the degradation of maxillofacial prostheses. Biofilms refer to organized communities of

microorganisms consisting of a single or multiple species enclosed in a self-produced exopolysaccharide matrix that adheres to a biotic or abiotic surface (7,8). *Candida albicans* and *Staphylococci* spp, especially *Staphylococcus epidermidis* (*S. epidermidis*) and *Staphylococcus aureus* (*S. aureus*), are the essential causative agents of infections related to prosthetic devices. Mixed biofilms may develop from a variety of factors, including the porosity and roughness of the prostheses (9,10).

*S. epidermidis*, referred to as a gram-positive staphylococcus with coagulase-negative properties, is a highly prevalent bacterium that colonizes the skin of healthy individuals. It can be found in various skin microenvironments, such as dry, moist, sebaceous, and foot regions. It can either aid or harm the skin. *S. epidermidis* plays a beneficial role in maintaining the equilibrium of microorganisms on the epithelial surfaces of humans by controlling the proliferation of harmful pathogens, especially *S. aureus*. Conversely, *S. epidermidis* is recognized as a significant etiological agent responsible for nosocomial infections in humans, which can cause severe infection (11,12). *S. epidermidis* is commonly implicated in infections associated with the use of indwelling medical devices, implants, and prosthetic devices following adhesion to surfaces and the formation of micro-colonies (13). Coagulase-negative staphylococcal species exhibit a proclivity for invading the human body through prosthetic devices, wherein a restricted population of bacteria migrates along the prosthetic device and gains access to the bloodstream. Bacteria possess the capacity to form biofilms as a means of protecting themselves against immune responses from the host or antimicrobial agents (14). The conventional cleaning procedures employed in the regular maintenance of prostheses are insufficient to eliminate all microorganisms present on the surface (15). Commonly used disinfectants cause deterioration in color, hardening, and significant variations in the surface roughness of silicone prostheses. Therefore, there is a requirement for further developed silicone materials that exhibit a greater resistance to microbial proliferation (16).

The development of microbial resistance to traditional antimicrobial agents has become a prominent issue in contemporary times. The emergence of multidrug-resistant (MDR) infectious microorganisms presents a formidable obstacle to the healthcare system. The utilization of nanomaterials as a viable option for anti-infective purposes has gained credibility owing to their distinctive chemical properties and high surface area to volume ratio. Metal and metal oxide NPs with antimicrobial characteristics are a promising approach for suppressing MDR strains among nanomaterials (17,18).

Copper oxide (CuO) is a chemical compound comprised of copper and oxygen. CuO NPs have the appearance of a brownish-black powder. CuO NPs are photocatalytic, stable, and inexpensive, and they have the potential to be used as anti-infective agents due to their unique crystal morphologies and extremely large surface areas<sup>(19)</sup>. CuO NPs have a wide range of microbicidal activity against fungi, viruses, and bacteria, including MDR bacteria<sup>(20,21)</sup>. CuO NPs have been widely investigated in various biomaterials. Furthermore, several studies have provided evidence that the incorporation of CuO NPs into various dental materials yields a notable decrease in microbial biofilm formation and potentially enhances the materials' physical and chemical characteristics<sup>(22)</sup>. Nonetheless, there is a lack of data regarding their incorporation into maxillofacial silicone. The objective of this investigation was to assess the impact of CuO NPs on the adhesion of *S. epidermidis* biofilm subsequent to their incorporation into VST 50F maxillofacial silicone at different weight percentages.

## METHODS

CuO NPS (40 nm) (Sky Spring Nanomaterials, USA) and VST 50F room temperature vulcanized maxillofacial silicone (FactorII Inc., USA) were used. A preliminary study was conducted to determine two optimal percentages to be added to VST 50F silicone elastomer in the main study. CuO NPs were added to VST 50F silicone elastomer in five

different percentages (0.01 wt%, 0.02 wt%, 0.03 wt%, 0.04 wt%, and 0.05 wt%). Thirty specimens were meticulously prepared and subsequently categorized into six groups: one control group and five experimental groups, with five specimens for each group. A bacterial adherence test was done for all the six groups. According to the result of the pilot study, two percentages of CuO NPs additives (0.03 wt% and 0.04 wt%) were selected in addition to the control group.

A total of thirty specimens for the main study were prepared and categorized into three groups: one control group (A) and two experimental groups, group B (0.03 wt% CuO additive) and group C (0.04 wt% CuO additive). The shapes and dimensions of the test specimen molds were generated through the utilization of computer-aided software, specifically AutoCAD 2013 (Autodesk, USA). The template was subsequently constructed via a laser engraving cutting machine. (CNC) (JL-1612, Jinan Link Manufacture and Trading Co., Ltd., China). Three clear acrylic sheets (PT. Margacipta Wirasentosa, Indonesia) (the matrix, bottom, and cover) with  $2\pm 0.05$  mm thickness were used. The matrix sheet was fabricated with circular perforations measuring 10 mm in diameter and was fixed to the bottom using chloroform (Weld-On, USA) as an adhesive to prevent movement during the pouring of silicone (Fig 1A). G-clamps, nuts, and screws were also utilized to fix the cover and provide further tightening (23).

According to the manufacturer's instructions, VST 50F silicone should be mixed at a 10:1 base to catalyst ratio. The CuO NPs powder was initially weighed in a mixing bowl using a 4-digit electronic scale (Denver Instrument, USA). Subsequently, a predetermined amount of silicone base was added to prevent the dispersion of the volatile NPs. The NPs were blended with the silicone base to produce the modified base, which was mixed for 10 minutes using a vacuum mixer (Dentaurum Airvac, Germany). To prevent the suction of NPs, the vacuum was switched off for the initial three-minute period. Subsequently,

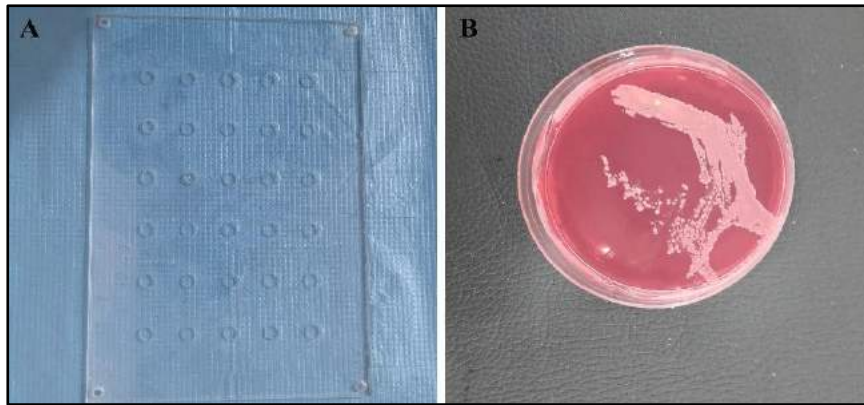
the vacuum was activated for a duration of seven minutes, operating at a speed of 360 rpm and a vacuum pressure of (10-1) bar, with the intention of eliminating any air bubbles (24). The mixture was allowed to cool down for 2 minutes before adding catalyst. This delay was necessary due to the heat generated by the rotational motion of the mixer, which has the effect of reducing the material's available working time. The addition of the catalyst was performed based on weight and subsequently mixed with the modified silicone base for a duration of 5 minutes using a vacuum mixer. This process yielded a uniform and devoid-of-bubble mixture.<sup>25</sup> The material was poured into the mold under standard conditions, which included a restricted temperature of  $23\pm 2^{\circ}\text{C}$  and a humidity level of roughly  $50\pm 10\%$  (25). The matrix cover was affixed over the poured material by positioning the margin on one side and gradually lowering it at the opposite end. The matrix was covered slowly and carefully to allow excess material to exit the mold. Then, a one-kilogram weight was placed on the mold cover's center. Nuts and G-clamps secured the mold until the specimens hardened (5). In accordance with the manufacturer's recommendations, the mixture was allowed to set for 2-4 hours, after which the specimens were retrieved, finished, and stored for 16 hours  $20\pm 25^{\circ}\text{C}$ ,  $50 \pm 10\%$  humidity according to ISO 23529 (26).

**Bacterial adherence test** Bacteria were collected from four male patients aged 50–55 years at Ghazi al-Hariri hospital in Baghdad's medical city using sterilized cotton swabs. To avert necrotic tissue, the swab was rotated across the infected area.<sup>27</sup> The samples were inoculated into blood agar and mannitol salt agar (Oxoid, UK) that had been prepared following the manufacturer's instructions and then incubated aerobically at  $37^{\circ}\text{C}$  for 48 hours (Fig 1B) (28). *S. epidermidis* exhibits a rapid growth rate on blood agar, leading to the formation of non-hemolytic, cohesive colonies that are white in color and measure approximately 1-2 mm in diameter (29). While producing small pink, red, or colorless colonies with no color change to the medium after

overnight incubation in mannitol salt agar (30). The positive catalase test indicated the presence of staphylococci, which was subsequently confirmed utilizing the VITEK 2 compact system (Biomerieux, France) pursuant to the guidelines provided by the manufacturer. A microscopical examination was also performed, and *S. epidermidis* appeared as cocci in grape-like clusters.

Brain heart infusion broth (Mast Group, UK) was used to prepare the bacterial suspension that would be utilized to test *S. epidermidis*' bacterial adhesion capacity on silicone specimens. It was prepared through the suspension of 34.5 g in 1000 ml of distilled water, followed by thorough mixing until complete dissolution was achieved. The sterilization process was carried out through autoclaving at a pressure of 15 pounds (lbs) and a temperature of  $121^{\circ}\text{C}$  for a duration of 15 minutes, according to the manufacturer's instructions. A suspension with a concentration of 107 colony forming units per milliliter (CFU/ml) was prepared at 0.5 McFarland standards using a McFarland densitometer (Biomerieux, France).

The silicone specimens underwent sterilization by autoclaving at  $121^{\circ}\text{C}$  for 20 minutes. Then the sterilized silicone specimens were deposited in sterilized plastic petri dishes containing the prepared bacterial suspension; the specimens were incubated for 1 hour at room temperature.<sup>22</sup> Following the incubation period, the specimens were removed from the bacterial broth, rinsed with phosphate buffered saline for one minute with gentle rocking to remove all non-adhered bacterial cells, and dried with filter paper.<sup>22</sup> The specimens then underwent staining with 1% crystal violet (HIMEDIA, India) for 15 minutes, and rinsed until no crystal violet residue remained in the washing solution, and dried with filter paper. Subsequently, the specimens were immersed in ethanol (96%) (Microm Microtech, France) for 20 minutes to solubilize the crystal violet<sup>(31-33)</sup>.

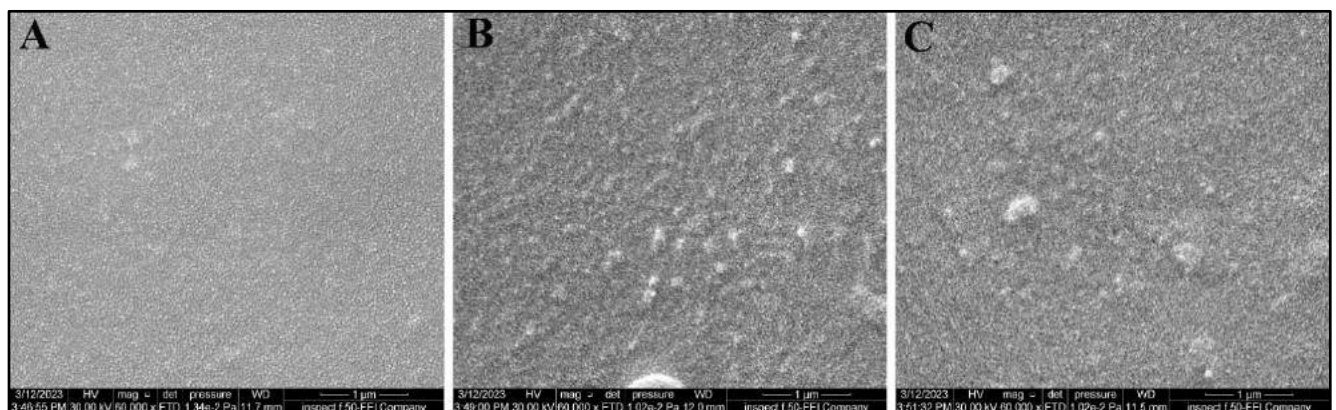


**Figure 1. A: Specimens mold; B: Staphylococcus epidermidis on mannitol salt agar**

## RESULTS

**Field emission scanning electron microscope (FE-SEM)** A FE-SEM (Inspect F50, FEI, USA) was used to examine the topography and morphology of CuO NPs within the matrix of RTV silicone elastomer (VST 50F) specimens after the addition of CuO NPs. Silicone

specimens were coated with metal or gold by a thin (1nm) metal sputter coated film by a sputter coated device, allowing electrons from FE-SEM to react with the specimens as directed by the company. In the FE-SEM pictures of the VST 50F silicone matrix, the CuO NPs were uniformly dispersed with some slight agglomeration, as seen in Fig 2 (A, B, and C).

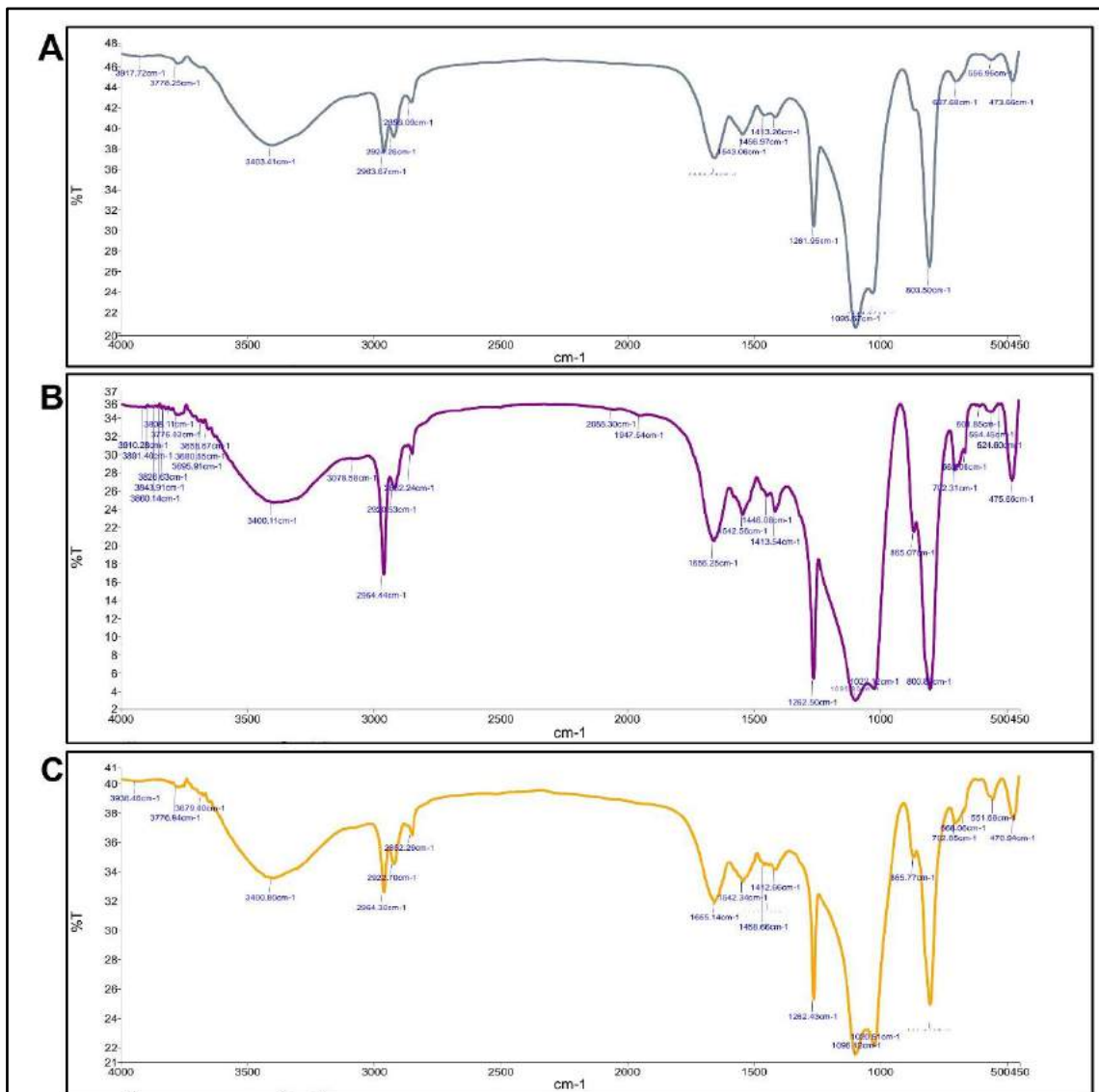


**Figure 2. Images of field emission scanning electron microscope images at 60000 X magnifications (1 μm) showing an evenly distributed filler with a slight agglomerate: A: control specimen; B group B specimen C: group C specimen**

## **Fourier transform infrared spectroscopy (FTIR)**

FTIR (Spectrum Two N, PerkinElmer, USA) was used to investigate the potential chemical interaction between the silicone material and CuO NPs. Three specimens, one from each group (A, B, and C), were examined.

The silicone specimens were fabricated as thin flushes with dimensions of 10x10x0.5 mm in length, width, and thickness, as per the manufacturer's instructions. FTIR results indicate that the addition of CuO NPs had no effect on the spectra of VST 50F silicone (no chemical interaction), as indicated in Fig 3 (A, B and C).



**Figure 3. Fourier transforms infrared spectroscopy: A: control specimen (group A); B: group B specimen; C: group C specimen.**

### Statistical analysis

The statistical analysis was done by SPSS (statistical package for social science version 24) (IBM, USA) computer software. A probability “*P*” value of  $> 0.05$  was deemed as non-significant statistically,  $\leq 0.05$  as significant. All variables in this study were normally distributed among groups using Shapiro -Wilk test at  $P > 0.05$  (Tab 1).

The results of the *S. epidermidis* adherence test showed that both experimental groups B and C had a mean value of OD less than that of control group A. It was observed that group C

exhibited the lowest mean value among them and had a value of (0.012), followed by group B (0.029), and then group A with a mean value of (0.161), as shown in Fig. 4 and Tab 2. One-way ANOVA showed a significant difference among groups with a  $P < 0.05$  (Tab 3).

Levene's test is used to assess variance homogeneity and, as a result, to determine the type of multiple comparisons post hoc test to use (Tab 4). Post-hoc Games-Howell test showed a significant difference between groups ( $P < 0.05$ ) (Tab 5).

**Table 1. Test of normality.**

| Groups  | Statistic | df | P-value |
|---------|-----------|----|---------|
| Group A | 0.944     | 10 | 0.593*  |
| Group B | 0.937     | 10 | 0.523*  |
| Group C | 0.968     | 10 | 0.872*  |

\*= Non-significant at  $P > 0.05$ .

**Table 2. Descriptive statistics of bacterial adherence test (optical density).**

| Groups  | N  | Mean   | Std. Deviation | Std. Error | Minimum | Maximum |
|---------|----|--------|----------------|------------|---------|---------|
| Group A | 10 | 0.1612 | 0.020275       | 0.006411   | 0.133   | 0.190   |
| Group B | 10 | 0.0292 | 0.004566       | 0.001444   | 0.020   | 0.035   |
| Group C | 10 | 0.0127 | 0.002497       | 0.000790   | 0.009   | 0.017   |
| Total   | 30 | 0.0677 | 0.068591       | 0.012523   | 0.009   | 0.190   |

**Table 3. One-way ANOVA analysis of variance among groups.**

|                | Sum of Squares | df | Mean Square | F       | P-value |
|----------------|----------------|----|-------------|---------|---------|
| Between Groups | 0.132          | 2  | 0.066       | 453.600 | 0.000** |
| Within Groups  | 0.004          | 27 | 0.000       |         |         |
| Total          | 0.136          | 29 |             |         |         |

\*\*= Significant at  $P < 0.05$ .

**Table 4. Levene's test (homogeneity of variance).**

|                                      | Levene Statistic | df1 | df2    | P-value |
|--------------------------------------|------------------|-----|--------|---------|
| Based on Mean                        | 18.749           | 2   | 27     | 0.000** |
| Based on Median                      | 17.756           | 2   | 27     | 0.000** |
| Based on Median and with adjusted df | 17.756           | 2   | 10.824 | 0.000** |
| Based on trimmed mean                | 18.742           | 2   | 27     | 0.000** |

\*\*= Significant at  $P < 0.05$ .

**Table 5. Multiple comparisons of bacterial adherence test between groups using Games-Howell test.**

| (I) Groups | (J) Groups | Mean Difference (I-J) | Std. Error | P- value |
|------------|------------|-----------------------|------------|----------|
| Group A    | Group B    | 0.132000              | 0.006572   | 0.000**  |
|            | Group C    | 0.148500              | 0.006460   | 0.000**  |
| Group B    | Group C    | 0.016500              | 0.001646   | 0.000**  |

\*\*= Significant at  $P < 0.05$ .

## CONCLUSION

Based on the findings of this study, the addition of CuO NPs to the maxillofacial silicone displayed antibacterial activity *in vitro* against *S. epidermidis*. CuO NPs in small percentages seem to significantly inhibit bacterial adhesion to the surface of VST 50F silicone elastomer and can serve as a basis for

future long-term investigations regarding antimicrobial effectiveness.

## ACKNOWLEDGMENT

The present study did not receive any external financial support, as it was entirely self-funded by the authors.

## REFERENCES

1. Gupta AD, Verma A, Dubey T, Thakur S. Maxillofacial prosthetics part-1: a review. *Int. J. of Adv. Res.* 2017;5(9):31-40. <http://dx.doi.org/10.21474/IJAR01/5504>
2. Lanzara R, Viswambaran M, Kumar D. Maxillofacial prosthetic materials: current status and recent advances: A comprehensive review. *Int. J. Appl. Dent. Sci.* 2021 Jun;7(2):255-9. <https://doi.org/10.22271/oral.2021.v7.i2d.1219>
3. Dr. N Manjula. "Properties of Maxillofacial Silicone Materials: A Literature Review. *J Dent Med Sci* 2019;18:42-45. <http://dx.doi.org/10.9790/0853-1810104245>
4. El Afandy HM, Fawzy AM. Evaluation of mechanical properties of maxillofacial silicone after long term exposure to different conditions. *Egypt Dent J.* 2019 Jul 1;65(3-July (Fixed Prosthodontics, Dental Materials, Conservative Dentistry & Endodontics)):2681-9. <https://doi.org/10.21608/EDJ.2019.72629>
5. Shakir DA, Abdul-Ameer FM. Effect of nano-titanium oxide addition on some mechanical properties of silicone elastomers for maxillofacial prostheses. *J Taibah Univ Med Sci.* 2018 Jun 1;13(3):281-90. <https://doi.org/10.1016/j.jtumed.2018.02.007>
6. Al-Mohammad YN, Abdul-Ameer FM. Effects of Artificial Aging on Some Properties of Room-Temperature-Vulcanized Maxillofacial Silicone Elastomer Modified by Yttrium Oxide Nanoparticles. *Indian J Public Health Res.* 2019 Aug 1;10(8):1200-5. <http://dx.doi.org/10.5958/0976-5506.2019.02389.1>
7. Schachter H. 4.06 - Glycobiology of *Caenorhabditis elegans*. In: Kamerling H, editor. *Comprehensive Glycoscience*. Oxford: Elsevier;2007. p. 81–100. <https://doi.org/10.1016/B978-044451967-2/00083-0>
8. Lynch AS, Robertson GT. Bacterial and fungal biofilm infections. *Annu. Rev. Med.* 2008 Feb 18;59:415-28. <https://doi.org/10.1146/annurev.med.59.110106.132000>
9. Lyons KM, Cannon RD, Beumer III J, Bakr MM, Love RM. The role of biofilms and material surface characteristics in microbial adhesion to maxillary obturator materials: a literature review. *Cleft Palate Craniofac J.* 2020 Apr;57(4):487-98. <https://doi.org/10.1177/1055665619882555>
10. Kumar A, Seenivasan MK, Inbarajan A. A literature review on biofilm formation on silicone and polymethyl methacrylate used for maxillofacial prostheses. *Cureus.* 2021 Nov 30;13(11). <https://doi.org/10.7759/cureus.20029>
11. Otto M. Staphylococcus epidermidis pathogenesis. *Staphylococcus Epidermidis: Methods and Protocols.* 2014:17-31. [https://doi.org/10.1007/978-1-62703-736-5\\_2](https://doi.org/10.1007/978-1-62703-736-5_2)
12. Byrd AL, Belkaid Y, Segre JA. The human skin microbiome. *Nat Rev Microbiol.* 2018 Mar;16(3):143-55. <https://doi.org/10.1038/nrmicro.2017.157>
13. Oliveira WF, Silva PM, Silva RC, Silva GM, Machado G, Coelho LC, Correia MT. Staphylococcus aureus and Staphylococcus epidermidis infections on implants. *J Hosp Infect.* 2018 Feb 1;98(2):111-7. <https://doi.org/10.1016/j.jhin.2017.11.008>
14. Lee E, Anjum F. Staphylococcus Epidermidis. In: StatPearls 2022. <https://www.ncbi.nlm.nih.gov/books/NBK563240/>
15. Pinheiro JB, Vomero MP, do Nascimento C, Watanabe E, Paranhos HD, Coto NP, Dias



- RB, Oliveira VC, Silva-Lovato CH. Genomic identification of microbial species adhering to maxillofacial prostheses and susceptibility to different hygiene protocols. *Biofouling*. 2018 Jan 2;34(1):15-25. <https://doi.org/10.1080/08927014.2017.1403591>
16. Babu AS, Manju V, Gopal VK. Effect of Chemical Disinfectants and Accelerated Aging on Maxillofacial Silicone Elastomers: An In Vitro Study. *Indian J Dent Res*. 2018 Jan 1;29(1):67-73. <https://www.ijdr.in/text.asp?2018/29/1/67/25236>
17. Busi S, Rajkumari J. Microbially synthesized nanoparticles as next generation antimicrobials: scope and applications. *In Nanoparticles in pharmacotherapy 2019* Jan 1 (pp. 485-524). <https://doi.org/10.1016/B978-0-12-816504-1.00008-9>
18. Al-Shammari SS, Abdul-Ameer FM, Bairam LR, Al-Salihi Z. The influence of lemongrass essential oil addition into heat cured acrylic resin against *Candida albicans* adhesion. *J Bagh Coll Dent [Internet]*. 2023 Sep. 15 [cited 2023 Dec. 9];35(3):67-75. Available from: <https://jbcd.uobaghdad.edu.iq/index.php/jbcd/article/view/3457>.
19. Singh J, Kaur G, Rawat M. A brief review on synthesis and characterization of copper oxide nanoparticles and its applications. *J. Bioelectron. Nanotechnol*. 2016;1(9). <https://doi.org/10.13188/2475-224X.1000003>
20. Govind V, Bharadwaj S, Sai Ganesh MR, Vishnu J, Shankar KV, Shankar B, Rajesh R. Antiviral properties of copper and its alloys to inactivate covid-19 virus: a review. *Biometals*. 2021 Dec;34(6):1217-35. <https://doi.org/10.1007/s10534-021-00339-4>
21. Ali SM, Lateef RA, AL-TIMIMI MH. Antimicrobial Activity of Copper Oxide Nanoparticles on Multidrug Resistant Bacteria MDR and *C. albicans*. *Acad Sci J*. 2023 Jan 18;1(1):39-74. <https://doi.org/10.24237/ASJ.01.01.605D>
22. Xu VW, Nizami MZ, Yin IX, Yu OY, Lung CY, Chu CH. Application of copper nanoparticles in dentistry. *Nanomaterials*. 2022 Feb 27;12(5):805. <https://doi.org/10.3390/nano12050805>
23. Ibrahim HI, Abdul-Ameer FM. Influence of kappa-carrageenan powder addition on staphylococcus epidermidis adhesion on the room temperature vulcanized maxillofacial silicone. *Pak. J. Med. Health Sci*. 2021;15:359. <https://pjmhsonline.com/published-issues/2021/jan/211359>
24. Fatihallah AA, Alsamaraay ME. Effect of Polyamide (Nylon 6) Micro-Particles Incorporation into RTV Maxillofacial Silicone Elastomer on Tear and Tensile Strength. *J Bagh Coll Dent [Internet]*. 2017 Dec. 15 [cited 2023 Dec. 9];29(4):7-12. Available from: <https://jbcd.uobaghdad.edu.iq/index.php/jbcd/article/view/2374>.
25. Alanssari BF, Khalaf BS. Effect of Addition of Composite Polyamide Micro Particles and Silicone Dioxide NanoParticle on Some Mechanical Properties of Room Temperature Vulcanized Maxillofacial Silicone Elastomer Before and after Artificial Aging. *Indian J Forensic Med Toxicol*. 2020 Jan 1;14(1). <https://doi.org/10.37506/ijfmt.v14i1.187>
26. ISO 23529 Rubber — General procedures for preparing and conditioning test pieces for physical test methods 2016. Available from: <https://www.iso.org/standard/70323.html>

27. Cross HH. Obtaining a wound swab culture specimen. *Nursing* 2022. 2014 Jul 1;44(7):68-9.  
<https://doi.org/10.1097/01.NURSE.0000446645.33489.2e>
28. Test PH. *UK Standards for Microbiology Investigations*. Public Health England: London, UK. 2015.  
<https://www.gov.uk/guidance/uk-standards-for-microbiology-investigations-smi-quality-and-consistency-in-clinical-laboratories>
29. Schwartz I, Wormser GP. *Bacterial Pathogenesis: A Molecular Approach*, 2nd ed. *Clin Infect Dis*; 2002;35:638–639.  
<https://doi.org/10.1086/342198>
30. Ayeni FA, Andersen C, Nørskov-Lauritsen N. Comparison of growth on mannitol salt agar, matrix-assisted laser desorption/ionization time-of-flight mass spectrometry, VITEK® 2 with partial sequencing of 16S rRNA gene for identification of coagulase-negative staphylococci. *Microb Pathog*. 2017 Apr 1;105:255-9.  
<https://doi.org/10.1016/j.micpath.2017.02.034>
31. O'Toole GA. Microtiter dish biofilm formation assay. *J. Vis. Exp*. 2011 Jan 30(47):e2437.  
<https://dx.doi.org/10.3791/2437>
32. Anglenius H, Tiihonen K. Evaluation of xylitol as an agent that controls the growth of skin microbes: *Staphylococcus aureus*, *Staphylococcus epidermidis*, and *Cutibacterium acnes*. *Korean J. Microbiol*. 2020 Mar 31;56(1):54-8.  
<https://orcid.org/0000-0002-0666-6773>
33. Sahal G, Woerdenbag HJ, Hinrichs WL, Visser A, van der Mei HC, Bilkay IS. *Candida* Biofilm Formation Assay on Essential Oil Coated Silicone Rubber. *Bio-*protoc. 2021 Mar 5;11(5):e3941-.  
<https://dx.doi.org/10.21769/BioProtoc.3941>
34. Chamaria A, Aras MA, Chitre V, Rajagopal P. Effect of chemical disinfectants on the color stability of maxillofacial silicones: An in vitro study. *J Prosthodont*. 2019 Feb;28(2):e869-72.  
<https://doi.org/10.1111/jopr.12768>
35. Anandkumar Patil DA, Raghunath Patil DS. Reinforcing the Maxillofacial Silicones: A Review. *Int J Innov Res Med Sci*. 2018;2(4):31-4.  
[https://www.researchgate.net/publication/334837665\\_International\\_Journal\\_of\\_Innovative\\_Studies\\_in\\_Medical\\_Sciences\\_IJISM\\_S\\_Reinforcing\\_the\\_Maxillofacial\\_Silicones\\_A\\_Review](https://www.researchgate.net/publication/334837665_International_Journal_of_Innovative_Studies_in_Medical_Sciences_IJISM_S_Reinforcing_the_Maxillofacial_Silicones_A_Review)
36. Chong WX, Lai YX, Choudhury M, Amalraj FD. Efficacy of incorporating silver nanoparticles into maxillofacial silicone against *Staphylococcus aureus*, *Candida albicans*, and polymicrobial biofilms. *J Prosthet Dent*. 2022 Nov 1;128(5):1114-20.  
<https://doi.org/10.1016/j.prosdent.2021.01.010>
37. Shehabeldine AM, Amin BH, Hagraas FA, Ramadan AA, Kamel MR, Ahmed MA, Atia KH, Salem SS. Potential antimicrobial and antibiofilm properties of copper oxide nanoparticles: time-kill kinetic essay and ultrastructure of pathogenic bacterial cells. *Appl Biochem Biotechnol*. 2023 Jan;195(1):467-85.  
<https://doi.org/10.1007/s12010-022-04120-2>
38. Ansarifard E, Zareshahrabadi Z, Sarafraz N, Zomorodian K. Evaluation of antimicrobial and antibiofilm activities of copper oxide nanoparticles within soft denture liners against oral pathogens. *Bioinorg Chem Appl*. 2021 Jun 4;2021:1-7.  
<https://doi.org/10.1155/2021/9939275>



39. Garcia-Marin LE, Juarez-Moreno K, Vilchis-Nestor AR, Castro-Longoria E. Highly Antifungal Activity of Biosynthesized Copper Oxide Nanoparticles against *Candida albicans*. *Nanomaterials*. 2022 Nov 1;12(21):3856. <https://doi.org/10.3390/nano12213856>
40. Dadi R, Azouani R, Traore M, Mielcarek C, Kanaev A. Antibacterial activity of ZnO and CuO nanoparticles against gram positive and gram negative strains. *Mater Sci Eng: C*. 2019 Nov 1;104:109968. <https://doi.org/10.1016/j.msec.2019.109968>
41. Hu C, Zhu W, Lu Y, Ren Y, Gu J, Song Y, He J. *Alpinia officinarum* mediated copper oxide nanoparticles: synthesis and its antifungal activity against *Colletotrichum gloeosporioides*. *Environ Sci Pollut Res*. 2023 Mar;30(11):28818-29. <https://doi.org/10.1007/s11356-022-24225-9>
42. Ren G, Hu D, Cheng EW, Vargas-Reus MA, Reip P, Allaker RP. Characterisation of copper oxide nanoparticles for antimicrobial applications. *Int J Antimicrob Agents*. 2009 Jun 1;33(6):587-90. <https://doi.org/10.1016/j.ijantimicag.2008.12.004>
43. Blecher K, Nasir A, Friedman A. The growing role of nanotechnology in combating infectious disease. *Virulence*. 2011 Sep 1;2(5):395-401. <https://doi.org/10.4161/viru.2.5.17035>
44. Weitz IS, Maoz M, Panitz D, Eichler S, Segal E. Combination of CuO nanoparticles and fluconazole: preparation, characterization, and antifungal activity against *Candida albicans*. *J Nanopart Res*. 2015 Aug;17:1-9. <https://doi.org/10.1007/s11051-015-3149-4>
45. Shende S, Ingle AP, Gade A, Rai M. Green synthesis of copper nanoparticles by *Citrus medica* Linn.(Idilimbu) juice and its antimicrobial activity. *World J Microbiol Biotechnol*. 2015 Jun;31:865-73. <https://doi.org/10.1007/s11274-015-1840-3>
46. Meghana S, Kabra P, Chakraborty S, Padmavathy N. Understanding the pathway of antibacterial activity of copper oxide nanoparticles. *RSC adv*. 2015;5(16):12293-9. <https://doi.org/10.1039/C4RA12163E>
47. Bicy K, Kalarikkal N, Stephen AM, Rouxel D, Thomas S. Effects of nanofillers on morphology and surface wetting of microporous polypropylene composite membranes. *Mater Chem Phys*. 2021 Jan 1;257:123742. <https://doi.org/10.1016/j.matchemphys.2020.123742>
48. Giti R, Zomorodian K, Firouzmandi M, Zareshahrabadi Z, Rahmannasab S. Antimicrobial activity of thermocycled polymethyl methacrylate resin reinforced with titanium dioxide and copper oxide nanoparticles. *Int J Dent*. 2021 Jan 30;2021. <https://doi.org/10.1155/2021/6690806>



## Evaluating the Serum CTLA-4 Levels in Patients with HBs Ag (-)/HBc IgG (+)/Hbs Ab (+): Across Sectional Study in the Najaf

Baneen Abdul Hadi Jalaout<sup>1</sup> and Saif Jabbar Yasir<sup>2</sup>

<sup>1,2</sup> University of Kufa, Faculty of Medicine, Medical Microbiology, Iraq.

Email: [baneena.alhamdani@student.uokufa.edu.iq](mailto:baneena.alhamdani@student.uokufa.edu.iq)

### ABSTRACT

**Background:** Hepatitis B virus is a virus that attacks the liver, leading to viral hepatitis, cirrhosis, and liver cancer in humans. The diagnostic markers for hepatitis B, anti-HBc IgG and anti-HBs Ab, are widely recognized. A cytotoxic T-lymphocyte antigen-4 called CTLA-4 is an immune checkpoint protein that stops the HBV infection from spreading. It accomplishes this by serving as a sort of inhibitory receptor, restricting the quantity of damage that an acute infection can cause to the hepatocyte and enhancing the infection's capacity to remain in the body throughout a chronic illness. **Aim of the study:** The study aims to evaluate serum CTLA-4 levels in individuals with HBsAg-negative, HBc IgG-positive, and HBs-positive Ab and explore the association between these findings and the existence and development of HBV infection. **Patients and methods:** A cross-sectional study was performed from July to October 2023. The serum was taken from 200 individuals, all of whom were tested by using an immunochromatographic assay for HBsAb, HBsAg, HBcAb, HBeAg, and HBeAb and also by using an ELISA technique for CTLA-4 and HBc IgG. The statistical analysis was conducted by using SPSS version 26. **Results:** Serum CTLA-4 level positively correlated with HBsAg-negative, HBc IgG-positive, and HBs-positive patient antibodies ( $p = 0.000$ ), serum HBs Ab positivity ( $P = 0.000$ ), and total HBc Ab positivity ( $P < 0.001$ ), all linked to the amount of CTLA-4. Serum HBe Ab negativity was not linked to CTLA-4 ( $p = 0.181$ ).

**Conclusions:** Elevated serum CTLA-4 level in patients with HBs Ag-negative, HBc IgG-positive, and HBs-positive Ab.

**Keywords:** Anti-HBs, Anti-HBc IgG, CTLA-4, ELISA, Hepatitis B virus.

### Article Information

Received: January 3, 2024; Revised: May 17, 2024; Online: June, 2024

## INTRUDUCTION

Hepatitis B virus continues to be a persistent global health concern despite the success of immunization strategies and the decline in HBsAg seroprevalence since 2000 due to the ongoing complications associated with chronic infection, which continue to contribute significantly to morbidity and mortality. The annual number of deaths from HBV-induced hepatocellular carcinoma and liver cirrhosis is approximately 820,000 (1). The hepatitis B

virus releases numerous antigens, such as hepatitis B core, surface, and envelope antigens. Antigens exhibit the ability to induce an immune response as a result of their immunogenic characteristics (2). Approximately two billion people globally have been exposed to HBV, which is confirmed by the existence of antibodies to the hepatitis B core antigen (3). The markers can be successfully applied to aid in the selection of therapy options, predict illness severity in the

future, and facilitate the clinical care of CHB (4). The non-existence of HBsAg in the blood does not necessarily indicate the absence of HBV infection (5). Numerous mutations occur in the HBV genes due to a lack of a proofreading mechanism throughout replication (6).

The majority of investigations related to HBV reactivation have depended on the absence of detectable HBsAg in individuals with occult hepatitis B infection and the existence of HBcAb as a basis to identify occult hepatitis B infection (7). Individuals with seropositive occult hepatitis B infection (OBI) reveal antibodies in their blood circulation that are directed against the core antigen of the hepatitis B virus (anti-HBc) and/or antibodies that attack the surface antigen of the hepatitis B virus (anti-HBs). This particular kind of OBI represents roughly eighty percent of all OBI circumstances (8). The hepatitis B core antigen triggers the production of a significant amount of IgM, followed by IgG anti-HBc, due to its strong immunogenicity. Experts widely regard anti-HBc as a highly sensitive and dependable marker for exposure to the hepatitis B virus. The levels of anti-HBc IgM rise fast after acute infection. Therefore, the presence of anti-HBc IgM is included in the initial diagnostic tests for HBsAg-positive patients who have elevated ALT levels, despite the existence or lack of a liver disorder (9). This diminished immune response makes the host's immune system incapable of eradicating HBV, resulting in a persistent infection (10). After a long-term HBV infection, the host's immune system frequently exhibits deficiencies or lacks the reactivity of T-cells specific to the virus. T-cell depletion is a state in which T cells exhibit impaired immune responses, like reduced production of cytokines, a decline in the ability to eliminate cells, and elevated levels of suppressive molecules like cytotoxic lymphocyte-associated antigen-4 (CTLA-4), programmed cell death-1 (PD-1), cytotoxic T, and lymphocyte activation gene-3 (11).

A co-inhibitory molecule that may be expressed on T cells is CTLA-4 (10). CTLA-4 (CD152) is an additional receptor that suppresses T cell function during prolonged viral infections (12). The CTLA-4 gene belongs to the immunoglobulin supergene family and resides on chromosome 2q33 (2). The upregulated inhibitory receptors on CD8 T lymphocytes in chronic hepatitis B individuals limit their immune defense process, leading to an exhausted phenotype (13). Elevated levels of CTLA-4 hinder the body's ability to resist certain kinds of infections. This is especially true for people who have HIV, where the virus temporarily weakens the immune system and makes the disease worse (14).

Immune checkpoint molecules have a significant impact on the progression of HBV infection. They help to minimize liver damage during acute infection and may contribute to the establishment of persistent infection in chronic HBV cases (15). There is a lack of studies that demonstrate an association between serum CTLA-4 levels and HBV infection in patients with HBsAg (-)/ HBc IgG (+)/Hbs Ab (+). As far as our knowledge goes, this study is the first to evaluate the CTLA-4 immune checkpoint levels in relation to clinical parameters of the hepatitis B virus (HBV) infection in patients with HBsAg (-)/HBc IgG (+)/Hbs Ab (+). The study aims to assess serum CTLA-4 levels in patients with HBsAg-negative, HBc IgG-positive, and HBs-positive Ab and investigate the correlation between these values and the presence and progression of HBV infection in the Najaf Government.

## PATIENTS AND METHODS

The current study is a cross-sectional research project performed in Najaf- Iraq from July to October 2023. The study comprised a total of two hundred subjects and included exclusively Iraqi individuals who were recruited as patients from As-Sader Teaching Hospital in Najaf city. Among the overall sample, there

were 64 male and 136 female participants who varied from 18 to 80 years old. Every individual underwent screening for serum levels of CTLA-4, HBs Ag, HBs Ab, anti-HBc IgG, HBeAg, HBeAb, and HbcAb. The serum samples that confirmed negative for HBsAg but positive for HBc IgG and HBs Ab were chosen for inclusion whereas those that showed positive for HBsAg had not been included. The individual's data were acquired by giving a questionnaire and drawing a blood sample. Prior to being investigated, all participants were provided with an extensive description of the study's nature and aims and subsequently a permission was obtained for their participation.

The investigation comprised serum samples that screened negative for HBsAg, as well as individuals varying in age from 18 to 80 years old. Exclusion Criteria individuals who have the following were excluded:

1. a medical record of autoimmune illnesses, including systemic lupus erythematosus (SLE) and chronic inflammatory arthritis, and others.
2. a hepatic failure, persistent inflammatory disorders, or autoimmune illnesses.
3. a diagnosis of the hepatitis C virus (HCV), COVID-19, and CMV.
4. an HIV-related immunodeficiency and cancer.
5. a recent adoption of the HBV vaccine for immunization.

Five milliliters of venous blood were collected from every patient and transferred into a gel tube. After allowing the blood to clot, centrifugation separated the serum sample. Finally, the serum sample was placed in a plain tube to complete the necessary assays.

Presently, the techniques employed for clinical laboratory detection of HBV serological Hepatitis C virus rapid chromatographic immunoassay qualitative test, Human immunodeficiency virus rapid markers

primarily consist of enzyme-linked immunosorbent assays (ELISA) and immunochromatographic assays. A fraction of the serum was used for a human hepatitis B virus screen test (immunochromatographic assay): HBc Ab, HBs Ag, HBs Ab, HBe Ag, HBe Ab (Eugene Biotech/China), Human immunochromatographic test (Wondfo/China). The remaining portion was then divided into 1.5 ml Eppendorf tubes and stored in a refrigerator at -80°C for immunological analysis. After that, an ELISA method (Sun Long Biotech, China) was used to find a cytotoxic T lymphocyte antigen (CTLA)-4 and a qualitative HBc IgG. The procedures for all tests were conducted according to the instructions outlined in the kit's manual.

## STATISTICAL ANALYSIS

The statistical analysis in this study was conducted with Version 26 of the Statistical Package for the Social Sciences (SPSS). The relationship between category and numerical data was illustrated by using the Mann-Whitney test. The findings are displayed in tables and figures, accompanied by a descriptive narrative, utilizing MS Word and Excel 2016.

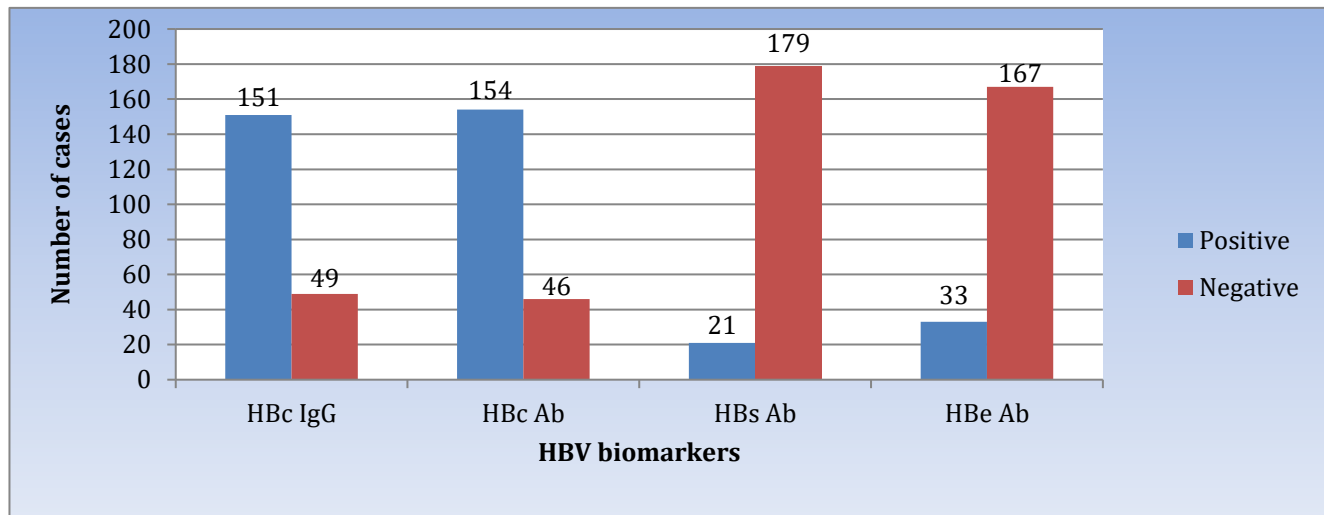
The Ethics Council of the University of Kufa/Faculty of Medicine gave its approval before starting this research project. All participants gave their consent, and the As-Sader Teaching Hospital in Najaf also gave its approval.

## RESULTS

The present study included a sample of 200 patients, who had undergone testing for HBV infection between July and October 2023, and were screened to detect the presence of HBc Ab, HBsAg, HBsAb, HBeAg, HBeAb, Hbc IgG, and CTLA-4. Fig 1 presents an overview of the general scheme followed in this investigation. Patients who were tested positive

for HBsAg, patients with hepatitis C infection patients with HIV, and patients with inadequate clinical data were excluded. This study has found that 10.5% of patients who tested negative for HBsAg, but positive for HBc IgG were also positive for HBs Ab. The study has

also revealed that 75.5% of patients had HBc IgG, 77% had HBc Ab, 10.5% had HBs Ab, and 16.5% had Hbe Ab.



**Figure (1): Count of HBV indicators in the study group.**

A statistically significant association was observed between CTLA-4 levels and HBc IgG antibodies ( $p$  value  $< 0.05$ ,  $Z = -8.770$ ). Specifically, individuals with positive HBc IgG ( $N = 151$ ,  $M = 14.8131$  pg/ml) were more likely to have higher CTLA-4 levels than those without HBc IgG antibodies ( $N = 49$ ,  $M = 4.5637$  pg/ml). As seen in Table 1., There was actually a statistically significant link between being positive for HBc Ab and CTLA-4. The  $p$ -value was less than 0.05, and  $Z$  was -8.292. Specifically, people who were tested positive for HBc Ab ( $N = 154$ ,  $M = 14.5960$  pg/ml) had a higher likelihood of producing higher levels of CTLA-4 than those who were tested negative for HBc Ab ( $N = 46$ ,  $M = 4.6222$  pg/ml). As seen in Table 1., A statistically significant association was found between CTLA-4 and the presence of HBs Ab ( $p$  value  $< 0.05$ ,  $Z = -5.076$ ).

Patients who were tested positive for HBs Ab ( $N = 21$ ,  $M = 39.1348$  pg/ml) had a higher likelihood of producing higher levels of CTLA-4 compared to patients who were tested negative for HBs Ab ( $N = 179$ ,  $M = 9.1540$  pg/ml). As illustrated in Table 1. However, there was no statistically significant link observed between the presence of HBe Ab and the production of CTLA-4 ( $p > 0.05$ ). A strong association was found between individuals who have been evaluated for a positive result for HBsAg (-)/HBc IgG(+)/HBs Ab (+) and the production of CTLA-4 ( $p$  value  $< 0.05$ ,  $Z = -5.076$ ). Specifically, individuals with positive results ( $N = 21$ ,  $M = 39.1348$  pg/ml) had a significantly higher likelihood of producing higher levels of CTLA-4 compared to individuals with negative results ( $N = 179$ ,  $M = 9.1540$  pg/ml). As indicated in table 2.

**Table (1): The relation between CTLA-4 and viral markers by Mann-Whitney test.**

| Test Result type   | HBc IgG (+) | HBc Ab (+) | HBs Ab (+) | Hbe Ab (+) |
|--|-------------|------------|------------|------------|
| <b>Mann-Whitney U</b>                                      | 612.500     | 686.000    | 606.000    | 2349.000   |
| <b>Z</b>   | -8.770-     | -8.292-    | -5.076-    | -1.338-    |
| <b>P value</b>   | 0.000       | 0.000      | 0.000      | 0.181      |
| p value=probability value (level of significance at <0.05) |             |            |            |            |

**Table (2): The relation between CTLA-4 level and HBsAg (-)/HBc IgG/HBs Ab (+) group by Mann-Whitney test**

| Test Result type  | HBs Ab (+)/HBc IgG/HBsAg (-) |
|---|------------------------------|
| <b>Mann-Whitney U</b>                                       | 606.000                      |
| <b>Z</b>  | -5.076-                      |
| <b>P value</b>  | 0.000                        |
| p value= probability value (level of significance at <0.05) |                              |

## DISCUSSION

The current study has demonstrated that anti-HBc (+) had the highest detection rate at 77% (154/200), followed by anti-HBc IgG (+) at 75%, and anti-HBs (+)/anti-HBc (+) at 10.5% (21/200). These results were in line with the findings of a study conducted by Cai *et al.* (2022), which found that the pattern of HBV serologic markers was found in anti-HBc(+) (3.73%, 10/268) and anti-HBs(+)/anti-HBc(+) (1.87%, 13/695) (16). The generation of anti-HBc is intimately associated with the synthesis of cccDNA and HBcAg (17). The variations in antibody frequencies can originate from variations in various geographical regions with various sample sizes, and the serologic window in the incubation period after infection, can be responsible for the differences in HBV infection rates worldwide.

Regarding the HBsAg-negative/anti-HBc-positive state as an OBI stage in the HBV infection's natural course. Over 90% of people who are anti-HBc positive could have OBI. The

"alternative" antiHBc test is considered the most acceptable and practicable marker for occult hepatitis B infection diagnosis (18). The overall frequency of occult hepatitis B infection (OBI) was 6.2% among blood donors who tested negative for HBsAg but positive for anti-Hbc (19). They found that the HBsAg-negative/anti-HBc-positive state is a stage of occult hepatitis B virus (HBV) infection that happens naturally as the disease gets worse (20). HBsAg clearance often indicates a previous HBV infection solely through the presence of anti-HBc. People who do not have HBsAg during the last stage of either resolved or occult HBV infections, on the other hand, usually have 1000 times lower anti-HBc levels than people who do have HBsAg (21).

Furthermore, in the present study, 16.5% of HbeAb seroprevalence is less than the 33.4% HbeAb-positive Chinese population (22). Ndako *et al.* (2021) found a 45.8% positive rate among participants with HbeAb (23), differing

from the results of the current study. HBV infection naturally triggers HBeAg seroconversion, a crucial event in the disease progression. This is when you lose HBeAg and make anti-HBeAg antibodies. This usually happens years after the replicative phase and marks a shift to a low/non-replicative phase where the liver's necro-inflammation can decrease and the infection may be resolved (24). However, the correlation between the proteins of HBV and the production of CTLA-4 after CHB infection is still unclear (22). The current investigation revealed a notable association between increased levels of CTLA-4 and markers of HBV in patients with HBs Ag (-)/HBeAg (+)/Hbc IgG (+)/Hbs Ab (+). Dharma (2023) found a strong association between the amount of CTLA-4 in the blood serum and the progression rate of chronic hepatitis B ( $P < 0.001$ ), which aligns with the current study's findings. Patients with chronic hepatitis B, hepatocellular cancer, and liver cirrhosis exhibited elevated serum levels of CTLA-4. The researchers found a positive correlation between the serum level of CTLA-4 and the progression of chronic hepatitis B (25).

The present study discovered a significant association between increased levels of CTLA-4 and positive markers of HBV. Tang *et al.* (2016) discovered that CD4+ T cells from people with chronic hepatitis B had more CD28 family receptors, like PD-1 and CTLA-4, than CD4+ T cells from healthy people (26). These results are very similar to what this study has found. A new study by Peng *et al.* (2011) showed that looking at the presence of CTLA-4 on CD8+ T cells in people who had a CHB infection gave different outcomes. However, the overall trend suggests an increase in CTLA-4 levels on CD8+ T cells. People who have HBeAg-positive chronic hepatitis B experience this effect, and having HBeAg around makes CD8+ T cells make more CTLA-4. While these discoveries hold the possibility of major

progress, the underlying mechanism of these events remains unknown (27). Although Wang *et al.* (2018) found something similar, the new results do not support earlier research that said HBV infection lowers the expression of CTLA-4 on T cells (28). This reduction may affect their capacity to regulate immunological responses.

The importance of CTLA-4 levels in patients with HBV has significant clinical consequences. High levels of CTLA-4 can serve as an indicator of more severe liver inflammation and worse outcomes. Additionally, using immunotherapy to target CTLA-4 appears to be a possible way to manage HBV infection. One study found that blocking CTLA-4 increased T cells that are specific to HBV and decreased inflammation in the livers of people who had HBV (29).

## CONCLUSIONS

1. Patients who tested negative for HBs Ag, positive for HBeAg, and positive for Hbs Ab exhibited elevated levels of CTLA-4 in the serum. CTLA-4 levels may serve as indications of the immune response to HBV infection or the severity of a disease.
2. The study found a strong correlation between serum CTLA-4 levels and virus-specific proteins such as HBs Ab, HBeAg, and Hbc IgG, but did not find a significant correlation between anti-HBe and CTLA-4 levels.
3. Researchers need to conduct additional multicenter investigations to determine the role of CTLA-4 in the intensity and progression of HBV infection.
4. Elevated levels of CTLA-4 in individuals exposed to HBV infection may provide a chance to explore novel therapeutic approaches. These strategies could involve targeting the regulation of CTLA-4 levels to minimize the adverse development of HBV and enhance patient response to therapy.

## REFERENCES

- World Health Organization. Hepatitis B. Available at: <https://www.who.int/news-room/fact-sheets/detail/hepatitis-b> (2022), (Accessed October 21, 2022).
- Cao H, Zhang R, Zhang W. CTLA-4 interferes with the HBV-specific T cell immune response (review). *Int J Mol Med*. 2018;42: 703-712.
- Seto WK, Lo YR, Pawlotsky JM, *et al*. Chronic hepatitis B virus infection. *Lancet* 2018;392:2313-24.
- Mak, K. F., & Shan, J. Opportunities and challenges of interlayer exciton control and manipulation. *Nature nanotechnology* (2018), 13(11), 974-976.
- Caviglia, G. P., Olivero, A., Ciancio, A., Tandoi, F., Troshina, G., Rosso, C, *et al*. Analytical and clinical evaluation of a novel assay for anti-HBc IgG measurement in serum of subjects with overt and occult HBV infection. *Diagnostic Microbiology and Infectious Disease*, (2020): 96(4), 114985.
- Yan L, Zhang H, Ma H, Liu D, Li W, Kang Y, *et al*. Deep sequencing of hepatitis B virus basal core promoter and precore mutants in HBeAg-positive chronic hepatitis B patients. *Sci Rep*. 2015;5:17950. doi: 10.1038/srep17950.
- Cholongitas, E.; Haidich, A.B.; Apostolidou-Kiouti, F.; Chalevas, P.; Papatheodoridis, G.V. Hepatitis B virus reactivation in HBsAg-negative, anti-HBc-positive patients receiving immunosuppressive therapy: A systematic review. *Ann. Gastroenterol*. 2018, 31, 480–490.
- Raimondo, G.; Locarnini, S.; Pollicino, T.; Levrero, M.; Zoulim, F.; Lok, A.S.; Taormina Workshop on Occult HBV Infection Faculty Members. Update of the statements on biology and clinical impact of occult hepatitis B virus infection. *J. Hepatol*. 2019, 71, 397–408.
- Park JJ, Wong DK, Wahed AS, Lee WM, Feld JJ, Terrault N, *et al*: Hepatitis B virus - specific and global T-cell dysfunction in chronic hepatitis B. *Gastroenterology*, (2016):150: 684-695.e5.
- Wang L, Li N, Fan X, Wang X, Zhang X, Zhang K, *et al*. Circulating CTLA-4 levels and CTLA4 polymorphism associate with disease condition and progression and hepatocellular carcinoma patients' survival in chronic hepatitis B virus infection. *Int Immunopharmacol*. 2020;82:106377.
- Ye B, Liu X, Li X, Kong H, Tian L and Chen Y: T-cell exhaustion in chronic hepatitis B infection: Current knowledge and clinical significance. 2015 *Cell Death Dis* 6: e1694.
- Chen, L., & Flies, D. B. Molecular mechanisms of T cell co-stimulation and co-inhibition. *Nature reviews immunology* (2013).13(4), 227-242.
- Bertoletti, A., & Ferrari, C. Adaptive immunity in HBV infection. *Journal of hepatology*, (2016): 64(1), S71-S83.
- Kaufmann DE, Kavanagh DG, Pereyra F, Zaunders JJ, Mackey EW, Miura T, *et al*. Upregulation of CTLA-4 by HIV-specific CD4+ T cells correlates with disease progression and defines a reversible immune dysfunction. *Nat Immunol*. 2007;8:1246–1254.
- Michelle N. Wykes<sup>1</sup> and Sharon R. Lewin, Immune checkpoint blockade in infectious diseases, QIMR Berghofer Medical Research Institute, 300 Herston Road, Herston, Brisbane, Queensland 4006, Australia.<sup>2</sup> The Peter Doherty Institute for Infection and Immunity, (2017), doi:10.1038/nri.2017.112.
- Cai, J., Wu, W., Wu, J., Chen, Z., Wu, Z., Tang, Y., & Hu, M. Prevalence and clinical characteristics of hepatitis B surface antigen-negative/hepatitis B core antibody-positive patients with detectable serum hepatitis B virus DNA. *Annals of Translational Medicine* 2022.10(1). <https://doi.org/10.21037/atm-21-6272>



17. Moretto, F., Catherine, F. X., Esteve, C., Blot, M., and Piroth, L. Isolated antiHBc: significance and management. *J. Clin. Med.* (2020). 9 (1), 202. doi: 10.3390/jcm9010202.
18. Wang C, Xue R, Wang X, Xiao L and Xian J High-sensitivity HBV DNA test for the diagnosis of occult HBV infection: commonly used but not reliable. *Front. Cell. Infect. Microbiol.* (2023) .13:1186877. doi: 10.3389/fcimb.2023.1186877.
19. Takuissu GR, Kenmoe S, Amougou Atsama M ,Atenguena Okobalemba E, Mbagu DS, Ebogo-Belobo JT ,etal .Global epidemiology of occult hepatitis B virus infections in blood donors, asystematic review and metaanalysis. *PLoS ONE.*(2022) .17(8) :e0272920. [https://doi.org/ 10.1371/journal.pone.0272920](https://doi.org/10.1371/journal.pone.0272920).
20. Pollicino, T., and Caminiti, G. HBV-integration studies in the clinic: role in the natural history of infection. *Viruses* 2021. 13 (3), 368. doi: 10.3390/v13030368.
21. Song, L.W.; Liu, P.G.; Liu, C.J.; Zhang, T.Y.; Cheng, X.D.; Wu, H.L ; *et al.* Quantitative hepatitis B core antibody levels in the natural history of hepatitis B virus infection. *Clin. Microbiol. Infect.* 2015, 21, 197–203.
22. Liu Y, Cheng LS, Wu SD, Wang SQ, Li L, She WM, *et al.*: IL-10-producing regulatory B-cells suppressed effector T-cells but enhanced regulatory T-cells in chronic HBV infection. *Clin Sci.*(2016)130: 907-919.
23. Ndako JA, Nwankiti OO, Olorundare JO, Ojo SKS, Okolie CE, Olatinsu O, *et al.* Studies on the serological markers for hepatitis B virus infection among type 2 diabetic patients. *J Clin Lab Anal.* 2021 Jan;35(1):e23464. doi: 10.1002/jcla.23464. Epub 2021 Jan 7. PMID: 33410548; PMCID: PMC7843284.
24. Cowdhury A. Epidemiology of hepatitis B virus infection in India. *Hep B Annu.* 2004;1:17-24.
25. Darmadi, D., Lindarto, D., Siregar, J., Widyawati, T., Rusda, M., Amin, M. M., *et al.* Association Between Serum Cytotoxic T Lymphocyte Antigen (CTLA)-4 Level and Disease Progression in Patients With Chronic Hepatitis B. *Medical Archives,* (2023). 77(2), 142-145. <https://doi.org/10.5455/medarh.2023.77.142-145>
26. Tang ZS, Hao YH, Zhang EJ, Xu CL, Zhou Y, Zheng X ; *et al* : CD28 family of receptors on T cells in chronic HBV infection: Expression characteristics, clinical significance and correlations with PD-1 blockade. *Mol Med Rep* (2016):14: 1107-1116.
27. Peng G, Luo B, Li J, Zhaod, Wu W, chen F and chen Z: Hepatitis B e-antigen persistency is associated with the properties of HBV-specific CD8 T cells in CHB patients. *J Clin Immunol* (2011): 31: 195-204.
28. Wang, H.; Swann, R.; Thomas, E.; Innes, H.A.; Valerio, H.; Hayes, P.C.; *et al.* Impact of previous hepatitis B infection on the clinical outcomes from chronic hepatitis C. A population-level analysis. *J. Viral Hepat.* 2018, 25, 930–938.
29. Zhang, Z., Trippler, M., Real, C. I., Werner, M., Luo, X., Schefczyk, S ; *et al* .Hepatitis B virus particles activate toll-like receptor 2 signaling initially upon infection of primary human hepatocytes. *Hepatology* (2020)., 72(3), 829-844.

## Meningioma as a Rare Presentation

Mais Almumen<sup>1</sup>, Liqaa Mohammed Muslim<sup>2</sup> and Ammar Saeed Rasheed<sup>3</sup>

<sup>1,3</sup> University of Kufa, Faculty of Medicine, Iraq.

<sup>2</sup> Alsadder Medical City, Department of Histopathology, Najaf, Iraq.

Email: [maisalmumen@gmail.com](mailto:maisalmumen@gmail.com)

### ABSTRACT

**Background:** The most common extra axial primary central nervous system (CNS) tumor is meningioma, accounting for 36% of all CNS tumors. On neuroradiologic and gross assessment, the typical meningioma is lobulated. Cystic variants, although uncommon, are well recognized, and possibly be confused with metastatic or glial tumors. **Case presentation:** A 40-year-old female patient complaining of headache, Magnetic resonance imaging (MRI) revealed frontoparietal brain cystic lesion with intracystic nodule, given the differential diagnosis of low-grade gliomas, hemangioblastoma, pleomorphic xanthoastrocytoma, pilocytic astrocytoma and rarely meningioma. The histopathological slides showed the diagnosis of meningothelial meningioma (WHO/Mayo Clinic\_ Grade I). Immunohistochemistry (IHC) was performed for epithelial membrane antigen (EMA) showing positive membranous stain but IHC staining for glial fibrillary acetic protein (GFAP) was negative for the cytoplasm.

**Conclusions:** Patient with cystic meningioma was reported. There is no absolute test for preoperatively distinguishing it from the most prevalent other gliomas. So avoiding any extra cost and time loss, angiographic assessment and cooperation with a histopathologist are of clinical importance for the identification of these possibly curable neoplasms.

**Keywords:** Diabetes Mellitus, Pure Tone Audiometer, Sensorineural Hearing Loss.

### Article Information

Received: January 3, 2024; Revised: May 17, 2024; Online: June, 2024

## INTRUCTION

Meningioma is the most prevalent extra axial primary central nervous system tumor; it accounts for 36% of all CNS tumors. It arises from arachnoid cells associated with dura mater or choroid plexus, grows along external surface of brain, spinal cord or occasionally, within the ventricular system<sup>(1)</sup>. Three grades were exist based on WHO criteria<sup>(2)</sup>, female affected more than male<sup>(3)</sup>, hormone replacement therapy or oral contraceptives may be a risk factor<sup>(4)</sup>. On neuroradiologic and gross assessment, the

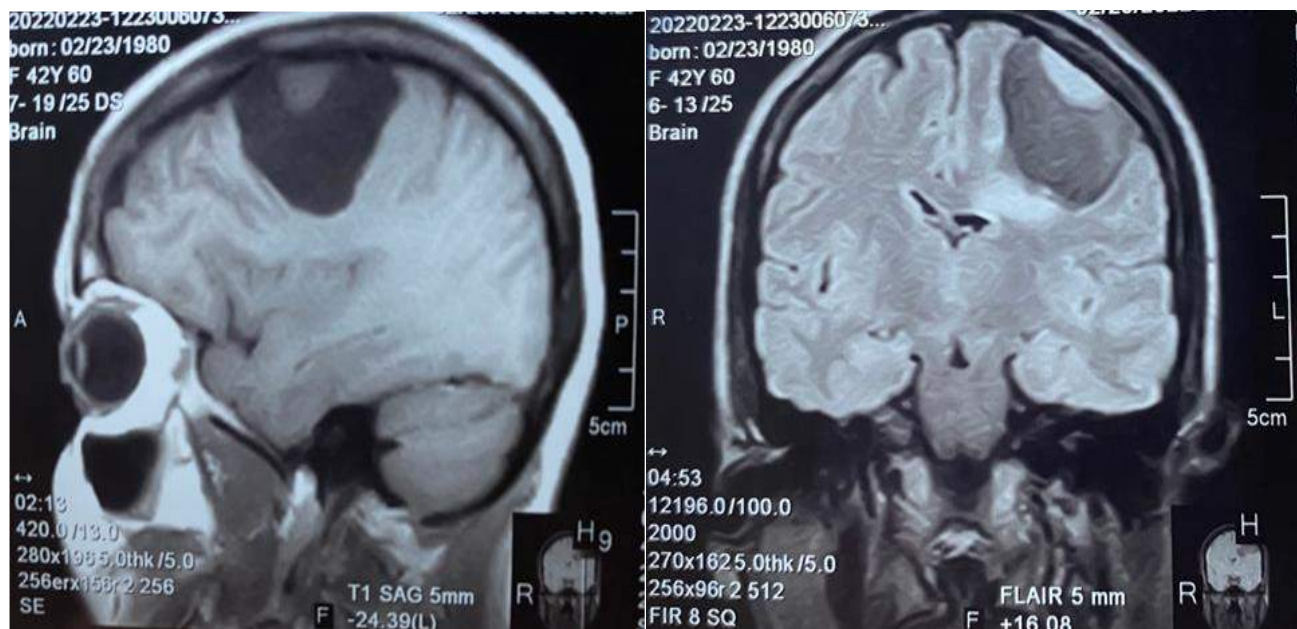
typical meningioma is lobulated, solid, or globular mass that is anchored broadly to the dura mater. Cystic variants, although uncommon, are well identified, meningiomas with intramural cysts, may easily be mistaken with other glial or metastatic tumors<sup>(5)</sup>.

## CASE PRESENTATION

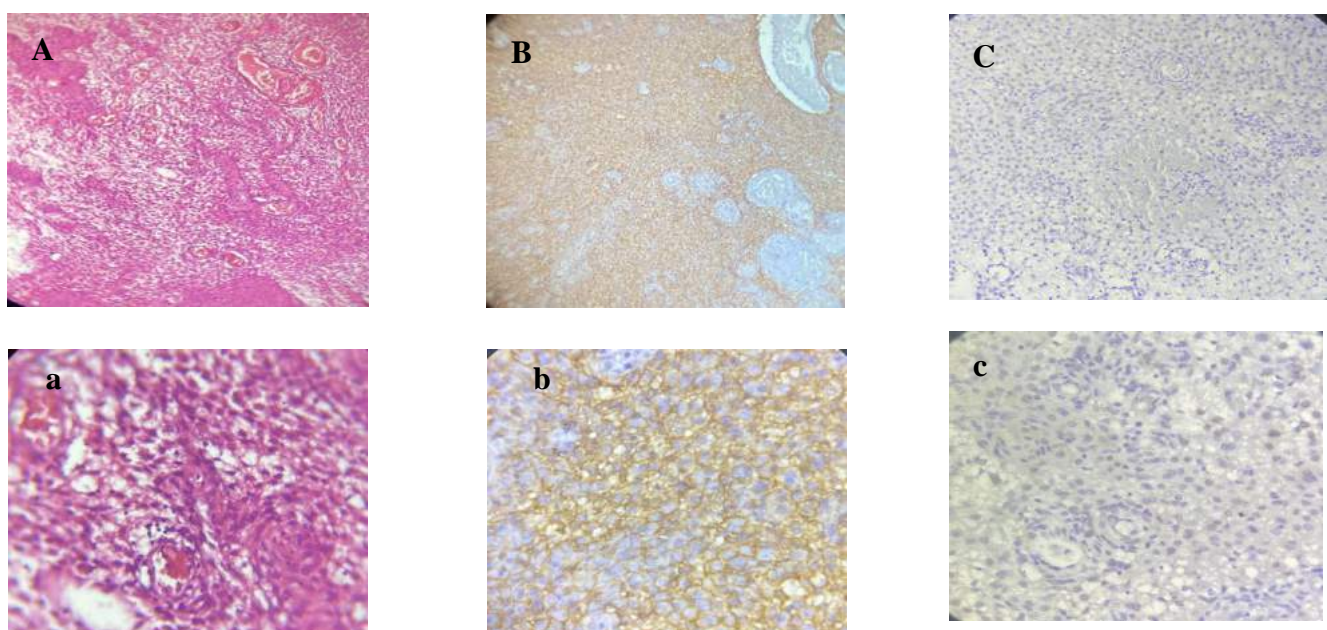
A 40-year-old female patient complaining of headache, MRI revealed frontoparietal extra-axial brain cystic lesion with mural nodule (Figure 1 A, B), given the differential diagnosis

of glioma, pleomorphic xanthoastrocytoma, pilocytic astrocytoma and rarely meningioma. In April 2023, about 10 ml of yellow colored fluid aspirated during surgical removal of the brain cystic lesion, and the histopathological slides showed the diagnosis of meningothe-  
 meningeal

meningioma (WHO/Mayo Clinic\_ Grade I) (Figure 2 A, a). IHC was performed for EMA showing positive membranous stain (Figure 2B, b), and IHC staining for GFAP was negative for the cytoplasm to exclude the other diagnoses (Figure 2 C, c).



**Figure (1):** MRI showing left parasagittal frontoparietal cystic brain lesion, hypointense on T1 with enhanced mural nodule, mild edema and compression of left lateral ventricle. (A; sagittal view) (B; coronal view).



**Figure (2):** Morphological structures and IHC findings of the specimen slides. Hematoxylin and eosin staining (HE) showed the lobular microarchitecture with many meningothe-  
 meningothe-  
 IHC showed that the neoplastic cells were positive for EMA, (B, 10x; b, 40x) and negative for GFAP (C, 10x; c, 40x).

## DISCUSSION

Cyst with a mural nodule tumor (CMNT) is a pattern of the radiological findings for central nervous system (CNS) lesions seen in fluid-secreting neoplasms, including; commonly, hemangioblastoma<sup>(6)</sup>, pilocytic astrocytoma<sup>(7)</sup>, ganglioglioma<sup>(8)</sup>, and pleomorphic xanthoastrocytoma<sup>(9)</sup>.

Meningioma rarely manifested as cystic lesions with mural nodule and may be confused as one of the intraparenchymal cystic brain tumors. We here present a 40-year-old woman with a frontoparietal brain lobe cystic tumor, demonstrating MRI enhanced mural nodule. At first, differential diagnoses made including low-grade gliomas, hemangioblastoma, and cystic meningioma. Total removal of the lesion was done by surgical approach. Intraoperative evaluation showed that the tumor was extra axial, the cyst lined by a thin membrane and the content was yellow color fluid. The final diagnosis after the histopathological assessment was meningothelial meningioma, WHO grade I. Although hemangioblastoma, ganglioglioma, pilocytic astrocytoma, and pleomorphic xanthoastrocytoma, commonly exhibit such MRI finding, meningioma had to be added to such differential diagnosis.

## CONCLUSIONS

Patient with cystic meningioma was reported. The computed tomographic scans or magnetic resonance images of the tumor similar to those of glial or metastatic neoplasms with necrotic or cystic changes. Meningioma should be added to differential diagnosis of CMNT.

Preoperatively, there is no absolute test for distinguishing cystic meningiomas from the most common other gliomas. So avoiding any extra cost and time loss by other investigations, angiographic assessment and contacting the neuropathological results are of importance for the identification of those possibly treatable neoplasms.

## REFERENCES

1. Quinn TO, Haley G, Jordonna F, et al. Primary Brain and Central Nervous System Tumors Diagnosed in the United States in 2008-2012. *Neuro Oncol* 2015;17:iv1.  
<https://pubmed.ncbi.nlm.nih.gov/26511214/doi:10.1093/neuonc/nov189>
2. Salami AA, Okunlola AI, Ajani MA, Onakpoma F et al. WHO classification of meningiomas-A single institutional experience. *Neurochirurgie* 2021 Apr;67(2):119-124.  
<https://pubmed.ncbi.nlm.nih.gov/33144180/doi:10.1016/j.neuchi.2020.10.005>
3. Elmar K, Felix S, Andrey K et al. Molecular profiling of pediatric meningiomas shows tumor characteristics distinct from adult meningiomas; *Acta Neuropathol* 2021;142:873-886.  
<https://www.researchgate.net/journal/Acta-Neuropathologica-1432-0533/publication/354458210>
4. Elizabeth B C, Lisa C, Melissa LB et al. Exogenous hormone use, reproductive factors, and risk of intracranial meningioma in females; *J Neurosurg* 2013;118:649-56.  
<https://doi.org/10.3171/2012.9.jns12811>
5. Jaaskelainen J, Haltia M, Servo A: Atypical and anaplastic meningiomas: radiology, surgery, radiotherapy, and outcome. *Surg Neurol* 1986; 25:233-242.  
[https://pubmed.ncbi.nlm.nih.gov/3945904/doi:10.1016/0090-3019\(86\)90233-8](https://pubmed.ncbi.nlm.nih.gov/3945904/doi:10.1016/0090-3019(86)90233-8)

6. Smirniotopoulos JG, Murphy FM, Rushing EJ, Rees JH, Schroeder JW. Patterns of contrast enhancement in the brain and meninges. *Radiographics*. 2007;**27**:525–551. <https://pubs.rsna.org/doi/10.1148/rg.272065155>
7. Hasso AN, Bell SA, Tadmor R. Intracranial vascular tumors. *Neuroimaging Clin North Am*. 1994;**4**:849–870. <https://pubmed.ncbi.nlm.nih.gov/7858923/>
8. Ho VB, Smirniotopoulos JG, Murphy FM, Rushing EJ. Radiologic-pathologic correlation: hemangioblastoma. *Am J Neuroradiol*. 1992;**13**:1343,1352. <https://www.ajnr.org/content/13/5/1343>
9. Koeller KK, Henry JM. From the archives of the AFIP: superficial gliomas: radiologic-pathologic correlation. Armed Forces Institute of Pathology. *Radiographics*. 2001;**21**:1533–1556. <https://pubmed.ncbi.nlm.nih.gov/11706224/> doi: 10.1148/radiographics.21.6.g01nv051533

# Terms Used to Describe Abnormalities of Joint Kinematics: An Overview

Jagar Omar Doski

University of Duhok, College of Medicine, Iraq.

Email: [jagaromar@uod.ac](mailto:jagaromar@uod.ac)

## ABSTRACT

**Background:** In the joints, the movements, active or passive, occur normally in certain physiological planes: axes or directions, and within certain limits. In certain pathologies, the joint kinematics may be affected in one of the following ways: limitation of the normal movements, movement beyond the normal limits, or movement in the non-physiological planes. Different terms were used to describe the abnormalities of the joint kinematics. Nowadays, terms like joint hypermobility, joint laxity, joint instability, and ligamentous laxity are, synonymously or not, still used in some literature in their correct place. The current article aimed to review the abnormalities of joint kinematics and the new concepts of optimum application of the terms used to describe them. It has reached to some conclusions like: limitation of joint movement is the term used to describe any incomplete joint movement within a physiological plane and standard limits; Joint hypermobility is the term used to describe the ability to do a joint movement in a physiological plane but beyond the usual limits. Joint instability is the term used to describe the liability of a joint to move in non-physiological planes.

**Keywords:** Abnormalities, Joint hypermobility, Joint instability Kinematics, Joint movement, Stiffness.

## Article Information

Received: January 8, 2024; Revised: May 17, 2024; Online: June, 2024

## INTRODUCTION

A joint is a site where the bones are connected together <sup>(1)</sup>. Synovial joints usually have a range of movement (ROM) more than that of cartilaginous ones. Kinematics is the branch of mechanics concerned with the motion of objects without reference to the forces that cause the motion <sup>(2)</sup>; in the human being, it deals with the possible motions of a whole body or its parts <sup>(3)</sup>. In the joints, the movements, whether active or passive, normally occur in certain physiological planes: axes, or directions, and within certain limits. Every joint has its specific movement(s) that occur(s) within a specific plane(s) and range(s). The ROM of each movement has its limits usually determined by certain anatomical

structures or otherwise by the tightness of the restraining ligaments. Age, gender, and ethnic differences tend to make different capabilities of joint mobility <sup>(4,5)</sup>.

In certain pathologies, the joint kinematics may be affected in one of the following ways: limitation of the normal movements, movement beyond the normal limits, or movement in the non-physiological planes. The abnormalities of joint kinematics had been described in the literature separately. Different terms are used to describe abnormalities of movements. Previously these terms had been used with some ambiguity. Recently, each term gained its special meaning with a specific definition for the medical field. Nowadays, terms like joint

hypermobility, joint laxity, joint instability, and ligamentous laxity still are used in some literature as synonymous or not in their correct place. Thus, the current article aimed to review the abnormalities of joint kinematics, the terms used to describe them, and the current concepts of optimum use of the terms used to describe the different types of joint kinematic abnormalities.

## METHODS

### The study design and registration protocol

The study was done according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) checklist <sup>(6,7)</sup>. A review protocol was prepared and registered with the Open Science Framework website on December 18, 2023. Available from: <https://osf.io/28rey>

### Information sources

Electronic databases such as PubMed, Europe PMC, and Google Scholar were used to search for relevant articles. The keywords or phrases in the search process were: joint kinematics, limitation of movement, joint stiffness, joint hypermobility, and joint instability. Specific regions were excluded from the search process; In addition to the electronic database search, six books were also involved in the search for the relevant data.

## RESULTS

A total of 560 articles were found at the onset of the search process but finally, only 34 were included in this review. The detail of the search process was summarized in the figure below. The included articles were 30 from journals and four books. Twenty-eight of the journal articles were original and two were systematic reviews. Three books specialized in orthopedic disorders and the fourth was on the physiology.

### Eligibility criteria

The inclusion criteria here were journal articles discussing joint movement abnormalities and those related to the human species. The search process was further restricted to those articles published in English only. The exclusion criteria, however, were literature discussing non-musculoskeletal, locomotor, joint abnormalities, and those concerning the treatment of joint movement abnormalities.

### Search period and strategy

The search process was done to find the relevant articles during the period December 18, 2023, till December 22, 2023. It was done by the author and the peer review of the electronic search strategies (PRESS); a checklist was taken into consideration during the search process <sup>(8)</sup>.

### Data extraction

The extracted data from the articles were collected and then sorted by using a Microsoft Office Word software sheet (Microsoft Corporation, Microsoft Office Word, 2019. Redmond, Washington, USA). According to the scoping review methodology, an assessment of the quality, risk of bias, of the included studies was not performed <sup>(6,7)</sup>.

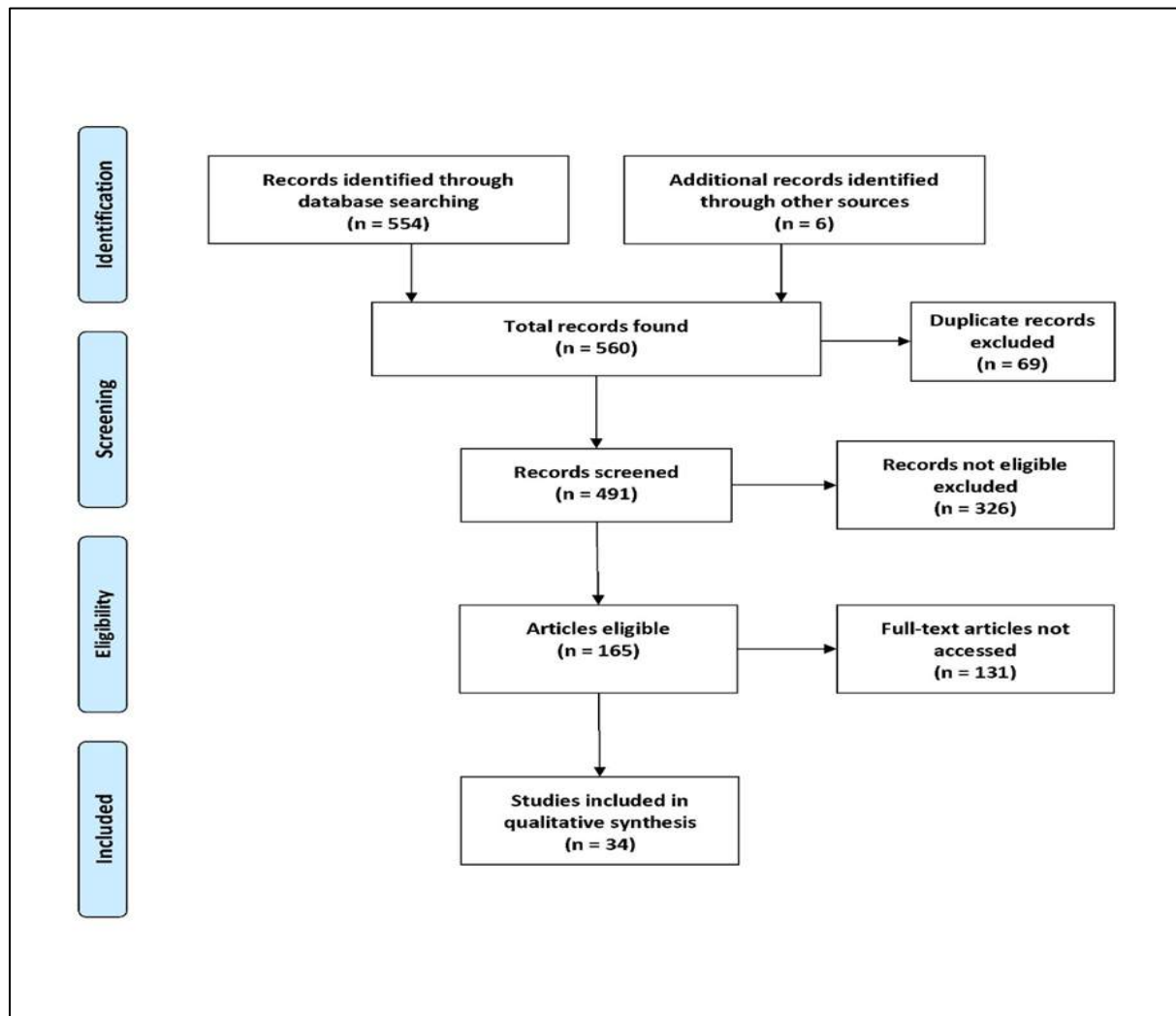
The retrieved data were divided into three parts: limitation of the normal movements, movement beyond the normal limits, and movement in the non-physiological planes:

### Limitation of the normal movements

Limitation of joint movement is a general term used to describe any incomplete joint movement within a physiological plane and standard limits for that specific joint. It is the inability to complete the usual movement within the usual plane and the usual limits. It may be

due to pain from any cause of inflammation, trauma, infection, ..., or loss of the ability to actively do a movement by any of the neuro-muscular-tendinous disorders. There are other terms used to describe the limitation of joint movement but in specific conditions. Paralysis is the term used to describe the inability to perform the movement(actively) from a neurological cause<sup>(9)</sup>. Stiffness is the term used

to describe the inability to complete a particle joint movement both actively and passively. It may be due to congenital problems such as arthrogyrosis and synostosis, or acquired from a post-traumatic and post-operative sequel, chronic inflammatory disorders, degenerative disorders, or tumors. Locking is the term applied to the sudden inability to complete a particular movement from a mechanical block<sup>(9)</sup>.



**Figure (1): The flow diagram for the search process.**

The ROM is recorded in degrees of a circle, with the joint as its center. It starts from the neutral or the extended anatomical position of the joint, a starting position, which is designated to be the zero degree, rather than the 180 degrees. Then the degrees are added in the direction in which the joint moves and finishes where movement stops due to anatomical

limitation<sup>(10)</sup>. For accuracy, it is ideal to measure the ROM objectively with a goniometer. For example, 'knee flexion 0–140 degrees mean that the range of flexion is from zero, the straight knee, through an arc of 140 degrees, the leg making an acute angle with the thigh<sup>(10)</sup>.

## Movements beyond the normal limits

Joint hypermobility (JH) is a term commonly used to describe the ability to move a joint, passively and/or actively, in a physiological plane but beyond the usual limits of the age, gender, and race. Hence, it is a descriptive term for the increased ROM rather than a diagnostic term for a disease or a syndrome. It may exist as a separate diagnostic result but often a feature of a larger syndromic diagnosis<sup>(11,12)</sup>. Synonyms of JH include joint hyperlaxity, joint hyperextensibility, loose joints, and double-jointedness<sup>(11)</sup>. It occurs due to excessive laxity of the constraining soft tissues especially the capsule-ligament structures<sup>(5,13,14)</sup>. This excessive laxity occurs due to either a congenital disorder, or genetic defect affecting connective tissue matrix proteins which may occur as an isolated defect or part of an inherited disorder like Ehler-Danlos' syndrome, Marfan's syndrome, Down's syndrome, osteogenesis imperfecta, skeletal dysplasia as achondroplasia, ...<sup>(11)</sup>. It, however, could occur as an acquired one from training with stretching physical exercises<sup>(5,15)</sup>, widespread inflammatory or degenerative diseases of the joints<sup>(11,16)</sup>, neuromuscular disorders as Ullrich congenital muscular dystrophy, congenital muscular dystrophy with joint hyperlaxity<sup>(17,18)</sup>, or endocrinal as hypothyroidism<sup>(11)</sup>.

Joint hypermobility may be localized, observed in less than five joints<sup>(11)</sup>, generalized, in five or more joints, usually symmetrical in four limbs and axial skeleton, peripheral, observed in hands and/or feet of infants and children, or historical in adults who lost their JH<sup>(19,20)</sup>.

## Movement in the non-physiological planes

Joint instability is a term used to describe the liability of a joint to move in an unusual plane, subluxation or dislocation, during usual life activities. It is mainly used as a patient's complaint or symptom when feeling the joint to

be insecure, such as the give way of the knee joint. The term joint laxity is used during the clinical examination (sign) when a joint is found to be liable for a movement passively in an unusual plane by performing special tests<sup>(11)</sup>. It occurs due to a deficiency in one of the supporting structures of the joint, like bones, ligaments, and muscles. It may result from congenital, developmental, and hereditary disorders (a primary bone defect like glenoid dysplasia in shoulder instability, trochlear dysplasia in patellofemoral instability, and generalized JH from excessive ligamentous laxity)<sup>(21-24)</sup>, or acquired sequel of trauma (limb bone malalignment, torn ligament, tendon insufficiency, ...), repeated movement or overuse over time, and sequel of neuromuscular disorders<sup>(25,26)</sup>. It is localized in most of the cases but may be generalized (only in generalized JH cases).

## DISCUSSION

Unawareness of the different abnormalities that occur in joint kinematics and the terms used to describe them may lead to misunderstanding among the medical personnel. The current study aims to increase awareness about these terms, which are used ambiguously nowadays, and the current agreement on their applications.

### Limitation of joint movements

Patients often have difficulty in distinguishing the type and cause of limitation of movement. They may use the term stiffness for all kinds of limitations of joint movements. Stiffness should never be assumed until verified by examination. Using the goniometer is essential for the ideal estimate of the ROM angle of a joint. However, with practice, it may be possible to estimate the angles, to an acceptable extent, by eyeballing<sup>(9)</sup>. Furthermore, the goniometer may not be available nearby all the time. Describing the range of movement accurately may be difficult. Although it is ideal to cite or span the ROM, since the beginning till

the end, in degrees <sup>(9)</sup>, it may be difficult to interpret the degree of limitation by degrees for all persons. For example, if there was a limitation of elbow flexion and the ROM was 115 degrees, it may be difficult to imagine the magnitude of the present limitation by everyone, even if it was supplemented by the normal range reference. Terms such as 'full', 'good', 'limited', and 'poor' may be misleading. Hence, there is a need for a grading system for the limitation of joint movement. The author of the present study suggests a subjective grading based on objective measurements depending on how much the normal ROM is deficient: mild (less than 25%), moderate (25-75%), severe (more than 75%) permitting for little movement, and complete (100%), no movement occurs.

Since most of the human body joints are working together in a synchronized manner, the functional sequel of a single joint stiffness may be buffered by the others. Therefore, a single joint stiffness, even if it is severe or ankylosed or fused, might not have a serious impact on daily life activities provided the nearby surrounding joints are normal or have a considerable ROM. If multiple joints are affected, the additive effect, even of a mild degree, subsequently may cause a significant impact on the function of an extremity or a body region.

### Joint hypermobility

The abnormality of joint kinematics can be diagnosed when the obtained ROM is compared with the normal parameters for that joint, sex, age, and race. In general, the joints have higher angular values in females than males, young especially children, and adolescents more than in old adults <sup>(27)</sup>. Negroes and Asian backgrounds are generally more hypermobile than Caucasians <sup>(12,28)</sup>. Some synonymous terms were used for JH like joint hyperlaxity and joint hyperextensibility. The first one cannot be used for pure clinical description because it gives a clue about the nature of pathology which is the

excessive ligamentous laxity, and the second one gives a clinical description for one direct of JH which is hyperextension which may not be true for all the joints. The terms like loose joints and double jointedness seem to be non-academic.

### Joint hypermobility and joint hypermobility syndrome

The term joint hypermobility syndrome (JHS) is used to describe connective tissue disorder involving mainly the joint capsule-ligament structure and characterized by chronic musculoskeletal clinical features, symptoms and signs, due to JH <sup>(29)</sup>. It may be in a localized, generalized, peripheral, or historical form. It is sometimes referred to by different other terms like hypermobility spectrum disorder (HSD), benign joint hypermobility syndrome (BJHS), and benign hypermobility syndrome (BHS) <sup>(5,13,19,20,28,30)</sup>. The Beighton Scoring System measures joint hypermobility on a 9-point scale, while the Brighton diagnostic criteria, major and minor, is used to diagnose JH syndrome <sup>(31-35)</sup>. The term syndromic JH is used when at least a second tissue/structure other than the joint capsule-ligament structure is involved like connective tissue disorders, such as Ehlers-Danlos syndrome, Marfan's Syndrome, osteogenesis imperfecta, skeletal dysplasia, such as Larsen syndrome, spondyloepimetaphyseal dysplasia, hereditary myopathies, such as Bethlem myopathy, chromosomal and genomic disorders, (such as Down's syndrome <sup>(5,11,35)</sup>).

### Joint instability, joint hypermobility, and joint laxity

Joint instability and JH have been used in the past as synonyms. However, by inference, they prelude to different forms of joint kinematics abnormalities. Hypermobile joints may also be unstable and lax, but not all unstable/lax joints are hypermobile <sup>(11,12)</sup>. Clinical symptoms and signs are the first clues to differentiate between

them. Joint instability usually presents with certain characteristic symptoms like recurrent attacks of a particular joint dislocation, such as shoulder and patella-femoral joint, recurrent attacks of pain around the affected joint with certain activities<sup>(35)</sup>, abnormal sounds as clunks or crepitations may be heard or felt<sup>(25,35,37)</sup>, and decrease joint, and limb, function also known as activity-limiting symptoms as in shoulder, dead arm syndrome<sup>(35,38)</sup>. Abnormal signs may be found during the clinical examination of a joint by performing drawer or stress tests, such as of elbow and knee. The term joint laxity is used to describe these abnormal clinical findings<sup>(11)</sup>. Investigations like imaging by doing radiological stress views and MRI are usually valuable and diagnostic. Arthroscopy may also be valuable in some cases of joint instability<sup>(39,40)</sup>. Joint instability usually disturbs the usual life and ends with early degenerative changes and osteoarthritis due to biomechanical disturbance in the joint<sup>(11,41-43)</sup>.

## CONCLUSION

Limitation of joint movement is the term used to describe any incomplete joint movement within a physiological plane and standard limits. Joint hypermobility is the term used to describe the ability to do a movement joint in a physiological plane but beyond the usual limits. Joint instability is the term used to describe the liability of a joint to move in non-physiological planes.

## REFERENCES

1. Snell RS. Clinical anatomy by regions, 9<sup>th</sup> ed. Philadelphia, PA: Lippincott Williams and Wilkins; 2012. pp 1-33.
2. Beggs JS. Kinematics. New York: Hemisphere Publishing Corp;1983. pp 1-18.
3. Dorland's illustrated medical dictionary, 32nd edition. Philadelphia, PA: Elsevier-Saunders; 2012.
4. Chung M, Wang M. The effect of age and gender on joint range of motion of worker population in Taiwan. International Journal of Industrial Ergonomics 2009;39(4):596-600. DOI: [10.1016/j.ergon.2007.11.004](https://doi.org/10.1016/j.ergon.2007.11.004)
5. Grahame R. Joint hypermobility and genetic collagen disorders: are they related? Arch Dis Child 1999;80:188-191.
6. Tricco AC, Lillie E, Zarin W, et al. PRISMA Extension for Scoping Reviews (PRISMA-ScR): Checklist and Explanation. Ann Intern Med. 2018;169(7):467-473. doi:10.7326/M18-0850
7. Peters MD, Godfrey CM, Khalil H, McInerney P, Parker D, Soares CB. Guidance for conducting systematic scoping reviews. Int J Evid Based Healthc. 2015;13(3):141-146. doi:10.1097/XEB.0000000000000050
8. McGowan J, Sampson M, Salzwedel DM, Cogo E, Foerster V, Lefebvre C. PRESS Peer Review of Electronic Search Strategies: 2015 Guideline Statement. J Clin Epidemiol. 2016;75:40-46. doi:10.1016/j.jclinepi.2016.01.021
9. Solomon L, Wakeley C. T. Orthopaedic diagnosis. In: Solomon L, Warwick D, Nayagam S, editors. Apley's System of Orthopedics and Fractures, 9<sup>th</sup> edition. London: Hodder Arnold; 2010. pp 3-28.
10. Herring JA. Developmental dysplasia of the hip. Chapter 16 in Tachdjian's Pediatric Orthopaedics: From the Texas Scottish Rite Hospital for Children, 5th Edition. Philadelphia, PA: Elsevier Saunders; 2014. pp 483-535.
11. Castori M, Tinkle B, Levy H, Grahame R, Malfait F, Hakim A. A framework for the classification of joint hypermobility and related conditions. Am J Med Genet C Semin Med Genet. 2017;175(1):148-157. doi:10.1002/ajmg.c.31539
12. Tofts LJ, Elliott EJ, Munns C, Pacey V, Sillence DO. The differential diagnosis of

- children with joint hypermobility: a review of the literature. *Pediatr Rheumatol Online J.* 2009;7:1. Published 2009 Jan 5. doi:10.1186/1546-0096-7-1
13. Everman DB, Robin NH. Hypermobility syndrome. *Pediatr Rev.* 1998;19(4):111-117. doi:10.1542/pir.19-4-111
14. Bird HA. Joint hypermobility in children. *Rheumatology (Oxford)* 2005;44:703–704.
15. Tinkle BT. Symptomatic joint hypermobility. *Best Pract Res Clin Rheumatol.* 2020;34(3):101508. doi:10.1016/j.berh.2020.101508
16. Punzi L, Pozzuoli A, Pianon M, Bertazzolo N, Oliviero F, Scapinelli R. Pro-inflammatory interleukins in the synovial fluid of rheumatoid arthritis associated with joint hypermobility. *Rheumatology (Oxford).* 2001;40(2):202-204. doi:10.1093/rheumatology/40.2.202
17. Voermans NC, Bonnemann CG, Hamel BC, Jungbluth H, van Engelen BG. Joint hypermobility as a distinctive feature in the differential diagnosis of myopathies. *J Neurol.* 2009;256(1):13-27. doi:10.1007/s00415-009-0105-1
18. Donkervoort S, Bonnemann CG, Loeys B, Jungbluth H, Voermans NC. The neuromuscular differential diagnosis of joint hypermobility [published correction appears in *Am J Med Genet C Semin Med Genet.* 2016 Jan;170A(1):285-6]. *Am J Med Genet C Semin Med Genet.* 2015;169C(1):23-42. doi:10.1002/ajmg.c.31433
19. Juul-Kristensen B, Røgind H, Jensen DV, Remvig L. Inter-examiner reproducibility of tests and criteria for generalized joint hypermobility and benign joint hypermobility syndrome. *Rheumatology (Oxford).* 2007;46(12):1835-1841. doi:10.1093/rheumatology/kem290
20. Hakim A, Grahame R. Joint hypermobility. *Best Pract Res Clin Rheumatol.* 2003;17(6):989-1004. doi:10.1016/j.berh.2003.08.001
21. Abboud JA, Bateman DK, Barlow J. Glenoid Dysplasia. *J Am Acad Orthop Surg.* 2016;24(5):327-336. doi:10.5435/JAAOS-D-15-00032
22. Bollier M, Fulkerson JP. The role of trochlear dysplasia in patellofemoral instability. *J Am Acad Orthop Surg.* 2011;19(1):8-16. doi:10.5435/00124635-201101000-00002
23. Schenk TJ, Brems JJ. Multidirectional instability of the shoulder: pathophysiology, diagnosis, and management. *J Am Acad Orthop Surg.* 1998;6(1):65-72. doi:10.5435/00124635-199801000-00007
24. Wolfe S, Varacallo M, Thomas JD, Carroll JJ, Kahwaji CI. Patellar Instability. In: *StatPearls.* Treasure Island (FL): StatPearls Publishing; August 16, 2020. Available from: <https://www.ncbi.nlm.nih.gov/books/NBK482427/>
25. Solomon L, Karachalios T. The knee. In: Solomon L, Warwick D, Nayagam S, editors. *Apley's System of Orthopedics and Fractures*, 9<sup>th</sup> edition. London: Hodder Arnold; 2010. pp 547-585.
26. Wilder RP, Sethi S. Overuse injuries: tendinopathies, stress fractures, compartment syndrome, and shin splints. *Clin Sports Med.* 2004;23(1):55-vi. doi:10.1016/S0278-5919(03)00085-1
27. Clinch J, Deere K, Sayers A, et al. Epidemiology of generalized joint laxity (hypermobility) in fourteen-year-old children from the UK: a population-based evaluation. *Arthritis Rheum.* 2011;63(9):2819-2827. doi:10.1002/art.30435
28. Ahlberg A, Moussa M, Al-Nahdi M. On geographical variations in the normal range of joint motion. *Clin Orthop Relat Res.* 1988;(234):229-231.

29. Kumar B, Lenert P. Joint Hypermobility Syndrome: Recognizing a Commonly Overlooked Cause of Chronic Pain. *Am J Med.* 2017;130(6):640-647. doi:10.1016/j.amjmed.2017.02.013
30. Engelbert RH, Bank RA, Sakkers RJ, Helden PJ, Beemer FA, Uiterwaal CS. Pediatric generalized joint hypermobility with and without musculoskeletal complaints: a localized or systemic disorder?. *Pediatrics.* 2003;111(3):e248-e254. doi:10.1542/peds.111.3.e248
31. Czaprowski D, Sitarski D. Generalized Joint Hypermobility – Diagnosis and Physiotherapy. *J Nov Physiother.* 2016;6:302. doi:10.4172/2165-7025.1000302
32. Grahame R, Bird HA, Child A. The revised (Brighton 1998) criteria for the diagnosis of benign joint hypermobility syndrome (BJHS). *J Rheumatol.* 2000;27(7):1777-1779.
33. Beighton P, Solomon L, Soskolne CL. Articular mobility in an African population. *Ann Rheum Dis.* 1973;32(5):413-418. doi:10.1136/ard.32.5.413
34. Beighton P, De Paepe A, Steinmann B, Tsipouras P, Wenstrup RJ. Ehlers-Danlos syndromes: revised nosology, Villefranche, 1997. Ehlers-Danlos National Foundation (USA) and Ehlers-Danlos Support Group (UK). *Am J Med Genet.* 1998;77(1):31-37. doi:10.1002/(sici)1096-8628(19980428)77:1<31::aid-ajmg8>3.0.co;2-o
35. Juul-Kristensen B, Schmedling K, Rombaut L, Lund H, Engelbert RH. Measurement properties of clinical assessment methods for classifying generalized joint hypermobility - A systematic review. *Am J Med Genet C Semin Med Genet.* 2017;175(1):116-147. doi:10.1002/ajmg.c.31540
36. Cole A, Pavlou P. The shoulder and pectoral girdle. In: Solomon L, Warwick D, Nayagam S, editors. *Apley's System of Orthopedics and Fractures*, 9<sup>th</sup> edition. London: Hodder Arnold; 2010. pp 337-368.
37. Warwick D. The elbow and forearm. In: Solomon L, Warwick D, Nayagam S, editors. *Apley's System of Orthopedics and Fractures*, 9<sup>th</sup> edition. London: Hodder Arnold; 2010. pp 369-381.
38. Garcia JA, Arguello AM, Momaya AM, Ponce BA. Sternoclavicular Joint Instability: Symptoms, Diagnosis And Management. *Orthop Res Rev.* 2020;12:75-87. Published 2020 Jul 28. doi:10.2147/ORR.S170964
39. Goodwin D, Dynin M, Macdonnell JR, Kessler MW. The role of arthroscopy in chronic elbow instability. *Arthroscopy.* 2013;29(12):2029-2036. doi:10.1016/j.arthro.2013.08.016
40. Bari AA, Kashikar SV, Lakhkar BN, Ahsan MS. Evaluation of MRI versus arthroscopy in anterior cruciate ligament and meniscal injuries. *J Clin Diagn Res.* 2014;8(12):RC14-RC18. doi:10.7860/JCDR/2014/10980.5331
41. Blalock D, Miller A, Tilley M, Wang J. Joint instability and osteoarthritis. *Clin Med Insights Arthritis Musculoskelet Disord.* 2015;8:15-23. Published 2015 Feb 19. doi:10.4137/CMAMD.S22147
42. Nayagam S. Injuries of the knee and leg. In: Solomon L, Warwick D, Nayagam S, editors. *Apley's System of Orthopedics and Fractures*, 9<sup>th</sup> edition. London: Hodder Arnold; 2010. pp 875-905.
43. Delincé P, Ghafil D. Anterior cruciate ligament tears: conservative or surgical treatment? A critical review of the literature. *Knee Surg Sports Traumatol Arthrosc.* 2012;20(1):48-61. doi:10.1007/s00167-011-1614-x.

# Usefulness of Phase Sensitive Inversion Recovery MRI Sequence in the Detection of Cortical Lesions in Multiple Sclerosis

Rezq Ahmed Shakir<sup>1</sup>, Haider N. Al-Tameemi<sup>2</sup>, Hayder K. Hasson<sup>3</sup>, Zahraa Ayad Jaber<sup>4</sup>, Raaed Hamza Jawad<sup>5</sup>, and Haider Abd AlRouda Jassim<sup>6</sup>

<sup>1,4,5,6</sup> Middle Euphrates Neuroscience Center, Alnajaf Health Directorate, Iraq.

<sup>2,3</sup> Middle Euphrates Neuroscience Center, University of Kufa, Faculty of Medicine, Iraq.

E-mail: [haidern.altameemi@uokufa.edu.iq](mailto:haidern.altameemi@uokufa.edu.iq)

## Abstract

**Background:** The presence of cerebral cortical lesions in multiple sclerosis has an important clinical impact on the prognosis of the disease and associated disability. However, the accurate detection of cortical lesions using conventional magnetic resonance imaging sequences remains challenging. The study aims to assess the value of phase-sensitive inversion recovery sequence in the detection of cortical lesions in multiple sclerosis patients and to evaluate their relation with clinical subtypes, duration, and clinical disability of the disease. **Patients and Methods:** Seventy cases, 51 females and 19 males, of multiple sclerosis, confirmed by McDonald criteria, were enrolled in this cross-sectional study and phase-sensitive inversion recovery images, axial and coronal sections, were obtained for each patient in every MRI session. Cortical lesions were subclassified into intracortical, leukocortical, and juxtacortical. Clinical disability was assessed using the extended disability status scale. The number of detected cortical lesions on phase-sensitive inversion recovery images was calculated and compared with that detected on conventional T2-weighted and fluid-attenuated inversion recovery images. **Results:** The number of cortical lesions detected on phase-sensitive inversion recovery was lesser compared to the T2-weighted sequence, a total of 1151 versus 1258 lesions respectively. The T2-weighted sequence was significantly better in the detection of leukocortical and juxtacortical. On the other hand, phase-sensitive inversion recovery was better than fluid-attenuated inversion recovery in detecting intracortical, while fluid-attenuated inversion recovery was better in detecting juxtacortical, and both sequences detected the same number of leukocortical lesions. The overall number of detected cortical lesions showed a statistically significant correlation only with the extended disability status scale and not with the clinical subtype or duration of multiple sclerosis.

**Conclusion:** phase-sensitive inversion recovery detected more intracortical lesions and fewer juxtacortical lesions than fluid-attenuated inversion recovery, cortical lesions were significantly correlated with the degree of clinical disability of multiple sclerosis.

**Keywords:** Brain, Cortical, Inversion Recovery, Magnetic Resonance Imaging, Multiple Sclerosis.

## Article Information

Received: January,13 2024; May 17 2024; Online: June, 2024

## INTRUCTION

Multiple sclerosis (MS) is a global problem, with rising incidence both worldwide (1) as well as in Iraq (2). The symptoms of MS are tremendously diverse and depend on the severity and location of lesions within the CNS

(3,4). MS is a clinical diagnosis but the McDonald criteria incorporating magnetic resonance imaging (MRI) have been established (5) while diagnostic criteria combining clinical, imaging, and laboratory evidence have evolved (6). MRI is of utmost importance in the

diagnosis of MS and is particularly helpful in excluding other pathologies or showing features suggesting alternative diagnoses (7,8). The T2-weighted (T2W) and fluid-attenuated inversion recovery (FLAIR) as well as contrast-enhanced T1-weighted (T1W) are most commonly and routinely utilized sequences in the imaging evaluation of MS (9, 10). The white matter (WM) lesions characteristic of MS do not represent the whole pathology of MS (11). There was radiological and pathological evidence of the presence of the so-called cortical lesions (CL) that were found to be closely associated with cognitive impairment independent of WM lesions (12,13). However, unlike WM lesions, not all these CLs can be depicted using conventional MRI sequences (12, 14).

Therefore, there was a need for a feasible imaging technique to detect CLs with reasonable accuracy. A double inversion recovery (DIR) sequence has been utilized for this mission (15) by suppressing both WM and cerebrospinal fluid signals, hence improving the conspicuity of demyelinating plaques (16, 17). However, the DIR sequence is generally susceptible to image artifacts and both false-negative and false-positive results with a low signal-to-noise ratio (18). Another T1-W MRI sequence, the phase sensitive inversion recovery (PSIR), has also been used for better detection of CLs (19), utilizing its advantages of the wider range of signal intensity resulting from the combination of both negative and positive longitudinal magnetization, with consequent

higher contrast resolution with lesser acquisition time (1:30 minutes for whole brain) than DIR, FLAIR and routine T1-W FSE sequences (19, 20, 21). However, most of the conducted studies utilized high-strength MRI machines (3-7 Tesla), which are generally scarce in our region and not feasible like the conventional 1.5 Tesla devices.

Therefore, the current study was conducted to evaluate the accuracy of the PSIR sequence in detecting cerebral CLs in MS patients using a 1.5 T machine commonly utilized and readily available MRI machine for daily clinical practice in our neuroscience center and regional hospitals. The study also aimed to assess the relation of CLs with the subtypes, duration, and clinical disability of the disease.

## PATIENTS AND METHODS

This cross-sectional diagnostic study was conducted on 70 MS patients at Middle Euphrates Neuroscience Center, AL-Sadir Medical City in Najaf Province – Iraq, during a period of one year from January 2021 to January 2022. According to the inclusion and exclusion criteria, patients with a confirmed diagnosis of MS using McDonald criteria (2017) (6) of both genders and their age above 10 years were included. However, patients with the presence of any of the following were excluded: MRI contraindications, past medical history of neurological, major medical or neuropsychiatric illnesses; failure to complete the MRI exam, or

missing of one or several sequences; significant artifact and refusal to participate in the study.

The study was approved by the Institutional Review Board and informed oral agreement was taken from all patients for acceptance to participate in the study.

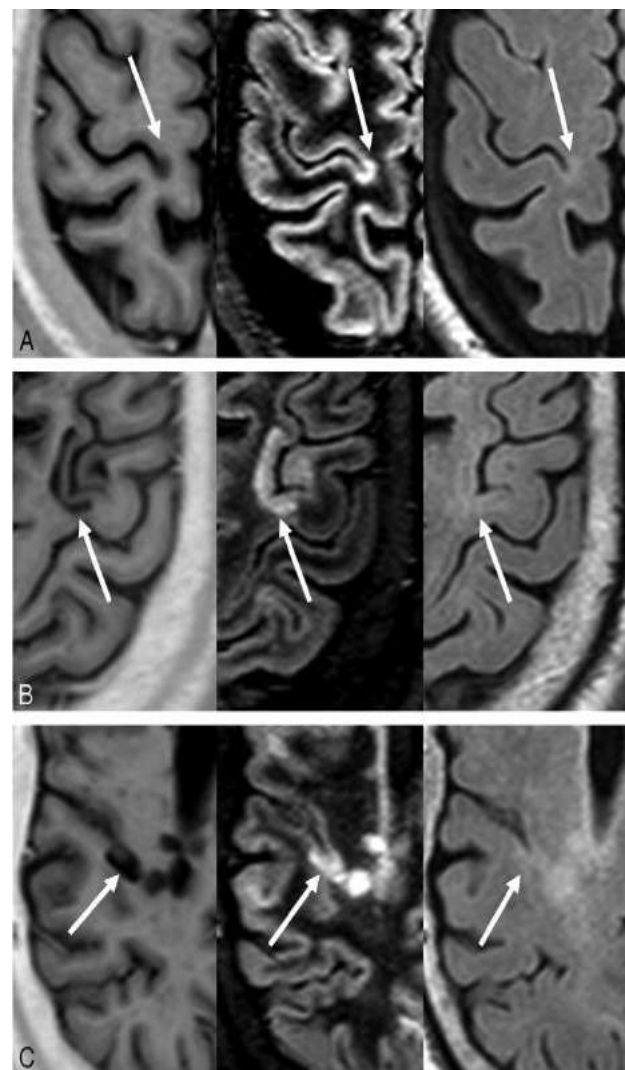
Clinical data including age, gender, medical history, type and duration of MS, drugs used by the patients, and expanded disability status scale (EDSS) were obtained from the registry and history.

Due to the imaging protocol and analysis, all examinations were conducted using a 1.5 T MRI machine (Achieve Philips, Netherlands, 2011). The PSIR sequence was performed for each patient as part of routine MRI sequences. The routine examination also included conventional T1-W, T2W-TSE, and FLAIR. A board-certified specialist radiologist, with more than 13 years of experience in neuroradiology, interpreted the T2-W-TSE, FLAIR, and PSIR images. CLs were subclassified into three locations: intracortical (IC), when they are confined to the GM; leukocortical (LC), when lesions involve both grey and white matters of the cortex; and juxtacortical (JC) when lesions involve the subcortical U-fibers (figure 1) (21). The total number of CLs in each cerebral region was assessed separately on the T2-W-TSE, FLAIR, and PSIR sequences respectively.

## STATISTICAL ANALYSIS

The statistical analysis of the data was carried out by using SPSS-27 (IBM Inc., Chicago, IL,

USA for Windows ®); the data were presented in frequency, percentage, mean, and standard deviation. The significance of difference was tested by using the students-t-test for two independent means, Paired-t-test for paired observations, two dependent means, or ANOVA test for more than two independent means. P-values less than (0.05) were considered statistically significant.



**Figure 1. Classification of cortical lesions according to the location into intracortical (A), leukocortical (mixed) (B), and juxtacortical (C) (Adapted from Nelson F et al (19) with permission from American Society of Neuroradiology).**

## RESULTS

This study included a total of 70 MS patients, with a mean age was (31.1± 10.1) years, females (72.9%) more than males (27.1%), with a mean duration of MS of (4.1 ± 4) years. The most common treatment used was Betaferon, (29 41.4 %). Remitting relapsing (RR) type was the most common type of MS

(71.4 %), followed by clinically isolated syndrome (CIS) (13 patients, 18.6 %), and the least was primary relapsing (PR), only one patient, 1.4%. Most of the patients (57, 81.5%) had a mild disease with EDSS of less than three (Table 1).

**Table 1. General characteristics and MS variables of the study sample.**

| Variable                   |                              | Number | %    |
|----------------------------|------------------------------|--------|------|
| Gender                     | Male                         | 19     | 27.1 |
|                            | Female                       | 51     | 72.9 |
| Age (years)                | ≤ 20                         | 12     | 17.1 |
|                            | 21-30                        | 24     | 34.3 |
|                            | 31-40                        | 19     | 27.2 |
|                            | > 40                         | 15     | 21.4 |
| Treatment                  | Betaferon                    | 29     | 41.4 |
|                            | Tysabri                      | 17     | 24.3 |
|                            | Rebif                        | 14     | 20   |
|                            | Gelenia                      | 7      | 10   |
|                            | Avonex                       | 2      | 2.9  |
|                            | Rituximab                    | 1      | 1.4  |
| Type of multiple sclerosis | Remitting relapsing          | 50     | 71.4 |
|                            | clinically isolated syndrome | 13     | 18.6 |
|                            | Primary progressive          | 4      | 5.7  |
|                            | Secondary progressive        | 2      | 2.9  |
|                            | primary relapsing            | 1      | 1.4  |
| Duration (years)           | 1_5                          | 54     | 77.1 |
|                            | 6_10                         | 10     | 14.3 |
|                            | > 10                         | 6      | 8.6  |
| EDSS                       | < 3                          | 57     | 81.5 |
|                            | ≥ 3                          | 13     | 18.5 |

EDSS-Expanded disability status scale.

The total number of IC lesions, detected on T2-W sequence was more than on PSIR sequence, 123 versus 119 respectively, but without statistical difference ( $P=0.49$ ). Regarding LC lesions, a total number of plaques were detected more on T2-W than PSIR sequences (292 versus 228 respectively) with statistically significant difference (p value 0.0001). Regarding JC lesions, more lesions were detected using the T2-W sequence than PSIR, 870 versus 804 respectively, with statistically significant difference, p value of 0.004. (Table 2).

Compared to FLAIR, a higher number of IC plaques were detected by using PSIR images, 50 versus 20 respectively, with a statistically significant difference (p-value 0.001), more JC lesions were seen on FLAIR than PSIR, 624 versus 580 respectively, with a statistically significant difference (p-value 0.003) while both FLAIR and PSIR sequences found a similar number of LC lesions, 147 for each. (Table 3).

**Table 2: Number of cortical lesions detected on T2W and PSIR sequences.**

| Location       | IC    |       | LC     |       | JC    |       |
|----------------|-------|-------|--------|-------|-------|-------|
|                | T2-W  | PSIR  | T2-W   | PSIR  | T2-W  | PSIR  |
| Frontal lobe   | 63    | 55    | 119    | 95    | 399   | 378   |
| Temporal lobe  | 6     | 5     | 37     | 27    | 58    | 38    |
| Parietal lobe  | 49    | 53    | 93     | 78    | 248   | 245   |
| Occipital lobe | 5     | 6     | 43     | 28    | 165   | 143   |
| Mean           | 1.76  | 1.70  | 4.17   | 3.26  | 12.43 | 11.49 |
| Std. Deviation | 3.241 | 2.634 | 3.538  | 3.855 | 8.050 | 9.002 |
| Total          | 123   | 119   | 292    | 228   | 870   | 804   |
| P Value        | 0.49  |       | 0.0001 |       | 0.004 |       |

IC=intracortical; LC=leukocortical; JC=juxtacortical; T2-W=T2-weighted; PSIR=phase sensitive inversion recovery.

**Table 3: Number of plaques detected on FLAIR and PSIR sequences.**

| Location       | IC    |       | LC    |       | JC    |       |
|----------------|-------|-------|-------|-------|-------|-------|
|                | FLAIR | PSIR  | FLAIR | PSIR  | FLAIR | PSIR  |
| Frontal lobe   | 9     | 26    | 61    | 58    | 269   | 246   |
| Temporal lobe  | 0     | 5     | 32    | 28    | 55    | 51    |
| Parietal lobe  | 9     | 16    | 36    | 48    | 181   | 170   |
| Occipital lobe | 2     | 3     | 18    | 13    | 119   | 113   |
| Mean           | 0.10  | 0.25  | 0.74  | 0.74  | 3.12  | 2.90  |
| Std. Deviation | 0.481 | 0.671 | 1.044 | 1.354 | 2.806 | 2.855 |
| Total          | 20    | 50    | 147   | 147   | 624   | 580   |
| P Value        | 0.001 |       | 0.277 |       | 0.003 |       |

IC=intracortical; LC=leukocortical; JC=juxtacortical; FLAIR=fluid attenuated inversion recovery; PSIR=phase sensitive inversion recovery.

The total number of the detected CLs was statistically correlated with EDDS for all locations: IC, LC, and the JC lesions. However, it was most significant for IC lesions (p values of <0.001, 0.004 and 0.02 respectively) while no statistically significant correlation (p values > 0.05) was found with age, gender, duration of the disease, type of the treatment and type of MS (Table 3).

**Table 3: Correlation between cortical lesions and study variables.**

|                            | Intracortical lesions | Leukocortical lesions | Juxtacortical lesions |
|----------------------------|-----------------------|-----------------------|-----------------------|
|                            | P value               | P value               | P value               |
| Age                        | 0.515                 | 0.974                 | 0.473                 |
| Gender                     | 0.683                 | 0.671                 | 0.927                 |
| Duration                   | 0.887                 | 0.694                 | 0.673                 |
| Treatment                  | 0.171                 | 0.269                 | 0.141                 |
| Type of multiple sclerosis | 0.634                 | 0.633                 | 0.810                 |
| *EDSS                      | <0.001                | 0.004                 | 0.020                 |

EDSS-Expanded disability status scale.

## DISCUSSION

Cortical lesions in MS have an impact on neurological and cognitive functions; their diagnosis plays an important role in predicting the disease prognosis (22). However, CLs are difficult to visualize by conventional MRI sequences (23) and clinical implications and value for follow-up is not yet established (24, 25). PSIR provides a better grey matter/white matter contrast and differentiation than the T1-W sequence (18, 19, 22) and was applied in the evaluation of neoplastic space-occupying lesions whether extra-axial or intra-axial and in the detection of what is called "black hole" lesions (26, 27). The present study was conducted to compare the accuracy of PSIR sequence with conventional MRI sequences (T2-W and FLAIR) in the detection of CLs in MS patients using a 1.5 Tesla MRI scanner. PSIR images were more capable in the detection of IC lesions compared to the FLAIR images, which is consistent Hashemi et al's study which found a significantly higher number of IC and LC plaques by using the PSIR sequence compared to FLAIR sequence(18)

Using 3 Tesla scanner MRI, studies have confirmed the same results with a higher number of CLs detected by PSIR sequence compared to FLAIR (16, 19, 20), with the advantage of improved classification of CLs subtypes(21)

The current study has shown no significant difference between PSIR sequence and T2-W in detecting IC lesions. However, other types of lesions like LC and JC were detected more on FLAIR and T-W images than PSIR sequences. This discrepancy between this study's results and other studies can be attributed to several factors: firstly; many lesions in the JC region are WM lesions rather than genuine cortical lesions which are easier to detect on FLAIR and T2W-TSE sequences similar to what was found by Wattjes et al study (28). Secondly, this discrepancy is related to the efficiency of MRI device used as significantly

higher numbers of CLs were detected using 3T MRI devices (29) and except for Hashemi et al (18), all previous studies were done by using the higher strength (3-7 Tesla) MRI devices. Despite the higher detectability of the CLs in T2-W sequence, this could be attributed to the fact that lesions detected on PSIR would be more reflective of the actual clinical situation because the hypointensity on T1-W images is thought to be more important than the hyperintensity seen on T2-W images during the follow-up of MS disease progression. Those T1-W hypointense lesions are potentially independent parameter of MS pathological process (Error! Reference source not found.) and correlated with the degree of pathological severity (30). Moreover, the hyperintense lesions detected on T2-W images in MS may be overestimated due to the associated Wallerian degeneration (31, 32) in addition to the non-significant high T2-W signals incidentally found in healthy subjects (33) and various migraine and vascular headaches (34).

Studies have found an association between the presence of CLs and clinical disability (26, 35). In the current study, the overall number of plaques seen was statistically correlated to the severity of the disease reflected by EDSS for all locations: IC, LC, and JC lesions, with the most significant correlation being with IC lesions. This supports the finding that IC plaques usually occur in the advanced stages of MS disease and their presence reflects the extent of clinical disability (36). Furthermore, Rovaris et al found that the cognitive function of MS patients, reflected by EDSS is markedly influenced by the severity and extent of cortical and subcortical MS lesions. (37)

This study has some limitations. Most of the included MS patients in this study were of RRMS

type, in which the probability of CL is thought to be lower compared to PPMS and SPMS (38). The true maturity of JC lesions that were better detected on T2-W and FLAIR could be related to the WM and genuine cortical lesions. All images were evaluated by a single radiologist, hence the interobserver variation could not be assessed. The long-term relationship between the clinical course of the disease and the CL burden could not be evaluated.

## CONCLUSIONS

The study has concluded that LC and JC lesions were detected more on T2-W than PSIR. There was no difference between T2-W and PSIR in the detecting IC lesions. LC was detected more on FLAIR than PSIR. There was no difference between FLAR and PSIR in the detection of LC lesions. IC lesions were detected more on PSIR than FLAIR. All subtypes of CL were statistically correlated with EDSS, most significantly for IC subtype. PSIR is as an adjuvant with T2-W and FLAIR sequences when a specific evaluation of IC location is required in regions where only the 1.5 Tesla strength MRI device is available. Future studies to investigate the value of PSIR in the progression of disease as well as in monitoring clinical response were recommended.

## REFERENCES

1. Hassoun HK, Al-Mahadawi A, Sheaheed NM, Sami SM, Jamal A, Allebban Z. Epidemiology of multiple sclerosis in Iraq: retrospective review of 4355 cases and literature review. *Neurol Res.* 2022 Jan;44(1):14-23
2. Filippi M, Bar-Or A, Piehl F, Preziosa P, Solari A, Vukusic S, Rocca MA. Multiple sclerosis. *Nat Rev Dis Primers.* 2018 Nov 8;4(1):43
3. Olek M J, Narayan D N, Frohman E M, Frohman T C,. Manifestations of

- multiple sclerosis in adults. UptoDate: Waltham, MA, USA, 2018.
4. Murray TJ. Diagnosis and treatment of multiple sclerosis. *Bmj*, 2006, 332:7540: 525-527.
  5. Thompson AJ, Banwell BL, Barkhof F, Carroll WM, Coetzee T, Comi G, et al. Diagnosis of multiple sclerosis: 2017 revisions of the McDonald criteria. *Lancet Neurol*. 2018 Feb;17(2):162-173.
  6. Brownlee WJ, Hardy TA, Fazekas F, Miller DH. Diagnosis of multiple sclerosis: progress and challenges. *Lancet*. 2017 Apr 1;389(10076):1336-1346.
  7. Wildner P, Stasiolek M, Matysiak M. Differential diagnosis of multiple sclerosis and other inflammatory CNS diseases. *Mult Scler Relat Disord*. 2020 Jan;37:101452.
  8. Klawiter EC. Current and new directions in MRI in multiple sclerosis. *Continuum (Minneap Minn)*. 2013 Aug;19(4 Multiple Sclerosis):1058-73 .
  9. Miller DH, Weinshenker BG, Filippi M, Banwell BL, Cohen JA, Freedman MS, et al. Differential diagnosis of suspected multiple sclerosis: a consensus approach. *Mult Scler*. 2008 Nov;14(9):1157-74.
  10. Montalban X, Hauser SL, Kappos L, Arnold DL, Bar-Or A, Comi G, et al. Ocrelizumab versus Placebo in Primary Progressive Multiple Sclerosis. *N Engl J Med*. 2017 Jan 19;376(3):209-220 .
  11. Dobson R, Giovannoni G. Multiple sclerosis - a review. *Eur J Neurol*. 2019 Jan;26(1):27-40 .
  12. Stys PK, Tsutsui S. Recent advances in understanding multiple sclerosis. *F1000Res*. 2019 Dec 13;8:F1000 Faculty Rev-2100.
  13. Cortese R, Collorone S, Ciccarelli O, Toosy AT. Advances in brain imaging in multiple sclerosis. *Ther Adv Neurol Disord*. 2019 Jun 27;12:1756286419859722.
  14. SETHI, Varun, et al. Improved detection of cortical MS lesions with phase-sensitive inversion recovery MRI. *Journal of Neurology, Neurosurgery & Psychiatry*, 2012, 83.9: 877-882.
  15. Sethi V, Yousry TA, Muhlert N, Ron M, Golay X, Wheeler-Kingshott C, Miller DH, Chard DT. Improved detection of cortical MS lesions with phase-sensitive inversion recovery MRI. *J Neurol Neurosurg Psychiatry*. 2012 Sep;83(9):877-82.
  16. Geurts JJ, Roosendaal SD, Calabrese M, Ciccarelli O, Agosta F, Chard DT, et al. MAGNIMS Study Group. Consensus recommendations for MS cortical lesion scoring using double inversion recovery MRI. *Neurology*. 2011 Feb 1;76(5):418-24 .
  17. Hashemi H, Mohammadzadeh M, Dianat M H, Azimi A R, Naghibi H, et al. Comparison of Phase-Sensitive Inversion Recovery and Conventional Magnetic Resonance Imaging for Detection of Cortical Plaques in MS Patients. *I J Radiol*. 2021;18(3):e112129 .
  18. Nelson F, Poonawalla AH, Hou P, Huang F, Wolinsky JS, Narayana PA. Improved identification of intracortical lesions in multiple sclerosis with phase-sensitive inversion recovery in combination with fast double inversion recovery MR imaging. *AJNR Am J Neuroradiol*. 2007 Oct;28(9):1645-9.
  19. Favaretto A, Poggiali D, Lazzarotto A, Rolma G, Causin F, Gallo P. The Parallel Analysis of Phase Sensitive Inversion Recovery (PSIR) and Double Inversion Recovery (DIR) Images Significantly Improves the Detection of Cortical Lesions in Multiple Sclerosis

- (MS) since Clinical Onset. *PLoS One*. 2015 May 26;10(5):e0127805.
20. Harel A, Ceccarelli A, Farrell C, Fabian M, Howard J, Riley C, Miller A, Lublin F, Inglese M. Phase-Sensitive Inversion-Recovery MRI Improves Longitudinal Cortical Lesion Detection in Progressive MS. *PLoS One*. 2016 Mar 22;11(3):e0152180.
  21. Abdelaziz M, Elnekeidy, May A, Kamal, Amr M, Elfatatry, Mahmoud L, Elskeikh. Added value of double inversion recovery magnetic resonance sequence in detection of cortical and white matter brain lesions in multiple sclerosis. *The Egyptian Journal of Radiology and Nuclear Medicine*, Volume 45, Issue 4, 2014: 1193-1199,
  22. Tallantyre EC, Morgan PS, Dixon JE, Al-Radaideh A, Brookes MJ, Morris PG, Evangelou N. 3 Tesla and 7 Tesla MRI of multiple sclerosis cortical lesions. *J Magn Reson Imaging*. 2010 Oct;32(4):971-7 .
  23. Schmierer K, Parkes HG, So PW, An SF, Brandner S, Ordidge RJ, Yousry TA, Miller DH. High field (9.4 Tesla) magnetic resonance imaging of cortical grey matter lesions in multiple sclerosis. *Brain*. 2010 Mar;133(Pt 3):858-67 .
  24. Beck ES, Sati P, Sethi V, Kober T, Dewey B, Bhargava P, Nair G, Cortese IC, Reich DS. Improved Visualization of Cortical Lesions in Multiple Sclerosis Using 7T MP2RAGE. *AJNR Am J Neuroradiol*. 2018 Mar;39(3):459-466.
  25. Treaba CA, Granberg TE, Sormani MP, Herranz E, Ouellette RA, Louapre C, Sloane JA, Kinkel RP, Mainero C. Longitudinal Characterization of Cortical Lesion Development and Evolution in Multiple Sclerosis with 7.0-T MRI. *Radiology*. 2019 Jun;291(3):740-749 .
  26. Simon, J.H., Jacobs, L., Simonian, N., The MS Collaborative Research Group. T1-Hypointense Lesions (T1 Black Holes) in Mild-to-Moderate Disability Relapsing Multiple Sclerosis. In: Hommes, O.R., Comi, G. (eds) *Early Indicators Early Treatments Neuroprotection in Multiple Sclerosis*. Topics in Neuroscience. Springer, Milano.2004, 135-139.
  27. Wattjes MP, Lutterbey GG, Gieseke J, Träber F, Klotz L, Schmidt S, Schild HH. Double inversion recovery brain imaging at 3T: diagnostic value in the detection of multiple sclerosis lesions. *AJNR Am J Neuroradiol*. 2007 Jan;28(1):54-9.
  28. Simon B, Schmidt S, Lukas C, Gieseke J, Träber F, Knol DL, Willinek WA, Geurts JJ, Schild HH, Barkhof F, Wattjes MP. Improved in vivo detection of cortical lesions in multiple sclerosis using double inversion recovery MR imaging at 3 Tesla. *Eur Radiol*. 2010 Jul;20(7):1675-83 .
  29. Filippi M, Rocca MA, De Stefano N, Enzinger C, Fisher E, Horsfield MA, Inglese M, Pelletier D, Comi G. Magnetic resonance techniques in multiple sclerosis: the present and the future. *Arch Neurol*. 2011 Dec;68(12):1514-20 .
  30. Simon JH, Kinkel RP, Jacobs L, Bub L, Simonian N. A Wallerian degeneration pattern in patients at risk for MS. *Neurology*. 2000 Mar 14;54(5):1155-60 .
  31. Singh S, Dallenga T, Winkler A, Roemer S, Maruschak B, Siebert H, et al. Relationship of acute axonal damage, Wallerian degeneration, and clinical disability in multiple sclerosis. *J Neuroinflammation*. 2017 Mar 17;14(1):57 .

32. Bakshi R, Ariyaratana S, Benedict RH, Jacobs L. Fluid-attenuated inversion recovery magnetic resonance imaging detects cortical and juxtacortical multiple sclerosis lesions. *Arch Neurol*. 2001 May;58(5):742-8.
33. Xu WJ, Barisano G, Phung D, Chou B, Pinto SN, Lerner A, Sheikh-Bahaei N. Structural MRI in Migraine: A Review of Migraine Vascular and Structural Changes in Brain Parenchyma. *J Cent Nerv Syst Dis*. 2023 Apr 14;15:11795735231167868.
34. Forslin Y, Bergendal Å, Hashim F, Martola J, Shams S, Wiberg MK, Fredrikson S, Granberg T. Detection of Leukocortical Lesions in Multiple Sclerosis and Their Association with Physical and Cognitive Impairment: A Comparison of Conventional and Synthetic Phase-Sensitive Inversion Recovery MRI. *AJNR Am J Neuroradiol*. 2018 Nov;39(11):1995-2000 .
35. Nelson F, Datta S, Garcia N, Rozario NL, Perez F, Cutter G, Narayana PA, Wolinsky JS. Intracortical lesions by 3T magnetic resonance imaging and correlation with cognitive impairment in multiple sclerosis. *Mult Scler*. 2011 Sep;17(9):1122-9 .
36. Rovaris M, Filippi M, Minicucci L, Iannucci G, Santuccio G, Possa F, Comi G. Cortical/subcortical disease burden and cognitive impairment in patients with multiple sclerosis. *AJNR Am J Neuroradiol*. 2000 Feb;21(2):402-8.
37. Sethi V, Muhlert N, Ron M, Golay X, Wheeler-Kingshott CA, Miller DH, Chard DT, Yousry TA. MS cortical lesions on DIR: not quite what they seem? *PLoS One*. 2013 Nov 11;8(11):e78879.

# Investigation the Influence of Stress on Salivary Features, Oral Hygiene and Gingival Health Condition among a Group of Adolescents Male Students

Assawer Ahmed Al-awadei<sup>1</sup> and Alhan Ahmed Qasim<sup>2</sup>

<sup>1,2</sup> University of Baghdad, College of Dentistry, Department of Pedodontics and Preventive Dentistry, Iraq.

## Abstract

**Background:** Stress is a condition that causes pressure and is caused by several factors in teens, such as unplanned events. **Subjects and Method:** This observational comparative study included 260 male students divided into three groups: low stress, moderate stress, and high stress who were provided according to the Adolescent Stress Questionnaire Scale (ASQ-S). Oral hygiene examination, including plaque index, was done according to Silness and Loe, 1964 index, and calculus examination was done according to Ramfjord, 1959 index, while gingival health examination was performed according to Loe and Silness, 1967 index. Salivary pH, flow rate, salivary Vitamin B<sub>12</sub>, and Folic acid were measured in unstimulated saliva samples from the low and high-stress groups. **Results:** It has been shown that the higher means of plaque and gingival indices among high-stress level students was statistically non-significant ( $p > 0.05$ ). The high-stress group has a reduced salivary flow rate, with significant differences ( $P < 0.05$ ). Vitamin B<sub>12</sub> and Folic acid levels were more critical in the high-stress group ( $P > 0.05$ ). Among both low and high-stress groups, there was a negative, non-significant correlation between pH and flow rate with plaque and gingival indices. In high-stress students, the correlation of Vitamin B<sub>12</sub> and Folic acid with plaque and gingival index was damaging. **Conclusion:** Augmentation of stress is linked with disturbance of psychological well-being, which tends to influence oral hygiene and gingival health by lowering the rate of saliva flow and impacting the levels of Vitamin B<sub>12</sub> and Folic acid in saliva. **Keywords:** Folic acid, Gingival health, psychological well-being, Stress, Vitamin B<sub>12</sub>.

## Article Information

Received: January 18, 2024; May 17, 2024; Online: June, 2024

## INTRUDUCTION

Stress can refer to a physical, mental, or emotional issue that generates tension in the body or mind. Adolescence is a crucial time of life because of the rapid physical, social, cognitive, and emotional development that occurs then (1). These changes substantially affect one's health and well-being in later years (2). Stress leads to an increase in glucocorticoids and catecholamines, as well as an alteration in

the immune response, which in turn influences health-related behaviors. It may lead to infections and periodontal tissue loss. The activation of these hormones decreases the activity of natural killer cells and the number of lymphocytes, among other immunological processes.(3)

Saliva is an ideal and non-intrusive biological specimen for the quantitative and qualitative evaluation of chemical and physiological

mediators linked to many conditions, including stress-related disorders<sup>(4)</sup>. The sympathetic and parasympathetic nervous systems control the volume and composition of saliva during stress, which can reduce the rate at which saliva is secreted from the salivary glands, a condition frequently accompanied by dry mouth<sup>(5)</sup>. A nutritional deficiency might result from a lack of vitamin intake, which substantially impacts dental and general health. Vitamins have a considerable impact on oral health<sup>(6)</sup>. Micronutrients such as vitamins C, B9, and E, calcium, zinc, copper, iron, and anti-inflammatory and antioxidant properties play a role in development. A lack of certain micronutrients contributes significantly to developing periodontitis and caries<sup>(7)</sup>. Vitamin B12 (cobalamin) and B9 (folic acid) are B complex vitamins required for cell metabolism<sup>(8)</sup>. Vitamin B<sub>12</sub> is a vital micronutrient for brain development and function. It is essential for a child's early development because it affects memory, reasoning, attention, metabolism, the formation of red blood cells, and oral health<sup>(9-10)</sup>.

This study was conducted because the Iraqi school system currently lacks a mental health philosophy and the Iraqi environment is undergoing several stressful life events. To avoid parental control, the presence of complete permanent dentition was ensured to minimize the effect of gender variation. Therefore, this study aims to determine the impact of stress on salivary variables, oral hygiene, and gingival health in a sample of 15-year-old male students.

The null hypothesis proposes no correlation between salivary state and oral hygiene and gingival health about stress.

## PATIENTS AND METHODS

### Study Design

This descriptive cross-sectional study was done in Al Najaf City Center/Iraq, from 16th February 2023 until the end of May 2023. The 15-year male students were randomly selected from a third-grade intermediate of ten Iraqi schools. The researcher initially explained the purpose of the study to the students. A total of 260 students accepted to participate and those who fulfilled the study's conditions and agreed to participate were asked to sign a consent form. Any student with systemic disease that might interfere with oral health conditions like diabetes or disorders of salivary glands, a student on vitamin B12 and folic acid supplements therapy, taking medications, on orthodontic treatment, a smoker, and a student who refuses to participate in the research were excluded.

The adolescents' stress level was estimated using the Adolescent Stress Questionnaire Scale (ASQ-S)<sup>(11)</sup>. An oral examination included measuring the plaque index according to Silness and Loe, 1964 index criteria<sup>(12)</sup> as shown in (Fig 1,2,3) calculus index according to Ramfjord 1959<sup>(13)</sup> for oral hygiene assessment as shown in (Fig 4), and gingival index according to Loe and Silness, 1967 index criteria<sup>(14)</sup> for gingival health assessment, the level of gingival inflammation shows in (Fig.4,2).



**Figure 1: Moderate accumulation of plaque within the gingival pocket, or the tooth and gingival margin which can be seen with the naked eye.**



**Figure 2: Abundance of soft matter within the gingival pocket and/or on the tooth and gingival margin, with mild inflammation, slight redness, edema, no bleeding on probing.**



**Figure 3: A film of plaque adhering to the free gingival margin and adjacent area of the tooth. The plaque may be seen only after the application of the disclosing solution or by using the probe on the tooth surface. No calculus presented. No inflammation of gingiva**



**Figure 4: Moderate lingual supra gingival calculus with gingivitis**

### Data Collection Method

The students' stress levels were measured by using the ASQ-S, a self-recording questionnaire taken from a previous study <sup>(11)</sup>. There were fifty-four items in the initial version of the questionnaire; after introducing the scale to experts, eighteen items had been dropped out; the adjusted questionnaire consisted of 36 items related to stress resulting from daily lives; adjustments were made considering the Iraqi culture. The stress of becoming an adult, dealing with peer pressure, home life, taking on adult responsibilities, attending school regularly, balancing work and play, and performing well in school, and the stress of financial pressure are among the 36 self-rated items included in the ASQ-S. The answer for each item was scored as: Not stressful to me= 1, moderately stressful to me=2, severely stressful to me=3, very severely stressful to me=4; and I had not passed=0 .

The validity of the ASQ-S was checked to establish the correlation between each item's score and the scale's total scores (Table 1).

Pearson correlation was employed to determine the correlation between each item's score and the sample's total score, which consisted of 260 questionnaires; the correlation coefficient ranged from (0.85-0.36), and results demonstrated that each relationship was statistically significant when compared to the essential Pearson correlation value (0.12) at the P-value (0.05). The Cronbach  $\alpha$  method was employed to assess a scale's reliability using this approach, giving the results of the reliability coefficient, which was 0.90.

**Table 1. Items validity of ASQ scale using the method of correlation of item score and total score of the scale**

| No | Pearson correlation | No | Pearson correlation | No | Pearson correlation |
|----|---------------------|----|---------------------|----|---------------------|
| 1  | 0.40                | 13 | 0.53                | 25 | 0.48                |
| 2  | 0.40                | 14 | 0.42                | 26 | 0.43                |
| 3  | 0.51                | 15 | 0.42                | 27 | 0.42                |
| 4  | 0.54                | 16 | 0.54                | 28 | 0.43                |
| 5  | 0.39                | 17 | 0.47                | 29 | 0.53                |
| 6  | 0.44                | 18 | 0.46                | 30 | 0.36                |
| 7  | 0.40                | 19 | 0.57                | 31 | 0.40                |
| 8  | 0.36                | 20 | 0.41                | 32 | 0.58                |
| 9  | 0.37                | 21 | 0.53                | 33 | 0.49                |
| 10 | 0.39                | 22 | 0.47                | 34 | 0.49                |
| 11 | 0.47                | 23 | 0.43                | 35 | 0.51                |
| 12 | 0.45                | 24 | 0.55                | 36 | 0.57                |

### Saliva Collection Method

The collection of unstimulated salivary samples was conducted within a specially designated area at the school during the morning hours from 9 to 11 AM. The samples were obtained by the saliva collection procedures established by the University of Southern California School of Dentistry<sup>(15)</sup>. The students were instructed to take their seats and rinse their mouths with distilled water, allowing

themselves to rest for a minimum of five minutes. They were requested to minimize their physical activity and to position their forehead above and the test cup below. Subsequently, they were directed to keep their lips open to facilitate the collection of saliva into the cup for five minutes. Upon the conclusion of the designated collecting period, the students were instructed to expel any residual saliva from their oral cavity expeditiously by expectorating it into the provided cup. Every student's cup was assigned a distinct code, denoted by a serial number on their case sheet. The measurement of salivary flow rate was conducted using the equation  $SFR = \text{volume} \div \text{time (ml/min)}$ <sup>(16)</sup>. The pH measurement was conducted by using a pH meter manufactured by Hanna Instruments, a company based in the United States. The saliva samples were centrifugated at 3000 rpm for 15 minutes in a researcher's laboratory at the Medical College, University of Kufa. Following centrifugation, the resulting clear supernatant was carefully separated using a micropipette. The supernatant was then divided into two sections, each placed in separate Eppendorf tubes, for subsequent analysis of Vitamin B<sub>12</sub> and Folic acid.

### Immunological Assay and Statistical Analysis

Both main B<sub>12</sub> and Folic acid were analyzed by kit using enzyme-linked immune sorbent assay (ELISA) based on the Biotin double antibody sandwich technology to assay the Human Vitamin B<sub>12</sub> and Folic acid (YLBiont, Human). The data description, analysis, and presentation were performed by using Statistical Package for

Social Science (SPSS version -22, Chicago, Illinois, USA), for frequency and percentage for qualitative variables and mean and standard error (SE) for the quantitative variables. Pearson correlation is a parametric test for the linear correlation between two quantitative variables while Analysis of Variance (ANOVA) is used for testing the difference between independent

groups using a Games-Howell post hoc test. The level of significance is  $P \leq 0.05$ .

## RESULTS

The total number of students is classified into three groups with different stress levels. The sample distribution was done according to the stress level scale, as shown in Table 2. About two-thirds (65.38%) of students had

moderate stress levels, whereas 16.92% and 17.69% showed low and high stress levels respectively. The mean values of plaque, calculus, and gingival indices (1.590, 0.100, 1.306), respectively, were higher in the high-stress level group with a statistically non-significant difference ( $P > 0.05$ ) (Table 3)

**Table 2. The distribution of the sample according to stress level.**

| Stress levels      | N   | %     |
|--------------------|-----|-------|
| Low " $\leq 40$ "  | 44  | 16.92 |
| Mod. "40-60"       | 170 | 65.38 |
| High " $\geq 60$ " | 46  | 17.69 |

**Table 3. Plaque and gingival index according to stress levels.**

| Vars. | Stress levels     |          |              |          |                    |          | F     |       | P value |          | Total |          |
|-------|-------------------|----------|--------------|----------|--------------------|----------|-------|-------|---------|----------|-------|----------|
|       | Low " $\leq 40$ " |          | Mod. "40-60" |          | High " $\geq 60$ " |          |       |       |         |          | Mean  | $\pm$ SE |
|       | Mean              | $\pm$ SE | Mean         | $\pm$ SE | Mean               | $\pm$ SE |       |       | Mean    | $\pm$ SE |       |          |
| PLI   | 1.449             | 0.081    | 1.440        | 0.038    | 1.590              | 0.075    | 1.620 | 0.200 | 1.468   | 0.032    |       |          |
| CalI  | 0.071             | 0.022    | 0.083        | 0.016    | 0.100              | 0.067    | 0.140 | 0.869 | 0.084   | 0.016    |       |          |
| GI    | 1.047             | 0.138    | 1.000        | 0.060    | 1.306              | 0.100    | 2.712 | 0.068 | 1.062   | 0.050    |       |          |

In Table 4, the mean pH value was lower in the group experiencing low-stress levels. However, the observed difference did not reach a statistical significance ( $P > 0.05$ ). In contrast, the group subjected to high levels of stress had a lower mean flow rate value, and this difference

was shown to be statistically significant ( $P < 0.05$ ); the means of both vitamin B<sub>12</sub> and Folic acid were high in the high-stress level student group with a statistically non-significant difference ( $P > 0.05$ )

**Table 4. Salivary physical and chemical constituents among students with low and high stress levels with statistical difference**

| Vars.      | Stress            |          |                    |          | Statistical tests |                  |
|------------|-------------------|----------|--------------------|----------|-------------------|------------------|
|            | Low " $\leq 40$ " |          | High " $\geq 60$ " |          |                   |                  |
|            | Mean              | $\pm$ SE | Mean               | $\pm$ SE | T                 | P value          |
| PH         | 6.923             | 0.162    | 7.113              | 0.036    | 1.169             | 0.246            |
| SFR        | 0.980             | 0.043    | 0.735              | 0.032    | 4.595             | <b>&lt;0.001</b> |
| B12        | 117.656           | 1.381    | 118.111            | 1.576    | 0.216             | 0.829            |
| Folic acid | 1.705             | 0.052    | 1.759              | 0.089    | 0.511             | 0.610            |

The pH and flow rate of the low and high-stress groups had negative relationships with plaque, calculus, and gingival indices among the low and high-stress students, except the calculus index, had a positive connection ( $P > 0.05$ ) for both low and high-stress levels. This is in Table 5. Table 6 shows that vitamin B12 correlated positively with plaque index and negatively with calculus and gingival indices in low-stress groups. Folic acid negatively correlated with plaque, calculus, and gingival index. B12 and Folic acid correlated negatively with plaque and gingival indices and positively with calculus index in high-stress individuals. None of these associations were significant.

**Table 5. Correlations of salivary physical and chemical constituents with oral hygiene and gingival health condition according to stress level.**

| Stress level       |     | pH     |       | SFR    |       |
|--------------------|-----|--------|-------|--------|-------|
|                    |     | r      | p     | r      | P     |
| Low " $\leq 40$ "  | PLI | -0.077 | 0.620 | -0.115 | 0.456 |
|                    | CaI | -0.006 | 0.969 | 0.067  | 0.666 |
|                    | GI  | -0.043 | 0.780 | -0.046 | 0.766 |
| High " $\geq 60$ " | PLI | -0.100 | 0.507 | -0.238 | 0.111 |
|                    | CaI | 0.150  | 0.319 | 0.165  | 0.272 |
|                    | GI  | -0.049 | 0.745 | -0.010 | 0.948 |

**Table 6. Correlations of salivary chemical constituents with oral cleanliness and gingival health condition according to stress level.**

| Stress level       |     | B12    |       | Folic acid |       |
|--------------------|-----|--------|-------|------------|-------|
|                    |     | r      | P     | r          | P     |
| Low " $\leq 40$ "  | PLI | 0.105  | 0.499 | -0.166     | 0.280 |
|                    | CaI | -0.189 | 0.218 | -0.242     | 0.114 |
|                    | GI  | -0.187 | 0.223 | -0.153     | 0.321 |
| High " $\geq 60$ " | PLI | -0.055 | 0.715 | -0.261     | 0.080 |
|                    | CaI | 0.057  | 0.708 | 0.059      | 0.697 |
|                    | GI  | -0.048 | 0.750 | -0.262     | 0.079 |

## DISCUSSION

Psychology-related factors may influence the levels of plaque and the state of the gingiva in adolescent students. The current study has shown that the percentage of students with moderate and high-stress categories was high; this agreed with studies<sup>(17,18,19,20,29)</sup>. The results of the study showed that means of plaque, calculus, and gingival indices were higher in high-stress levels with statistically no significance; this coincided with<sup>(19,20,22)</sup> and may be connected to the fact that stressful conditions activate the neuroendocrine system, which in turn causes the continuous release of pro-inflammatory mediators and an alteration in the normal microbiota, which ultimately leads to periodontal inflammatory disorders<sup>(22)</sup>. Another study revealed a significant stress-related gingival index mean difference; results may vary due to age range, periodontal variable studied, study design, and stress measures employed<sup>(18)</sup>. According to this study's results, saliva's flow rate was significantly ( $P < 0.05$ ) lower in the high-stress group, as seen in previous studies<sup>(20,22)</sup>; this phenomenon can be explained by the fact that the autonomic nervous system mainly controls the secretion of saliva. Therefore, when individuals are exposed to stressful conditions, they cause a gradual accumulation of stress on various systems involved in maintaining stability in the body, such as the central nervous system, the autonomic nervous system (ANS), and the hypothalamus-pituitary-adrenal axis (HPA). Consequently, the ANS and HPA axes become dysregulated over an extended period.<sup>(23)</sup>

Salivary pH was not significantly lower in the low-stress group compared to the high-stress group; this finding agrees with<sup>(25)</sup> but contrasts the results of<sup>(20)</sup>, which indicated that both flow rate and pH decreased as stress increased. Although the sympathetic and parasympathetic nervous systems influence salivary pH regulation, the current study does not demonstrate a significant decrease in salivary pH levels under high stress. This discrepancy

may be attributed to variations in saliva sampling methods, the study population, and the parameters utilized<sup>(33)</sup>. By<sup>(18,20,26)</sup>, salivary flow rate and pH negatively correlated with gingival and plaque indices in both high and low stress levels. This finding opposes the conclusion drawn by<sup>(19)</sup>; the plaque accumulation in the high-stress group of this study may have been caused by a reduction in flow rate. Furthermore, low pH conditions promoted the proliferation of various pathogenic bacteria responsible for the induction and advancement of periodontal disease.<sup>(18)</sup>

The results of the current study show a positive correlation of pH and SFR with calculus formation, which agrees with<sup>(34)</sup>. The results of this research revealed that the values of B<sub>12</sub> and Folic acid were statistically non-significantly higher in high-stress students, although there was disagreement with<sup>(28)</sup>.

However, a cross-sectional study by<sup>(29)</sup> found no connection between psychiatric symptoms and vitamin B<sub>12</sub> and Folic acid. It is important to note that the subjects' poor intake of these nutrients, as well as variations in eating patterns, lifestyle, nutritional evaluation techniques, classifications of psychiatric symptoms, and other confounding variables, may have influenced the findings. The correlation between vitamin B<sub>12</sub> and Folic acid was negative and non-significant, with plaque and gingival indices among the high-stress level group. This agrees with<sup>(30,31,32)</sup>. This may be related to the existence of high levels of vitamins, which are crucial for the maturation process of the oral mucosal epithelium and the enhancement of hematopoietic factors that contribute to the prevention and treatment of infection in oral mucosa.<sup>(32)</sup>

The limitation of the study was that some students were shy, which led to difficulty in oral examination and salivary samples. The researcher had to take samples from students in the intermediated third grade early in the

morning, which conflicted with the school and teachers' classes.

## CONCLUSIONS

Depending on the findings, an increased stress condition has considerably reduced salivary flow, which negatively influences oral hygiene and gingival health. Vitamins B<sub>12</sub> and Folic acid, two members of the B complex vitamins, have been linked to better periodontal health.

## REFERENCES

1. Adhikari S P., Meng S., Wu Y J., Mao Y P., Ye R X., Wang Q. Z., et al., Epidemiology, causes clinical manifestation and diagnosis, prevention and control of coronavirus disease (COVID-19) during the early outbreak period: a scoping review. *Infectious diseases of poverty*; 2020; 9; 1-12.
2. Azzopardi P S., Hearps S J., Francis K L., Kennedy E C., Mokdad A H., Kassebaum N J., et al. Progress in adolescent health and wellbeing: tracking 12 headline indicators for 195 countries and territories, 1990–2016. *The Lancet*; 2019; 393(10176); 1101-1118.
3. Warren K R., Postolache T T., Groer M E., Pinjari O., Kelly D L., & Reynolds M A. Role of chronic stress and depression in periodontal diseases. *Periodontology* 2000; 2014; 64(1); 127-138.
4. Enad H H., & Al-Mizraqchi A S. Salivary Cortisol as a Stress Biomarker and Total Viable Count of Salivary Bacterial Microbiome among COVID-19 Patients. *J. of Baghdad Coll. of Dent*; 2021; 33(4); 6-10 .
5. Matos-Gomes N., Katsurayama M., Makimoto F H., Santana L L., Paredes-Garcia E., Becker M A., et al., Psychological stress and its influence on salivary flow rate, total protein concentration and IgA, IgG and IgM titers. *Neuroimmunomodulation*; 2010; 17(6); 396-404.
6. Cagetti M G., Wolf T G., Tennert C., Camoni N., Lingström P., & Campus G. . The role of vitamins in oral health. A systematic review and meta-analysis; nt. *J. Environ. Res. Public*; 2020; 17(3); 938.
7. Ranjit R., Takalloabdal S., & Galchenko A V. Importance of micronutrients in the oral cavity. *Acta stomatologica Naissi*; 2021; 37(83); 2186-2202.
8. Chen Y B., Wang Y F., Hou W., Wang Y P., Xiao S Y., Fu Y Y, et al. Effect of B-complex vitamins on the antifatigue activity and bioavailability of ginsenoside Re after oral administration. *J Ginseng Res*; 2017; 41(2); 209-214.
9. Bhate V., Deshpande S., Bhat D., Joshi N., Ladkat R., Watve S., et al. Vitamin B12 status of pregnant Indian women and cognitive function in their 9-year-old children. *Food and nutrition bulletin*; 2008; 29(4), 249-254.
10. Sheetal A., Hiremath V K., Patil A. G., Sajjansetty S., & Kumar S R. Malnutrition and its oral outcome—a review. *J Clin Diagn Res: JCDR*; 2013; 7(1), 178.
11. Moksnes U K., Byrne D G., Mazanov J., & Espnes G A. Adolescent stress: evaluation of the factor structure of the adolescent stress questionnaire (ASQ-N). *Scand J Psychol.*; 2010; 51(3), 203-209.
12. Silness, J., & Loe, H. Periodontal disease in pregnancy II. Correlation between oral hygiene and periodontal condition. *Acta. Odont. Scandi.* 1964, 22(1), 121-135.
13. Loe, H., & Silness, J. Periodontal disease in pregnancy I. Prevalence and severity. *Acta odont. Scandi.* 1963 , 21(6), 533-551.
14. RAMFJORD SP. 1959. Indices for prevalence and incidence of periodontal disease. *J periodontal*, 30, 51-59.
15. Navazesh, M., & Kumar, S. K. Measuring salivary flow: challenges and opportunities. *The J. Amer. Dent. Asso.* 2008, 139, 35S-40S.
16. Rantonen P. Salivary flow and composition in healthy and diseased adults ; 2003; (Doctoral dissertation, Helsinki).
17. Lafta R K., Aziz Z S., & AlObaidi A K. Posttraumatic stress disorder (PTSD)

- among Male Adolescents in Baghdad. *J Psychol Abnorm Child* 2014; 3(3); 121.
18. Abdul-Ameer A.K., NJ Radhi, and H.J. Abdul-Ghani. Stressful life events about dental caries and selected salivary constituents among secondary school students in Baghdad city. *J. Bagh. Coll. Dent.*, 2017. 325(4203): p. 1-9.
  19. Mohammed S.M. and B.S. Diab, The impact of depression status on dental caries severity among internally displaced people in Baghdad/Iraq. *J. Baghd. Coll. Dent.*, 2019. 31(1): p. 9-13.
  20. Al-Moosawi R.I.K., and A.A. Qasim, The impact of dental environment stress on dentition status, salivary nitric oxide and flow rate. *J. Inter. Soc. of Prev. & Commu. Dent.* , 2020. 10(2): p. 163.
  21. Ahmed A F., Naser R J., Gul S S., & Abdulkareem A A. Association between self-reported oral disease/conditions and symptoms of depression among Iraqi individuals. *Speci. Care in Dent.* 2022 , 42(5), 503-508.
  22. de Andrade C M., Galvão-Moreira L V., de Oliveira J F F., Bomfim M R. Q., Monteiro S G., Figueiredo P D M. et al., Salivary biomarkers for caries susceptibility and mental stress in individuals with facial pain. *CRANIO* .237–231 ,(3)39 ;2019 .® <https://doi.org/10.1080/08869634.2019.1607445>
  23. Govindaraj S., Daniel M J., Vasudevan S S., & Kumaran J V. Changes in salivary flow rate, pH, and viscosity among working men and women. *D&MR*; 2019; 7(2), pp 56-59.
  24. Al-Nuaimy K M., Al-Hamdani I H., & Tawfik N O. Effect of stress on saliva's composition and flow rate. *Al-Rafid. Dent. J*; 2012; 12(1), 66-70.
  25. Said O. B., Razumova S., Velichko E., Tikhonova S., & Barakat H. Evaluation of the changes of salivary pH among dental students depending on their anxiety level. *Europ. J. of Dent.*;2020, 14(04), 605-612.
  26. Al-Fatlawi A.H.F. and NJM Radhi, Salivary physicochemical characteristics about oral health status among institutionalized autistic adolescents in Baghdad. *Iraq. J Baghdad Coll Dent*; 2017; 29(3): p. 68-74.
  27. Chapple I L. Periodontal diagnosis and treatment—where does the future lie? *Periodontology* 2000 (2009), 51(1), 9-24.
  28. Sangle P., Sandhu O., Aftab Z., Anthony A T., & Khan S. Vitamin B12 Supplementation: Preventing Onset and Improving Prognosis of Depression. *Cureus* ; 2020; 12(10): e11169.
  29. Kamphuis M H., Geerlings M I., Grobbee D E., & Kromhout D. Dietary intake of B6-9-12 vitamins, serum homocysteine levels and their association with depressive symptoms: the Zutphen Elderly Study. *Europ. J. of Clin. Nutrition*; 2008; 62(8); 939-945.
  30. Zong G., Holtfreter B., Scott A E., Völzke H., Petersmann A., Dietrich T., et al. Serum vitamin B12 is inversely associated with periodontal progression and risk of tooth loss: a prospective cohort study. *J Clin Periodontol*; 2016; 43(1), 2-9.
  31. Hugar S M., Dhariwal N S., Majeed A., Badakar C., Gokhale N., & Mistry L. Assessment of vitamin B12 and its correlation with dental caries and gingival diseases in 10-to 14-year-old children: a cross-sectional study. *Inter. J. of clinical pedia. Dentistry*; 2017; 10(2);142.
  32. George JP, Shobha R, Lazarus FJ. Folic acid: A positive influence on periodontal tissues during health and disease. *Int J Health Allied Sci*; 2013; 2:145-52.
  33. Santhanam V., & Rajaram S. Estimation of Salivary pH levels in Depression: An Observational Study. *J of Sci Den*; 2022; 12(1); 9.
  34. D'souza L L., Lawande S A., Samuel J., & Pinto MJ W. Effect of salivary urea, pH, and ureolytic microflora on dental calculus formation and its correlation with periodontal status. *J of Oral Bio and Craniofacial Research*;2023; 13(1), 8-12.

# The Correlation between Serum Levels of Progranulin and Inflammatory Markers in Patients with Chronic Obstructive Pulmonary Disease

Fatima Ali Abdulwahed Alkyoon<sup>1</sup> and Falah Mahdi Dananah<sup>2</sup>

<sup>1,2</sup> University of Kufa, Faculty of medicine, Department Medical Physiology, Iraq.

Email: [fatimaalkyoon1993@gmail.com](mailto:fatimaalkyoon1993@gmail.com), [Falah.swadi@uokufa.edu.iq](mailto:Falah.swadi@uokufa.edu.iq)

## Abstract

**Back ground:** Chronic obstructive pulmonary disease, or COPD, is an inflammatory chronic illness of the parenchyma and/or airways that is typically accompanied by increasing, irreversible dyspnea, coughing, sputum production, and/or exacerbations of respiratory symptoms. The pathological process of COPD includes bronchitis and/or emphysema; inflammation in the lungs has systemic effects as well. The most frequent causes of COPD are indoor and occupational pollution. Progranulin, or PGRN, is a precursor of pleiotropic glycosylated protein that plays a major role in wound healing, angiogenesis, neoplasia, cell development, the cell cycle, inflammation, and the modification of the autoimmune process. It is highly prevalent in a variety of cell types, including respiratory epithelial cells. **Objective:** The aim is to study relationship between serum level of PGRN and inflammatory markers in COPD patients. **Material and method:** A case control study composed of 40 patients with COPD and 45 controls, demographic characteristic, blood investigation include CRP-titer, WBC and plasma PGRN were measured and made comparison of data in cases with controls, data collected were statically analysis by SPSS .

**Result:** The study showed that, there was no significant correlation between serum progranulin level, WBC and C-Reactive Protein titer in COPD patients ( $P$  value  $>0.05$ ). **Conclusions:** It was concluded that PGRN level in COPD patients don't correlate with CRP titer level or with WBC.

**Keywords:** Chronic Obstructive Pulmonary Disease, Progranulin, Spirometry, C-Reactive Protein.

## Article Information

Received: February 6, 2024; 21 May 21, 2024; Online: June, 2024

## INTRUDUCTION

In 2019, chronic obstructive pulmonary disease (COPD) was third globally in terms of causes of mortality, with 3.23 million of those fatalities taking place in middle- and low-income nations. Males, individuals over 40, smokers, and former smokers had higher rates of COPD prevalence. According to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria, the prevalence of COPD worldwide in 2019 was 10.3%.(1,5,18,24) .

Multiple types of inflammatory cells and mediators are involved in the progression of COPD, which is a complex sickness that affects the lungs and remains an inflammatory disease. Over an individual's lifetime, complex, dynamic, and cumulative interactions between genes and environment can alter normal lung development pathways and cause harm. This is the cause of it(3,8) .

Tobacco Smoking considered the most common cause of COPD (10), even though smoking is thought to be the main cause, epidemiological research revealed that 20% to 40% of COPD patients had never smoked (6), also cannabis, pipe, water pipe, cigar all consider as risk factors for COPD.(12) Genetic reasons include alpha-1 antitrypsin deficiency (AATD) (14) as an example. Pollution resulting from exposure to gas particles in the environment, whether indoors or outdoors; prior infections, such as recurrent respiratory infections primarily in the lower respiratory tract during early childhood (risk increased by two to three times); prior tuberculosis increases the risk of developing COPD (9) and increased susceptibility to pollution and reduced nutrition in low-income environments.(1)

Patients with COPD experience an altered version of their typical inflammatory response to long-term irritants such as smoke ingested from cigarettes and other particles. Although the primary origin of this modified form of inflammation remains unknown, certain research indicates that genetics might be involved (8). In inflammatory disorders including COPD and asthma, PGRN—also referred to as acrogranin or PC cell-derived growth factor (PCDGF)—plays a critical role. Its anti-inflammatory properties stem from its interaction with TNF R1/2 and inhibition of the TNF- $\alpha$ -TNFR1/2 signal, which prevents neutrophil degranulation. Research has indicated that elevated levels of PGRN are present in the blood of patients with COPD,

possibly as a result of reduced or inhibited neutrophilic inflammation. The GRN gene encodes PGRN, which is made up of 593 amino acids and has a molecular weight of 68.5 kDa (23). Different proteinases can break down PGRN, and certain substances, including secretory leukocyte protease inhibitor (SLPI), can prevent it from having an anti-inflammatory effect. The pro-inflammatory granulins produced by PGRN degradation can counteract the anti-inflammatory effects of the protein .(21,23)

The inflammatory process, systemic inflammation, smoking status, and ischemic heart disease may all contribute to the elevated CRP levels observed in COPD patients. Elevation of CRP has been associated with myocardial infarction, unstable angina, stroke, and sudden coronary mortality.(20,25,28)

The white blood cell (WBC) is regarded as a key element of immunity. It plays a role in defense against infections and shielding the body from diseases. The five types of WBC are neutrophils, basophils, eosinophils, lymphocytes, and monocytes. Abnormalities in the count of WBC can indicate a number of diseases, including inflammation, allergies, bacterial, fungal, and viral infections, leukemia, and lymphoma.

## PATIENTS AND METHODS

40 COPD patients (26 men and 14 women) who met inclusion criteria and were not excluded from exclusion criteria were gathered from Al-Sader Teaching Hospital in Al-Najaf city between January and August of 2023.

Forty-five healthy individuals, sixteen men and twenty-nine women, were included in the study. They were selected at random from the general community, free of COPD, and possessed normal pulmonary function tests as determined by spirometry. After being informed about the purpose of the study, the methods of the research, the spirometry test, and the blood draw, participants gave their verbal agreement. Those with COPD who were over 35, stable at diagnosis (13), and had not previously received treatment with long-acting beta-agonis, anti-inflammatory medications, or oral corticosteroids were included in the study (11,15). Exclusion criteria include renal or hepatic failure, inflammatory bowel disease, connective tissue disorders, cancer within the previous five years, and chronic illnesses that cause systemic inflammation .(17)

### Methods

Each participant gave a five-milliliter venous blood sample, which was placed in a gel tube. Serum progranulin was measured using the Human PGRN ELISA Kit (Elabscience/USA), and CRP was measured for each participant using the Human CRP ELISA Kit (Elabscience/USA), all in accordance with the precise manufacturing source's protocol and WBC blood investigation.

### STATISTICAL ANALYSIS

The data for the study were analyzed using the Statistical Package for the Social Sciences (SPSS 23.0 for Windows), and the results showed descriptive statistics as mean $\pm$ SD, frequency, and percentage. For categorical variables, chi-square tests were used to compare groups, and for nonparametric data, the Mann-Whitney U-test was employed. For normally distributed data, Pearson's correlation coefficient was employed, with a significance threshold of  $p < 0.05$ .

### RESULT

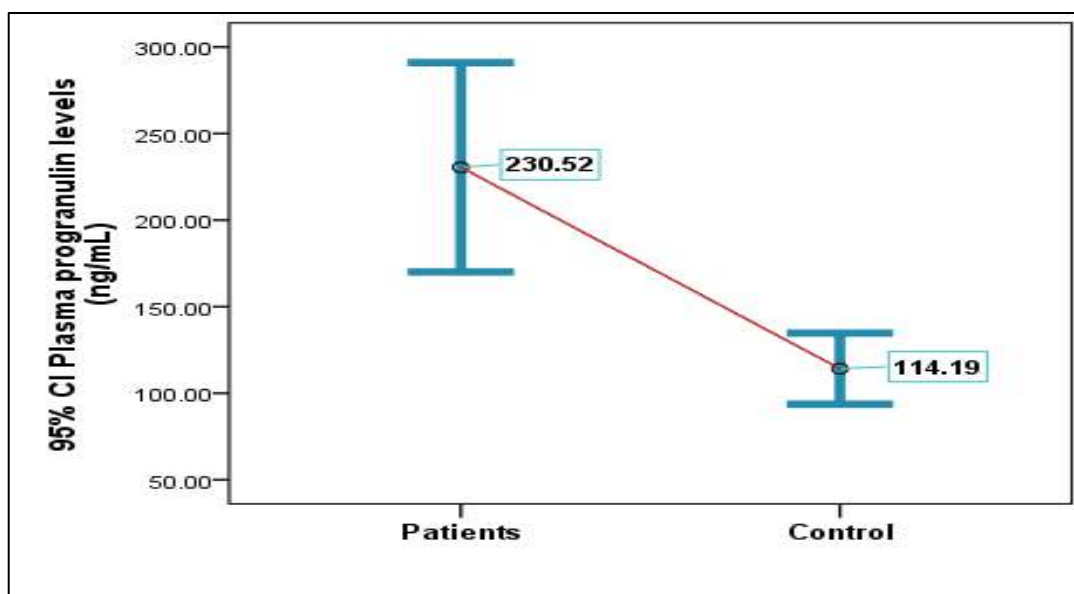
The current study included a total of 85 participants (40 COPD patients and 45 as a control). Two thirds of COPD group were male against one third of the control group. The analysis of data showed that there was significant statistical difference in the mean Plasma progranulin levels between the COPD and the control group (table-2 and figure1). The results concluded that there was no significant correlation between the mean progranulin level and the mean levels of the inflammatory markers of the COPD group and also of the control group ( $p > 0.05$ ) as shown in table3 below.

**Table NO.1: Demographic characteristics of the COPD and control group.**

| Characteristics |              | Patients<br>n=40 | Control<br>n=45 | P value      |
|-----------------|--------------|------------------|-----------------|--------------|
| Age (years)     | Below 45     | 13 (32.5) %      | 27 (60) %       | 0.06         |
|                 | 45-54        | 13 (32.5)        | 8 (17.7)        |              |
|                 | 55-64        | 7 (17.5)         | 7 (15.6)        |              |
|                 | 65 and above | 7 (17.5)         | 3 (6.7)         |              |
| Gender          | Male         | 26 (65)          | 16 (35.6)       | <b>0.007</b> |
|                 | Female       | 14 (35)          | 29 (64.4)       |              |

**Table NO.2: Comparison of progranulin level between patient and control.**

| Variable                            |          | mean±SD       | P value      |
|-------------------------------------|----------|---------------|--------------|
| Plasma progranulin level<br>(ng/ml) | Patients | 230.52±189.01 | <b>0.006</b> |
|                                     | Control  | 114.19±68.41  |              |

**Figure NO.1: Plasma progranulin levels in COPD and control group.****Table NO.3: Correlations between progranulin level and inflammatory markers.**

| Variables | Patients                |         | Control                 |         |
|-----------|-------------------------|---------|-------------------------|---------|
|           | Pearson Correlation (r) | P value | Pearson Correlation (r) | P value |
| WBC       | 0.099                   | 0.542   | -0.047                  | 0.758   |
| CRP       | 0.023                   | 0.889   | -0.090                  | 0.557   |

## DISCUSSION

This study involved 40 COPD patient (patient group) and 45 non-COPD subjects. There is no difference in age between patients and controls P value (0.06), the age consider as risk factor for developing of COPD due to either the lung function decline with increase age or due to increase time of exposures to the other causes such as tobacco smoking, air pollution and occupational pollution during the life (1), this consistent with other studies (12), 65% of the patients in this study were males, this consistent with study of (18). Systemic review composed of more than 150 previous study, that found prevalence of COPD was larger in males than females but the difference in prevalence between males and females in developed countries and high income countries was not significant statistically, this appear due to environmental factors and behavioral factors, genetic factors and prevalence of smoking high in men all these causes lead to difference in prevalence of COPD between genders, the diagnosis of COPD in developing countries still higher in males than females, but because of increase in smoking among females and working in males occupations that have risk of develop COPD due to pollution (3), but in other research (4). that found no difference in prevalence of COPD between men and women. (18), explain in high income countries prevalence of COPD higher in females than males, due increase exposure to tobacco smoking and found females have more susceptibility to harmful effect of tobacco smoking due to smaller size of lung in female so become more susceptible to oxidative stress. (22)

This study showed a significant difference in progranulin level between cases of COPD and controls ( $230.52 \pm 189.01$  vs  $114.19 \pm 68.41$ , P value 0.006), which is similar to finding of other study which found that progranulin level higher in stable COPD than controls (10). Also shows that there is no significant association between

progranulin level and inflammatory markers in patients and controls, which differs from the finding by a study of (10) found that progranulin correlated positively to CRP and ratio of neutrophil/lymphocyte. (26) found that there is no significant association between progranulin level and white blood cells count. This study shows that there is no significant association between progranulin level and inflammatory markers in patients and controls may be due to small sample size and there is similarity between cases and controls in age, smoking habit, past medical history and the cases we take them in stable stage for at least one month prior to examination and they are 99% of them in GOLD 2 stage.

## CONCLUSIONS

We concluded for this study that elevated levels of PGRN are linked to COPD and are no significant association with inflammatory markers.

## RECOMMENDATION

we recommended further studies with larger sample size for clarify the effect of PGRN on the severity of COPD and inflammatory markers.

## REFERENCES

- 1-Agustí, Celli, B. R., Criner, G. J., Halpin, et al. Global initiative for chronic obstructive lung disease 2023 report: GOLD executive summary. *American journal of respiratory and critical care medicine*, (2023) 207(7), 819-837.
- 2-Agustí, A., & Faner, R.. COPD beyond smoking: new paradigm, novel opportunities. *The Lancet Respiratory Medicine*, (2018) 6(5), 324-326.
- 3-Agustí, A., Melén, DeMeo, D. L., Faner, et al. Pathogenesis of chronic obstructive pulmonary disease: understanding the contributions of gene-environment interactions across the lifespan. *The Lancet Respiratory Medicine*. (2022)
- 4-Al Lami, F., & Salim, Z.. Prevalence and determinants of chronic obstructive pulmonary



- disease among a sample of adult smokers in Baghdad, Iraq, 2014. *EMHJ-Eastern Mediterranean Health Journal*, (2017) 23(2), 67-72.
- 5-Adeloye, D., Song, P., Zhu, Y., et al. Global, regional, and national prevalence of, and risk factors for, chronic obstructive pulmonary disease (COPD) in 2019: a systematic review and modelling analysis. *The Lancet Respiratory Medicine*, (2022). 10(5), 447-458.
- 6-Agustí, A., Vogelmeier, C., & Faner, R. COPD 2020: changes and challenges. *American Journal of Physiology-Lung Cellular and Molecular Physiology*, (2020). 319(5), L879-L883
- 7-Aryal, S., Diaz-Guzman, E., & Mannino, et al. Influence of sex on chronic obstructive pulmonary disease risk and treatment outcomes. *International journal of chronic obstructive pulmonary disease*, (2014). 1145-1154.
- 8-Barnes, P. J. Inflammatory mechanisms in patients with chronic obstructive pulmonary disease. *Journal of Allergy and Clinical Immunology*, (2016). 138(1), 16-27.
- 9-Brashier, B. B., & Kodgule, R. Risk factors and pathophysiology of chronic obstructive pulmonary disease (COPD). *J Assoc Physicians India*, (2012). 60(Suppl), 17-21
- 10-Chen, X., Liu, J., Zhu, M., et al. Progranulin is a novel biomarker for predicting an acute exacerbation of chronic obstructive pulmonary disease. *The Clinical Respiratory Journal*, (2018). 12(10), 2525-2533
- 11-Eagan, T. M. L., Ueland, T., Wagner, et al. Systemic inflammatory markers in COPD: results from the Bergen COPD Cohort Study. *European Respiratory Journal*, (2010). 35(3), 540-548.
- 12-Günen, H., Tarraf, H., Nemati, A., et al. Waterpipe tobacco smoking. *Tuberkuloz ve toraks*, (2016). 64(1), 94-96.
- 13-Global Initiative for Chronic Obstructive Lung Disease (GOLD) Scientific Committee, 2023. Global strategy for diagnosis, management, and prevention of chronic obstructive pulmonary disease.
- 14-Hernández Cordero, A. I., Yang, C. X., Li, X., et al. Epigenetic marker of telomeric age is associated with exacerbations and hospitalizations in chronic obstructive pulmonary disease. *Respiratory research*. (2021). 22(1), 316.
- 15-Miravittles, M., Huerta, A., Valle, M., et al. Clinical variables impacting on the estimation of utilities in chronic obstructive pulmonary disease. *International Journal of Chronic Obstructive Pulmonary Disease*, (2015). 367-377
- 16-Miller, J. D., Foster, T., Boulanger, L., et al. Direct costs of COPD in the US: an analysis of Medical Expenditure Panel Survey (MEPS) data. *COPD: Journal of Chronic Obstructive Pulmonary Disease*. (2005). 2(3), 311-318.
- 17-Nojomi, M., Afshar, A. E., & Saberi, M. .Prevalence of anemia in patients with chronic obstructive pulmonary disease.(2011) .
- 18-Ntritsos, G., Franek, J., Belbasis, Christou, et al. Gender-specific estimates of COPD prevalence: a systematic review and meta-analysis. *International journal of chronic obstructive pulmonary disease*, (2018). 1507-1514.
- 19-Nick Villalobos, MD, Erica Cirino Spirometry Procedure: How to Prepare, Side Effects, and Risks.( 2023) .
- 20-Pinto-Plata, V. M., Müllerova, H., Toso, J. F., et al. C-reactive protein in patients with COPD, control smokers and non-smokers. *Thorax*, (2006). 61(1), 23-28.
- 21-Pogonowska, M., Poniatowski, Ł. A., Wawrzyniak, A., et al. The role of progranulin (PGRN) in the modulation of anti-inflammatory response in asthma. *Central European Journal of Immunology*, (2019). 44(1), 91-101

- 22-Shukla, S. D., Shastri, M. D., Jha, N. K., et al .Female gender as a risk factor for developing COPD. EXCLI journal, (2021). 20, 1290
- 23-Ungurs, M. J., Sinden, N. J., & Stockley, R. A. Progranulin is a substrate for neutrophil-elastase and proteinase-3 in the airway and its concentration correlates with mediators of airway inflammation in COPD. American Journal of Physiology-Lung Cellular and Molecular Physiology, (2014). 306(1), L80-L8
- 24-Varmaghani M, Dhegihan M, Heidari E, Sharifi F, Moghaddam SS, Farzadfar F. Global prevalence of chronic obstructive pulmonary disease: systematic review and meta-analysis. East Mediterr Health J 2019; 25(1): 47-
- 25-Torres, J. L., & Ridker, P. M.. Clinical use of high sensitivity C-reactive protein for the prediction of adverse cardiovascular events. Current opinion in cardiology,(2003) 18(6), 471-478.
- 26-Hussein, F. G., & Ahmed, A. A. Evaluation of plasma progranulin level and the estimation of its prognostic role in adult patients with de novo acute myeloid leukemia. Iraqi Journal of Hematology, (2023). 12(1), 44-49
- 27-Fattouh, M., & Alkady, O.. Inflammatory biomarkers in chronic obstructive pulmonary disease. Egyptian Journal of Chest Diseases and Tuberculosis, (2014) 63(4), 799-804.
- 28- Agarwal, R., Zaheer, M. S., Ahmad, Z., et al The relationship between C-reactive protein and prognostic factors in chronic obstructive pulmonary disease. Multidisciplinary respiratory medicine, (2013). 8(1), 1-5.

## The Effect of Age on Right Ventricular Systolic Function Using Traditional Echocardiographic Measures

Elham Hussain Manhal Al-Obaidi<sup>1</sup> and Asaad Hasan Noaman Al-Aboodi<sup>2</sup>

<sup>1,2</sup> University of Kufa, Faculty of medicine, Department Medical Physiology, Iraq.

Email: ([elhamobaidi1993@gmail.com](mailto:elhamobaidi1993@gmail.com))

### ABSTRACT

**Background:** In a wide range of clinical settings, comprehensive evaluation of the right ventricle has become increasingly essential. Measures of right ventricle systolic function, including fractional area change, tissue Doppler, S velocity, tricuspid annular plane systolic excursion, show a significant variation in previous studies and different data known regarding how these measurements change with age. **Aim of the study:** The aim of this study is to evaluate the effects of age on right ventricle systolic function by using conventional 2D echocardiographic assessment.

**Patients and methods:** Comprehensive transthoracic echocardiography examinations were performed on 103 healthy adult volunteers, mean age  $37.1 \pm 11.9$  years (range: 20-66), to determine age related changes in right ventricle dimensions and function. **Results:** 2DE assessment of right ventricle function demonstrates slightly significant differences in tricuspid annular plane systolic excursion ( $r = -.197$ ,  $p = .047$ ), and FAC ( $r = -.241$ ,  $p = .015$ ), among age groups, with a non-significant very small negative relationship between age and tissue Dopplers ( $r = -.0925$ ,  $p = .355$ ).

**Conclusions:** This study has demonstrated that age-adjusted measures are required for the evaluation of right ventricle function. Further, the conventional techniques may ignore mild or slight changes leading to underestimated assessment which regarded as a fundamental challenge for their use; so, the use of advanced techniques which allow early identification of right ventricle dysfunction are recommended since they can detect subclinical dysfunction before anomalies revealed by traditional echocardiography occur.

**Keywords:** Age, Right Ventricle, Systolic Function, Traditional Echocardiography.

**Abbreviation:** (RV) Right Ventricle, (TAPSE): Tricuspid Annular Plane Systolic Excursion, (Tds): Tissue Doppler Derived Tricuspid Lateral Annular Systolic Velocity, (FAC): Fractional Area Change, (TDI): Tissue Doppler Imaging.

### Article Information

Received: February 6, 2024; Revised: May 17, 2024, Online June 2024

## INTRUDUCTION

The right ventricle (RV) has been traditionally considered an irrelevant cardiac chamber, with little attention given to its specific physiologic and pathophysiologic characteristics. However, recent research has highlighted the need for a deeper understanding of RV characteristics. Accurate assessment of RV performance can significantly impact prognosis, especially in patients with right-sided heart failure and congenital heart disorders<sup>(1-7)</sup>.

Echocardiography is the primary method for RV assessment due to its simplicity and diagnostic data. However, challenges like complex cavity, myocardial fiber architecture, and nonconcentric contraction mechanism make RV function assessment one of the most technically challenging tasks in echocardiography<sup>(1, 8-12)</sup>. The RV has a unique myocardial fiber arrangement, with most fibers oriented longitudinally. This structure explains RV contraction primarily based on longitudinal shortening. To accurately assess

RV systolic function, a multi-parametric method with global and regional measurements are recommended. Global measurements include fractional area change (FAC), while regional measurements focus on specific RV function aspects like tricuspid annular plane systolic excursion (TAPSE) and tricuspid annular longitudinal velocity. Conventional 2DE parameters assess both radial and longitudinal RV contraction elements, but there is a lack of information on how these new modalities differ depending on age and gender <sup>(9, 13-15)(16-20)</sup>. The tricuspid annular peak systolic velocity (S') is a reliable technique for evaluating the systolic function of the RV. It correlates positively with RVEF determined by CMR and has better prognostic value than other parameters like FAC and TAPSE. TAPSE is a reliable index for primary RV function evaluation and monitoring, but has limitations such as being angle-dependent and not fully representative of RV global function. FAC measures the change in RV area from end-diastole to end-systole, but may overlook outflow tract contraction, which is crucial for congenital heart disease cases. Studies show that RV FAC is a significant predictor of cardiovascular and overall mortality <sup>(18, 21-23)</sup>.

The aim of this study is to evaluate the effects of age on RV systolic function by using conventional 2D echocardiographic assessment, which are important for distinguishing between pathological conditions and normal physiological changes. It improves a diagnostic precision by identifying initial RV impairment signs and understanding mechanisms of age-related modifications in the RV.

## PATIENTS AND METHODS

This cross-sectional study investigated 102 healthy male participants from November 2022 to October 2023 who were referred to the echocardiography unit at al-Foratul-Ausat Teaching Hospital in Najaf-Iraq. They were screened for cardiovascular disease, including medical history, medication use, risk factors, and lifestyle choices. A physical examination was performed to rule out cardiovascular and metabolic co-morbid disorders. The participants were divided into four groups based on age: Group A (aged 20-29), Group B (aged 30-39), Group C (aged 40-49), and Group D (aged 50-60). The study excluded participants with a history of coronary artery disease, diabetes mellitus, systemic arterial hypertension, smoking, bicuspid aortic valve, congenital heart disease, heart failure, cardiomyopathy, sinus rhythm disturbances, or respiratory disorders, treatments affecting the heart, poor quality echocardiogram images, and athletes.

The study involved a single examiner conducting echocardiography examinations using Vivid E9 equipment. Participants were informed about the study's purpose and given the option to accept or reject participation. Methods included recording height and weight, determining BMI, and computing body surface area (Tab. 1). The study assessed RV function through 2DE echocardiography exam, with a 3.5-MHz phased array transducer, while participants in the left lateral decubitus posture

**Table 1: Anthropometric data of the study**

| Data (M±SD)              | Group A<br>(20-29 yrs)<br>No. 33 | Group B<br>(30-39 yrs)<br>No. 25 | Group C<br>(40-49 yrs)<br>No. 25 | Group D<br>(50-60 yrs)<br>No. 20 | Total No. 103 |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|---------------|
| Age                      | 24.9±2.8                         | 32.7±2.99                        | 43.7±3.0                         | 55.7±5.4                         | 37.1±11.9     |
| Weight (kg)              | 73.5±15                          | 78.3±12.0                        | 81.4±11                          | 81.1±14                          | 78±13.6       |
| Height (cm)              | 171.7±6.5                        | 172.2±4.5                        | 172±4.9                          | 171.7±6.1                        | 171.9±5.5     |
| BMI (kg/m <sup>2</sup> ) | 24.6±4.4                         | 26.2±3.2                         | 27.3±2.90                        | 27.2±3.9                         | 26.1±3.8      |
| BSA (m <sup>2</sup> )    | 1.8±0.1                          | 1.8±1.9                          | 1.8±0.1                          | 1.8±0.1                          | 1.8±0.1       |

By passing an M-mode cursor through the tricuspid annulus in the typical apical four-chamber window and calculating the difference between the end-diastolic and end-systolic measured longitudinal movement of the annulus, in mm, taken at the onset of an electrocardiogram QRS complex, TAPSE was calculated as an indicator of the RV longitudinal systolic function (24, 25).

RV FAC (%) =  $100 \times (EDA - ESA)/EDA$  is the formula employed to calculate fractional area change, after the end-diastolic and end-systolic RV areas derived from planimetry of the endocardial boundary in the apical four-chamber view. It represents the radial and longitudinal elements of RV contraction (18).

By pulsed-wave DTI, peak systolic tricuspid annular motion velocity (S) in conjunction with simultaneous electrocardiography were measured at the lateral corner of the tricuspid annulus from the apical four-chamber view (18, 26-28).

## STATISTICAL ANALYSIS

The data were analyzed per age categories [group A (20-29 year), group B (30-39 year), group C (40-49 year) and group D (50-60 year or

more)]. The mean and standard deviations were calculated. To compare the study groups, SPSS version 28 was used along with analysis of variance (ANOVA) and correlation regression testing. An analysis of the relationship between the different variables and age was conducted by using the correlation coefficient (r). Probability value P less than 0.05 was considered statistically significant ( $\alpha=0.05$ ).

## RESULTS

The mean values by age for the RV systolic indices (TAPSE, FAC, TDs) derived by 2DE and TDI: there was a statistically significant decrease in FAC with age. In contrast, TAPSE and the tissue Doppler S wave doesn't show a significant variation with age. (Tab. 2).

FAC also was statistically different among group A-C and A-D. TAPSE and S wave were not significantly different in these four groups. The Correlation of RV systolic indices (TAPSE, FAC, TDs) derived by 2DE and TDI with age: According to the person correlation results, there was a significant small negative relationship between age and TAPSE ( $r = -.197$ ,  $p = .047$ ), as well as age and FAC ( $r = -.241$ ,  $p = .015$ ). There was a non-significant very small negative relationship between age and TDs ( $r = -.0925$ ,  $p = .355$ ). (fig: 1, 2, 3).

**Table 2: The values of TAPSE, TDs, FAC according to age groups.**

| Data (M±SD) | Group A<br>(20_29yrs)<br>No. 33                                | Group B<br>(30_39yrs)<br>No. 25 | Group C<br>(40_49yrs)<br>No. 25 | Group D<br>(50>60yr)<br>No. 20 | Total No.<br>103 | P value  |
|-------------|--|---------------------------------|---------------------------------|--------------------------------|------------------|----------|
| TAPSE (mm)  | 22.8±2.893   | 23.3±2.408                      | 21.6±3.202                      | 22.1±2.685                     | 22.5±2.85        | Non-Sig. |
| TDs (m/s)   | 0.13±0.021   | 0.14±0.029                      | 0.12±0.028                      | 0.13±0.031                     | 0.13±0.02        | Non-Sig. |
| FAC (%)     | 51.1±7.639   | 47.2±7.059                      | 43.3±10.94                      | 45.9±8.676                     | 47.2±9.01        | Sig.     |
|             | P value< 0.05: significant. P value< 0.01: highly significant. |                                 |                                 |                                |                  |          |

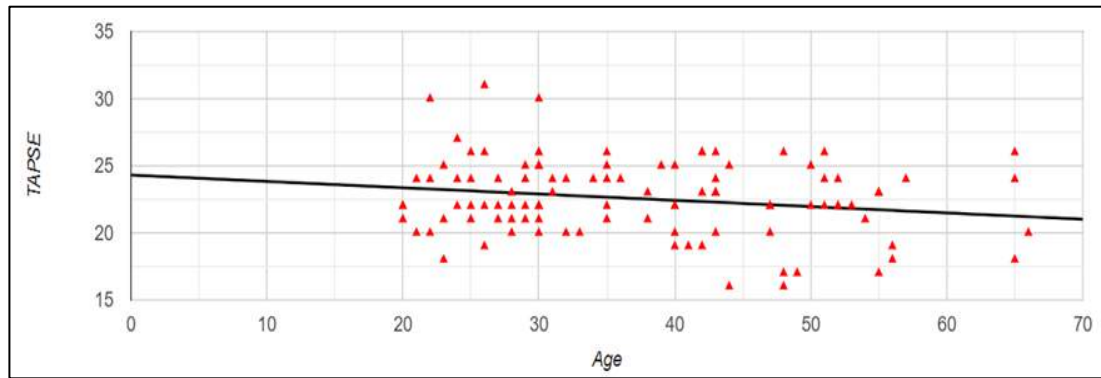


Figure 1: linear regression plot demonstrating the relationships between TAPSE and age in years.

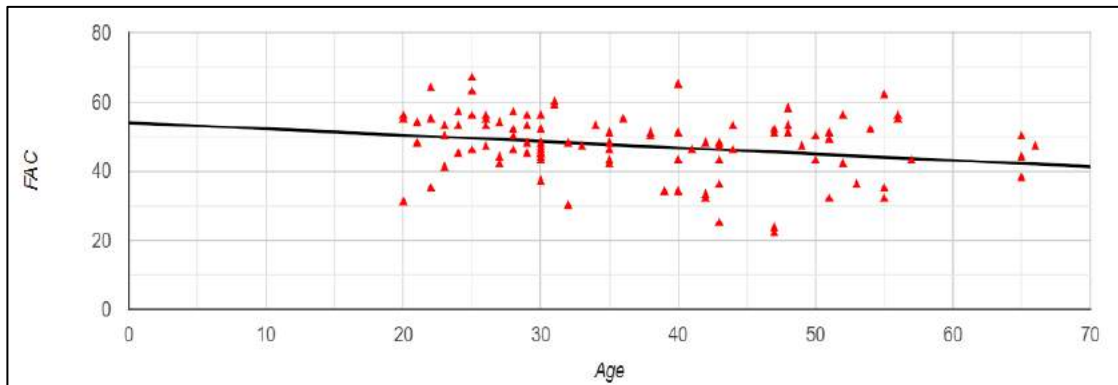


Figure 2: linear regression plot demonstrating the relationships between FAC and age in years.

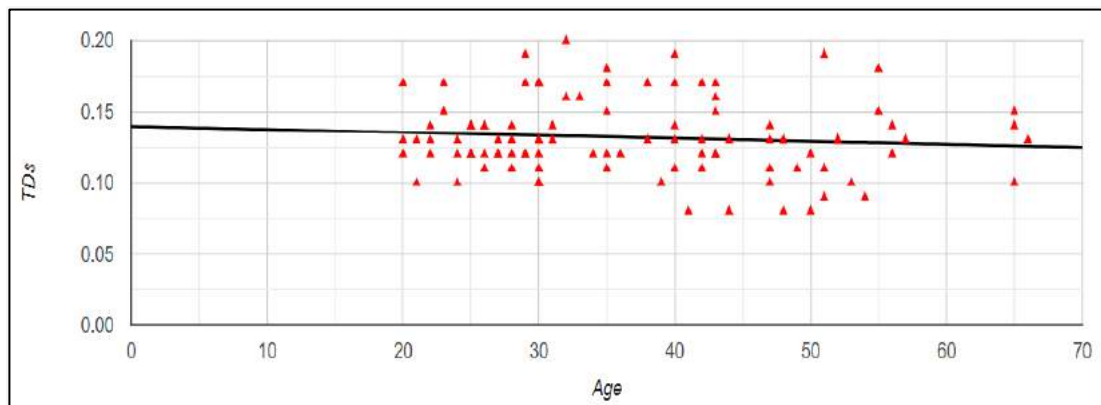


Figure 3: linear regression plot demonstrating the relationships between TDs and age in years.

## DISCUSSION

This study has demonstrated that age has a significant effect on certain clinical parameters of RV systolic function. Age-related reductions were observed in longitudinal systolic function, as expressed by TAPSE, and RV contractility, estimated by FAC. There was a slight but non-significant negative correlation between age and the tissue Doppler S wave. The finding highlights the importance of routine cardiac assessment in elderly people to identify early aging-related

changes. These results have significant implications for both present and future clinical and research settings. Specifically, they indicate that age normalized values have to be considered when interpreting RV parameters in clinical scenarios, to establish normality or the degree of abnormalities. This is particularly noteworthy as the RV functional capability serves as an important indicator of outcome in a variety of disorders.

RV contraction is mostly caused by longitudinal shortening. The architecture of the cardiac muscle fibers in the LV and RV varies depending on the myocardial level. While circumferential fibers predominant in the lateral wall of LV, longitudinally arranged fibers predominate in the RV free wall. Most previously proposed assessments of RV function(11) have relied on the imaging of peak systolic motion and TAPSE which provides easy indication of RV longitudinal shortening and FAC which is a primary indicator of RV contractility.

Like the study conducted by (29), the current study has observed a small but significant negative correlation between TAPSE and age. However, the slight negative relationship found here between age and S velocity was not statistically significant. It means that these parameters are possibly less vulnerable to the subtle changes in RV performance brought on by aging. Other research (11, 30-32), with the exception of the study of McGhie et al., which reported a non-significant correlation between TAPSE and age, found a decline in basal RV longitudinal function exhibited by TAPSE and s velocity. However, no significant change in RV systolic velocities with age was observed in other studies employing pulsed wave tissue Doppler (33-38). Perhaps as a result of the somewhat younger participants in current study.

The current study observed reduction in FAC which indicates an aging-related decline in right ventricular contractility and pumping capacity. The structural and functional alterations in the myocardium may be responsible for this age-related decrease in FAC. Lower RVFAC may result from impaired RV contraction caused by myocardial stiffness and fibrotic remodeling from collagen fiber deposition with age.

According to three earlier investigations (31, 37, 39), there was no significant change in FAC with aging. Further research is required to offer a more comprehensive knowledge of the correlation between RVFAC and age, as the current body of research is very restricted.

## CONCLUSIONS

2DE derived FAC and TAPSE were shown to decrease significantly with age. RV longitudinal systolic function as measured by tissue Doppler s wave showed a small negative correlation **WITH** age, which demonstrate that conventional techniques may ignore mild or slight changes leading to underestimated assessment regarded as a fundamental challenge for their use. So, the use of advanced techniques which allow early identification of RV dysfunction are recommended since they can detect subclinical dysfunction before anomalies revealed by traditional echocardiography occur.

## RECOMMENDATIONS

1. A long-term follow-up of the participants would support the findings of this cross-sectional analysis.
2. It is recommended that a greater number of participants including female gender be examined.

## ACKNOWLEDGMENTS

I would like to express all gratitude to Mr. head and all the other doctors in the Department of Physiology/ Faculty of Medicine/ University of Kufa for their advice, assistance and support.

## REFERENCES

1. Badano LP, Muraru D, Parati G, Haugaa K, Voigt JU. How to do right ventricular strain. *European Heart Journal-Cardiovascular Imaging*. 2020 Aug 1;21(8):825-7.
2. Woulfe KC, Walker LA. Physiology of the right ventricle across the lifespan. *Frontiers in Physiology*. 2021 Mar 2;12:642284.
3. Ryo K, Goda A, Onishi T, Delgado-Montero A, Tayal B, Champion HC, Simon MA, Mathier MA, Gladwin MT, Gorcsan III J. Characterization of right ventricular remodeling in pulmonary hypertension associated with patient outcomes by 3-dimensional wall motion tracking echocardiography. *Circulation*:

- Cardiovascular Imaging. 2015 Jun;8(6):e003176.
4. Vitarelli A, Barilla F, Capotosto L, D'Angeli I, Truscelli G, De Maio M, Ashurov R. Right ventricular function in acute pulmonary embolism: a combined assessment by three-dimensional and speckle-tracking echocardiography. *Journal of the American Society of Echocardiography*. 2014 Mar 1;27(3):329-38.
  5. Pueschner A, Chattranukulchai P, Heitner JF, Shah DJ, Hayes B, Rehwald W, Parker MA, Kim HW, Judd RM, Kim RJ, Klem I. The prevalence, correlates, and impact on cardiac mortality of right ventricular dysfunction in nonischemic cardiomyopathy. *JACC: Cardiovascular Imaging*. 2017 Oct;10(10 Part B):1225-36.
  6. Sabe MA, Sabe SA, Kusunose K, Flamm SD, Griffin BP, Kwon DH. Predictors and prognostic significance of right ventricular ejection fraction in patients with ischemic cardiomyopathy. *Circulation*. 2016 Aug 30;134(9):656-65.
  7. Obokata M, Reddy YN, Melenovsky V, Pislaru S, Borlaug BA. Deterioration in right ventricular structure and function over time in patients with heart failure and preserved ejection fraction. *European heart journal*. 2019 Feb 21;40(8):689-97.
  8. Zaidi A, Knight DS, Augustine DX, Harkness A, Oxborough D, Pearce K, Ring L, Robinson S, Stout M, Willis J, Sharma V. Echocardiographic assessment of the right heart in adults: a practical guideline from the British Society of Echocardiography. *Echo Research & Practice*. 2020 Mar;7(1):G19-41.
  9. Portnoy SG, Rudski LG. Echocardiographic evaluation of the right ventricle: a 2014 perspective. *Current cardiology reports*. 2015 Apr;17:1-8.
  10. Shiota T. 3D echocardiography: evaluation of the right ventricle. *Current opinion in cardiology*. 2009 Sep 1;24(5):410-4.
  11. Kukulski T, Hübbert L, Arnold M, Wranne B, Hatle L, Sutherland GR. Normal regional right ventricular function and its change with age: a Doppler myocardial imaging study. *Journal of the American Society of Echocardiography*. 2000 Mar 1;13(3):194-204.
  12. Helbing WA. Right ventricular function: the comeback of echocardiography?. *European Journal of Echocardiography*. 2004 Mar 1;5(2):99-101.
  13. Kossaify A. Echocardiographic assessment of the right ventricle, from the conventional approach to speckle tracking and three-dimensional imaging, and insights into the "right way" to explore the forgotten chamber. *Clinical Medicine Insights: Cardiology*. 2015 Jan;9:CMC-S27462.
  14. Lang RM, Bierig M, Devereux RB, Flachskampf FA, Foster E, Pellikka PA, Picard MH, Roman MJ, Seward J, Shanewise J, Solomon S. Recommendations for chamber quantification. *European journal of echocardiography*. 2006 Mar 1;7(2):79-108.
  15. Ho SY, Nihoyannopoulos P. Anatomy, echocardiography, and normal right ventricular dimensions. *Heart*. 2006 Apr 1;92(suppl 1):i2-13.
  16. Pirat B, McCulloch ML, Zoghbi WA. Evaluation of global and regional right ventricular systolic function in patients with pulmonary hypertension using a novel speckle tracking method. *The American journal of cardiology*. 2006 Sep 1;98(5):699-704.
  17. Sarvari SI, Haugaa KH, Anfinson OG, Leren TP, Smiseth OA, Kongsgaard E, Amlie JP, Edvardsen T. Right ventricular mechanical dispersion is related to malignant arrhythmias: a study of patients with arrhythmogenic right ventricular cardiomyopathy and subclinical right ventricular dysfunction. *European heart journal*. 2011 May 1;32(9):1089-96.
  18. Lang RM, Badano LP, Mor-Avi V, Afilalo J, Armstrong A, Ernande L, Flachskampf FA, Foster E, Goldstein SA, Kuznetsova T, Lancellotti P. Recommendations for cardiac chamber quantification by echocardiography in adults: an update from the American Society of Echocardiography and the European

- Association of Cardiovascular Imaging. *European Heart Journal-Cardiovascular Imaging*. 2015 Mar 1;16(3):233-71.
19. Vitarelli A, Mangieri E, Terzano C, Gaudio C, Salsano F, Rosato E, Capotosto L, D'Orazio S, Azzano A, Truscetti G, Cocco N. Three-dimensional echocardiography and 2D-3D speckle-tracking imaging in chronic pulmonary hypertension: diagnostic accuracy in detecting hemodynamic signs of right ventricular (RV) failure. *Journal of the American Heart Association*. 2015 Mar 19;4(3):e001584.
  20. D'Andrea A, Vriza O, Carbone A, Ferrara F, Di Maio M, Cocchia R, Tagliamonte G, Aciri E, Driussi C, Pezzullo E, Citro R. The impact of age and gender on right ventricular diastolic function among healthy adults. *Journal of Cardiology*. 2017 Oct 1;70(4):387-95.
  21. Schneider M, Binder T. Echocardiographic evaluation of the right heart. *Wiener Klinische Wochenschrift*. 2018 Jul;130:413-20.
  22. Vijjiac A, Onciul S, Guzu C, Scarlatescu A, Petre I, Zamfir D, Onut R, Deaconu S, Dorobantu M. Forgotten No more—the role of right ventricular dysfunction in heart failure with reduced ejection fraction: an echocardiographic perspective. *Diagnostics*. 2021 Mar 19;11(3):548.
  23. Smolarek D, Gruchała M, Sobiczewski W. Echocardiographic evaluation of right ventricular systolic function: The traditional and innovative approach. *Cardiology journal*. 2017;24(5):563-72.
  24. Hayashi S, Yamada H, Nishio S, Hotchi J, Bando M, Takagawa Y, Saijo Y, Hirata Y, Sata M. Age- and gender-specific changes of tricuspid annular motion velocities in normal hearts. *Journal of Cardiology*. 2015 May 1;65(5):397-402.
  25. Spruijt OA, Di Pasqua MC, Bogaard HJ, van der Bruggen CE, Oosterveer F, Marcus JT, Vonk-Noordegraaf A, Handoko ML. Serial assessment of right ventricular systolic function in patients with precapillary pulmonary hypertension using simple echocardiographic parameters: a comparison with cardiac magnetic resonance imaging. *Journal of Cardiology*. 2017 Jan 1;69(1):182-8.
  26. Meluzín J, Špinarová L, Bakala J, Toman J, Krejčí J, Hude P, Kára T, Souček M. Pulsed Doppler tissue imaging of the velocity of tricuspid annular systolic motion. A new, rapid, and non-invasive method of evaluating right ventricular systolic function. *European heart journal*. 2001 Feb 1;22(4):340-8.
  27. Pavlicek M, Wahl A, Rutz T, de Marchi SF, Hille R, Wustmann K, Steck H, Eigenmann C, Schwerzmann M, Seiler C. Right ventricular systolic function assessment: rank of echocardiographic methods vs. cardiac magnetic resonance imaging. *European Journal of Echocardiography*. 2011 Nov 1;12(11):871-80.
  28. Wu VC, Takeuchi M. Echocardiographic assessment of right ventricular systolic function. *Cardiovascular Diagnosis and Therapy*. 2018 Feb;8(1):70.
  29. Barker N, Zafar H, Fidock B, Elhawaz A, Al-Mohammad A, Rothman A, Kiely DG, Van Der Geest RJ, Westenberg J, Swift AJ, Wild JM. Age-associated changes in 4D flow CMR derived tricuspid valvular flow and right ventricular blood flow kinetic energy. *Scientific Reports*. 2020 Jun 18;10(1):9908.
  30. Innelli P, Esposito R, Olibet M, Nistri S, Galderisi M. The impact of ageing on right ventricular longitudinal function in healthy subjects: a pulsed tissue Doppler study. *European Journal of Echocardiography*. 2009 Jun 1;10(4):491-8.
  31. Chia EM, Hsieh CH, Boyd A, Pham P, Vidaic J, Leung D, Thomas L. Effects of age and gender on right ventricular systolic and diastolic function using two-dimensional speckle-tracking strain. *Journal of the American Society of Echocardiography*. 2014 Oct 1;27(10):1079-86.
  32. McGhie JS, Menting ME, Vletter WB, Frowijn R, Roos-Hesselink JW, van der Zwaan HB, Soliman OI, Geleijnse ML, van den Bosch AE. Quantitative assessment of the

- entire right ventricle from one acoustic window: an attractive approach. *European Heart Journal-Cardiovascular Imaging*. 2017 Jul 1;18(7):754-62.
33. Lindqvist P, Waldenström A, Henein M, Mörner S, Kazzam E. Regional and global right ventricular function in healthy individuals aged 20–90 years: a pulsed Doppler Tissue Imaging Study Umeå General Population Heart Study. *Echocardiography: A Journal of Cardiovascular Ultrasound and Allied Techniques*. 2005 Apr;22(4):305-14.
34. Kjaergaard J, Petersen CL, Kjaer A, Schaadt BK, Oh JK, Hassager C. Evaluation of right ventricular volume and function by 2D and 3D echocardiography compared to MRI. *European journal of echocardiography*. 2006 Dec 1;7(6):430-8.
35. Chiha J, Boyd A, Thomas L. Does normal ageing alter right ventricular relaxation properties? A tissue Doppler study. *Heart, Lung and Circulation*. 2010 Jul 1;19(7):406-12.
36. D'Andrea A, Naeije R, Grünig E, Caso P, D'Alto M, Di Palma E, Nunziata L, Riegler L, Scarafilo R, Cocchia R, Vriza O. Echocardiography of the pulmonary circulation and right ventricular function: exploring the physiologic spectrum in 1,480 normal subjects. *Chest*. 2014 May 1;145(5):1071-8.
37. van Grootel RW, Menting ME, Mcghee J, Roos-Hesselink JW, van den Bosch AE. Echocardiographic chamber quantification in a healthy Dutch population. *Netherlands Heart Journal*. 2017 Dec;25:682-90.
38. Addetia K, Miyoshi T, Citro R, Daimon M, Fajardo PG, Kasliwal RR, Kirkpatrick JN, Monaghan MJ, Muraru D, Ogunyankin KO, Park SW. Two-dimensional echocardiographic right ventricular size and systolic function measurements stratified by sex, age, and ethnicity: results of the world alliance of societies of echocardiography study. *Journal of the American Society of Echocardiography*. 2021 Nov 1;34(11):1148-57.
39. Henein M, Waldenström A, Mörner S, Lindqvist P. The normal impact of age and gender on right heart structure and function. *Echocardiography*. 2014 Jan;31(1):5-11.

# The Sensitivity and Specificity of Measuring the Thickness of Myometrium to Predict the Time of Spontaneous Labour in Preterm Prelabor Rupture of Membranes and Oligohydramnios

Luma Zeiny

University of Kufa, College of Medicine, Iraq.

Email: [luma.zaini@uokufa.edu.iq](mailto:luma.zaini@uokufa.edu.iq)

## ABSTRACT

**Background:** Preterm prelabour rupture of membranes (PPROM) accounts for one- third of cases of preterm labour which is the leading cause of perinatal morbidity and mortality. The time from the rupture of membranes until labour, i.e. the latent period (LP), is an important factor in neonatal outcome. **Aim of the study:** The present study aims to determine the sensitivity and specificity of measuring the thickness of myometrium for the prediction of LP. **Patients and methods:** This cross-sectional observational study was performed in Az-Zahraa Teaching Hospital in Najaf-Iraq during the period from the first of August 2016 to the first of October 2017. A total of 89 patients who admitted with the diagnosis of PPRM at the 26<sup>th</sup> to the 34<sup>th</sup> weeks of gestation with oligohydramnios were included in the study. The thickness of myometrium was measured via transabdominal ultrasound in the lower uterine segment (LSMT), anterior (AMT), posterior (PMT) and fundal (FMT) parts of uterus within 24 hours of membranes rupture. **Results:** The mean measurements of LSMT, AMT, PMT and FMT in patients with PPRM and oligohydramnios were  $7.25\pm 2.31$ ,  $7.87\pm 3.45$ ,  $8.71\pm 3.88$  and  $8.65\pm 3.72$  respectively. The sensitivity and specificity of measuring AMT for prediction of LP > 7 days were 80% and 53%; those for PFT were 80% and 34.1% respectively at a cut-off point of 6.5 mm while the FMT was found to be 60% sensitive and 34.1% specific. LSMT was 80% sensitive and 43.9% specific for recognition of LP > 7 days at a cut-off point of 7.5mm. **Conclusions:** measurement of the thickness of the myometrium may be a sensitive but non-specific tool for the prediction of the latent period.

**Keywords:** Latent Period, PPRM, Sensitivity, Specificity, Thickness of Myometrium.

## Article Information

Received: February 18, 2024; Revised: May17, 2024, Online June 2024

## INTRUDUCTION

Preterm prelabour rupture of membranes PPRM is a syndrome characterized by spontaneous rupture of the fetal membranes before 37 completed weeks in the absence of uterine contractions, i.e. before the onset of labour(1). It occurs in up to 3% of all pregnancies and accounts for approximately one-third of all deliveries before 37 weeks gestation (2). As preterm delivery is the most common consequence of PPRM, prediction of

the time of spontaneous labour cannot be overemphasized. Approximately 50% of patients with PPRM deliver within 7 days, 75% within 14 days and 85% within 30 days (3). Currently, there is a limited capability to predict latent period (LP), i.e. time from rupture of membranes until labour; this results in difficulties in counseling the patients that suffer from PPRM. Several factors are postulated to affect the LP. An inverse relationship exists between gestational age (GA) at the time of PPRM and LP (4,5).

The relationship between the oligohydramnios and LP is controversial. Some studies showed that oligohydramnios is associated with shortening of LP (6) while another study by Test et al (7) showed that the LP was prolonged in women who had oligohydramnios. A more recent study could not reveal any association between oligohydramnios and LP (8). A twin pregnancy complicated with PPRM has shorter LP than a singleton pregnancy (9). Pregnancy complications such as chorioamnionitis, placental abruption or non-reassuring fetal testing result in early delivery and shorter LP. It has been suggested that shorter length of cervix might be associated with shorter LP. The digital cervical examination has been investigated as a predictor for LP and has been shown to be of limited value. Furthermore, a digital cervical examination may increase the risk of ascending infection. A study done by Fischer et al (10) that measured the length of the cervix by trans-labial ultrasound revealed that cervical length had no association with the duration of the LP in patients with PPRM.

Measurement of the thickness of the myometrium by transabdominal ultrasound in patients with PPRM as a predictor for the time of spontaneous labour has been studied and it has been suggested that the thicker the myometrium the longer the LP. Uterine contractions during labour are associated with diffuse thinning of the myometrium (11). This is consistent with the well-known fact in physics that the applied force per unit of cross-sectional area, i.e. wall stress, is directly proportional to the intra-cavitary pressure and radius of the curvature but inversely proportional to the thickness of the myometrium. Thus, the thinner the myometrium during contraction, the greater will be the generated uterine wall stress(12). These findings suggest that the direction of the force paths, fundal dominance, is not determined by asymmetrical myometrial hypertrophy but, rather may be a function of increased myometrial mass that results from the increased

surface area at the fundus (13). A positive correlation between the thickness of myometrium and LP has been revealed by several studies (11, 14). However; the data regarding this association is contradictory and the sensitivity and specificity of measuring the thickness of myometrium in the prediction of LP are not yet verified (14).

The aim of the current study is to determine the sensitivity and specificity of measuring the thickness of myometrium for the prediction of LP in patients with PPRM and oligohydramnios.

## PATIENTS AND METHODS

This cross-sectional study was conducted upon 89 pregnant women at 26th to 34th weeks of GA who were admitted to Az-Zahra`a Teaching Hospital with the diagnosis of PPRM and oligohydramnios, amniotic fluid index (AFI) < 5 cm) during the period starting from first of August 2016 to the first of October 2017. The protocol of the study was approved by the Scientific and Ethical Committees at College of Medicine at the University of Kufa. Written informed consents were taken from all patients who participated in the study. A comprehensive history, general, abdominal and sterile speculum examinations were performed for all patients and those who are suitable for expectant management are included in the study. All patients underwent sterile Cusco's speculum examinations to detect cervical dilatation and amniotic fluid pooling, aided if necessary by mild pressure on the abdomen or Valsalva manoeuvre. A Confirmation of the leakage of amniotic fluid by a positive ferning test, i.e. observation of a crystallization pattern on a dried sample of fluid under the microscope and a positive nitrazine test, i.e. alkaline pH determination of fluid, were achieved for all patients in the study.

The exclusion criteria included:

- Multiple pregnancy.
- Intra-uterine growth restriction.

- Uterine or fetal anomalies.
- Uterine scar or fibroid.
- Placental abruption or placenta previa.
- Other maternal or fetal conditions that necessitated termination of pregnancy such as chorioamnionitis or fetal distress.

During the follow-up, five patients were excluded from the study as they required emergency termination of pregnancy by cesarean section: three of them due to development of chorioamnionitis, one of them due to non-reassuring fetal heart rate pattern and one of them due to placental abruption. Thus, the final analysis of data included only 84 patients.

Trans-abdominal ultrasound scan was performed for all patients initially within 24 hours of membrane rupture by the same experienced sonographer for determination of GA, placental localization, fetal well-being, congenital anomalies, amount of liquor by AFI and thickness of the myometrium at the lower uterine segment (LSMT), fundus (FMT), anterior wall (AMT) and posterior (PMT) wall of the uterus. All scans were performed abdominally by the use of GE (voluson E6) ultrasound via a transabdominal curvilinear probe with a 3.5 MHZ frequency.

Data from the study subjects were dedicated on the age, gravidity, parity, GA based on menstrual history and/or first-trimester ultrasound, LP, thickness of myometrium, i.e. LSMT, AMT, PMT and FMT. The thickness of the myometrium was measured between the serosa and decidua. For determination of LSMT, the ultrasound probe was positioned about 2 cm above the urinary bladder reflection anteriorly; for AMT the probe was positioned 1cm above the maternal umbilicus; for FMT the probe was positioned so that the total fundal curvature could be recognizable. The PMT was determined where the maternal pulse of the aorta was detected. During follow-up, all patients received

prophylactic antibiotics and steroids for enhancement of lung maturity. Maternal monitoring was performed clinically and by laboratory investigations, including complete blood counts and C-reactive protein. Fetal monitoring was accomplished regularly including daily non-stress test, twice-weekly biophysical profile, and fetal heart rate monitoring four times a day. Digital vaginal examination and tocolytics were avoided. However, if the patient complained of pain and contractions, she could be fully assessed to identify the start of labour. LP was defined from the time of membrane rupture until the start of the spontaneous labour.

## STATISTICAL ANALYSIS

Statistical analysis was done by using SPSS version 20. Independent sample T-test, Pearson's correlation coefficient and receiver operator curve (ROC) were used as needed. A P value of <0.05 was set as significant.

## RESULTS

As the final analysis of data involved 84 patients with PPROM and oligohydramnios, Tab. (1) shows the demographic characteristics of those patients. The descriptive data of the mean, minimum, maximum thickness of myometrium at the lower uterine segment, fundus, and the anterior wall and posterior wall of the uterus were shown in Tab. (2). Pearson's correlation between the LP and the thickness of myometrium at different parts of the uterus was estimated as seen in Tab. (3). There were significant positive correlations between the LP and LMST, AMT, PMT and FMT, as  $P= 0.01, 0.001, 0.036$  and  $0.041$  respectively. The sensitivity and specificity of measurement of the thickness myometrium at different parts of the uterus for the prediction of the LP >7 days were

determined by the ROC curve analysis as seen in Fig. (1) and Tab. (4). Area under the curve (AUC) for anterior (AMT), posterior (PMT), fundal (FMT) parts and the lower segment (LSMT) of

the uterus were 0.700, 0.602, 0.566 and 0.629 respectively.

**Table (1): Demographic characteristics of the patients enrolled in the study.**

| Characteristic | Mean  | Minimum | Maximum | SD    |
|----------------|-------|---------|---------|-------|
| Age/years      | 28.66 | 20      | 35      | ±6.34 |
| Gravidity      | 3.53  | 1       | 10      | ±2.98 |
| Parity         | 1.81  | 0       | 8       | ±1.53 |
| GA*/weeks      | 31.28 | 26      | 34      | ±3.88 |
| AFI*           | 2.36  | 1       | 4       | ±1.7  |
| LP*/days       | 8.34  | 1       | 19      | ±1.1  |

\* GA= gestational age, AFI= amniotic fluid index, LP= latent period.

**Table (2): The measurements of the thickness of myometrium at different parts of uterus.**

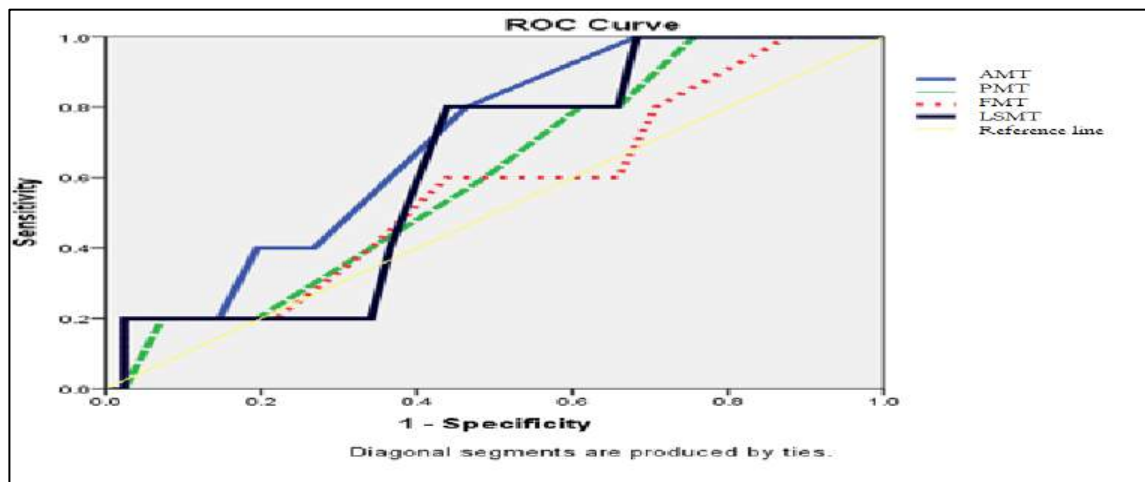
| Thickness of myometrium /mm | Mean | Minimum | Maximum | SD    |
|-----------------------------|------|---------|---------|-------|
| LSMT*                       | 7.25 | 5.2     | 11.3    | ±2.31 |
| AMT*                        | 7.87 | 5.4     | 12.5    | ±3.45 |
| PMT*                        | 8.71 | 5.6     | 14.8    | ±3.88 |
| FMT*                        | 8.65 | 5.9     | 13.7    | ±3.72 |

\* LSMT= lower segment myometrial-thickness, AMT= anterior myometrial-thickness, PMT=posterior myometrial-thickness and FMT= fundal myometrial-thickness.

**Table (3): The correlation between the thicknesses of myometrium at different parts of the uterus with the LP.**

| Thickness of myometrium /mm | r    | P value |
|-----------------------------|------|---------|
| LSMT*                       | 0.64 | 0.01    |
| AMT*                        | 0.71 | 0.001   |
| PMT*                        | 0.38 | 0.036   |
| FMT*                        | 0.56 | 0.041   |

\* LSMT= lower segment myometrial-thickness, AMT= anterior myometrial-thickness, PMT= posterior myometrial-thickness and FMT= fundal myometrial-thickness, r=Pearson's correlation coefficient.



**Figure (1):** ROC curve represents the sensitivity and specificity of measurement of the thickness of myometrium and area under the curve (AUC) at different parts of uterus for patients whose LP was >7 days.

**Table (4):** Sensitivity and specificity for detection of LP >7 days.

|                                   | AMT   | PMT   | FMT   | LSMT  |
|-----------------------------------|-------|-------|-------|-------|
| <b>Sensitivity</b>                | 80%   | 80%   | 60%   | 80%   |
| <b>Specificity</b>                | 53.7% | 34.1% | 34.1% | 43.9% |
| <b>Thickness of myometrium/mm</b> | 6.5   | 6.5   | 7.5   | 7.5   |
| <b>LP/ days</b>                   | >7    | >7    | >7    | >7    |

## DISCUSSION

Several predictors have been investigated for their role in the assessment of spontaneous labour time in PPRM. None of these studied factors was found to be of reasonable predictive power. The focus of current study is to find practical, easy and available ultrasound-based measures that might be helpful in predicting the LP. One of these measures is to estimate the thickness of myometrium and to find out its relationship with the duration of LP. Although several studies have revealed a positive correlation between the thickness of myometrium and the length of LP, there is a paucity of data regarding sensitivity and specificity of this measure to predict the LP<sup>(11, 14, 15)</sup>.

In this study, the mean thickness of myometrium at lower segment (LSMT), anterior (AMT), posterior (PMT) and fundal (FMT) parts of the uterus were found to be  $7.25 \pm 2.31$ ,  $7.87 \pm 3.45$ ,  $8.71 \pm 3.88$  and  $8.65 \pm 3.72$  mm respectively. These findings were comparable to those found by Hamdi et al<sup>(16)</sup> who found out AMT, PMT and FMT to be  $8.23 \pm 2.59$ ,  $8.9 \pm 2.86$ , and  $9.1 \pm 3.54$  mm respectively. Atarjavadan et al<sup>(17)</sup> and Gupta et al<sup>(18)</sup> also found similar ranges. However, the mean AMT, PMT and FMT were found to be higher in a previous study done by Buhimschi's et al<sup>(11)</sup> which were  $10.6 \pm 0.6$ ,  $9.6 \pm 0.6$  and  $10.7 \pm 0.7$  mm respectively while they were found to be lower in Kalantari's et al<sup>(15)</sup> study, i.e.  $6.5 \pm 1.5$ ,  $6.5 \pm 1.7$ ,  $7.9 \pm 2.4$  mm

respectively. A small sample size, as in Kalantari's et al<sup>(15)</sup> study which included 24 PPRM patients only, and the use of 5.5-7.7 MHz probe frequency, as in Buhimschi's et al<sup>(11)</sup> study, might explain these differences.

Comparing the demographic data of the current study with that of previous studies revealed that the mean maternal age here was  $28.66 \pm 6.34$  years, as in Tab. (1), while it was  $29.2 \pm 1.2$  in Buhimschi et al's<sup>(11)</sup> study,  $28.9 \pm 5.1$  years in kalantari et al's<sup>(15)</sup>,  $27.2 \pm 4.9$  in Hamdi et al's<sup>(16)</sup>,  $27.9 \pm 4.7$  in Atarjavidan et al<sup>(17)</sup>,  $26.2 \pm 4.9$  mm in Gupta et al's<sup>(18)</sup> and  $29.44 \pm 6.1$  in Elshikh's<sup>(19)</sup>. Nevertheless, no association between the maternal age and the thickness of myometrium was found in the previous studies<sup>(14-17)</sup>.

The mean gravidity and parity in the current study were 3.35, ranging from 1-10, and 1.81, ranging from 0-8, respectively. Previous studies had involved patients with different ranges of gravidity and parity<sup>(14-17)</sup>. However, no relationship was found on review of the literature between gravidity or parity and the thickness of myometrium or LP<sup>(17)</sup>.

The mean gestation age in this study was  $31.28 \pm 3.88$  weeks, as seen in Tab.(1), while the mean gestation age was 29.5 weeks in Buhimschi's<sup>(11)</sup> study, 29.1 weeks in Kalantari's<sup>(15)</sup>, 30.6 weeks in Hamdi's<sup>(16)</sup>, 28.6 in Atarjavidan et al's<sup>(17)</sup> and 32 weeks in Gupta et al's<sup>(18)</sup>. These differences might explain difference in the results.

A significant positive correlation between the thickness of myometrium at the lower segment, anterior, posterior and fundal parts of the uterus and LP was evident in this study as seen in Tab. (3). These findings were consistent with the findings of Buhimschi et al's<sup>(11)</sup>, and Elshikh's<sup>(19)</sup>. In contrary, the findings of Kalantari et al<sup>(15)</sup>, Hamdi et al<sup>(16)</sup> and Naleini et al<sup>(20)</sup> had revealed no association between the thickness of myometrium and LP. Atarjavidan et al<sup>(17)</sup> found a significant positive correlation between the thickness of the myometrium at the anterior wall, fundus and lower uterine segment in patients with

GA below 30 weeks while no such association was revealed in those with GA of 30 weeks and more. Finally, Gupta et al<sup>(18)</sup> found a significant positive correlation between the thickness of myometrium at the anterior wall and lower uterine segment whereas no significant correlation was found in the posterior and fundal myometrium.

The current data has revealed that the sensitivity and specificity of measuring AMT for prediction of LP > 7 days were 80% and 53% and those for PFT were 80% and 34.1% respectively at a cut-off point of 6.5 mm while the FMT is found to be 60% sensitive and 34.1% specific and LSMT was 80% sensitive and 43.9% specific respectively for recognition of LP > 7 days at a cut-off point of 7.5mm.

There were two previous studies that estimated the sensitivity and specificity of measuring the thickness of myometrium for the prediction of LP. In a study performed by Atarjavidan<sup>(17)</sup>, FMT was 79% sensitive and 39% specific for prediction of LP >8 days at a cut-off point of 6.9 mm, AMT was found to be 89% sensitive and 42% specific at a cut-off point of 5.5 mm and LSMT was 89% sensitive and 85% specific for prediction of LP >8 days at a cut-off point of 7.35 mm respectively.

Buhimschi et al<sup>(11)</sup> found that the thickness of fundal myometrium (FMT) was 89% sensitive and 62.5% specific for prediction of LP > 7 days at a cut-off point of 12.6 mm.

## CONCLUSIONS

The thickness of the myometrium at the lower segment, fundus, anterior and posterior parts of the uterus might be sensitive but not specific for prediction of LP > 7 days.

## RECOMMENDATIONS

These data might be helpful in counseling and to optimize the management of patients with PPRM. Further studies are needed to confirm these results and to find better predictors of LP in patients with PPRM.

## REFERENCES

1. Luesley DM, Kilby M. Obstetrics and gynaecology an evidence-based text of MRCOG, 3<sup>rd</sup> ed. New York, NY: CRC Press; 2016. Chapter 45, pre-labour rupture of membranes.
2. Dagklis T, Petousis S, Margioulas-Siarkou C, Mavromatidis G, Kalogiannidis I, Prapas N, Rouso D. Parameters affecting latency period in PPRM cases: a 10-year experience of a single institution. *The Journal of Maternal-Fetal and Neonatal Medicine* 2013; 26(14): 1455–1458.  
<https://doi.org/10.3109/14767058.2013.784257>
3. Akhter, S. The effect of Premature Rupture of Membranes (PROM) on maternal and neonatal health: A study of 50 cases. *The Insight*, 2021, 4.02: 95-102.
4. Malhorta N, Shah PK, Divakar H, Singh S and Malhorta J. Principles and practice obstetrics and gynaecology for postgraduate, 4<sup>th</sup>ed. A FOGSI Publication. New Delhi: Jaypee Brothers; 2016:125.
5. El sokkary F, Nassef A, Zidan M. Prediction of latency interval of labour in preterm premature rupture of membranes by 2D ultrasound: Case control study. *Evidence Based Women's Health Journal*, 2020, 10.1: 79-88.
6. Nossair, WS. Relationship between myometrial thickness and latency interval of pregnancy after preterm premature rupture of membranes. *International Journal of Reproduction, Contraception, Obstetrics and Gynecology*, 2021, 10.8: 2932-2937.
7. Test G, Levy A, Wiznitzer A, Mazor M, Holcberg G, Zlotnik A, Sheiner E. Factors affecting the latency interval in patients with preterm premature rupture of membranes. *Arch Gynecol Obstet* 2011: 283(4):707-10.
8. Singhal S, Puri M, Gami N. An analysis of factors affecting the latency period and its impact on neonatal outcome in patients with PPRM. *Int J infertility Fetal Med* 2012; 3(3):78-91.
9. Tavassoli F, Ghasemi M, Mohamadzade A and Sharifian J. Survey of Pregnancy Outcome in Preterm Premature Rupture of Membranes with Amniotic Fluid Index <5 and ≥5. *OMJ*. 2010, 25, 118-123.
10. Fischer RL, Austin JD. Cervical length measurement by translabial sonography in women with preterm premature rupture of membranes: can it be used to predict the latency period or peripartum maternal infection? *J Matern Fetal Neonatal Med*. 2008; 21(2):105-9.
11. Buhimschi CS, Buhimschi IA, Norwitz ER, Sfakianaki AK, Hamar B, Copel JA, Saade GR, Weiner CP. Sonographic myometrial thickness predicts the latency interval of women with preterm premature rupture of the membranes and oligohydramnios. *Am J Obstet Gynecol*. 2005; 193:762–70.
12. Halliday D, Resnik R, Walkor J. Extended fundamentals of physics 10<sup>th</sup> ed. New York: Wiley; 2016.
13. Zakaria AM, Alnagar WA, Ahmed KM. Sonographic Assessment of Myometrial Thickness as A Predictor for the Latency Interval in Women with Preterm Premature Rupture of Membranes. *The Egyptian Journal of Hospital Medicine*, 2019, 74.7: 1462-1472.
14. Singh S, Gupta N. Sonographic Evaluation of Myometrial Thickness at Different Gestations for Prediction of Labor in Preterm Premature Rupture of Membranes. *Journal of South Asian Federation of Obstetrics and Gynaecology*, 2022, 13.6: 415-421.
15. Kalantari M, Mostaghel N, Khoshnood Shariati M, Amiri Z, Piri, S, Ghazal A. Correlation between myometrial thickness and the latency interval in preterm premature rupture of membranes. *Iran J Radiol*. 2010; 7 (4):215–9.
16. Hamdi K, Bastani P, Saheb-Madarek EO, Hosseini H. Prediction of latency interval in preterm premature rupture of membranes



- using sonographic myometrial thickness. Pak J Biol Sci. 2010; 13(17):841–6.
17. Atarjavdan L, Khazaeipour Z, Shahbazi F. Correlation of myometrial thickness and the latency interval of women with preterm premature rupture of the membranes. Arch Gynecol Obstet. 2011; 284(6):1339–43.
18. Gupta R, Nagarsenkar A. Using Sonographically Estimated Myometrial Thickness in Prediction of Latency Interval in Cases of Preterm Premature Rupture of Membranes (PPROM). J Obstet Gynaecol India. 2016; 66(6):431-435
19. Elshikh W. Sonographic evaluation of myometrial thickness as a prognosticator for the latency interval in pregnant women with preterm premature rupture of membranes and oligohydramnios. Researcher 2017; 9(7):43-50. ISSN 1553-9865 (print); ISSN 2163-8950 (online).  
<http://www.sciencepub.net/researcher>. 7.  
doi:10.7537/marsrsj090717.07.
20. Naleini F, farshchina N, Salehi M. Studying relationship of myometrial thickness calculation with medical ultrasound and LP of pregnant women. JLS. 2016(6):115-22. ISSN: 2231– 6345 (Online) An Open Access, Online International Journal Available at <http://www.cibtech.org/jls.htm> 2016 Vol. 6 (1) January-March, pp. 115-122/Naleini et al.

# Long Term Effect of Post-Covid-19 Syndrome on Hematological Parameters in Iraqi People

Sara Basim Zwain<sup>1</sup>, Sami R. Alkatib<sup>2</sup>, Falah Mahdi Danana<sup>3</sup> and Basim MH Zwain<sup>4</sup>

<sup>1</sup> University of Maysan, College of Dentistry, Department of Basic Sciences, Iraq.

<sup>2,3</sup> University of Kufa, Faculty of medicine, Department Medical Physiology, Iraq.

<sup>4</sup> University of Al-Ameed, College of Dentistry, Department of Basic Sciences, Iraq.

E-mail: [sarazwain1991@gmail.com](mailto:sarazwain1991@gmail.com)

## ABSTRACT

**Background:** The COVID-19 epidemic, which is still ongoing, has a significant effect on health care both in Iraq and worldwide. Further strain on health systems was caused by successive waves of altered viruses, further enhancing virus dissemination. Persistent symptoms may last for several months following the initial COVID-19 infection. The illness is called post-acute sequelae of SARS-CoV-2 infection, or Long COVID (PASC). Long-term COVID-19 infection can affect various organs and systems, including the hematological system, and cause many symptoms. Hematological problems have been observed in individuals previously infected with COVID-19, in several investigations. Poor outcomes and an increased risk of severe disease are linked to the majority of these changes. **Aim of the study:** This aims to find the post-COVID-19 effects on the hematological parameters. **Patients and methods:** This study was a case-control investigation at the As-Sadr Teaching Hospital in Maysan City- Iraq between October 13, 2023, and November 13, 2023. There were two groups: fifty-eight-patient case or experimental group along with a fifty-eight- individual control group. In this study, the randomly selected healthy individuals in the control group were matched to patients with COVID-19 according to symptoms. Except for not having COVID-19 disease, the controls had to fulfill the same requirements as the cases. Clinical profiles and hematological markers were examined and contrasted between the two groups. **Results:** The data show no significant differences ( $P > 0.05$ ) in age, BMI, and sex between case and control groups. The results confirm alterations in hematological parameters. Blood sample analysis in the case group show a significantly higher mean of RBC and a decline in MCHC, and MCV compared to the control group. However, no significant differences in hemoglobin and hematocrit parameters exist between them. The mean HCT% and HGB are significantly lower for the age group 18-29 years than for 30-39 and 40-49 years and the alterations were more noticeable in male COVID-19 patients.

**Conclusions:** The results have shown altered hematological parameters after 3 years of COVID-19 infection. The changes include reduced Hg, MCH, and MCHC and higher RBC values that might affect oxygen transport through the body. The alterations were more noticeable in male COVID-19 patients, indicating that males are more affected by the disease than females. In light of the increasing number of individuals with Long-COVID, more research is required to determine the precise underlying causes of the extended altered RBC and hemoglobin value among different age groups.

**Keywords:** Hematological parameters, Hemoglobin, Hypoxia, Long Covid-19, SARS-CoV-2.

## Article Information

Received: February 27, 2024; Revised: May 17, Online June 2024

## INTRUDUCTION

In December 2019, Wuhan, China, announced the first case of the extremely contagious new coronavirus, known as COVID-19 and SARS-COV-2 (1). This has led to widespread illness and death across the globe (2). On February 24, 2020, in Najaf City, the first COVID-19 case in Iraq was reported. As of April 9, 2020, confirmed cases have significantly increased to 1232 (3). The respiratory system is the main organ affected by the condition. Nevertheless, COVID-19 can also affect the hematological system, among other organs and systems. Hematological abnormalities in COVID-19 patients have been identified in several investigations. Some changes include an increase in the number of white blood cells, a decrease in red blood cell and hemoglobin levels, and an increase in total bilirubin and ferritin levels, which may occur in severe COVID-19 infection (4, 5). Additionally, there have been reports of large changes in spleen size in deceased COVID-19 patients, which is a normal reaction to anemia (6).

The symptoms of COVID-19 can vary in severity and include fever, coughing, sore throat, exhaustion, shortness of breath, body aches, and loss of taste or smell. It is crucial to emphasize that hematological abnormalities are not a common symptom of COVID-19 and that patients might differ greatly in the severity of these abnormalities(5). Certain symptoms may last for several months following the initial COVID-19 infection. In this instance, the illness is called post-acute sequelae of SARS-CoV-2 infection, or Long COVID (PASC). Even those with moderate or asymptomatic illnesses may experience these symptoms.

The consequences of Long COVID are still being studied, however, there is a wide range of symptoms. Fatigue, breathlessness, joint or chest pain, headaches, foggy thinking, trouble focusing, loss of taste or smell (7). On the other hand, people may sustain organ damage, including heart, lung, or kidney problems (8). There is evidence that the development and severity of Long COVID can be influenced by age, sex, and

race (9, 10). Both men and women can contract long COVID, while some research suggests that women are more susceptible (9, 10). Furthermore, lengthy healing times and chronic symptoms are often more common among the elderly. Different racial and ethnic groups exhibit different cognitive symptomology related to long-term COVID-19 infection (11).

A recent meta-analysis revealed that smoking, high body mass index, age, and female sex were linked to a higher risk of Long COVID-19 (12). Additionally, a study showed that COVID-19 altered the size and shape of red blood cells, which may have an impact on oxygen transport (13). Blood biomarkers may be able to predict Long's COVID status and help with medical intervention and treatment. Hematological parameter changes continue in Long Covid (14).

## PATIENTS AND METHODS

A case-control study was conducted from October 13, 2023, to November 13, 2023, at the As-Sadr Teaching Hospital in Maysan City-Iraq. Fifty-eight patients and fifty-eight controls are included in the total. The study paired patients exhibiting COVID-19 symptoms with randomly chosen controls. The controls had the same requirements as the cases, except not having COVID-19 disease. The two groups were compared and their clinical profiles and hematological indicators were analyzed. The ages of the studied groups are randomly selected, all ages. An informed consent was achieved from every patient and control included in this study. Every participant underwent a clinical evaluation and a thorough medical history was obtained from both patients and controls, covering details such as age, name, gender, phone number, place of employment, history of COVID-19 infection, and symptoms like fever, cough, headache, loss of taste and smell, vomiting, diarrhea, runny nose, shortness of breath, chest pain, and others, in addition to the length of the sickness and the kind of medication or vaccine that is applied.

## Hematological parameters

### Blood collection

Five milliliters of venous blood were taken from each person. The blood samples were taken and placed in two separate tubes. One ml was deposited in Ethylenediaminetetraacetate (EDTA) anticoagulated blood tubes for hematological parameter measurements by Auto Hematology Analyzer, including: The number of circulating blood cells, Hemoglobin (Hb), Hematocrit (the proportion of whole blood volume occupied by red cells), Red blood corpuscles Count-RBCs count (cells/mm<sup>3</sup>). Level of hemoglobin in blood (g/dl), Packed cell volume (PCV%), Mean corpuscle volume-(MCV) (femtoliter, fl), Mean corpuscular hemoglobin (MCH, Picogram) and Mean corpuscle hemoglobin concentration (MCHC)(g/dl).

## STATISTICAL ANALYSIS

Statistical analyses were done by using the SPSS program (version 26). Categorical variables were presented as percentages and frequencies

while continuous variables were presented as means  $\pm$  standard deviations. The chi-square test was used to assess the qualitative data between categorical variables (age groups, gender). An independent t-test was used to compare the means between cases and controls. Error bars of means and standard deviations were used to compare the hematological parameters according to age groups and gender. The P values less than 0.05 will be considered statistically significant results.

## RESULTS

### Characteristics of the Study Patients

Table (1) displays the baseline demographic information between healthy controls and cases who became infected with COVID-19. The groups that had recovered from COVID-19 infection and the healthy controls had mean ages of  $34.1 \pm 9.8$  and  $35.9 \pm 11.7$  years, respectively, and BMIs of  $29.4 \pm 8.01$  and  $28.6 \pm 6.1$  (Kg/m<sup>2</sup>). It indicates that there is no significant difference ( $P > 0.05$ ) in age, BMI, or sex between the groups.

**Table (1): Demographic data of study population**

| Variable                               | Subgroup   | Group                  |                           | Total     | P   |
|--|------------|------------------------|---------------------------|-----------|-----|
|  |            | Cases (n=66)<br>No.(%) | Controls (n=67)<br>No.(%) |           |     |
| Age group (years)                      | 18-29      | 29 (43.9%)             | 24(35.8%)                 | 53(39.8%) | 0.2 |
|  | 30-39      | 17(25.8%)              | 25(37.3%)                 | 42(31.6%) |     |
|  | 40-49      | 13(19.7%)              | 7(10.4%)                  | 20(15.0%) |     |
|  | 50-65      | 7(10.6%)               | 11(16.4%)                 | 13(13.5%) |     |
| Mean age(years) $\pm$ SD               |            | 34.1 $\pm$ 9.8         | 35.9 $\pm$ 11.7           |           | 0.3 |
| Sex                                    | Male       | 38(57.6%)              | 42(62.7%)                 | 80(60.2%) | 0.5 |
|  | Female     | 28(42.4%)              | 25(37.3%)                 | 53(39.8%) |     |
| BMI                                    | Normal     | 15(22.7%)              | 17(25.4%)                 | 32(24.1%) | 0.8 |
|  | Overweight | 26(39.4%)              | 28(41.8%)                 | 54(40.6%) |     |
|  | Obese      | 25(37.9%)              | 22(32.8%)                 | 47(35.3%) |     |
| Mean BMI (Kg/m <sup>2</sup> ) $\pm$ SD |            | 29.4 $\pm$ 8.01        | 28.6 $\pm$ 6.1            |           | 0.6 |

P value < 0.05: significant. P value < 0.01: highly significant.

### Hematological parameters differences between cases and control

Table (2) indicates a statistically significant ( $P < 0.05$ ) variation in the blood parameter values (RBC, MCHC, MCV, and MCH) between the case and control groups. The cases have a higher RBC mean of  $5.2 \pm 0.7$  compared to the control mean of  $4.7 \pm 0.6$ . Comparing the mean of MCHC in cases

( $30.4 \pm 1.1$ ) to the mean in controls ( $32.4 \pm 1.5$ ), there is a reduction in cases mean. In addition, the cases' MCV ( $82.8 \pm 7.5$ ) and MCH ( $24.04 \pm 2.6$ ) mean values are lower than those of the control group ( $85.7 \pm 3.8$  and  $27.1 \pm 1.7$ , respectively). Nonetheless, the table shows that the parameters of hemoglobin and hematocrit are not significantly different between the patients and control groups ( $P > 0.05$ ).

**Table (2): Hematological parameters differences in cases and control.**

| Hematological assessment | Cases (n=66) mean $\pm$ SD | Controls(n=67) mean $\pm$ SD | P value |
|--------------------------|----------------------------|------------------------------|---------|
| RBC( $10^{12}/L$ )       | $5.2 \pm 0.7$              | $4.7 \pm 0.6$                | 0.0001  |
| MCHC(g/dL)               | $30.4 \pm 1.1$             | $32.4 \pm 1.5$               | 0.0001  |
| MCV(fL)                  | $82.8 \pm 7.5$             | $85.7 \pm 3.8$               | 0.006   |
| MCH(pg)                  | $24.04 \pm 2.6$            | $27.1 \pm 1.7$               | 0.0001  |
| HCT%                     | $39.8 \pm 3.9$             | $40.02 \pm 3.9$              | 0.8     |
| HGB(g/dl)                | $12.2 \pm 1.4$             | $12.6 \pm 1.3$               | 0.05    |

Hemoglobin (Hb), Hematocrit HCT%, Red blood corpuscles Count (RBCs), Packed cell volume (PCV%), Mean corpuscle volume (MCV), Mean corpuscular hemoglobin (MCH), Mean corpuscle hemoglobin concentration (MCHC). Statistically significant ( $P < 0.05$ ).

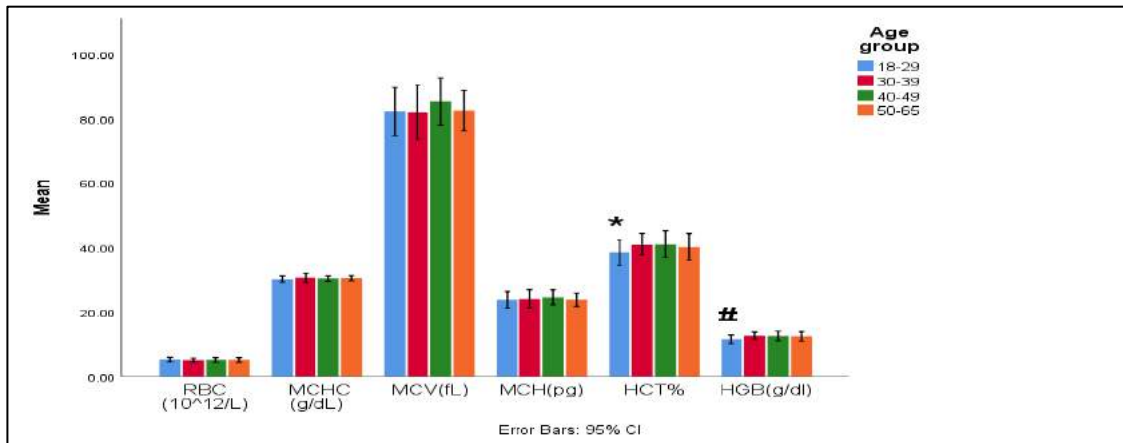
### Comparison of the hematological parameters between cases and control across different age groups

Table (3) revealed that the age group (18-29, 30-39, and 40-49 years) had a significant increase in RBC and a decrease in MCHC, MCH, MCV, and HCT% in cases than in control, while the 50-65 years old have a nonsignificant increase in RBC value. However, the hemoglobin, MCV,

and HCT% decrease insignificantly in all age groups except (18-29) year which decrease significantly between cases and control. The results show no significant difference in RBC, MCHC, MCH, and MCV in cases among age groups. The mean values of the hemoglobin and hematocrit  $38.5 \pm 3.9$ ,  $11.6 \pm 1.3$  significantly lower in cases of the age group (18-29 years) compared to the 30-39 and 40-49 age group  $41.02 \pm 3.3$ ,  $12.7 \pm 1.1$  and  $41.1 \pm 4.1$ ,  $12.6 \pm 1.4$  respectively.

**Table (3): Comparison of the hematological parameters between cases and control across different age groups (years).**

| Age group(years) | Hematological assessment | Cases (n=66)<br>mean±SD | Controls(n=67)<br>mean±SD | P value |
|------------------|--------------------------|-------------------------|---------------------------|---------|
| 18-29            | RBC( $10^{12}/L$ )       | 5.2±0.7                 | 4.8±0.6                   | 0.01    |
|                  | MCHC(g/dL)               | 30.2±0.9                | 32.9±1.4                  | 0.0001  |
|                  | MCV(fL)                  | 82.2±7.5                | 85.6±3.8                  | 0.01    |
|                  | MCH(pg)                  | 23.8±2.6                | 27.5±1.8                  | 0.0001  |
|                  | HCT%                     | 38.5±3.9                | 41.7±3.4                  | 0.002   |
|                  | HGB(g/dl)                | 11.6±1.3                | 13.1±1.3                  | 0.0001  |
| 30-39            | RBC( $10^{12}/L$ )       | 5.1±0.6                 | 4.6±0.6                   | 0.007   |
|                  | MCHC(g/dL)               | 30.6±1.5                | 32.3±1.7                  | 0.001   |
|                  | MCV(fL)                  | 81.9±8.4                | 84.3±3.6                  | 0.2     |
|                  | MCH(pg)                  | 24.1±2.9                | 26.9±1.8                  | 0.0001  |
|                  | HCT%                     | 41.02±3.3               | 39.5±3.6                  | 0.2     |
|                  | HGB(g/dl)                | 12.7±1.1                | 12.4±1.2                  | 0.4     |
| 40-49            | RBC( $10^{12}/L$ )       | 5.2±0.7                 | 4.4±0.5                   | 0.03    |
|                  | MCHC(g/dL)               | 30.4±0.8                | 31.6±1.6                  | 0.03    |
|                  | MCV(fL)                  | 85.4±7.3                | 87.2±4.3                  | 0.6     |
|                  | MCH(pg)                  | 24.6±2.3                | 26.6±2.1                  | 0.08    |
|                  | HCT%                     | 41.1±4.1                | 38.8±3.7                  | 0.2     |
|                  | HGB(g/dl)                | 12.6±1.4                | 12.6±1.6                  | 0.9     |
| 50-65            | RBC( $10^{12}/L$ )       | 5.2±0.7                 | 4.6±0.4                   | 0.07    |
|                  | MCHC(g/dL)               | 30.6±0.8                | 32.1±1.5                  | 0.02    |
|                  | MCV(fL)                  | 82.5±6.2                | 85.9±3.7                  | 0.2     |
|                  | MCH(pg)                  | 23.8±2.1                | 26.9±1.2                  | 0.001   |
|                  | HCT%                     | 40.3±4.1                | 38.3±5.2                  | 0.4     |
|                  | HGB(g/dl)                | 12.5±1.4                | 12.2±1.3                  | 0.6     |



**Figure (1): Comparison of the hematological parameters between cases and control across different age groups (years).**

\* Mean HCT% is significantly lower for the age group 18-29 years than for 30-39 and 40-49 years

#Mean HGB is significantly lower for the age group 18-29 years than 40-49 years

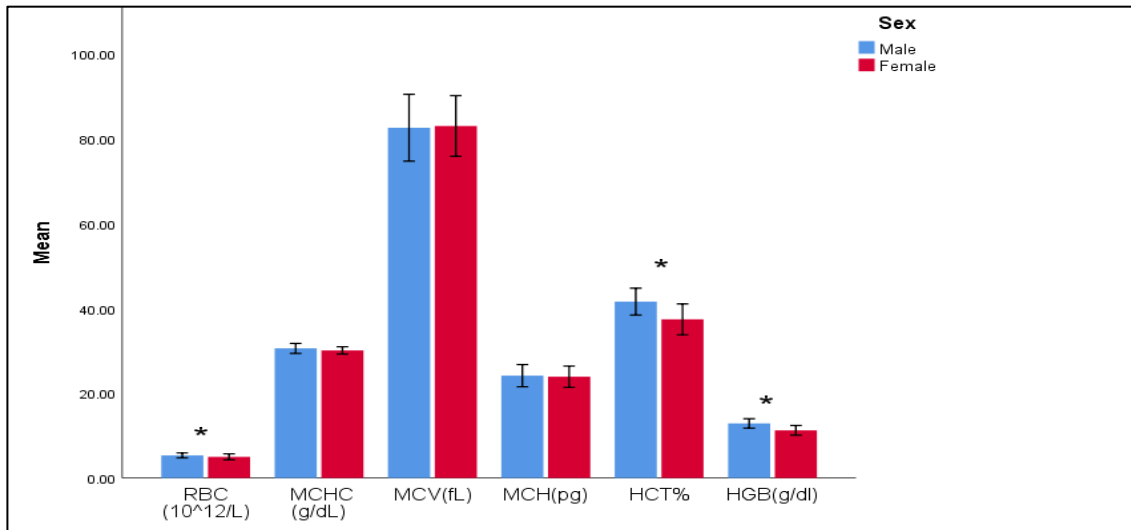
### Hematological parameters change between males and females in cases and control

Table (4) demonstrates that males and females have a significant difference in RBC, MCHC, and MCH values in both groups (P

=0.0001). The level of hemoglobin, hematocrit, and RBC increased significantly in males 12.9±1.1, 41.6±3.2, 5.3±0.6 than in females 11.2±1.1, 37.4±3.6, 5±0.7, respectively. Male hemoglobin and HCT% indicated no significant difference P= 0.9 between patients and controls, however, female cases' hemoglobin significantly decreased P= 0.007.

**Table (4): Hematological parameters for male and female in cases and control**

| Sex    | Hematological assessment | Cases (n=66)<br>mean±SD | Controls(n=67)<br>mean±SD | P value |
|--------|--------------------------|-------------------------|---------------------------|---------|
| Males  | RBC(10 <sup>12</sup> /L) | 5.3±0.6                 | 4.8±0.5                   | 0.0001  |
|        | MCHC(g/dL)               | 30.6±1.2                | 32.6±1.4                  | 0.0001  |
|        | MCV(fL)                  | 82.6±7.8                | 86.6±4.1                  | 0.006   |
|        | MCH(pg)                  | 24.1±2.6                | 27.2±1.6                  | 0.0001  |
|        | HCT%                     | 41.6±3.2                | 40.8±4                    | 0.3     |
|        | HGB(g/dl)                | 12.9±1.1                | 12.9±1.2                  | 0.9     |
| Female | RBC(10 <sup>12</sup> /L) | 5±0.7                   | 4.3±0.5                   | 0.0001  |
|        | MCHC(g/dL)               | 30.1±0.9                | 32.4±1.8                  | 0.0001  |
|        | MCV(fL)                  | 83.04±7.2               | 84.1±2.7                  | 0.5     |
|        | MCH(pg)                  | 23.9±2.5                | 26.9±1.9                  | 0.0001  |
|        | HCT%                     | 37.4±3.6                | 38.6±3.6                  | 0.2     |
|        | HGB(g/dl)                | 11.2±1.1                | 12.2±1.4                  | 0.007   |



**Figure (2): Hematological parameters alteration between male and female cases.**

\*Mean RBC, HCT%, and HGB increase significantly in male cases than in female.

## DISCUSSION

The frequency of COVID-19 cases has increased worldwide. SARS-CoV-2 infection is associated with a change in RBC shape and compromised cell function (13). Looking at the COVID-19 outbreak, it is critical to evaluate clinical, demographic, and hematological characteristics. In Iraq, there is a limited number of research have examined hematological markers linked to COVID-19. However, further research is needed to fully comprehend this phenomenon, as it is becoming increasingly clear that COVID-19-related infections can last for a long time and result in long-term COVID-19. Therefore, hematological parameters such as RBCs, MCHC, MCH, MCV, HCT%, and HGB and their relation to long-term COVID-19 were examined in the current study.

This research offers a good deal of information on hematological parameters and demographic data, which indicated no significant difference in gender between cases and control; this could be explained by the impact of behavioral decisions or gender immunological variations (15). The data were gender-separated since the outcomes support previous research that found clear variations in the overall hematological

parameters of males and females (13). This was linked to either periodic menstrual blood losses in women or increased testosterone levels in men (16). The present results demonstrate that the mean of RBC, HCT%, and HGB increase significantly in male cases than in females. This data is consistent with previous research (17); thus the other study also detected higher hemoglobin levels in males compared to females (18).

According to the comparison of the outcome of RBC count and its indices, MCHC, MCV, and MCH, there was a highly significant decrease observed in all mean values of indices and an increase in RBC count as compared with the control. For instance, the mean value of hemoglobin concentration of the control group was  $12.6 \pm 1.3$  whereas its concentration has decreased non-significantly in cases (mean  $12.2 \pm 1.4$ ) (P value=0.05). These findings were crucial in confirming that the effects of the COVID-19 virus on MCH (pg/cell), MCV ( $\mu m^3$ ), and MCHC (g/dl) concentrations were greater than those on other RBC indices like hemoglobin and HCT% because the differences were negligible and not statistically significant. The explanation of the current results which found an

increase in RBC count and normal or decreased hemoglobin level in cases compared with controls, is that the majority of COVID-19 patients experienced dyspnea and decreased physical fitness, which may be associated with lung injury and changed red blood cell (RBC) consumption of oxygen, resulting in hypoxemia. Even so, no changes were seen in hematocrit, mean corpuscular hemoglobin concentration, or RBC count (19). Based on these studies, the usual red blood cell count is acceptable; however, the red blood cells' role in oxygen transfer may have changed. According to the aforementioned research, COVID-19 has short-term impacts on red blood cells (RBCs); however, it may also have long-term effects on RBCs (20). There is sufficient data to suggest that the numbers of red blood cells (RBC) and hematocrit (HCT) rise dramatically in hypoxic environments, which is comparable to the alterations that occur by living in high altitudes (21). In response to hypoxic conditions, the kidneys produce more erythropoietin, which in turn stimulates the bone marrow to produce more red blood cells to improve oxygen transport to the body's tissues. This process leads to an increase in the number of reticulocytes, which are newly formed red blood cells that differ from mature ones (22). In that, they are typically less flexible and more spherical, and their cell membrane contains endoplasmic reticulum remnants (23). However, the research group's levels of hemoglobin were noticeably lower than those of the controls, which matched the current study results (24). COVID-19 infections cause a drop in hemoglobin levels through a variety of intricate mechanisms, by retaining iron in macrophages and decreasing its absorption from the intestinal tract leading to alterations in iron homeostasis (25). As a result, hemoglobin synthesis and circulation iron levels both decline. Additionally, compared to cases aged 30-39, 40-49, and 50-59 years, the COVID-19 patients in the age group 20-29 years old had a noticeably lower mean of hemoglobin and hematocrit. The mean age per years  $\pm$ SD of COVID-19 patients in this study was  $34.1 \pm 9.8$ ,

which was found younger than in other studies. This is also supported by a previous study in Saudi Arabia which suggested that this condition affects a younger age group (26). Studies have shown that patients with COVID-19 and older populations have worse results (27). This may be due to a combination of factors, including the physiological aging process and, more significantly, the higher incidence of frailty and comorbidities in older adult patients, which lower functional reserve and impair intrinsic capacity and resilience as well as the body's ability to fight infections (28).

This study had various limitations. It is possible to determine the course of the hematological consequences of prolonged COVID by evaluating the patient's hematological profile during the COVID-19 acute phase, which was not done in this study. Further, the results might be restricted by the small number of participants of older age in this study. To the best of the researcher's knowledge, however, this is the first study to link abnormalities in hematological parameters with clinical outcomes and offer insights into these parameters for patients with long COVID up to two years. To eliminate variations that occur naturally, nonetheless, earlier research has demonstrated that hematological values remain consistent over an extended length of time.

## CONCLUSIONS

The results of this study indicate a statistically significant ( $P < 0.05$ ) variation in the blood parameter values (RBC, MCHC, MCV, and MCH) between the case and control groups. The cases have a higher RBC mean, lower hemoglobin, MCHC, MCH, and MCV. This current study shows that the patient's age and gender have a substantial impact on individual hematological parameters in post-COVID-19 syndrome and the results demonstrate that the mean of RBC, HCT%, and HGB increase significantly in young male cases than in young

females. The current study's findings supported earlier research's observations and other findings on variations in hematological parameters and a few other variables in long-term COVID-19-infected patients. Thus, more hematological research is required to validate these findings.

## ACKNOWLEDGMENTS

The authors would like to thank the supporting staff at the As-Sadr Teaching Hospital in Maysan City-Iraq for all their assistance. Moreover, I'd like to thank my superiors for all their hard work guiding me to the completion of this project.

## REFERENCES

1. Botek M, Krejčí J, Valenta M, McKune A, Sládečková B, Konečný P, et al. Molecular Hydrogen Positively Affects Physical and Respiratory Function in Acute Post-COVID-19 Patients: A New Perspective in Rehabilitation. *International Journal of Environmental Research and Public Health*. 2022;19(4):1992.
2. Davis HE, Assaf GS, McCorkell L, Wei H, Low RJ, Re'em Y, et al. Characterizing long COVID in an international cohort: 7 months of symptoms and their impact. *eClinicalMedicine*. 2021;38:101019.
3. Al-kuraishy HM, Al-Maiah TJ, Al-Gareeb AI, Musa RA, Ali ZH. COVID-19 pneumonia in an Iraqi pregnant woman with preterm delivery. *Asian Pacific Journal of Reproduction*. 2020;9(3):156-8.
4. Al-Saadi EAKD, Abdulnabi MA. Hematological changes associated with COVID-19 infection. *Journal of Clinical Laboratory Analysis*. 2022;36(1):e24064.
5. Gajendra S. Spectrum of hematological changes in COVID-19. *American Journal of Blood Research*. 2022;12(1):43.
6. San Juan I, Bruzzone C, Bizkarguenaga-Uribiarte M, Bernardo-Seisdedos G, Laín A, Gil-Redondo R, et al. Abnormal concentration of porphyrins in serum from COVID-19 patients. *British Journal of Haematology*. 2020;190.
7. Proal AD, VanElzakker MB. Long COVID or Post-acute Sequelae of COVID-19 (PASC): An Overview of Biological Factors That May Contribute to Persistent Symptoms. *Frontiers in Microbiology*. 2021;12.
8. Davis HE, McCorkell L, Vogel JM, Topol EJ. Long COVID: major findings, mechanisms, and recommendations. *Nature Reviews Microbiology*. 2023;21(3):133-46.
9. Bai F, Tomasoni D, Falcinella C, Barbanotti D, Castoldi R, Mulè G, et al. Female gender is associated with long COVID syndrome: a prospective cohort study. *Clinical Microbiology and Infection*. 2022;28(4):611.e9-.e16.
10. Perlis RH, Santillana M, Ognyanova K, Safarpour A, Lunz Trujillo K, Simonson MD, et al. Prevalence and Correlates of Long COVID Symptoms Among US Adults. *JAMA Network Open*. 2022;5(10):e2238804-e.
11. Jacobs MM, Evans E, Ellis C. Racial, ethnic, and sex disparities in the incidence and cognitive symptomology of long COVID-19. *Journal of the National Medical Association*. 2023;115(2):233-43.
12. Tsampasian V, Elghazaly H, Chattopadhyay R, Debski M, Naing TKP, Garg P, et al. Risk Factors Associated With Post-COVID-19 Condition: A Systematic Review and Meta-analysis. *JAMA Internal Medicine*. 2023;183(6):566-80.
13. Grau M, Ibershoff L, Zacher J, Bros J, Tomschi F, Diebold KF, et al. Even patients with mild COVID-19 symptoms after SARS-CoV-2 infection show prolonged altered red blood cell morphology and rheological parameters. *Journal of Cellular and Molecular Medicine*. 2022;26(10):3022-30.
14. Galúcio VCA, Menezes DCd, Lima PDLd, Palácios VRdCM, Vasconcelos PFdC, Quresma JAS, et al. Evaluation of the Hematological Patterns from Up to 985 Days of Long COVID: A Cross-Sectional Study. *Viruses*. 2023;15(4):879.
15. Shabrawishi M, Al-Gethamy MM, Naser AY, Ghazawi MA, Alsharif GF, Obaid EF, et al.

- Clinical, radiological and therapeutic characteristics of patients with COVID-19 in Saudi Arabia. *PLOS ONE*. 2020;15(8):e0237130.
16. Kameneva MV, Watach MJ, Borovetz HS. Gender difference in rheologic properties of blood and risk of cardiovascular diseases. *Clinical Hemorheology and Microcirculation*. 1999;21:357-63.
17. Charostad J, Rezaei Zadeh Rukerd M, Shahrokhi A, Aghda FA, ghelmani Y, Pourzand P, et al. Evaluation of hematological parameters alterations in different waves of COVID-19 pandemic: A cross-sectional study. *PLOS ONE*. 2023;18(8):e0290242.
18. Sarihan M. Differences in some hematological parameters in adult male and female COVID-19 patients: A retrospective study. *Medicine Science | International Medical Journal*. 2023;12:1124.
19. Thomas T, Stefanoni D, Dzieciatkowska M, Issaian A, Nemkov T, Hill RC, et al. Evidence of Structural Protein Damage and Membrane Lipid Remodeling in Red Blood Cells from COVID-19 Patients. *Journal of Proteome Research*. 2020;19(11):4455-69.
20. Lopez-Leon S, Wegman-Ostrosky T, Perelman C, Sepulveda R, Rebolledo PA, Cuapio A, et al. More than 50 long-term effects of COVID-19: a systematic review and meta-analysis. *Scientific Reports*. 2021;11(1):16144.
21. Sun L, Fan F, Li R, Niu B, Zhu L, Yu S, et al. Different Erythrocyte MicroRNA Profiles in Low- and High-Altitude Individuals. *Frontiers in Physiology*. 2018;9.
22. Stevens-Hernandez CJ, Flatt JF, Kupzig S, Bruce LJ. Reticulocyte Maturation and Variant Red Blood Cells. *Frontiers in Physiology*. 2022;13.
23. Li H, Yang J, Chu TT, Naidu R, Lu L, Chandramohanadas R, et al. Cytoskeleton Remodeling Induces Membrane Stiffness and Stability Changes of Maturing Reticulocytes. *Biophysical Journal*. 2018;114(8):2014-23.
24. Faizo AA, Bawazir AA, Almashjary MN, Hassan AM, Qashqari FS, Barefah AS, et al. Lack of Evidence on Association between Iron Deficiency and COVID-19 Vaccine-Induced Neutralizing Humoral Immunity. *Vaccines (Basel)*. 2023;11(2).
25. Cavezzi A, Troiani E, Corrao S. COVID-19: hemoglobin, iron, and hypoxia beyond inflammation. A narrative review. *Clin Pract*. 2020;10(2):1271.
26. Peckham H, de Gruijter NM, Raine C, Radziszewska A, Ciurtin C, Wedderburn LR, et al. Male sex identified by global COVID-19 meta-analysis as a risk factor for death and ITU admission. *Nature Communications*. 2020;11(1):6317.
27. Bonanad C, García-Blas S, Tarazona-Santabalbina F, Sanchis J, Bertomeu-González V, Fácila L, et al. The Effect of Age on Mortality in Patients With COVID-19: A Meta-Analysis With 611,583 Subjects. *Journal of the American Medical Directors Association*. 2020;21(7):915-8.
28. Bonanad C, Garcia-Blas S, Tarazona-Santabalbina FJ, Diez-Villanueva P, Ayesta A, Forés JS, et al. Coronavirus: the geriatric emergency of 2020. Joint document of the Section on Geriatric Cardiology of the Spanish Society of Cardiology and the Spanish Society of Geriatrics and Gerontology. *Revista Española de Cardiología (English Edition)*. 2020;73(7):569-76.

# Shear-Wave Elastographic Evaluation of Splenic Stiffness in Patients with Chronic Liver Diseases as A Predictor of The Oesophageal Varices Grade

Ahmed Faaz Nasser<sup>1</sup> and Haider Najim AL-Tameemi<sup>2</sup>

<sup>1</sup> Al-Sader Medical City, Al-Najaf Health Directorate, Department of Radiology, Iraq.

<sup>2</sup> University of Kufa, Faculty of Medicine, Department of Surgery, Iraq.

Email: [haidern.altameemi@uokufa.edu.iq](mailto:haidern.altameemi@uokufa.edu.iq)

## ABSTRACT

**Background:** Chronic Liver disease (CLD) is a global public health problem and one of the leading causes of mortality worldwide with many complications like portal hypertension (PH) and esophageal varices (EV). Researchers have investigated the role of shear-wave elastography (SWE) as a non-invasive method to assess patients with cirrhosis and PH. However, studies evaluating the role of splenic stiffness (SS) have shown variable results. **Aim of the study:** This study aims to assess the SS in a patient with CLD compared to controlled cases and to evaluate its role in the prediction of EV grade.

**Patients and methods:** The study included 60 participants; 30 patients diagnosed with CLD by the clinical, laboratory, and radiological investigation, who underwent upper gastrointestinal endoscopy; and 30 control health individuals. The mean splenic size, SS, and platelet counts were measured for all participants. Mean SS was compared between two groups, and then its validity in differentiated between low and high-risk groups of EV was assessed.

**Results:** Patients with CLD and controls were significantly different in SS values with cut-off value of 12.49 Kpa. Among CLD patients, the low and high-risk EV subgroups were significant different in SS values with cut-off value of 15.125 KPa. Compared with splenic size and platelet count, SS had the highest accuracy (93.3%) in predicting high-grade EV.

**Conclusions:** Patients with CLD showed higher SS values than control with stepwise increase in SS with increasing grade of EV. SS can accurately predict high-risk groups of EV hence may help decrease patients' burden by avoiding unnecessary endoscopy.

**Keywords:** Chronic liver disease, esophageal varices, splenic stiffness, Ultrasound, shear wave Elastography.

## Article Information

Received: March 3, 2024; Revised: May 14, 2024; Online: June, 2024

## INTRUDUCTION

Chronic Liver disease (CLD) is a global public health problem <sup>(1)</sup>. and one of the leading causes of mortality worldwide <sup>(2)</sup>. In patients with CLD, complications are mainly related to portal hypertension (PHT) and esophageal varices (EV) <sup>(3,4)</sup>. PHT carries mortality and

recurrence rates and evaluation is important for early treatment and improving outcomes <sup>(5,6)</sup>.

Because EV usually don not cause signs and symptoms unless they bleed, patients are advised to undergo esophagogastroduodenoscopy (OGD) upon diagnosis of cirrhosis <sup>(7)</sup> being the gold-standard

tool for EV detection <sup>(8,9)</sup>. Liver biopsy is an accurate test for the diagnosis of CLD, but is invasive and generally less reliable in the assessment of complications <sup>(10),(11)</sup>.

Hepatic vein portal gradient (HVPG) is an excellent predictor of clinical decompensation <sup>(12)</sup>, but is an invasive and technically difficult procedure <sup>(13)</sup>. Therefore, non-invasive methods have been recently developed, but were proved inaccurate for the early prediction of clinical decompensation in cirrhotic patients<sup>(14,15)</sup>. Two-dimensional shear wave elastography (2D-SWE) has emerged as the most frequently used diagnostic ultrasound tool for hepatic fibrosis quantification by measuring liver stiffness (LS) <sup>(16,17)</sup> and was found to correlate well with HVPG and can detect clinically significant PHT<sup>(18)</sup>, but the measurements can be compromised by several practical considerations <sup>(19) (20)</sup>.

Splenic elastography has been also used in patients with CLD <sup>(21,22,23)</sup> and splenic stiffness (SS) values were found to correlate well with the stage of liver fibrosis <sup>(24)</sup> and PHT <sup>(25)</sup>. However previous studies have either concluded variable cut-off values of SS or included only patients with certain etiology of CLD and there was no emphasis on the EV grading. Therefore, the current study was conducted to assess the SS values in patient with CLD of different aetiologies and to evaluate the value of SS in the prediction of EV grade.

## PATIENTS AND METHODS

This case-control study was conducted on 60 participants (30 CLD patients with PHT

and 30 healthy control) at the Radiology department of Al-Sadir Medical City / Annajaf Health Directorate, from February 2022 to February 2023.

### The Study Design

It is a case-control study.

### Subjects

The following cases were excluded: 1) patients with a history of intervention for PHT (splenic embolization, trans jugular intrahepatic shunt, splenectomy, etc.) or splenic and hepatic surgery; 2) unproved diagnosis of EV because of an unavailable EG report; 3) presence of technical difficulty due to cooperation, inability to hold breath, ongoing gastrointestinal hemorrhage, hemodynamic instability, severe ascites, severely decompensated liver disease, and an unusual small-sized spleen; and 4) patient with splenic SOL or diffuse enlargement due to underlying infectious, hematological or infiltrative disease. The study was approved by the Intuitional Review Committee and informed consents to participate in the study were taken directly from all participants.

### Exclusion criteria

Thirty patients, regardless of age and gender, were recruited from the gastrointestinal center in Al-Sader Medical City with a proven diagnosis of CLD and PHT with EV documented and graded by OGD were selected. They were age-and gender matched with 30 healthy individuals, who have no clinical or laboratory evidence of CLD as control. CLD and PHT were diagnosed and documented by the clinical, laboratory and radiological. OGD

was the gold standard for evaluating PHT and EV diagnosis and grading using Baveno classification <sup>(26)</sup>.

#### Data collection:

The clinical history and data laboratory investigations and OGD reports were obtained from all the patients directly and /or from their records.

#### Instruments

All ultrasound and SWE examinations were done by a single radiologist, using LOGIC E9 XDClear ultrasound system (GE Healthcare, 2019, USA) and C1-6 convex probe. All participants were examined after fasting for at least 4 hours. SWE measurements were performed in the supine position, during normal breathing. A rectangular box was placed in the splenic parenchyma, so it did not contain vessels. The SS was calculated by placing a region of interest (ROI) measuring  $1.5 \times 0.5$  cm drawn in the largest possible diameter into the

## RESULTS

A total number of 60 participants (30 patients and 30 control) were included and showed no statistically significant difference regarding mean age and gender distribution (table 1). The mean splenic size was  $(16.17 \pm 1.80)$  cm, the mean SS was  $(26.84 \pm 14.49)$  KPa and the mean platelet count was  $(194.77 \pm 137.83)$  (cell  $\times 10^3/\text{microliter}$ ) as shown in table 2. The mean differences of splenic size, SS, and platelet count were not statistically significant different according to etiology of liver disease Table 4. There was a stepwise increase in SS values and a relative decrease of mean platelet count with an higher grades of EV, with statistically significant difference while no statistically significant difference

rectangular box (Figure 1). ROI box was placed 1.0 cm below the capsule of the spleen and then adjusted to be in a region free of the blood vessels and rib shadowing. Then the stiffness value was obtained in kilopascals (KPa) and the measurement was validated using Grgurevic method <sup>(27)</sup>. Three to six valid measurements were taken from the upper, mid, and lower portions of the spleen, and their average was accepted as the mean SS value.

## STATISTICAL ANALYSIS

Statistical analysis was carried out using SPSS (version 27). Student t-test was used to compare means between the two groups while ANOVA test was used to compare means among three groups or more. Pearson Chi-Square test was used to find the association between categorical variables. A p-value of  $\leq 0.05$  was considered significant.

between mean splenic size and grade of EV was found (table 5). The ROC curve for SS in predicting differentiate cases of liver diseases revealed optimal cut-off value was  $\geq 12.49$  KPa with overall accuracy of 85.0% (figure 2, A). For practical purposes and clinical implication, we considered grade I varices as a low-risk group while grad II and III are a high-risk group as these groups have different treatment strategies directed by gastroenterology specialists. The optimal SS cut-off value to predict high-risk groups of EV was  $\geq 15.125$  KPa , with highest level accuracy in predicting high-risk EV compared to that of platelet count and splenic size as shown in figure 2 (B, C and D) and table 6.

**Table 1: shows the association between gender and study group (N=60).**

| Study variable                                  | Study group       |                   | P-value |
|---|-------------------|-------------------|---------|
|   | Patients          | Control group     |         |
| <b>Gender</b>                                   |                   |                   |         |
| Male  | 17 (56.7)         | 17 (56.7)         | 1.000   |
| Female  | 13 (43.3)         | 13 (43.3)         |         |
| Total   | 30 (100.0)        | 30 (100.0)        |         |
| <b>Age<br/>(Mean <math>\pm</math> SD years)</b> | 51.83 $\pm$ 14.97 | 51.10 $\pm$ 17.17 | 0.861   |

**Table 2. Splenic size, splenic stiffness and platelet count of patients with liver diseases. (N=30)**

| Study parameters                                | (Mean $\pm$ SD)       | Range        |
|---|-----------------------|--------------|
| Splenic size (cm)                               | (16.17 $\pm$ 1.80)    | (13.0-21.0)  |
| Splenic stiffness KPa                           | (26.84 $\pm$ 14.49)   | (9.82-55.98) |
| Platelet count (cell $\times 10^3$ /microliter) | (194.77 $\pm$ 137.83) | (56.0-686.0) |

**Table 3: Mean splenic size and splenic stiffness values of the study groups**

| Study variable          | Study group   | Mean $\pm$ SD     | P-value |
|-------------------------|---------------|-------------------|---------|
| Splenic size (cm)       | Patients      | 16.17 $\pm$ 1.80  | <0.001* |
|                         | Control group | 11.17 $\pm$ 1.37  |         |
| Splenic stiffness (KPa) | Patients      | 26.84 $\pm$ 14.49 | <0.001* |
|                         | Control group | 9.33 $\pm$ 3.52   |         |

**Table 4: The mean differences of study variables according to the type of liver disease (N=30).**

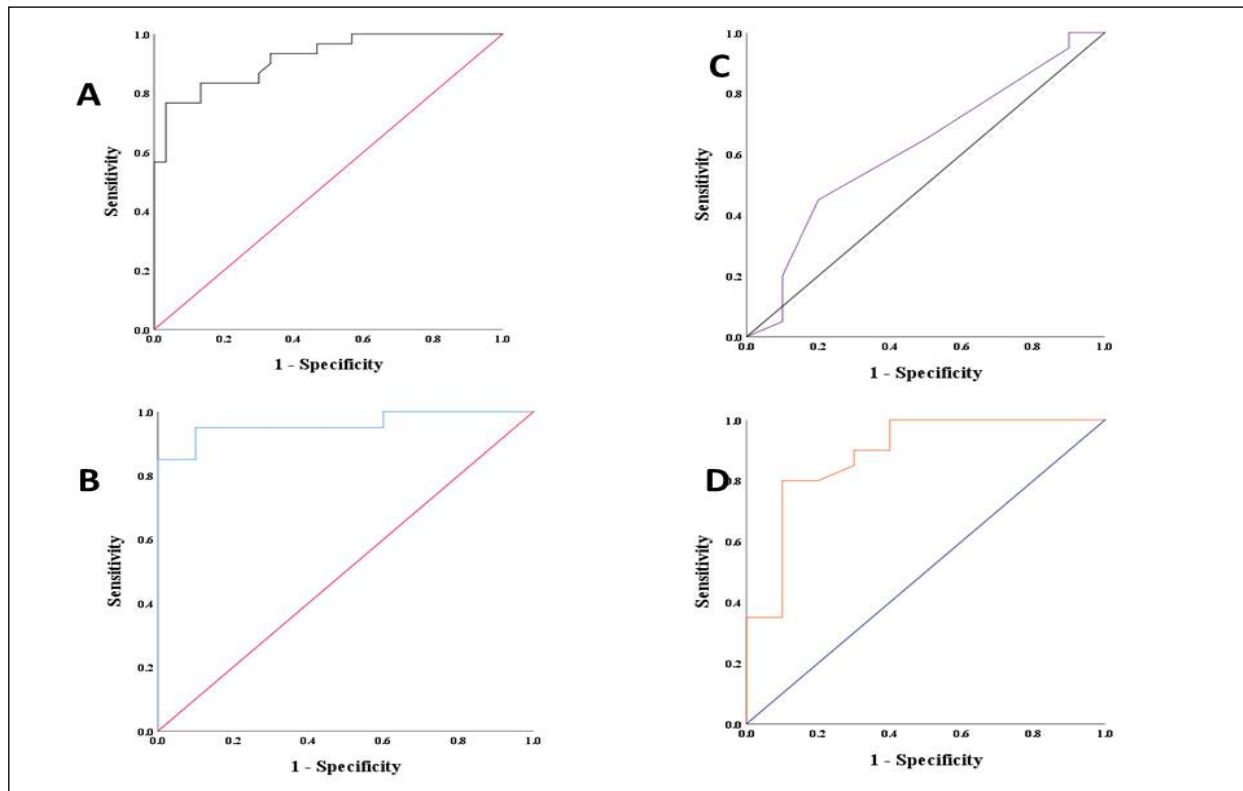
| Study variables   | Type of liver disease   | N | Mean $\pm$ SD    | P-value |
|-------------------|-------------------------|---|------------------|---------|
| Splenic size (cm) | Alcoholic liver disease | 9 | 15.56 $\pm$ 1.74 | 0.316   |
|                   | Chronic HCV             | 7 | 15.86 $\pm$ 1.86 |         |
|                   | Cryptogenic CLD         | 5 | 17.80 $\pm$ 2.17 |         |

|  |                           |   |                 |       |
|--|---------------------------|---|-----------------|-------|
|  | NASH                      | 5 | 15.80 ± 1.64    |       |
|  | Primary biliary cirrhosis | 2 | 17.00 ± 0.00    |       |
|  | Wilson disease            | 2 | 16.00 ± 0.00    |       |
| <b>Splenic stiffness (KPa)</b>                           | Alcoholic liver disease   | 9 | 27.09 ± 15.05   | 0.954 |
|  | Chronic HCV               | 7 | 25.87 ± 14.43   |       |
|  | Cryptogenic CLD           | 5 | 28.24 ± 19.52   |       |
|  | NASH                      | 5 | 25.87 ± 15.45   |       |
|  | Primary biliary cirrhosis | 2 | 35.05 ± 16.30   |       |
|  | Wilson disease            | 2 | 19.76 ± 5.32    |       |
| <b>Splenic stiffness (m/s)</b>                           | Alcoholic liver disease   | 9 | 2.90 ± 0.83     | 0.961 |
|  | Chronic HCV               | 7 | 2.81 ± 0.86     |       |
|  | Cryptogenic CLD           | 5 | 2.92 ± 1.05     |       |
|  | NASH                      | 5 | 2.83 ± 0.90     |       |
|  | Primary biliary cirrhosis | 2 | 3.37 ± 0.81     |       |
|  | Wilson disease            | 2 | 2.56 ± 0.35     |       |
| <b>Platelet count (cell x 10<sup>3</sup>/microliter)</b> | Alcoholic liver disease   | 9 | 219.67 ± 108.84 | 0.888 |
|  | Chronic HCV               | 7 | 191.29 ± 123.29 |       |
|  | Cryptogenic CLD           | 5 | 220.80 ± 263.40 |       |
|  | NASH                      | 5 | 194.00 ± 104.22 |       |
|  | Primary biliary cirrhosis | 2 | 107.50 ± 41.71  |       |
|  | Wilson disease            | 2 | 119.00 ± 80.61  |       |

**Table 5: The mean differences of study variables according to grades of esophageal varices (N=30).**

| Study variables                | Grades of esophageal varices | Number | Mean ± SD     | P-value |
|--------------------------------|------------------------------|--------|---------------|---------|
| <b>Splenic size (cm)</b>       | Grade I                      | 10     | 16.70 ± 2.11  | 0.304   |
|                                | Grade II                     | 8      | 15.38 ± 1.77  |         |
|                                | Grade III                    | 12     | 16.25 ± 1.48  |         |
| <b>Splenic stiffness (KPa)</b> | Grade I                      | 10     | 12.63 ± 1.87  | <0.001  |
|                                | Grade II                     | 8      | 25.94 ± 9.29  |         |
|                                | Grade III                    | 12     | 39.27 ± 11.97 |         |
|                                | Grade II                     | 8      | 2.90 ± 0.55   |         |

|   |           |    |                 |              |
|---|-----------|----|-----------------|--------------|
|   | Grade III | 12 | 3.57 ± 0.60     |              |
| <b>Platelet count<br/>(Cell<br/>x10<sup>3</sup>/microliter)</b> | Grade I   | 10 | 318.30 ± 171.78 | <b>0.001</b> |
|   | Grade II  | 8  | 153.25 ± 47.25  |              |
|   | Grade III | 12 | 119.50 ± 56.70  |              |



**Figure 2:** ROC curve of splenic stiffness in predicting liver disease (A). ROC curves of splenic stiffness (B), splenic size (C) and Platelet count to predict high-risk groups of oesophageal varices.

**Table 6:** The sensitivity, specificity, PPV, NPV, and overall accuracy of study variables to predict high-risk groups of oesophageal varices.

| Study variable                                      | Cut-off point | Sensitivity | Specificity | PPV*  | NPV** | Overall accuracy |
|---|---------------|-------------|-------------|-------|-------|------------------|
| Splenic size (cm)                                   | ≤ 16.50       | 65.0%       | 50.0%       | 72.2% | 41.7% | 60.0%            |
| Splenic stiffness (KPa)                             | ≥ 15.125      | 95.0%       | 90.0%       | 95.0% | 90.0% | 93.3%            |
| Platelet count (cell x 10 <sup>3</sup> /microliter) | ≤ 173.50      | 80.0%       | 90.0%       | 94.1% | 69.2% | 83.3%            |

\*PPV= Positive predictive value; \*\*NPV= negative predictive value.

## DISCUSSION

The prediction of the risk of EV can be done using simple parameters like splenic size and platelet counts or more invasively by OGD and HVPG. With the feasibility and recent advances in elastography, researchers have investigated the role of the LS and SS in assessing cirrhosis and PHT because anatomically, the portal vein arises by the confluence of the superior mesenteric and splenic veins; therefore, disorders in portal blood flow may lead to spleen congestion, increasing its stiffness<sup>(28)</sup>. However, these studies have shown variable results<sup>(29)</sup>. In this study, the mean elasticity values for the spleen did not exhibit a significant gender or age difference which was in agreement with<sup>(30,31)</sup>.

The mean SS cut-off value that discriminated between healthy and CLD patients in the current study was 12.49 Kpa while 15.125 Kpa was as a cut-off value that predicted high-risk groups of EV, both values were slightly lower than<sup>(32)</sup> who found mean SS of 15 KPa in normal subjects and 20.2KPa in patients with CLD complicated by EV.

Considering validity in predicting the presence of EV in patients with CLD, the current study revealed that SS was more accurate than splenic size and platelet count, a finding that was consistent with<sup>(33)</sup> with higher overall accuracy in our study. According to findings of current study, we believe that SS can serve as a surrogate for the dynamic component of PHT, with resultant improved discrimination between clinically significant PHT and subsequent EV. Moreover, the SS values were significantly increased in patients with high-grade EV, confirming that portal flow is directly affecting SWE values.

Ford et al<sup>(34)</sup> measured SS in patients with chronic hepatitis C virus-related cirrhosis and concluded that they were more accurate than the other parameters. However, patients with different aetiologies of CLD included in our study have showed no significant difference in

mean SS, reflecting that SWE technique may be clinically applicable regardless of the etiology of CLD.

The sensitivity and specificity of SS in detecting high-risk groups of EV in this study were higher than previously found in a systematic review (95% vs 78% and 90% vs 76% respectively)<sup>(35)</sup>. Therefore, considering the excellent accuracy of SS using SWE in detecting EV with good sensitivity and specificity, we think that our results could have important clinical implication by differentiating between low and high-risk groups of EV in addition to the ability to rule out EV (high NPV) so that avoiding unnecessary endoscopy and subsequently decreasing the burden on patients, because HVPG and OGD are invasive and not accepted easily by patients, with continuous need for non-invasive tests to assess EV<sup>(36)</sup>.

This study is not without limitations. First is the relatively small sample size, however, the results are preliminary and future studies with a larger sample will be more helpful. Results of the current study cannot be generalized on all patients because those with comorbidities, were excluded, hence, conducting similar research on such patients in future is suggested. Interobserver variation was not assessed in this study, although it was not the main aim, dedicated studies to address both interobserver and intra-observer variation may be required.

### Limitations

This study is not without limitations. First is the relatively small sample size, however, the results are preliminary and future studies with a larger sample will be more helpful. Results of the current study cannot be generalized on all patients because those with comorbidities, were excluded, hence, conducting similar research on

such patients in future is suggested. Interobserver variation was not assessed in this study, although it was not the main aim, dedicated studies to address both interobserver and intra-observe variation may be required.

## CONCLUSIONS

The SS is significantly higher in a patient with CLD compared to healthy volunteers. SS values were not affected by age, gender nor aetiology of CLD. Among patients with CLD, SS values increase with increasing grade of EV. The optimal cut-off value of SS to differentiate normal from diseased liver was 12.5 KPa while the cut-off value to differentiate low-risk from high-risk groups of EV was 15.49 KPa. Compared with splenic size and platelet counts, SS had the highest accuracy in predicting high-risk groups of EV in patients with CLD, so that SWE can be a reliable and noninvasive option for monitoring EV in CLD patients and may consequently reduce the rate of unnecessary endoscopies. A future study addressing SS as an adjuvant with liver stiffness in predicting the presence of EV in CLD seems clinically useful.

## REFERENCES

1. Prevention C for DC and. Viral hepatitis. Centers for Disease Control and Prevention website. 2016.
2. Sepanlou SG, Safiri S, Bisignano C, Ikuta KS, Merat S, Saberifiroozi M, et al. The global, regional, and national burden of cirrhosis by cause in 195 countries and territories, 1990–2017: a systematic analysis for the Global Burden of Disease Study 2017. *Lancet Gastroenterol Hepatol.* 2020;5(3):245–66.
3. Thalheimer U, Leandro G, Samonakis DN, Triantos CK, Patch D, Burroughs AK. Assessment of the agreement between wedge hepatic vein pressure and portal vein pressure in cirrhotic patients. *Digestive and liver disease.* 2005;37(8):601–8.
4. Bruix J, Sherman M, Committee PG. American Association for the Study of Liver Diseases Management of hepatocellular carcinoma. an update. 2011;53:1020–2.
5. Tripathi D, Stanley AJ, Hayes PC, Patch D, Millson C, Mehrzad H, et al. UK guidelines on the management of variceal haemorrhage in cirrhotic patients. *Gut.* 2015;64(11):1680–704.
6. Garcia-Tsao G, Bosch J, Groszmann RJ. Portal hypertension and variceal bleeding—Unresolved issues. Summary of an American Association for the study of liver diseases and European Association for the study of the liver single-topic conference. *Hepatology.* 2008;47(5):1764–72.
7. Garcia-Tsao G, Sanyal AJ, Grace ND, Carey WD, Diseases PGC of the AA for the S of L. Prevention and management of gastroesophageal varices and variceal hemorrhage in cirrhosis. *Official journal of the American College of Gastroenterology| ACG.* 2007;102(9):2086–102.
8. de Franchis R. Revising consensus in portal hypertension: report of the Baveno V consensus workshop on methodology of diagnosis and therapy in portal hypertension. *J Hepatol.* 2010;53(4):762–8.
9. Gluud LL, Krag A. Banding ligation versus beta-blockers for primary prevention in oesophageal varices in adults. *Cochrane Database of Systematic Reviews.* 2012;(8).
10. Cadranet JF, Rufat P, Degos F. for the Group of Epidemiology of the French Association for the Study of the Liver (AFEF). Practices of liver biopsy in France: results of a prospective

- nationwide survey. *Hepatology*. 2000;32(3):477–81.
11. Cholongitas E, Senzolo M, Standish R, Marelli L, Quaglia A, Patch D, et al. A systematic review of the quality of liver biopsy specimens. *Am J Clin Pathol*. 2006;125(5):710–21.
  12. Bosch J, Abraldes JG, Berzigotti A, García-Pagan JC. The clinical use of HVPG measurements in chronic liver disease. *Nat Rev Gastroenterol Hepatol*. 2009;6(10):573–82.
  13. Kalambokis G, Manousou P, Vibhakorn S, Marelli L, Cholongitas E, Senzolo M, et al. Transjugular liver biopsy—indications, adequacy, quality of specimens, and complications—a systematic review. *J Hepatol*. 2007;47(2):284–94.
  14. Pilette C, Oberti F, Aubé C, Rousselet MC, Bedossa P, Gallois Y, et al. Non-invasive diagnosis of esophageal varices in chronic liver diseases. *J Hepatol*. 1999;31(5):867–73.
  15. Venkatesh SK, Yin M, Takahashi N, Glockner JF, Talwalkar JA, Ehman RL. Non-invasive detection of liver fibrosis: MR imaging features vs. MR elastography. *Abdom Imaging*. 2015;40(4):766–75.
  16. Zheng J, Guo H, Zeng J, Huang Z, Zheng B, Ren J, et al. Two-dimensional shear-wave elastography and conventional US: the optimal evaluation of liver fibrosis and cirrhosis. *Radiology*. 2015;275(1):290–300.
  17. Dietrich CF, Bamber J, Berzigotti A, Bota S, Cantisani V, Castera L, et al. EFSUMB guidelines and recommendations on the clinical use of liver ultrasound elastography, update 2017 (long version). *Ultraschall in der Medizin-European Journal of Ultrasound*. 2017;38(04):e16–47.
  18. Vizzutti F, Arena U, Romanelli RG, Rega L, Foschi M, Colagrande S, et al. Liver stiffness measurement predicts severe portal hypertension in patients with HCV-related cirrhosis. *Hepatology*. 2007;45(5):1290–7.
  19. Cosgrove D, Piscaglia F, Bamber J, Bojunga J, Correas JM, Gilja Oh al, et al. EFSUMB guidelines and recommendations on the clinical use of ultrasound elastography. Part 2: Clinical applications. *Ultraschall in der Medizin-European Journal of Ultrasound*. 2013;34(03):238–53.
  20. Ferraioli G, Tinelli C, Dal Bello B, Zicchetti M, Filice G, Filice C, et al. Accuracy of real-time shear wave elastography for assessing liver fibrosis in chronic hepatitis C: a pilot study. *Hepatology*. 2012;56(6):2125–33.
  21. Abe H, Midorikawa Y, Matsumoto N, Moriyama M, Shibutani K, Okada M, et al. Prediction of esophageal varices by liver and spleen MR elastography. *Eur Radiol*. 2019;29(12):6611–9.
  22. Grgurevic I, Puljiz Z, Brnic D, Bokun T, Heinzl R, Lukic A, et al. Liver and spleen stiffness and their ratio assessed by real-time two dimensional-shear wave elastography in patients with liver fibrosis and cirrhosis due to chronic viral hepatitis. *Eur Radiol*. 2015;25(11):3214–21.
  23. Pawluś A, Ingot M, Chabowski M, Szymańska K, Ingot M, Patyk M, et al. Shear wave elastography (SWE) of the spleen in patients with hepatitis B and C but without significant liver fibrosis. *Br J Radiol*. 2016;89(1066):20160423.
  24. Karatzas A, Konstantakis C, Aggeletopoulou I, Kalogeropoulou C, Thomopoulos K, Triantos C. Non-invasive screening for esophageal varices in patients with liver cirrhosis. *Ann Gastroenterol*. 2018;31(3):305.

25. Karagiannakis DS, Voulgaris T, Koureta E, Chloupis E, Papatheodoridis G v, Vlachogiannakos J. Role of spleen stiffness measurement by 2D-shear wave elastography in ruling out the presence of high-risk varices in cirrhotic patients. *Dig Dis Sci.* 2019;64(9):2653–60.
26. de Franchis R. Revising consensus in portal hypertension: report of the Baveno V consensus workshop on methodology of diagnosis and therapy in portal hypertension. *J Hepatol.* 2010;53(4):762–8.
27. Grgurević I, Bokun T, Mustapić S, Trkulja V, Heinzl R, Banić M, et al. Real-time two-dimensional shear wave ultrasound elastography of the liver is a reliable predictor of clinical outcomes and the presence of esophageal varices in patients with compensated liver cirrhosis. *Croat Med J.* 2015;56(5):470–81.
28. Bolognesi M, Merkel C, Sacerdoti D, Nava V, Gatta A. Role of spleen enlargement in cirrhosis with portal hypertension. *Digestive and Liver Disease.* 2002;34(2):144–50.
29. Lucchina N, Recaldini C, Macchi M, Molinelli V, Montanari M, Segato S, et al. Point shear wave elastography of the spleen: its role in patients with portal hypertension. *Ultrasound Med Biol.* 2018;44(4):771–8.
30. Barry CT, Mills B, Hah Z, Mooney RA, Ryan CK, Rubens DJ, et al. Shear wave dispersion measures liver steatosis. *Ultrasound Med Biol.* 2012;38(2):175–82.
31. Ferraioli G, Tinelli C, Lissandrin R, Zicchetti M, Bernuzzi S, Salvaneschi L, et al. Ultrasound point shear wave elastography assessment of liver and spleen stiffness: effect of training on repeatability of measurements. *Eur Radiol.* 2014;24(6):1283–9.
32. Mantsopoulos K, Klintworth N, Iro H, Bozzato A. Applicability of shear wave elastography of the major salivary glands: values in healthy patients and effects of gender, smoking and pre-compression. *Ultrasound Med Biol.* 2015;41(9):2310–8.
33. Lucchina N, Recaldini C, Macchi M, Molinelli V, Montanari M, Segato S, et al. Point shear wave elastography of the spleen: its role in patients with portal hypertension. *Ultrasound Med Biol.* 2018;44(4):771–8.
34. Ford MR, Dupps Jr WJ, Rollins AM, Roy AS, Hu Z. Method for optical coherence elastography of the cornea. *J Biomed Opt.* 2011;16(1):016005.
35. Feltovich H, Hall TJ, Berghella V. Beyond cervical length: emerging technologies for assessing the pregnant cervix. *Am J Obstet Gynecol.* 2012;207(5):345–54.
36. Berzigotti A, Seijo S, Arena U, Abraldes JG, Vizzutti F, García-Pagán JC, et al. Elastography, spleen size, and platelet count identify portal hypertension in patients with compensated cirrhosis. *Gastroenterology.* 2013;144(1):102–11.

## Investigating the Panton-Valentine Leukocidin Gene in Methicillin-Resistant *Staphylococcus aureus* from Diabetic Foot Infections

Abbas Hussein Al-Salami<sup>1</sup>, Majida Malik Meteab Al-shammari<sup>2</sup>, Majid Hadi Al-Kalabi<sup>3</sup>

<sup>1-3</sup> Jaber ibn Hayyan University of Medical and Pharmaceutical Sciences, Faculty of Medicine, Department of Medical Microbiology, Iraq.

E-mail: [pstm.abbas.h.habib@jmu.edu.iq](mailto:pstm.abbas.h.habib@jmu.edu.iq)

### ABSTRACT

**Background:** Methicillin-resistant *Staphylococcus aureus* is a significant pathogen associated with multidrug resistance among diabetic foot infections. The *Panton-Valentine Leukocidin (pvl)* gene is an MRSA marker frequently present in community-acquired infections; it causes deep skin and soft tissue infections and osteomyelitis. **Aim of the study:** This study aimed to detect the prevalence of the *PVL* gene in MRSA isolates among diabetic foot infections (DFIs). **Patients and methods:** A cross-sectional study conducted from August 2023 to January 2024 investigated MRSA in 150 patients with DFIs at Al-Manathira General Hospital in Al-Najaf, Iraq. *Staphylococcus aureus* isolates were diagnosed using the Vitek<sup>®</sup> 2 technique. Methicillin-resistant *S. aureus* isolates were detected by a phenotypic method depending on cefoxitin disk diffusion and confirmed by a genotypic method by PCR targeting the *mecA*, and then the *pvl* gene was investigated. The genotypic methods employed particularly primers targeting the *mecA* gene and *pvl* gene using the monoplex PCR technique. **Results:** The findings demonstrated that 95 (93.1%) of the 102 *S. aureus* isolates were resistant to cefoxitin, and according to the findings of the molecular study, only 96 (94.11%) isolates showed the *mecA* gene. Regarding the *pvl* gene, 97 (95.09%) of isolates produced positive findings. **Conclusions:** Diabetic foot infections exhibited a significantly high presence of the *pvl* gene within MRSA strains. These were confirmed using PCR, the gold standard method for detecting the *mecA* gene associated with methicillin resistance.

**Keywords:** Diabetic foot infections, MRSA, PVL gene, *mecA* gene, *S. aureus*.

### Article Information

Received: March 13, 2024; Revised: May 16, 2024; Online: June, 2024

## INTRUDUCTION

Hearing problems are common neurological deficit, and diabetes mellitus is a common cause for these problems. The organ of Corti which is essential for hearing is at the risk of damage by high blood sugar <sup>(1)</sup>. Hearing impairment affects the quality of life of diabetic patients, many researchers found hearing

impairment in diabetic patients <sup>(2)</sup>. Diabetes mellitus can cause sensorineural hearing loss (SNHL) because of neuropathy and microvascular complications of diabetes mellitus. The presence of comorbidities in diabetic patients such as hypertension and atherosclerotic vascular diseases, also can affect hearing <sup>(3)</sup>. Some histopathological studies

revealed a neurological and vascular damage in the inner ear of diabetic patients<sup>(4)</sup>. It is well known now that increased oxidative stress has an important role in the development of long term complications of diabetes mellitus<sup>(5)</sup>. Diabetes-related hearing impairment is of gradual onset but progressive and bilateral sensorineural deficit, which affect higher more than lower frequencies<sup>(2)</sup>. The present study aims to study the relation of sensorineural hearing with diabetic mellitus in comparison to non-diabetic control.

## PATIENTS AND METHODS

A cross-sectional study was conducted from August 2023 to January 2024 on 150 patients with diabetic foot infections (112 males and 38 females) at Al-Manathira General Hospital in Al-Najaf governorate. *S. aureus* isolates were diagnosed using the Vitek® 2 technique (Biomerieux, France) after bacterial

## RESULTS

Out of 150 wound swabs from DFIs, 102 *S. aureus* isolates constituted 95 (93.1%) phenotypically methicillin-resistant *S. aureus*, depending on cefoxitin disc diffusion, as demonstrated in Figure 1.

A polymerase chain reaction (PCR) assay was employed to detect the *mecA* gene, which is a marker for methicillin resistance. Out of 102 *S. aureus* isolates, 96 (94.11%) detected the presence of the *mecA* gene, indicating a

cultures on mannitol salt agar and blood agar were incubated at 37°C for 18–24 hours<sup>(10)</sup>.

Methicillin-resistant *S. aureus* was detected according to the CLSI 2020, and cefoxitin inhibition zones of 21 mm or less were considered indicative of MRSA<sup>(11)</sup>.

The extraction of DNA was carried out using the Wizard® Genomic DNA Purification Kit (Promega, USA) and then assessed for purity and concentration using a nanodrop spectrophotometer.

The monoplex PCR technique was carried out using a master mix (Promega, USA), and specific primers described by (12, 13) for the *macA* gene and *pvl* gene were designed by Macrogen, Korea, as shown in Table 1, under the reaction conditions demonstrated in Table 2.

### The Study Design

It is a prospective case-control study.

concerningly high frequency of MRSA, as shown in Figure 2.

Our findings in Figure 3 show a very high prevalence (95.09%) of the *pvl* gene among the MRSA isolates.

96 (94.11%) of the *mecA* gene of MRSA isolates harbored a *pvl* gene associated with the *mecA* gene, while one MRSA isolate harbored the *pvl* gene, and the *mecA* gene was absent, as demonstrated in Figure 4.



Figure 1. Shows Identifying methicillin-resistant *S. aureus* using cefoxitin disc diffusion technique.



Figure 2. Visualized using agarose gel electrophoresis, PCR products from the *mecA* gene in MRSA isolates display a consistent band size of 1339 base pairs, with positive results present in lanes 1–10 and 12–13. Interestingly, the isolate in lane 11 lacks this band, indicating a negative *mecA* gene result. Line M: DNA markers (100–3000 bp) were considered controls.

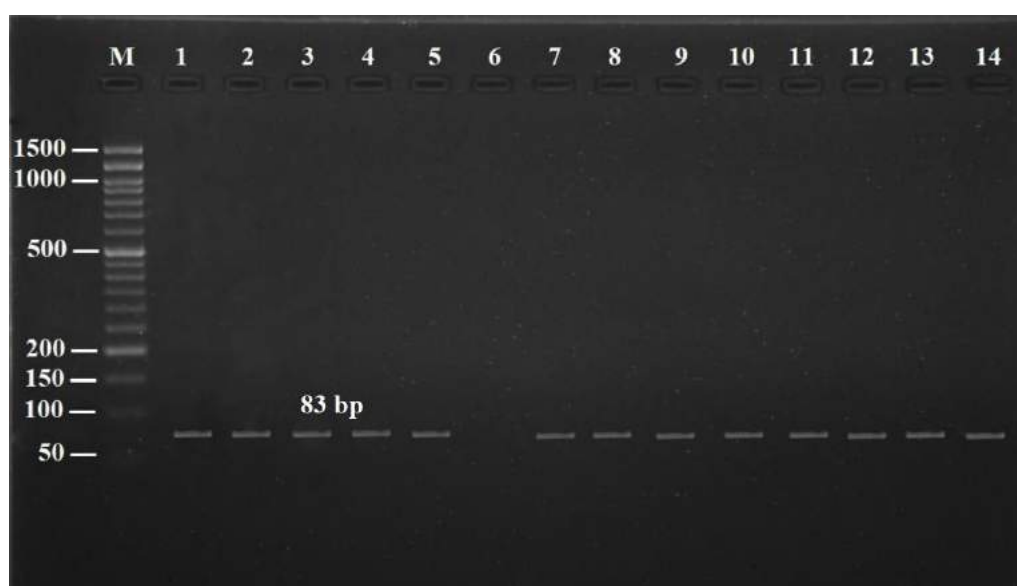


Figure 3. Visualized using agarose gel electrophoresis, PCR products from the PVL gene in MRSA isolates display a consistent band size of 83 base pairs, with positive results present in lanes 1–5 and 7–14. The isolate in lane 6 lacks this band, indicating a negative PVL gene result. Line M: DNA markers (50–1500 bp) were considered controls.

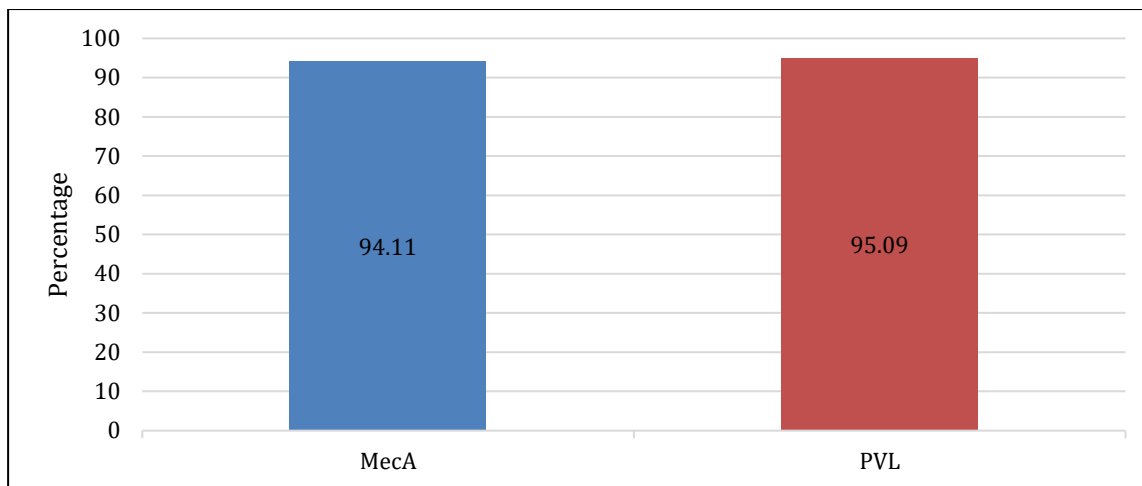


Figure 4. Percentage of *mecA* gene and PVL gene among MRSA isolates.

## DISCUSSION

*Staphylococcus aureus* is a common infection in hospitals and communities. *S. aureus* infections, particularly MRSA, are a growing public health concern. The global epidemiology of MRSA infections is evolving rapidly<sup>(5)</sup>. This study evaluated 150 wound swabs from DFIs, of which 102 *S. aureus* isolates were identified from diabetic foot infections. This study is consistent with the findings of<sup>(14)</sup>. The findings indicated that of the 102 isolates of *S. aureus*, 95 (93.1%) exhibited resistance to the cefoxitin antibiotic. As shown in Figure 1. The results of this study are consistent with previous studies conducted by<sup>(2, 15)</sup>. The findings presented in this study contradict the findings published by<sup>(16, 17)</sup>.

In the current study, PCR analysis confirmed the presence of the *mecA* gene in 96 of 102 isolates, highlighting a concerning high prevalence of MRSA (94.11%) as shown in Figure 2. Our findings detected a *mecA* gene prevalence of 94.11% in *S. aureus* isolates, consistent with the high rates reported by (94% and 94.33%, respectively), (17,18). Ahrabi et al. reported a lower prevalence (54.54%), suggesting potential regional or methodological differences<sup>(19)</sup>.

The PVL gene was detected in a remarkable 95.09% of the studied *S. aureus* isolates, further indicating its prevalence within the community, as shown in Figure 3. Panton-Valentine leukocidin (PVL), a significant virulence determinant of *Staphylococcus aureus*, is encoded by the LukS-PV and LukF-PV genes<sup>(20)</sup>. PVL production occurs in diverse *S. aureus* strains, including both MSSA and MRSA<sup>(21)</sup>. Our results are consistent with those of<sup>(22)</sup>, who showed a high PVL prevalence in MRSA (100%). However, they differ from the results (26.6%), (49%), and (0%), respectively,<sup>(2,23,24)</sup>.

Our research, as shown in Figure 4, revealed a very high co-occurrence of the *pvl* and *mecA* genes in all the *S. aureus* isolates we examined. This finding indicates that the *pvl* gene might play a role in increasing both the virulence and antibiotic resistance of *S. aureus* bacteria.

Panton-valentine leukocidin (PVL), a potent toxin, serves as a significant indicator for community-acquired MRSA, a strain often implicated in skin and soft tissue infections, including abscesses, furuncles, and cellulitis<sup>(2, 25)</sup>. MRSA isolates may be transmitted from community to hospital or hospital to community due to their high pathogenicity<sup>(26)</sup>.

Evidence gathered from various countries suggests a concerning rise in the prevalence of the Panton-Valentine leukocidin (PVL) gene within methicillin-resistant *Staphylococcus aureus* (MRSA) isolates<sup>(2)</sup>. Our study identified a high prevalence of PVL in MRSA strains (75%), consistent with our study conducted by (27). D'Souza et al. found that PVL-positive isolates were prevalent among methicillin-resistant *Staphylococcus aureus* (MRSA) at a rate of 64%<sup>(28)</sup>. The prevalence of PVL is lower in various regions of the world, being much lower in areas like France, and the UK (5%, and 4.9%, respectively)<sup>(24)</sup>. These findings highlight the significant geographical variation in PVL incidence across different communities.

## CONCLUSIONS

The current study showed a high prevalence of the pvl gene among MRSA isolates with diabetic foot infections. It serves as a community-associated MRSA marker. The PCR study is effective in detecting pvl and mecA genes.

## REFERENCES

1. Atlaw A, Kebede HB, Abdela AA, Woldeamanuel Y. Bacterial isolates from diabetic foot ulcers and their antimicrobial resistance profile from selected hospitals in Addis Ababa, Ethiopia. *Front Endocrinol (Lausanne)*. 2022 Aug;13:987487. Available from: <https://doi.org/10.3389/fendo.2022.987487>.
2. Shubbar, E. Detection of Pantone-Valatin Lecucidin and Meca Genes in *Staphylococcus Aureus* Isolated from Al-Najaf Patients, 2023 Aug;3(4): 1203–1208. Available from: <https://www.researchgate.net/publication/374556609>.

3. Havaei SA, Ghanbari F, Rastegari AA, Azimian A, Khademi F, Hosseini N, et al. Molecular Typing of Hospital-Acquired *Staphylococcus aureus* Isolated from Isfahan, Iran. *Int Sch Res Not*. 2014 Nov;2014:185272. Available from: <https://doi.org/10.1155/2014/185272>.
4. Pany S, Sharma BM, Sen SK, Pal BB. Association of PVL Gene in MSSA and MRSA Strains among Diabetic Ulcer Patients from Odisha, India. *Int J Low Extrem Wounds*. 2022 April; 15347346221091356. Available from: DOI: 10.1177/15347346221091355.
5. Thabit AG, Ahmed EH, Mohamed AI, Yassin AS, Ibrahim MA, Amin MM. Molecular detection of Pantone-valentine Leukocidin (PVL) and methicillin resistance in *Staphylococcus aureus* pathogen. *Egypt J Med Microbiol*. 2017 July; 26(3), 19–24. Available from: <https://doi.org/10.12816/0046283>.
6. Waryah CB, Gogoi-Tiwari J, Wells K, Eto KY, Masoumi E, Costantino P, et al. Diversity of virulence factors associated with West Australian methicillin-sensitive *Staphylococcus aureus* isolates of human origin. *Biomed Res Int*. 2016 May; 2016. Available from: <https://doi.org/10.1155/2016/8651918>.
7. Azimian A, Havaei SA, Fazeli H, Naderi M, Ghazvini K, Samiee SM, et al. Genetic characterization of a vancomycin-resistant *Staphylococcus aureus* isolates from the respiratory tract of a patient in a university hospital in northeastern Iran. *J Clin Microbiol*. 2012 Nov;50(11):3581–5. Available from: DOI: <https://doi.org/10.1128/jcm.01727-12>.
8. He C, Xu S, Zhao H, Hu F, Xu X, Jin S, et al. Leukotoxin and pyrogenic toxin Superantigen gene backgrounds in

- bloodstream and wound *Staphylococcus aureus* isolates from the eastern region of China. *BMC Infect Dis.* 2018 Aug;18(1):1–10. Available from: <https://doi.org/10.1186/s12879-018-3297-0>.
9. Koop G, Vrieling M, Storisteanu DML, Lok LSC, Monie T, Van Wigcheren G, et al. Identification of LukPQ, a novel, equid-adapted leukocidin of *Staphylococcus aureus*. *Sci Rep.* 2017 Jan;7(1):40660. Available from: DOI: 10.1038/srep40660.
  10. Ali AM, Abdallah MM. Study of Phenotypic and Genotypic Factors of *Staphylococcus aureus* Clinical Local Isolates. *Al-Mustansiriyah J Sci.* 2022 June;33(4):49–56. Available from: DOI: <https://doi.org/10.23851/mjs.v33i4.1166>.
  11. CLSI. Performance Standards for Antimicrobial Susceptibility Testing—30th Edition: M100. 2020. Available from: <https://clsi.org/>.
  12. Weller P. Disentangling concepts of Ministerial responsibility. *Aust J Public Adm.* 1999 Mar; 58(1): 62–4. Available from: <https://doi.org/10.1111/1467-8500.00072>.
  13. Stegger Á, Andersen PS, Kearns A, Pichon B, Holmes MA, Edwards G, et al. Rapid detection, differentiation, and typing of methicillin-resistant *Staphylococcus aureus* harboring either *mecA* or the new *mecA* homologue *mecALGA251*. *Clin Microbiol Infect.* 2012 Nov; 18(4): 395–400. Available from: DOI: <https://doi.org/10.1111/j.1469-0691.2011.03715.x>.
  14. Hamad PA. Phenotypic and molecular detection of biofilm formation in methicillin-resistant *staphylococcus aureus* isolated from different clinical sources in Erbil city. *Mediterr J Hematol Infect Dis.* 2023 Mar;15(1). Available from: doi: 10.4084/MJHID.2023.016.
  15. Al-Dahbi AM, Al-Mathkhury HJ. Distribution of methicillin-resistant *Staphylococcus aureus* in Iraqi patients and healthcare workers. *Iraqi J Sci.* 2013 June;54(2):293–300. Available from: [https://www.researchgate.net/publication/257509526\\_Distribution\\_of\\_Methicillin\\_in\\_Resistant\\_Staphylococcus\\_aureus\\_in\\_Iraqi\\_patients\\_and\\_Healthcare\\_Workers](https://www.researchgate.net/publication/257509526_Distribution_of_Methicillin_in_Resistant_Staphylococcus_aureus_in_Iraqi_patients_and_Healthcare_Workers).
  16. Hussein NR, Assafi MS, Ijaz T. Methicillin-resistant *Staphylococcus aureus* nasal colonization amongst healthcare workers in Kurdistan Region, Iraq. *J Glob Antimicrob Resist.* 2017 Jun; 9:78–81. Available from: <https://doi.org/10.1016/j.jgar.2017.01.010>.
  17. Sajith Khan AK, Shetty PJ, Lakshmi Sarayu Y, Chidambaram A, Ranganathan R. Detection of *mecA* genes of methicillin-resistant *Staphylococcus aureus* by polymerase chain reaction. *Int J Heal Rehabil Sci.* 2012 Oct;1(2):64–8. Available from: DOI: 10.5455/ijhrs.000000011.
  18. Raheema RH. RAPID METHODS FOR DETECTION OF METHICILLIN-RESISTANT STAPHYLOCOCCUS AUREUS IN WASIT PROVINCE, IRAQ. *Plant Arch.* 2020 Nov;20(2):6131–4. Available from: DOI: 10.25258/ijddt.11.3.45.
  19. Ahrabi SZ, Rahbarnia L, Dehnad A, Naghili B, Agdam MHG, Nazari A. Incidence of oxacillin-susceptible *mecA*-positive *Staphylococcus aureus* (OS-MRSA) isolates and TSST-1 virulence factor among high school students in Tabriz, Northwest of Iran. *Arch Clin Infect Dis.* 2019 Aug;14(4). Available from: DOI: <https://doi.org/10.5812/archcid.85341>.

20. Karmakar A, Jana D, Dutta K, Dua P, Ghosh C. Prevalence of Panton-Valentine leukocidin gene among community-acquired *Staphylococcus aureus*: a real-time PCR study. *J Pathog.* 2018 Sep; 2018. Available from: <https://doi.org/10.1155/2018/4518541>.
21. Albiński MK, Lutz N, Ceroni D, N'Dele D, Zambelli PY, Bregou A. Paediatric musculoskeletal infections with Panton-Valentine leucocidin. *Swiss Med Wkly.* 2018 Sep;148(3738):w14669–w14669. Available from: [doi:10.4414/smw.2018.14669](https://doi.org/10.4414/smw.2018.14669).
22. Gadban T, Mahdi S, Abdulqader H. Screening the frequency of panton-valentine leukocidin (pvl) gene between methicillin-resistant *Staphylococcus aureus* isolated from diabetic foot patients in Al-Basrah governorate, south of Iraq. 2020 Nov; 11, 285-290. Available from: [DOI: 10.31838/srp.2020.11.42](https://doi.org/10.31838/srp.2020.11.42).
23. Akram A, Izhar M, Lal C, Ghaffar H, Zafar S, Saifullah A, et al. Frequency of panton valentine leucocidin gene in *Staphylococcus aureus* from skin and soft tissue infections. *J Ayub Med Coll Abbottabad.* 2020 Sep;32(4):487–491. Available from: <https://pubmed.ncbi.nlm.nih.gov/33225649/>.
24. Abalkhail A, Elbehiry A. Methicillin-Resistant *Staphylococcus aureus* in Diabetic Foot Infections: Protein Profiling, Virulence Determinants, and Antimicrobial Resistance. *Appl Sci.* 2022 Oct;12(21):10803. Available from: <https://doi.org/10.3390/app122110803>.
25. Havaei SA, Moghadam SO, Pourmand MR, Faghri J. Prevalence of genes encoding bi-component leukocidins among clinical isolates of methicillin-resistant *Staphylococcus aureus*. *Iran J Public Health.* 2010 Mar; 39(1):8. Available from: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3468970/>.
26. David MZ, Daum RS. Community-associated methicillin-resistant *Staphylococcus aureus*: epidemiology and clinical consequences of an emerging epidemic. *Clin Microbiol Rev.* 2010 July;23(3):616–87. Available from: [doi: 10.1128/CMR.00081-09](https://doi.org/10.1128/CMR.00081-09).
27. Anjarlena P, Suliati, Istanto W, Sasongkowati R. DETECTION OF PANTON-VALENTINE LEUKOCIDIN (PVL) GENE AGAINST METHICILLIN-RESISTANT STAPHYLOCOCCUS AUREUS (MRSA) IN DIABETIC ULCER PATIENTS. 2023 July; 7(1):17-23. Available from: [DOI:10.20473/jvhs.V7.I1.2023.17-23](https://doi.org/10.20473/jvhs.V7.I1.2023.17-23).
28. D'Souza N, Rodrigues C, Mehta A. Molecular characterization of methicillin-resistant *Staphylococcus aureus* with the emergence of epidemic clones of sequence type (ST) 22 and ST 772 in Mumbai, India. *J Clin Microbiol.* 2010 May;48(5):1806–11. Available from: [DOI: https://doi.org/10.1128/jcm.01867-09](https://doi.org/10.1128/jcm.01867-09).

# Multi-Organ Histopathological Changes in SARS COV2 Infection: A Systematic Review and Meta-analysis

Maream Mohammed Ali Al-Haboobi<sup>1</sup> and Rihab Hameed Al-Mudhafar<sup>2</sup>

<sup>1</sup> AL-Najaf Teaching Hospital, Department of Pathology, Iraq.

<sup>2</sup> University of Kufa, Faculty of Medicine, Iraq.

E-mail: [mar.mohammed.ali2020@gmail.com](mailto:mar.mohammed.ali2020@gmail.com)

## ABSTRACT

**Background:** The World Health Organization has officially acknowledged the emergence of Coronavirus Disease 2019 (COVID-19), attributed to the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) virus, as a rapidly escalating global public health issue and declared it a pandemic. SARS-CoV-2 infection can lead to varied and multiorgan pathologies, with the most notable impacts occurring in the lungs (characterized by phases of diffuse alveolar damage, microthrombi, and bronchopneumonia), heart (involving lymphocytic myocarditis), kidney (resulting in acute tubular injury), and vasculature (involving microthrombi and deep vein thrombi).

**Objectives:** To summarize, resolve contradiction and provide solid evidence on multiorgan histopathological changes caused by SARS-CoV2 infection. **Material and method:** Histological data obtained from autopsy and biopsy studies were gathered following the guidelines of the Preferred Reporting Items for Systematic Review (PRISMA). An extensive electronic search was conducted on databases such as PubMed, Science Direct, Scopus, and Google Scholar, covering the period from database inception to March 2022. The collected studies underwent a systematic literature search, and a thorough critical review was performed. **Result:** After excluding studies that did not meet the eligibility criteria, a total of 58 articles were included in the review. We estimate the histopathological findings of 13 organ. For the pool proportion of exudative, proliferative and fibrotic phase of diffuse alveolar damage of lung is (70.666%, 56.126% and 33.031%) respectively. For liver steatosis is 35.808%. For acute tubular injury of kidney is 74.872%. For adrenal cortical necrosis is 13.113%. For brain gliosis is 13.865%. For heart necrosis is 5.477%. For gastrointestinal tract the pool proportion of inflammatory cells infiltration is 6.171%. For placental infarction is 25.684%. For orchitis is 29.019%. For perivascular inflammation of skin is 35.176%. For lymphocytic depletion of white pulp of spleen is 69.204%. For hemophagocytosis of lymph node is 7.022%. For bone marrow fibrosis is 8.473%.

**Conclusion:** COVID-19 is characterized as a multiorgan infection closely associated with a hyperinflammatory state, believed to initiate with diffuse alveolar damage and immuno-thrombotic microangiopathy. The extensive activation of the immune system and microvascular damage may contribute to indirect harm to other organs, although the direct impact of the virus on these tissues cannot be ruled out.

**Keywords:** Covid 19, SARS-Cov2, Meta-Analysis and Review.

## Article Information

Received: March 8, 2024; Revised: May, 14 2024; Online: June, 2024

## INTRUDUCTION

The global spread of the novel SARS-CoV-2 (severe acute respiratory syndrome coronavirus2) infection has prompted the World Health Organization to declare it a pandemic<sup>1</sup>. This virus easily transmits through droplets, fomites, or contact with the bodily fluids of infected individuals reaching another person's face, mouth, nose, or eyes. SARS-CoV-2 binds to Angiotensin-converting enzyme 2 (ACE2), highly expressed in the respiratory tract, initiating invasion into human cells. This invasion results in severe destruction and inflammation across various organs, potentially leading to fibrosis and affecting vascular supply. Clinical presentations primarily encompass fever, cough, fatigue, and shortness of breath, accompanied by less frequent symptoms such as headache, sore throat, and rhinorrhea. Approximately one-fifth of patients (20%) experience severe manifestations, including respiratory failure, multiorgan failure, and septic shock, necessitating intensive care<sup>2,3,4</sup>.

The incubation period of covid19 range between 0–24 days<sup>5</sup>. COVID-19 predominantly affects the lungs and heart. In the lungs, the characteristic injury pattern is diffuse alveolar damage (DAD), representing a nonspecific interstitial pneumonia progressing through distinct phases. This pattern involves hyaline membranes in early stages and fibrosis in later stages, often with interstitial lymphocytic infiltrates featuring predominant CD4-positive T cells. Severe infections may display "acute fibrinous and organizing pneumonia (AFOP)," characterized by intra-alveolar fibrin balls without hyaline membranes. Other common findings include microvascular thrombi and hemorrhage. In the heart, a spectrum from mild interstitial chronic inflammation within the myocardium without necrosis to lymphocytic myocarditis with myonecrosis is observed. Additional organs involved in this disease are the liver, spleen, and kidney. The liver exhibits frequent sinusoidal dilatation with congestion

and steatosis. The spleen typically shows lymphocytic depletion of the white pulp, leading to a decrease or absence of lymphoid follicles. Kidney involvement manifests as acute tubular necrosis (ATN), characterized by glomerular capillary thrombi, vacuolization, and dilatation of tubules<sup>6</sup>.

## MATERIAL AND METHOD

Histological data obtained from autopsy and biopsy studies adhered to Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) standards. A comprehensive electronic search spanning PubMed, Science Direct, Scopus, and Google Scholar, covering the period from the inception of databases from December 1st, 2021 to March 1st, 2022, was conducted, as illustrated in Figure 1.

**Inclusion criteria** encompassed articles that presented histopathological observations of organs in individuals diagnosed with COVID-19 following postmortem examinations or biopsies.

**Exclusive Criteria:** Articles that did not mention about histopathology of organs, unavailable full text studies on animal models and case report and reviews.

**Statistical analysis** involved the consolidation of data for each histopathological observation related to SARS-CoV-2 infection in different organs. Prevalence of event rates, presented as proportions with a 95% confidence interval, was calculated. Pooled proportions were determined through random-effects models, and heterogeneity was assessed using the Q statistic and I<sup>2</sup>. Using I-Squared test. Random effect model used when there is significant heterogeneity. In general, random effect model adopted to overcome heterogeneity between studies.

**The lung:** A total of 352 from 487 (72.2%) patients demonstrated exudative phase of diffuse alveolar damage. Upon meta-analysis, the data indicated a pooled proportion of exudative phase of 70.666%. 95% confidence interval (CI): 59.538 to 80.666 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 86.10\%$ ) as showed in table 1 and figure 2.

A total of 205 from 487 (42%) patients demonstrated proliferative phase of diffuse alveolar damage. Upon meta-analysis, the data indicated a pooled proportion of proliferative phase of 56.126%. 95% confidence interval (CI): 37.322 to 74.059 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 94.97\%$ ) as showed in table 2 and figure 3.

A total of 161 from 487 (33%) patients demonstrated fibrotic phase of diffuse alveolar damage. Upon meta-analysis, the data indicated a pooled proportion of fibrotic phase of 33.031%. 95% confidence interval (CI): 19.972 to 47.586 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 91.67\%$ ) as showed in table 3 and figure 4.

**The liver:** A total of 168 from 516 (32.5%) patients demonstrate steatosis of the liver. Upon meta-analysis, the data indicated a pooled proportion of steatosis of 35.808%. 95% confidence interval (CI): 20.478 to 52.798 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 92.78\%$ ).

**The Kidney:** A total of 217 from 409 (53%) patients demonstrate acute tubular injury (ATI). Upon meta-analysis, the data indicated a pooled proportion of ATI of 74.872 %. 95% confidence interval (CI): 57.095 to 89.133 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 92.34\%$ ).

**Adrenal gland:** A total of 8 from 140 (5.7%) patients demonstrate cortical necrosis of the adrenal gland. Upon meta-analysis, the data indicated a pooled proportion of cortical necrosis of 13.113 %. 95% confidence interval (CI): 0.805 to 36.741 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 89.40\%$ ).

**The brain:** A total of 17 from 177 (9.6%) patients demonstrates gliosis. Upon meta-analysis, the data indicated a pooled proportion of gliosis of 13.865 %. 95% confidence interval (CI): 1.071 to 37.535 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 92.36\%$ ).

**The heart:** A total of 17 from 415 (4%) patients demonstrate necrosis in the heart. Upon meta-analysis, the data indicated a pooled proportion of necrosis of 5.477 %. 95% confidence interval (CI): 2.341 to 9.825 with notable heterogeneity observed among the studies ( $P = 0.0035$ ;  $I^2 = 53.86\%$ ) as showed in table 4 and figure 5.

**Gastrointestinal tract (GIT):** A total of 7 from 146 (4.7%) patients demonstrate inflammatory cells infiltration of GIT. Upon meta-analysis, the data indicated a pooled proportion of inflammatory cells infiltration of 6.171 %. 95% confidence interval (CI): 0.00698 to 22.460 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 85.24$  %).

**The placenta:** A total of 16 from 109(14.6%) patients demonstrates placental infarction. Upon meta-analysis, the data indicated a pooled proportion of placental infarction of 25.684 %. 95% confidence interval (CI): 0.346 to 71.178 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 94.95$  %).

**The testis:** A total of 7 from 23(30.4%) patients demonstrate orchitis. Upon meta-analysis, the data indicated a pooled proportion of orchitis of 29.019 %. 95% confidence interval (CI): 0.588 to 76.189 with notable heterogeneity observed among the studies ( $P = 0.0018$ ;  $I^2 = 84.12$  %).

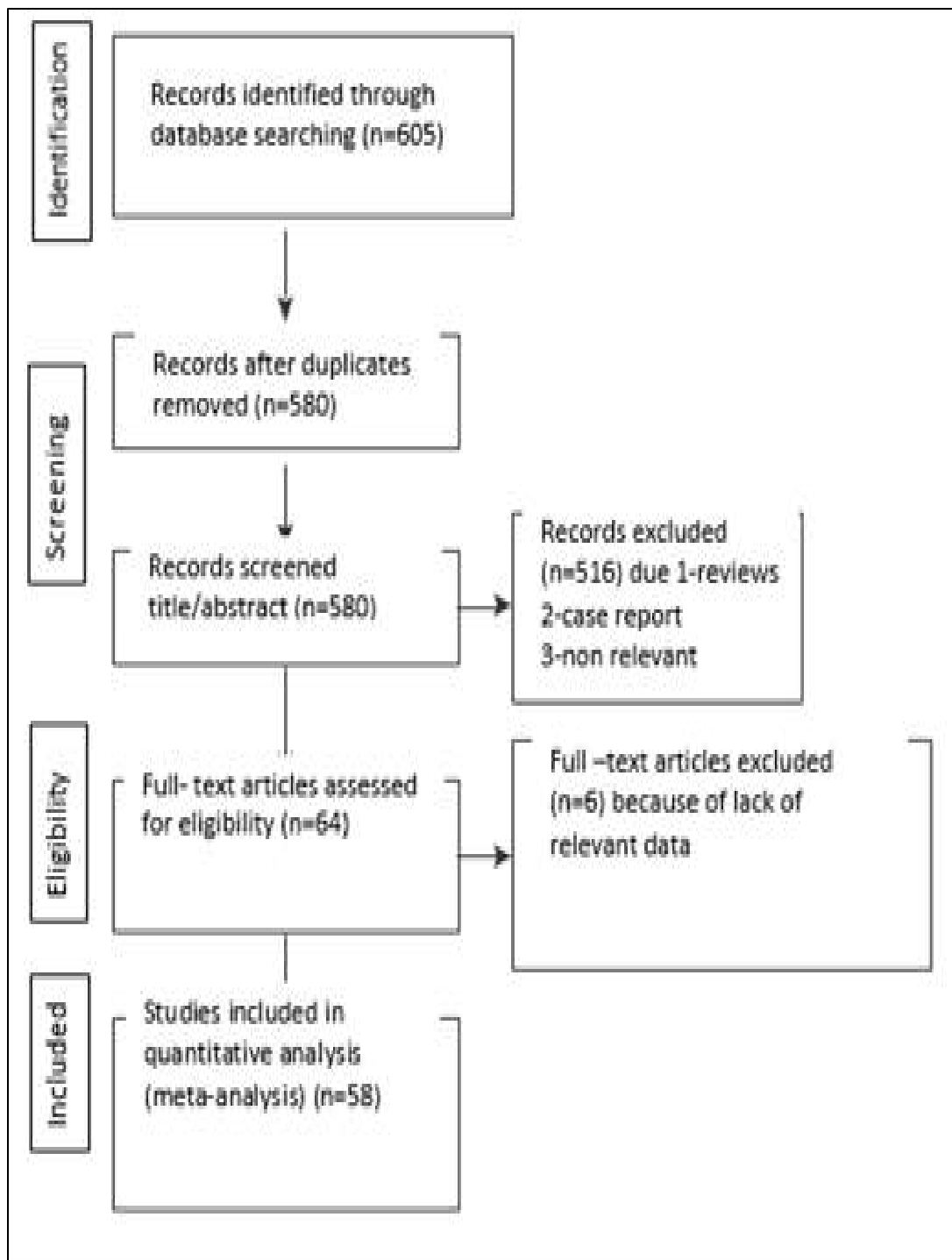
**Skin:** A total of 41 from 99(41.4%) patients demonstrate perivascular inflammation in the skin. Upon meta-analysis, the data indicated a pooled proportion of perivascular inflammation

of 35.176 %. 95% confidence interval (CI): 2.182 to 81.139 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 95.88$  %).

**Spleen:** A total of 124 from 168(73.8%) patients demonstrate lymphocytic depletion of white pulp of the spleen. Upon meta-analysis, the data indicated a pooled proportion of lymphocytic depletion of white pulp of 69.204 %. 95 % confidence interval (CI): 30.300 to 96.482 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 95.61$  %).

**Lymph node (LN):** A total of 4 from 94(4.2%) patients demonstrate absence of hemophagocytosis in the LN. Upon meta-analysis, the data indicated a pooled proportion of hemophagocytosis of 7.022 %. 95 % confidence interval (CI): 0.121 to 23.118 with notable heterogeneity observed among the studies ( $P = 0.0019$ ;  $I^2 = 73.71$  %).

**Bone marrow:** A total of 12 from 79(15.1%) patients demonstrate fibrosis of bone marrow. Upon meta-analysis, the data indicated a pooled proportion of the fibrosis of 8.473 %. 95 % confidence interval (CI): 0.00105 to 31.320 with notable heterogeneity observed among the studies ( $P < 0.0001$ ;  $I^2 = 87.01$  %).



**Figure 1.** The PRISMA flow diagram, outlining the process of literature search and selection, adheres to the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-analyses) guidelines.

**Table 1. Show how the Forest plots of exudative phase of DAD of coronavirus patients.**

| Study                         | Standard deviation | Proportion (%) | 95% CI            |
|-------------------------------|--------------------|----------------|-------------------|
| DUAN et al., 2020             | 6                  | 100.000        | 54.074 to 100.000 |
| Núñez-Torrón et al., 2020     | 16                 | 37.500         | 15.198 to 64.565  |
| Bösmüller et al., 2020        | 4                  | 75.000         | 19.412 to 99.369  |
| Falleni et al., 2021          | 7                  | 100.000        | 59.038 to 100.000 |
| Pessolani et al., 2020        | 4                  | 0.000          | 0.000 to 60.236   |
| Rapkiewicz et al., 2020       | 7                  | 100.000        | 59.038 to 100.000 |
| Schurink et al., 2020         | 18                 | 77.778         | 52.363 to 93.591  |
| Wang et al., 2021             | 2                  | 100.000        | 15.811 to 100.000 |
| Recalde-Zamacona et al., 2020 | 10                 | 100.000        | 69.150 to 100.000 |
| Haberecker et al., 2021       | 15                 | 53.333         | 26.586 to 78.733  |
| Wong et al., 2021             | 8                  | 100.000        | 63.058 to 100.000 |
| Hirschbühl et al., 2021       | 19                 | 94.737         | 73.972 to 99.867  |
| Rommelink et al., 2020        | 17                 | 100.000        | 80.494 to 100.000 |
| Bryce et al., 2021            | 99                 | 54.545         | 44.225 to 64.586  |
| Diaz et al., 2021             | 11                 | 0.000          | 0.000 to 28.491   |
| Williams et al., 2021         | 5                  | 100.000        | 47.818 to 100.000 |
| Magro et al., 2020            | 12                 | 100.000        | 73.535 to 100.000 |
| Bradley et al., 2020          | 14                 | 85.714         | 57.187 to 98.221  |
| Merdji et al., 2021           | 22                 | 50.000         | 28.221 to 71.779  |
| Flikweert et al., 2020        | 7                  | 28.571         | 3.669 to 70.958   |
| Felix et al., 2021            | 12                 | 25.000         | 5.486 to 57.186   |
| Buja et al., 2021             | 3                  | 33.333         | 0.840 to 90.570   |
| Himware et al., 2021          | 29                 | 41.379         | 23.524 to 61.064  |
| Fox et al., 2020              | 10                 | 20.000         | 2.521 to 55.610   |
| Hanley et al., 2020           | 10                 | 60.000         | 26.238 to 87.845  |
| Duarte-Neto et al., 2020      | 10                 | 100.000        | 69.150 to 100.000 |
| Menter et al., 2020           | 21                 | 76.190         | 52.834 to 91.782  |
| Roden et al., 2020            | 8                  | 62.500         | 24.486 to 91.477  |
| Hooper et al., 2021           | 135                | 55.556         | 46.761 to 64.101  |
| Prieto-Pérez et al., 2020     | 20                 | 100.000        | 83.157 to 100.000 |
| Total (fixed effects)         | 561                | 65.272         | 61.280 to 69.111  |
| Total (random effects)        | 561                | 70.666         | 59.538 to 80.666  |

| Test for heterogeneity         |                |
|--------------------------------|----------------|
| Q                              | 208.6007       |
| DF                             | 29             |
| Significance level             | P < 0.0001     |
| I <sup>2</sup> (inconsistency) | 86.10%         |
| 95% CI for I <sup>2</sup>      | 81.23 to 89.70 |

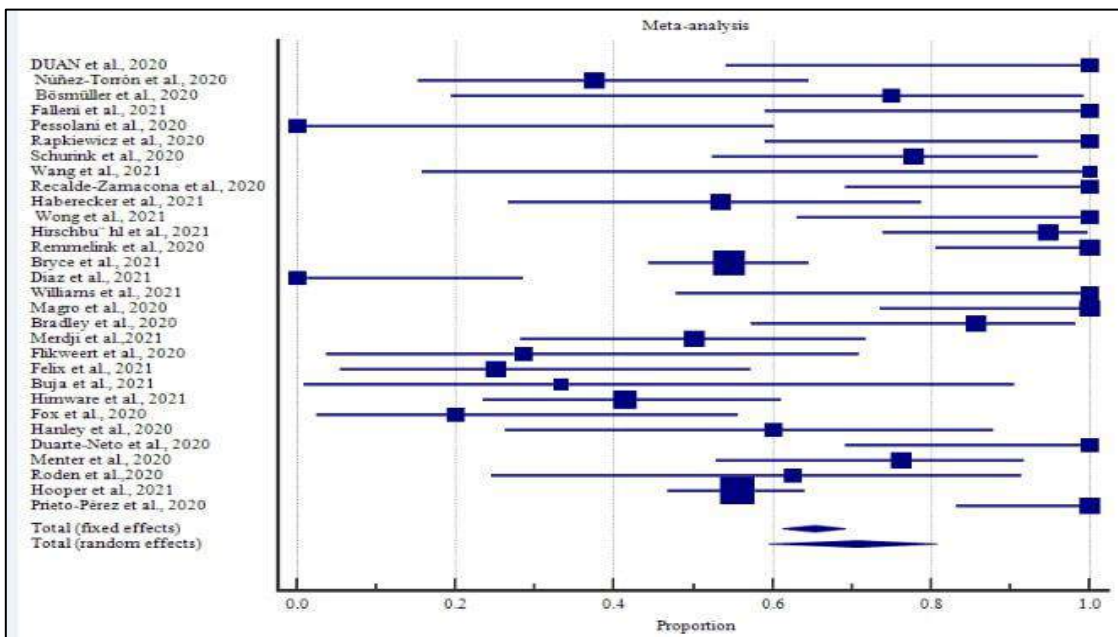
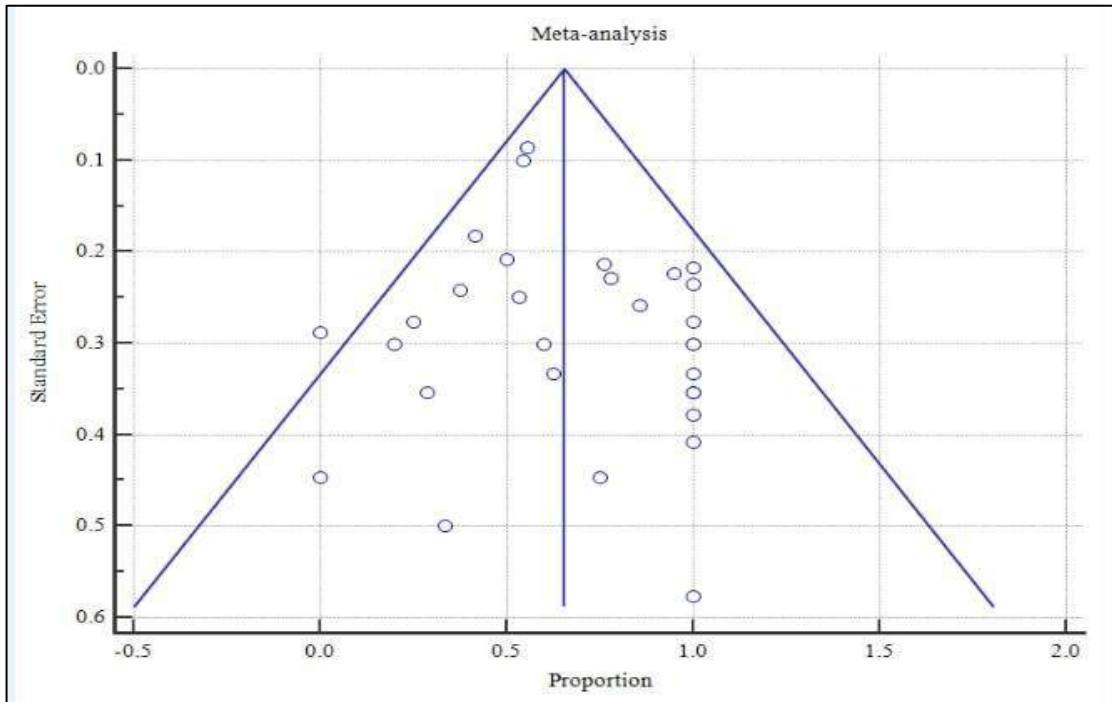


Figure 2. Show the Forest and funnel plots of exudative phase of DAD of coronavirus patients.

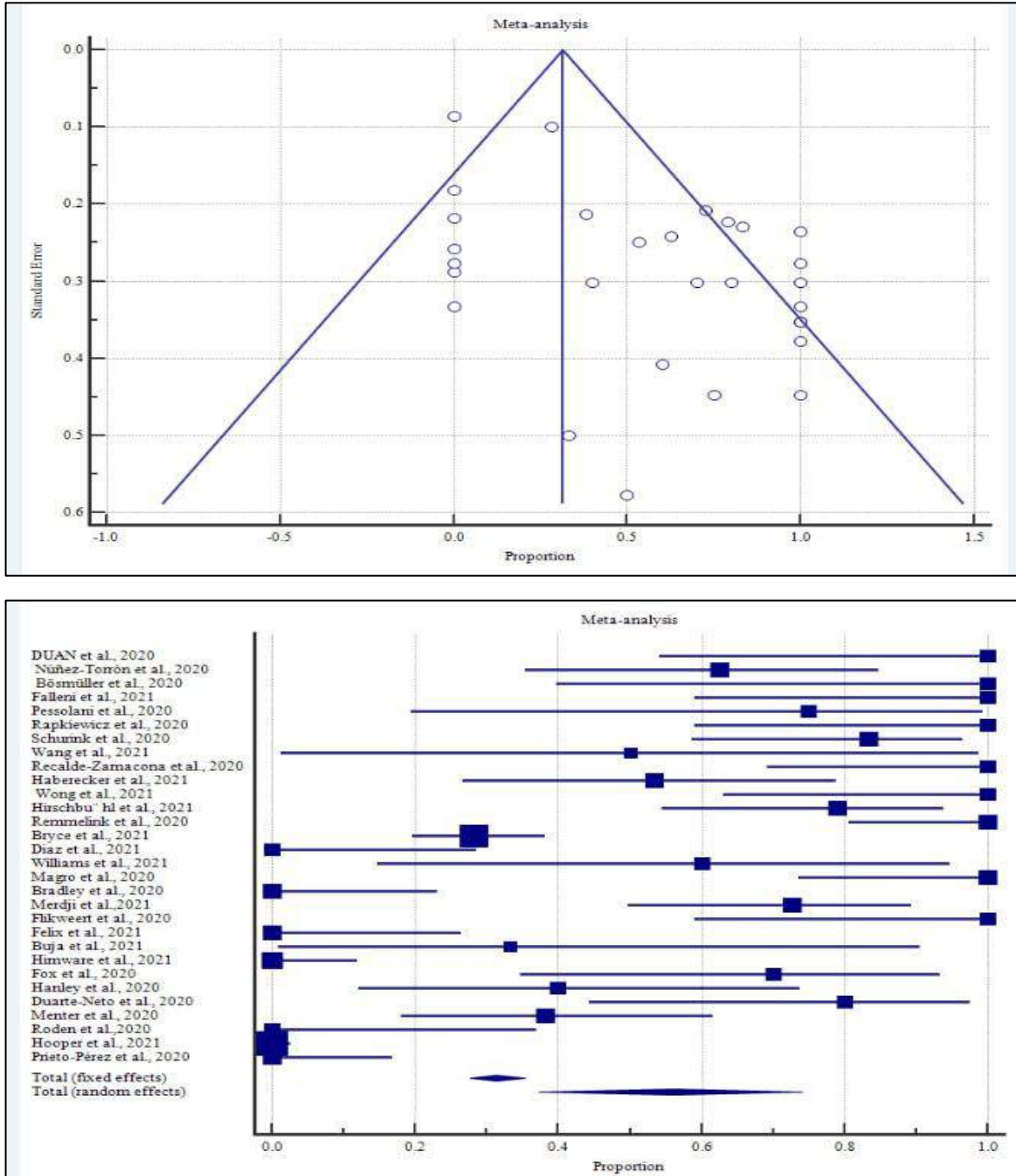


**Table 2. Show the Forest plots of proliferative phase of DAD of coronavirus patients.**

| Study                         | Standard deviation | Proportion (%) | 95% CI            |
|-------------------------------|--------------------|----------------|-------------------|
| DUAN et al., 2020             | 6                  | 100.000        | 54.074 to 100.000 |
| Núñez-Torrón et al., 2020     | 16                 | 62.500         | 35.435 to 84.802  |
| Bösmüller et al., 2020        | 4                  | 100.000        | 39.764 to 100.000 |
| Falleni et al., 2021          | 7                  | 100.000        | 59.038 to 100.000 |
| Pessolani et al., 2020        | 4                  | 75.000         | 19.412 to 99.369  |
| Rapkiewicz et al., 2020       | 7                  | 100.000        | 59.038 to 100.000 |
| Schurink et al., 2020         | 18                 | 83.333         | 58.582 to 96.421  |
| Wang et al., 2021             | 2                  | 50.000         | 1.258 to 98.742   |
| Recalde-Zamacona et al., 2020 | 10                 | 100.000        | 69.150 to 100.000 |
| Haberecker et al., 2021       | 15                 | 53.333         | 26.586 to 78.733  |
| Wong et al., 2021             | 8                  | 100.000        | 63.058 to 100.000 |
| Hirschbühl et al., 2021       | 19                 | 78.947         | 54.435 to 93.948  |
| Rommelink et al., 2020        | 17                 | 100.000        | 80.494 to 100.000 |
| Bryce et al., 2021            | 99                 | 28.283         | 19.686 to 38.222  |
| Diaz et al., 2021             | 11                 | 0.000          | 0.000 to 28.491   |
| Williams et al., 2021         | 5                  | 60.000         | 14.663 to 94.726  |
| Magro et al., 2020            | 12                 | 100.000        | 73.535 to 100.000 |
| Bradley et al., 2020          | 14                 | 0.000          | 0.000 to 23.164   |
| Merdji et al., 2021           | 22                 | 72.727         | 49.778 to 89.271  |
| Flikweert et al., 2020        | 7                  | 100.000        | 59.038 to 100.000 |
| Felix et al., 2021            | 12                 | 0.000          | 0.000 to 26.465   |
| Buja et al., 2021             | 3                  | 33.333         | 0.840 to 90.570   |
| Himware et al., 2021          | 29                 | 0.000          | 0.000 to 11.944   |
| Fox et al., 2020              | 10                 | 70.000         | 34.755 to 93.326  |
| Hanley et al., 2020           | 10                 | 40.000         | 12.155 to 73.762  |
| Duarte-Neto et al., 2020      | 10                 | 80.000         | 44.390 to 97.479  |
| Menter et al., 2020           | 21                 | 38.095         | 18.107 to 61.565  |
| Roden et al., 2020            | 8                  | 0.000          | 0.000 to 36.942   |
| Hooper et al., 2021           | 135                | 0.000          | 0.000 to 2.696    |
| Prieto-Pérez et al., 2020     | 20                 | 0.000          | 0.000 to 16.843   |
| Total (fixed effects)         | 561                | 31.421         | 27.695 to 35.334  |
| Total (random effects)        | 561                | 56.126         | 37.322 to 74.059  |

| Test for heterogeneity         |                |
|--------------------------------|----------------|
| Q                              | 576.0321       |
| DF                             | 29             |
| Significance level             | P < 0.0001     |
| I <sup>2</sup> (inconsistency) | 94.97%         |
| 95% CI for I <sup>2</sup>      | 93.71 to 95.97 |



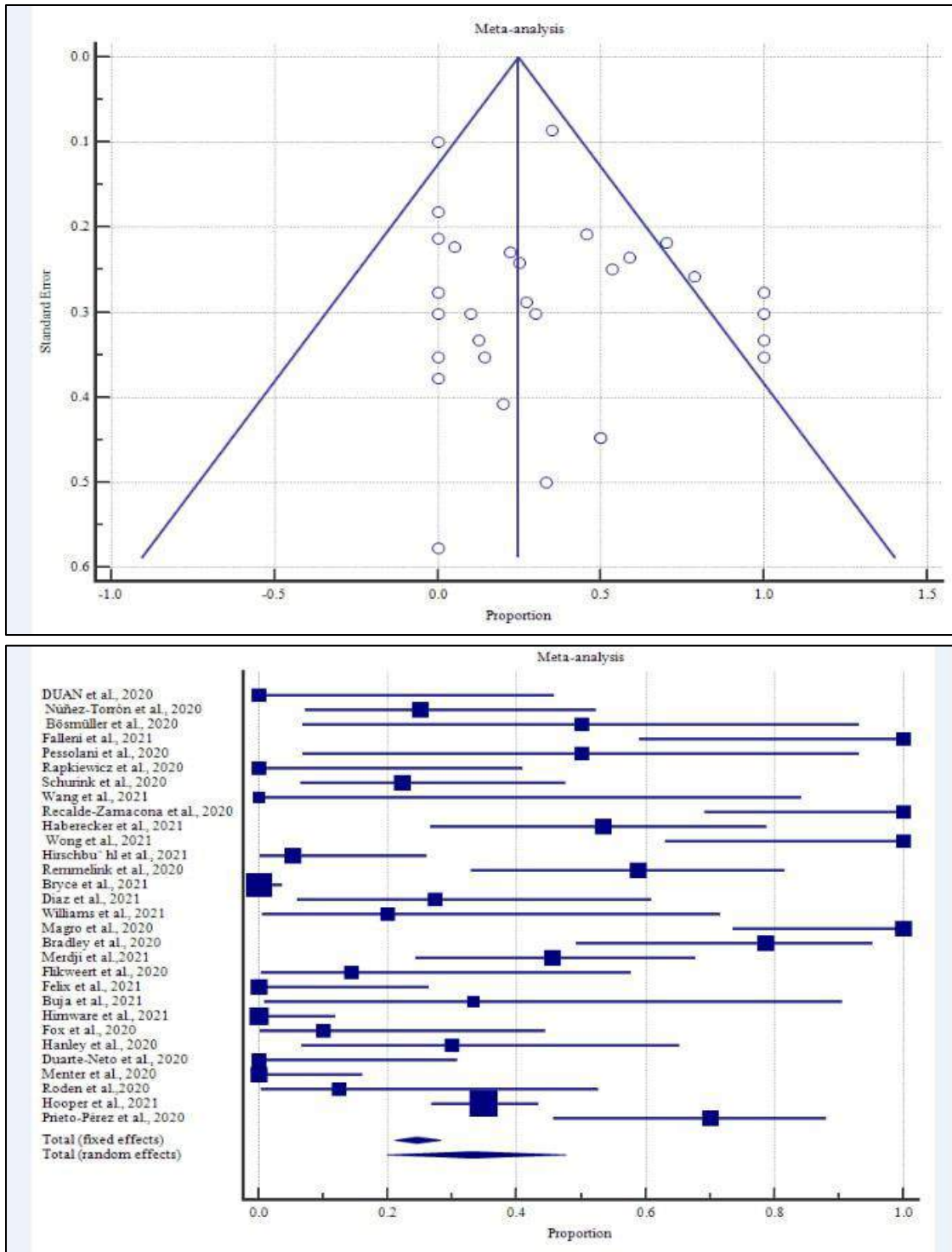
**Figure 3.** Show the Forest and funnel plots of proliferative phase of DAD of coronavirus patients.

**Table 3. Show the Forest plots of fibrotic phase of DAD of coronavirus patients.**

| Study                         | Standard deviation | Proportion (%) | 95% CI            |
|-------------------------------|--------------------|----------------|-------------------|
| DUAN et al., 2020             | 6                  | 0.000          | 0.000 to 45.926   |
| Núñez-Torrón et al., 2020     | 16                 | 25.000         | 7.266 to 52.377   |
| Bösmüller et al., 2020        | 4                  | 50.000         | 6.759 to 93.241   |
| Falleni et al., 2021          | 7                  | 100.000        | 59.038 to 100.000 |
| Pessolani et al., 2020        | 4                  | 50.000         | 6.759 to 93.241   |
| Rapkiewicz et al., 2020       | 7                  | 0.000          | 0.000 to 40.962   |
| Schurink et al., 2020         | 18                 | 22.222         | 6.409 to 47.637   |
| Wang et al., 2021             | 2                  | 0.000          | 0.000 to 84.189   |
| Recalde-Zamacona et al., 2020 | 10                 | 100.000        | 69.150 to 100.000 |
| Haberecker et al., 2021       | 15                 | 53.333         | 26.586 to 78.733  |
| Wong et al., 2021             | 8                  | 100.000        | 63.058 to 100.000 |
| Hirschbühl et al., 2021       | 19                 | 5.263          | 0.133 to 26.028   |
| Rommelink et al., 2020        | 17                 | 58.824         | 32.925 to 81.556  |
| Bryce et al., 2021            | 99                 | 0.000          | 0.000 to 3.658    |
| Diaz et al., 2021             | 11                 | 27.273         | 6.022 to 60.974   |
| Williams et al., 2021         | 5                  | 20.000         | 0.505 to 71.642   |
| Magro et al., 2020            | 12                 | 100.000        | 73.535 to 100.000 |
| Bradley et al., 2020          | 14                 | 78.571         | 49.202 to 95.342  |
| Merdji et al., 2021           | 22                 | 45.455         | 24.386 to 67.790  |
| Flikweert et al., 2020        | 7                  | 14.286         | 0.361 to 57.872   |
| Felix et al., 2021            | 12                 | 0.000          | 0.000 to 26.465   |
| Buja et al., 2021             | 3                  | 33.333         | 0.840 to 90.570   |
| Himware et al., 2021          | 29                 | 0.000          | 0.000 to 11.944   |
| Fox et al., 2020              | 10                 | 10.000         | 0.253 to 44.502   |
| Hanley et al., 2020           | 10                 | 30.000         | 6.674 to 65.245   |
| Duarte-Neto et al., 2020      | 10                 | 0.000          | 0.000 to 30.850   |
| Menter et al., 2020           | 21                 | 0.000          | 0.000 to 16.110   |
| Roden et al., 2020            | 8                  | 12.500         | 0.316 to 52.651   |
| Hooper et al., 2021           | 135                | 34.815         | 26.825 to 43.487  |
| Prieto-Pérez et al., 2020     | 20                 | 70.000         | 45.721 to 88.107  |
| Total (fixed effects)         | 561                | 24.546         | 21.126 to 28.222  |
| Total (random effects)        | 561                | 33.031         | 19.972 to 47.586  |

**Test for heterogeneity**

|                                |                |
|--------------------------------|----------------|
| Q                              | 348.1990       |
| DF                             | 29             |
| Significance level             | P < 0.0001     |
| I <sup>2</sup> (inconsistency) | 91.67%         |
| 95% CI for I <sup>2</sup>      | 89.21 to 93.57 |



**Figure 4** Show the Forest and funnel plots of fibrotic phase of DAD of coronavirus patients.

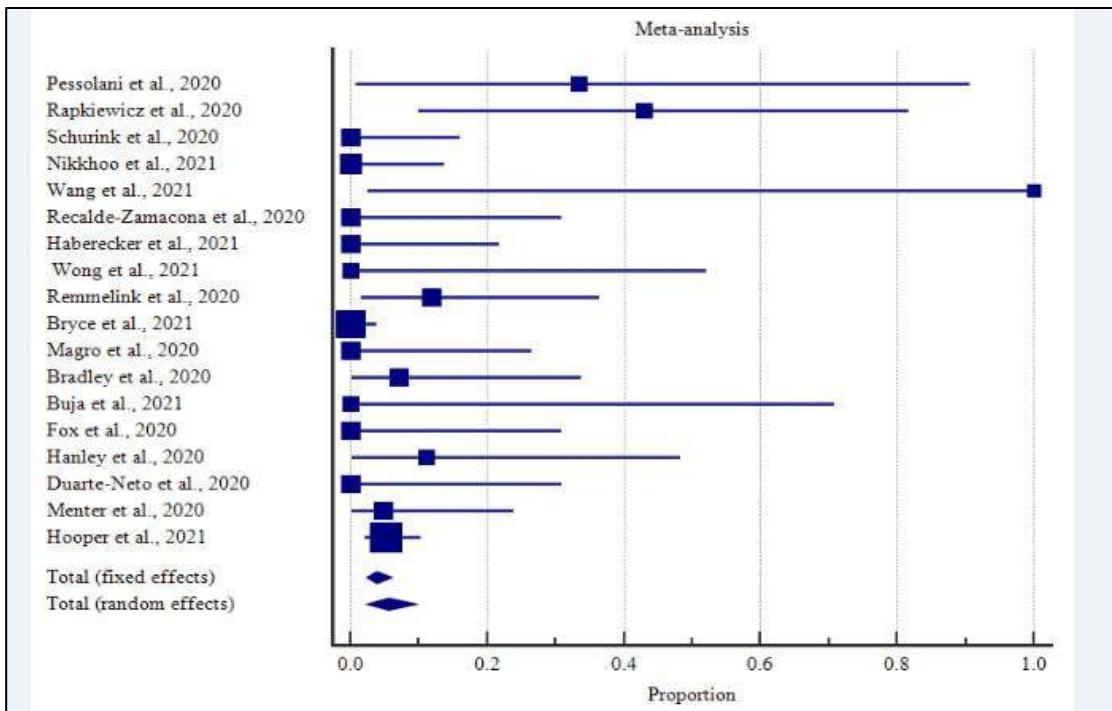
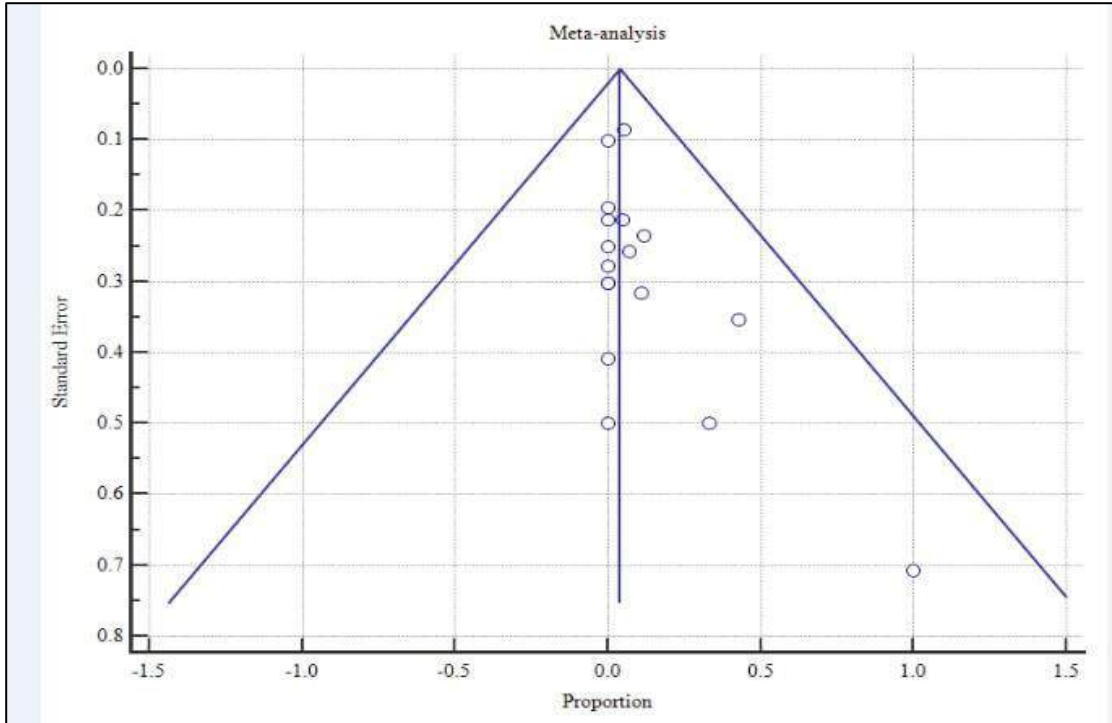


**Table 4. Show the Forest plots of necrosis of the heart of coronavirus patients.**

| Study                         | Standard deviation | Proportion (%) | 95% CI           |
|-------------------------------|--------------------|----------------|------------------|
| Pessolani et al., 2020        | 3                  | 33.333         | 0.840 to 90.570  |
| Rapkiewicz et al., 2020       | 7                  | 42.857         | 9.899 to 81.595  |
| Schurink et al., 2020         | 21                 | 0.000          | 0.000 to 16.110  |
| Nikkhoo et al., 2021          | 25                 | 0.000          | 0.000 to 13.719  |
| Wang et al., 2021             | 1                  | 100.000        | 2.500 to 100.000 |
| Recalde-Zamacona et al., 2020 | 10                 | 0.000          | 0.000 to 30.850  |
| Haberecker et al., 2021       | 15                 | 0.000          | 0.000 to 21.802  |
| Wong et al., 2021             | 5                  | 0.000          | 0.000 to 52.182  |
| Rommelink et al., 2020        | 17                 | 11.765         | 1.458 to 36.441  |
| Bryce et al., 2021            | 97                 | 0.000          | 0.000 to 3.732   |
| Magro et al., 2020            | 12                 | 0.000          | 0.000 to 26.465  |
| Bradley et al., 2020          | 14                 | 7.143          | 0.181 to 33.868  |
| Buja et al., 2021             | 3                  | 0.000          | 0.000 to 70.760  |
| Fox et al., 2020              | 10                 | 0.000          | 0.000 to 30.850  |
| Hanley et al., 2020           | 9                  | 11.111         | 0.281 to 48.250  |
| Duarte-Neto et al., 2020      | 10                 | 0.000          | 0.000 to 30.850  |
| Menter et al., 2020           | 21                 | 4.762          | 0.120 to 23.816  |
| Hooper et al., 2021           | 135                | 5.185          | 2.110 to 10.392  |
| Total (fixed effects)         | 415                | 3.834          | 2.233 to 6.100   |
| Total (random effects)        | 415                | 5.477          | 2.341 to 9.825   |

**Test for heterogeneity**

|                                |                |
|--------------------------------|----------------|
| Q                              | 36.8426        |
| DF                             | 17             |
| Significance level             | P = 0.0035     |
| I <sup>2</sup> (inconsistency) | 53.86%         |
| 95% CI for I <sup>2</sup>      | 21.30 to 72.95 |



**Figure 5. Show the Forest and funnel plots of necrosis of the heart coronavirus patients.**



## DISCUSSION

In our investigation, we presented key observations pertaining to COVID-19 tissues. We examined studies that documented microscopic (histopathological) data obtained from various organs through both biopsies and autopsies. Post-mortem evidence offers crucial information that contributes to an enhanced comprehension of the pathophysiology of COVID-19 infection. This understanding aids clinicians in identifying optimal and effective treatments to mitigate mortality. Autopsy series reveal the involvement of multiple systems in COVID-19, with respiratory symptoms and findings predominantly observed.

Our study is the first meta-analysis study including histopathological changes of 13 organs with Covid19 (lung, liver, kidney, adrenal gland, brain, heart, GIT, placenta, testis, skin, spleen, lymph node and bone marrow). Lung histopathological findings in 561 patients show DAD (exudative phase, proliferative phase and fibrotic phase) which agreed with systemic review of (Hariri et al.)<sup>7</sup>, (Pandey et al.)<sup>8</sup> and (Pannone et al.)<sup>9</sup>. The pool proportion of exudative phase of DAD is 70.666%, proliferative phase is 56.126%, fibrotic phase is 33.031% , there is only single meta-analysis that shows the proportion of DAD without dividing it in to three phases<sup>10</sup>.

Liver histopathological findings in 516 patients show steatosis which agreed with systemic review of (Idalsoaga et al.)<sup>11</sup>. The pool proportion of steatosis is 35.808%, which disagree with (Díaz et al.)<sup>12</sup> which show it 55.1%<sup>13</sup>.

Kidney histopathological findings in 409 patients show acute tubular injury which is agreed with systemic review of (Jeyalan et al.)<sup>13</sup>. The pool proportion of ATI is 74.872% which disagree with (Shao et al., Yang et al., Silver et al., and Oliveira et al.) which show (0.10%,0.123%,0.28%,0.12%) respectively<sup>14 15 16 17</sup>.

Adrenal histopathological findings in 140 patients show cortical necrosis which agree with the systemic review of (Kanczkowski et al.)<sup>18</sup>.

The pool proportion of cortical necrosis is 13.113%, there is no data to compare with it

Brain histopathological findings in 177 patients show gliosis which agree with systemic review of (Pajo et al.)<sup>19</sup>.

The pool proportion of gliosis is 13.865%, there is no data to compare with it.

Heart histopathological findings in 415 patients show necrosis which are agree with systemic review of (Almamlouk et al.)<sup>20</sup>, (Maiese et al.)<sup>21</sup> and (Roshdy et al.)<sup>22</sup>.

The pool proportion of necrosis is 5.477% there is no data to compare with it.

GIT histopathological findings in 146 patients show inflammatory cells infiltration which agree with a systemic review of (Singh et al.)<sup>23</sup> and, (Deshmukh et al.)<sup>24</sup>.

The pool proportion of inflammatory cells infiltration is 6.171%, there is no data to compare with it.

Placental histopathological findings in 109 patients show placental infarction which agree with systemic review of (Gesaka et al.)<sup>25</sup>, (Sharps et al.)<sup>26</sup>, (Almohammadi.)<sup>27</sup> and (Ghazi et al.)<sup>28</sup>.

The pool proportion of placental infarction is 25.684%, there is no data to compare with it.

Testicular histopathological findings in 23 patients show orchitis which agree with systemic review of (Kloping et al.)<sup>29</sup> and (Sengupta et al.)<sup>30</sup>.

The pool proportion of orchitis is 29.019%, there is no data to compare with it.

Skin histopathological findings in 99 patients show perivascular inflammation which agree with systemic review of (Nobari et al.)<sup>31</sup> and (Rongioletti et al.)<sup>32</sup>.

The pool proportion of perivascular inflammation is 35.176%, there is no data to compare with it.

Splenic histopathological findings in 168 patients show lymphocytic depletion of white pulp which agree with systemic review of (Hammoud et al.)<sup>33</sup>, (Malik et al.)<sup>34</sup> and (Octavius et al.)<sup>35</sup>.

The pool proportion of lymphocytic depletion of white pulp is 69.204%, there is no data to compare with it.

Lymph node histopathological findings in 94 patients show hemophagiocytosis which agree with systemic review of (Vasquez-Bonilla et al.)<sup>36</sup>, and (Octavius et al.)<sup>35</sup>.

The pool proportion of hemophagiocytosis is 7.022%, there is no data to compare with it.

Bone marrow histopathological findings in 79 patients show fibrosis which disagree with systemic review of (Octavius et al.)<sup>35</sup>, (Vasquez-Bonilla et al.)<sup>36</sup> and (Menezes et al.)<sup>37</sup>

The pool proportion of fibrosis is 8.473%, there is no data to compare with it.

## CONCLUSION

COVID-19, characterized by a myriad of symptoms, is increasingly recognized as a systemic disease. Although it primarily affects the respiratory system, evidence of SARS-CoV-2 has been found in various organs, accompanied by organ damage.

## REFERENCE

1. Maiese A, Manetti AC, La Russa R, Di Paolo M, Turillazzi E, Frati P, Fineschi V. Autopsy findings in COVID-19-related deaths: a literature review. *Forensic Science, Medicine and Pathology*. 2021 Jun;17:279-96.doi:10.1007/s12024-020-00310-8
2. Hammoud H, Bendari A, Bendari T, Bougmiza I. Histopathological findings in COVID-19 cases: A Systematic Review. *Cureus*. 2022 Jun 1;14(6).doi:10.7759/cureus.25573
3. Guo G, Ye L, Pan K, Chen Y, Xing D, Yan K, Chen Z, Ding N, Li W, Huang H, Zhang L. New insights of emerging SARS-CoV-2: epidemiology, etiology, clinical features, clinical treatment, and prevention. *Frontiers in cell and developmental biology*. 2020 May 22;8:410. doi:10.3389/fcell.2020.00410
4. Karia R, Gupta I, Khandait H, Yadav A, Yadav A. COVID-19 and its modes of transmission. *SN comprehensive clinical medicine*. 2020 Oct;2(10):1798-801.doi:10.1007/s42399-020-00498-4
5. Siordia Jr JA. Epidemiology and clinical features of COVID-19: A review of current literature. *Journal of Clinical Virology*. 2020 Jun 1;127:104357. doi:10.1016/j.jcv.2020.104357
6. Pessolani TG, de Legaria MM, Apellániz ME, Moreno SS, Cortés MD, Sánchez SG. Multi-organ pathological findings associated with COVID-19 in postmortem needle core biopsies in four patients and a review of the current literature. *Revista Española de Patología*. 2021 Oct 1;54(4):275-80.doi:10.1016/j.patol.2020.09.003
7. Hariri LP, North CM, Shih AR, Israel RA, Maley JH, Villalba JA, Vinarsky V, Rubin J, Okin DA, Sclafani A, Alladina JW. Lung histopathology in coronavirus disease 2019 as compared with severe acute respiratory syndrome and H1N1 influenza: a systematic review. *Chest*. 2021 Jan 1;159(1):73-84.doi:10.1016/j.chest.2020.09.259
8. Pandey P, Agarwal S. Lung pathology in COVID-19: A systematic review. *International Journal of Applied and Basic Medical Research*. 2020 Oct 1;10(4):226-33.doi:10.4103/ijabmr.IJABMR
9. Pannone G, Caponio VC, De Stefano IS, Ramunno MA, Meccariello M, Agostinone A, Pedicillo MC, Troiano G,



- Zhurakivska K, Cassano T, Bizzoca ME. Lung histopathological findings in COVID-19 disease—a systematic review. *Infectious Agents and Cancer*. 2021 May 17;16(1):34.
10. Satturwar S, Fowkes M, Farver C, Wilson AM, Eccher A, Girolami I, Pujadas E, Bryce C, Salem F, El Jamal SM, Paniz-Mondolfi A. Postmortem findings associated with SARS-CoV-2: systematic review and meta-analysis. *The American journal of surgical pathology*. 2021 May 1;45(5):587-603.doi:10.1097/PAS.0000000000001650
  11. Idalsoaga F, Ayares G, Arab JP, Díaz LA. COVID-19 and indirect liver injury: a narrative synthesis of the evidence. *Journal of Clinical and Translational Hepatology*. 2021 Oct 10;9(5):760.doi:10.14218/JCTH.2020.0140
  12. Díaz LA, Idalsoaga F, Cannistra M, Candia R, Cabrera D, Barrera F, Soza A, Graham R, Riquelme A, Arrese M, Leise MD. High prevalence of hepatic steatosis and vascular thrombosis in COVID-19: A systematic review and meta-analysis of autopsy data. *World journal of gastroenterology*. 2020 Dec 12;26(48):7693.doi:10.3748/wjg.v26.i48.7693
  13. Rehman A, Iqbal MA, Xing H, COVID IA. detection empowered with machine learning and deep learning techniques: A systematic review., 2021, 11, 3414. DOI: <https://doi.org/10.3390/app11083414>. 19.
  14. Shao M, Li X, Liu F, Tian T, Luo J, Yang Y. Acute kidney injury is associated with severe infection and fatality in patients with COVID-19: A systematic review and meta-analysis of 40 studies and 24,527 patients. *Pharmacological research*. 2020 Nov 1;161:105107. doi:10.1016/j.phrs.2020.105107
  15. Yang X, Tian S, Guo H. Acute kidney injury and renal replacement therapy in COVID-19 patients: a systematic review and meta-analysis. *International immunopharmacology*. 2021 Jan 1;90:107159.doi:10.1016/j.intimp.2020.107159
  16. Silver SA, Beaubien-Souigny W, Shah PS, Harel S, Blum D, Kishibe T, Meraz-Munoz A, Wald R, Harel Z. The prevalence of acute kidney injury in patients hospitalized with COVID-19 infection: a systematic review and meta-analysis. *Kidney medicine*. 2021 Jan 1;3(1):83-98.doi:10.1016/j.xkme.2020.11.008
  17. Oliveira CB, Lima CA, Vajgel G, Coelho AV, Sandrin-Garcia P. High burden of acute kidney injury in COVID-19 pandemic: systematic review and meta-analysis. *Journal of Clinical Pathology*. 2021 Dec 1;74(12):796-803.doi:10.1136/jclinpath-2020-207023
  18. Kanczkowski W, Gaba WH, Krone N, Varga Z, Beuschlein F, Hantel C, Andoniadou C, Bornstein SR. Adrenal gland function and dysfunction during COVID-19. *Hormone and Metabolic Research*. 2022 Aug;54(08):532-9.doi:10.1055/a-1873-2150
  19. Pajo AT, Espiritu AI, Apor AD, Jamora RD. Neuropathologic findings of patients with COVID-19: a systematic review. *Neurological Sciences*. 2021 Apr;42:1255-66.doi:10.1007/s10072-021-05068-7
  20. Almamlouk R, Kashour T, Obeidat S, Bois MC, Maleszewski JJ, Omrani OA, Tleyjeh R, Berbari E, Chakhachiro Z, Zein-Sabatto B, Gerber D. COVID-19—Associated cardiac pathology at the postmortem evaluation: a collaborative

- systematic review. *Clinical Microbiology and Infection*. 2022 Aug 1;28(8):1066-75.doi:10.1016/j.cmi.2022.03.021
21. Maiese A, Frati P, Del Duca F, Santoro P, Manetti AC, La Russa R, Di Paolo M, Turillazzi E, Fineschi V. Myocardial pathology in COVID-19-associated cardiac injury: a systematic review. *Diagnostics*. 2021 Sep 8;11(9):1647.doi:10.3390/DIAGNOSTICS11091647
  22. Roshdy A, Zaher S, Fayed H, Coghlan JG. COVID-19 and the Heart: A Systematic Review of Cardiac Autopsies. *Front Cardiovasc Med*. 2021;7(January). doi:10.3389/fcvm.2020.626975
  23. Singh A, Zaheer S, Kumar N, Singla T, Ranga S. Pathology - Research and Practice Covid19 , beyond just the lungs : A review of multisystemic involvement by Covid19. *Pathol - Res Pract*. 2021;224(January):153384. doi:10.1016/j.prp.2021.153384
  24. Deshmukh V, Motwani R, Kumar A, Kumari C, Raza K. Histopathological observations in COVID-19 : a systematic review. Published online 2021:76-83. doi:10.1136/jclinpath-2020-206995
  25. Gesaka SR, Obimbo MM, Wanyoro A. *Coronavirus disease 2019 and the placenta: A literature review. Placenta*. 2022;126(December 2021):209-223. doi:10.1016/j.placenta.2022.07.007
  26. Sharps MC, Hayes DJL, Lee S, et al. A structured review of placental morphology and histopathological lesions associated with SARS-CoV-2 infection. *Placenta*. 2020;101(June):13-29. doi:10.1016/j.placenta.2020.08.018
  27. Almohammadi NH. A review of the main placenta histopathological findings reported in coronavirus disease 2019. *Journal of Taibah University Medical Sciences*. 2022 Apr 1;17(2):165-73.doi:10.1016/j.jtumed.2022.02.009
  28. Ghazi HA, Burhan MM, Mekkey SM, Kazem HW. Histopathological changes in the placenta of women with COVID-19 infection: A review article. *Jour Med Resh and Health Sci*. 2022 Sep 28;5(9):2264-9.
  29. Kloping YP, Hidayatullah F, Rahman ZA, Chung E, Hakim L. Male Reproductive Tract Involvement and Sperm Parameters in SARS-CoV-2 Patients: A Systematic Review and Meta-Analysis. *The World Journal of Men's Health*. 2023 Jul;41(3):538.
  30. Sengupta P, Leisegang K, Agarwal A. The impact of COVID-19 on the male reproductive tract and fertility: A systematic review. *Arab Journal of Urology*. 2021 Jul 3;19(3):423-36.doi:10.1080/2090598X.2021.1955554
  31. Najar Nobari N, Seirafianpour F, Dodangeh M, Sadeghzadeh-Bazargan A, Behrangi E, Mozafarpour S, Goodarzi A. A systematic review of the histopathologic survey on skin biopsies in patients with Corona Virus Disease 2019 (COVID-19) who developed virus or drug-related mucocutaneous manifestations. *Experimental dermatology*. 2021 Sep;30(9):1233-53.doi:10.1111/exd.14384
  32. Rongioletti F, Ferreli C, Sena P, Caputo V, Atzori L. Clinicopathologic correlations of COVID-19-related cutaneous manifestations with special emphasis on histopathologic patterns. *Clinics in Dermatology*. 2021 Jan 1;39(1):149-62.doi:10.1016/j.clindermatol.2020.12.004
  33. Hammoud H, Bendari A, Bendari T, Bougmiza I. Post mortem pathological

- findings in COVID-19 cases: A Systematic Review. medRxiv. 2020 Oct 14:2020-10.
34. Malik P, Patel K, Akrmah M, Donthi D, Patel U, Khader SN, Asiry S. COVID-19: a Disease with a Potpourri of Histopathologic Findings—a Literature Review and Comparison to the Closely Related SARS and MERS. SN comprehensive clinical medicine. 2021 Dec;3(12):2407-34.
35. Octavius GS, Wijaya JH, Tan AO, Muljono MP, Chandra S, Juliansen A. Autopsy findings of pediatric COVID-19: a systematic review. Egyptian Journal of Forensic Sciences. 2022 Jul 14;12(1):32.doi:10.1186/s41935-022-00288-0
36. Vasquez-Bonilla WO, Orozco R, Argueta V, Sierra M, Zambrano LI, Muñoz-Lara F, López-Molina DS, Arteaga-Livias K, Grimes Z, Bryce C, Paniz-Mondolfi A. A review of the main histopathological findings in coronavirus disease 2019. Human pathology. 2020 Nov 1;105:74-83.2020;105:74-83. doi:10.1016/j.humpath.2020.07.023
37. Menezes RG, Rizwan T, Ali SS, Hassan W, Khetpal A, Aqil M, Madadin M, Siddiqi TJ, Usman MS. Postmortem findings in COVID-19 fatalities: A systematic review of current evidence. Legal Medicine. 2022 Feb 1;54:102001.102001. doi:10.1016/j.legalmed.2021.102001

## Estimate the Prevalence of Depression in Type 2 Diabetes Patients

Zahraa Mohammed AL-Khaqani<sup>1</sup> and Thanaa Shams Al-Deen Al-Turaihi<sup>2</sup>

<sup>1,2</sup> University of Kufa, Faculty of Medicine, Medical Microbiology, Iraq.

E-mail: [zahraam.alkhaqani@student.uokufa.edu.iq](mailto:zahraam.alkhaqani@student.uokufa.edu.iq)

### ABSTRACT

**Background:** Diabetes is a chronic disease characterized by high blood sugar levels, resulting from insulin resistance and the destruction of pancreatic beta cells. This disease is associated with several serious complications that are sometimes life-threatening to patients. One of these complications is that it can depression accompanies patients with type 2 diabetes, as it is a Major Depressive Disorder (MDD), and the most important symptoms are social isolation, sleep disturbances, lack of appetite, loss of desire, and passion for daily activities. Opinions differed about the origin of the emergence of this psychological disorder in terms of the involvement of multiple factors, including internal, and some of them are external to causing this disorder. In this study, we will shed light on one of the complications associated with diabetes, which is depression.

**Method and Results:** This cross-sectional study included the selection of 128 individuals diagnosed with type 2 diabetes from the Diabetes and Endocrinology Center located in Najaf, Iraq from August 2023 to October 2023. The study included 43 men and 85 women. The ages of the participants ranged from 30 to 75 years. Patients were randomly selected during their visit to the center. Results: 31(24.2%) individuals did not manifest any symptoms of depression 75.78% suffered from depression, most of the depressed people were women, and the difference between the sexes was statistically significant p-value (0.002).

**Conclusion;** Our study highlights a striking connection between type 2 diabetes and depression, with a significantly higher incidence of depression in diabetic patients. Additionally, the fact that females are more likely to suffer from depression compared to males also calls for further research into other factors that could potentially exacerbate mental health issues in type 2 diabetes women.

**Keywords:** Diabetes Mellitus, Insulin Resistance, Depression.

### Article Information

Received: March 18, 2024; Revised: May 28, 2024; Online: June, 2024

## INTRUDUCTION

Diabetes is defined, according to the World Health Organization, as high levels of blood glucose resulting in long-term harm to the heart, blood vessels, eyes, kidneys, and nerves.

More than 90% of instances of diabetes mellitus are classified as type 2 diabetes mellitus (T2DM), which is a medical disorder

insufficient release of insulin by the  $\beta$ -cells in the pancreatic islets, together with the presence of tissue insulin resistance (IR) and inadequate insulin secretion. The compensatory insulin secretory response is insufficient<sup>(1,2,3)</sup>. T2DM was initially characterized as a constituent of metabolic syndrome in the year 1988. Previously referred to as non-insulin-dependent

DM, is the prevailing manifestation of DM. T2DM arises due to the interplay of many risk factors, including genetic predisposition, environmental influences, and behavioral variables<sup>(4)</sup>.

The prognosis for patients with both depression and T2DM is worse than for patients with either illness alone. Comorbid depression and T2DM are associated with a higher risk of complications and death<sup>(5)</sup>. These Two disorders are associated, with depression serving as a risk factor for diabetes and diabetes serving as a risk factor for depression in the future<sup>(6)</sup>. There are substantial health and financial consequences associated with both MDD and T2DM, and estimates show increased expenses in both high- and low-income nations<sup>(7)</sup>. More commonly than would be predicted by chance, people with T2DM and depression share a common etiology, which is generally thought to be either shared genetic or environmental factors. Numerous population-based studies have conclusively demonstrated that individuals diagnosed with type 2 diabetes exhibit higher rates of both depression prevalence and incidence when compared to individuals without diabetes<sup>(8)</sup>. Diabetes and depressive disorders are connected, both of which are quite common worldwide and contribute significantly to the global disease burden<sup>(9,10)</sup>.

Major depressive disorder (MDD) is a psychiatric condition defined by a profound feeling of melancholy, encompassing a range of symptoms influenced by factors such as biochemical, environmental, genetic, and other related factors. Various psychological issues can impede an individual's capacity to engage in productive work. Problems in sleeping, studying, eating, and deriving pleasure from previously enjoyable activities<sup>(11, 12, 13)</sup>. MDD is influenced by several factors, and there exists a diversity of perspectives on the nomenclature and categorization of these causal elements. Depression can be attributed to two

distinct categories of variables: endogenous factors, which involve internal inflammatory reactions or abnormalities in nerve transmission, and external factors, encompassing stressors related to daily life, loss, and related circumstances<sup>(13)</sup>. The etiology and pathophysiology of MDD are associated with a range of factors, such as psychological stress, immunological activation, changes in endogenous opioids, and genetic predisposition<sup>(14)</sup>.

### Study design

This is a cross-sectional study that included the selection of 128 individuals diagnosed with type 2 diabetes from the Diabetes and Endocrinology Center located in Najaf, Iraq from August 2023 to October 2023.

### METHODS

In this study, informed consent was obtained directly from the patients. In addition, participants underwent depression screening using the Hamilton Depression Scale. This scale consists of multiple-choice questions, with each question having a specific score. At the end of the test, each patient's final score was calculated to determine if they suffer from depression and the severity of their depression, and a comprehensive interview was conducted with each patient. The study included 43 men and 85 women. The ages of the participants ranged from 30 to 75 years. Patients were randomly selected during their visit to the center.

**Inclusion criteria:** Patients with type 2 diabetes were diagnosed by performing basic tests to diagnose diabetes, such as fasting blood sugar and HbA1C, and confirming the diagnosis by the center's specialist.

**Exclusion criteria:** Type 1 diabetes patients, Pregnant women with gestational diabetes, and pre-diabetic patients.

## RESULTS

The Hamilton Depression Rating Scale, a standardized instrument for assessing the severity of depression, was used to assess the depressive symptoms of 128 study participants. The study found a high prevalence of depression among patients with diabetes mellitus (DM), with over three-quarters (75.78%) experiencing symptoms, 32 (33%) was detected to have mild depression, 33(34.02%) moderate, 17(17.52%) severe, and 15(15.46%) very severe depression. In contrast, a smaller proportion 31 (24.2%) did not report any depression.

Tab (2) below illustrates some of the main characteristics of the DM patients with and without depression, DM patients with depression had 30(30.9%) individuals under 50 years old and 67(69.1%) individuals <50 years and older, while the DM patients without depression had 9(29.0%) individuals under 50 years old and 22(71.0%) individuals <50 years and more, the p-value was (0.516) indicating no statistically significant difference between the two groups. Similarly, the mean age of patients with and without depression did not differ significantly.

The mean age for those without depression was  $53.70 \pm 9.93$  years, and for those with depression, it was  $53.64 \pm 8.90$  years, with a p-value of (0.975). Notably, women were more likely to be depressed than men, and this difference was statistically significant (p-value=0.002). DM without depression 31 patients, 18(58.1%) were male and 13(41.9%) were female, DM with depression 97 patients, male 25(28.5%), female 72(74.2%), There was no statistically significant difference in diabetes duration between the two groups.

The DM depressive group included 63 (64.9%) patients who had diabetes for less than 10 years, 26 (26.8%) patients who had diabetes for 10-15 years and 8(8.2%) patients with diabetes for 15 years or more. The non-depressive group had 14(45.2%) patients with diabetes for less than 10 years, 14(45.2%)

patients with diabetes for 10-15 years, and 3(9.7%) patients with diabetes for 15 years or more, the p-value was (0.128). The marital status of the participants did not show any significant difference between the two groups.

The depressive group had 29(93.5%) married patients and 2(6.5%) widows, the non-depressive group had 95(97.9%) married patients and 2(2.1%) widows, the p-value was (0.247). Our study revealed no significant association between educational level and depression among the participants. The non-depressive group had 21(67.7%) illiterate patients, 1(3.2%) patient who had completed primary school graduate, 4(12.9%) patients who had completed secondary school graduate, and 5(16.1%) patients who had higher education graduates. The depressive group had 67 (75.3%) illiterate patients, no patients who had completed primary school, 17(19.1%) patients who had completed secondary school, and 5(5.6%) patients who had completed higher education, the p-value was (0.086).

Outlines the mean routine screenings for type 2 diabetes. Diabetic patients without depression had a mean FBS of ( $222.28 \pm 128.93$  mg/dl), while those with depression had a mean FBS of ( $211.25 \pm 102.28$  mg/dl) with a p-value (0.687). The mean HbA1c of non-depressive patients was ( $8.52 \pm 3.10$  mmol/dl), whereas those with depression had a mean of HbA1c ( $8.83 \pm 3.13$  mmol/dl) with a p-value (0.741). However, the difference in these values between the two groups was not statistically significant.

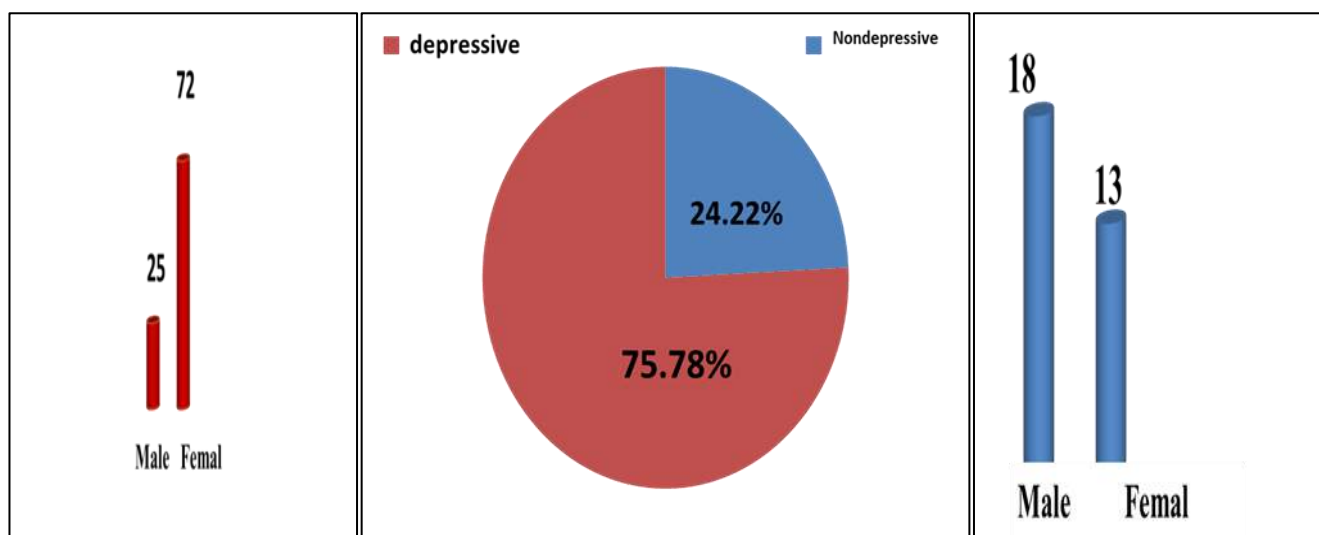
This study found no significant link between a family history of diabetes and the risk of developing the disease. seven (22.6%) of the non-depressive group's individuals had a positive genetic component, whereas 24 (77.4%) had a negative one, of the participants in the depressed group, 22 (22.7%) had a positive genetic component and 75 (77.3%) had a negative genetic factor. The p-value found was (0.991). Additionally, there was no significant

difference in the two groups' adherence to treatment. Of the individuals in the non-depressive group, 28 (90.3%) took their medication regularly, while 3 (9.7%) did not. Nine participants (9.3%) in the depressive group

did not take their medication as prescribed, whereas 88 participants (90.7%) did. The obtained p-value was (0.594).

**Table (1) Classification of the severity of depression according to the Hamilton scale.**

| Diabetic Patients                        |             |
|--|-------------|
| DM patients without depression           | 31 (24.2%)  |
| DM patients with depression              | 97 (75.78%) |
| Total                                    | 128         |
| Hamilton Depression Rating Scale (HAM-D) |             |
| Mild depression                          | 32(33%)     |
| Moderate depression                      | 33(34.02%)  |
| Severe depression                        | 17(17.52%)  |
| Very severe depression                   | 15(15.46%)  |
| Total                                    | 97          |



**Figure 1: Prevalence of depression in diabetic patients according to sex (N=128).**

**Table (2): Comparison of Socio-demographic and clinical Parameters between diabetic patients with and without depression.**

| Patients' Sociodemographic characteristics |                  |       | Depression |            | Total   | P value      |
|--|------------------|-------|------------|------------|---------|--------------|
|  |                  |       | No         | Yes        |         |              |
| Age group (Year)                           | Mean±SD          |       | 53.70±9.93 | 53.64±8.90 | 53.6641 | <b>0.975</b> |
|  | <50              | Count | 9          | 30         | 39      |              |
|  |                  | %     | 29.0%      | 30.9%      | 30.5%   |              |
|  | 50 and more      | Count | 22         | 67         | 89      |              |
| %  |                  | 71.0% | 69.1%      | 69.5%      |         |              |
| Sex  | Male             | Count | 18         | 25         | 43      | <b>0.002</b> |
|  |                  | %     | 58.1%      | 25.8%      | 33.6%   |              |
|  | Female           | Count | 13         | 72         | 85      |              |
|  |                  | %     | 41.9%      | 74.2%      | 66.4%   |              |
| Onset of disease (years)                   | <10              | Count | 14         | 63         | 77      | <b>0.128</b> |
|  |                  | %     | 45.2%      | 64.9%      | 60.2%   |              |
|  | 10-15            | Count | 14         | 26         | 40      |              |
|  |                  | %     | 45.2%      | 26.8%      | 31.3%   |              |
|  | 15 and more      | Count | 3          | 8          | 11      |              |
|  |                  | %     | 9.7%       | 8.2%       | 8.6%    |              |
| Social                                     | Married          | Count | 29         | 95         | 124     | <b>0.247</b> |
|  |                  | %     | 93.5%      | 97.9%      | 96.9%   |              |
|  | Widowed          | Count | 2          | 2          | 4       |              |
|  |                  | %     | 6.5%       | 2.1%       | 3.1%    |              |
| Level of Education                         | Illiterate       | Count | 21         | 67         | 88      | <b>0.086</b> |
|  |                  | %     | 67.7%      | 75.3%      | 73.3%   |              |
|  | Primary school   | Count | 1          | 0          | 1       |              |
|  |                  | %     | 3.2%       | 0.0%       | 0.8%    |              |
|  | Secondary school | Count | 4          | 17         | 21      |              |
|  |                  | %     | 12.9%      | 19.1%      | 17.5%   |              |
|  | Higher           | Count | 5          | 5          | 10      |              |
|  |                  | %     | 16.1%      | 5.6%       | 8.3%    |              |
| Family history                             | Negative         | Count | 24         | 75         | 99      | <b>0.991</b> |
|  |                  | %     | 77.4%      | 77.3%      | 77.3%   |              |
|  | Positive         | Count | 7          | 22         | 29      |              |
|  |                  | %     | 22.6%      | 22.7%      | 22.7%   |              |
| Treatment                                  | Regular          | Count | 28         | 88         | 116     | <b>0.594</b> |
|  |                  | %     | 90.3%      | 90.7%      | 90.6%   |              |
|  | Unregular        | Count | 3          | 9          | 12      |              |
|  |                  | %     | 9.7%       | 9.3%       | 9.4%    |              |

**Table (3): Comparison of routine test value for diabetic Patients with and without depression.**

| Parameters    | Mean $\pm$ SD              |                            |         |
|---------------|----------------------------|----------------------------|---------|
|               | Non-depressive             | Depressive                 | P value |
| FBS mg\dl     | 222.28 $\pm$ 128.93 (n=21) | 211.25 $\pm$ 102.28 (n=67) | 0.687   |
| HbA1C mmol\dl | 8.52 $\pm$ 3.10 (n=15)     | 8.83 $\pm$ 3.13 (n=38)     | 0.741   |

## DISCUSSION

The study's initial objectives were to determine the prevalence of depression among type 2 diabetic patients. So all study participants had their depression diagnosed using the Hamilton test, and it was revealed that 97 of type 2 diabetics, or over 75%, had depression in varying degrees, ranging from mild to moderate to severe and very severe, and 31 or 24.2% they do not experience depression, this study is in line with Das<sup>(15)</sup>, Kawada<sup>(16)</sup>, Kalantari<sup>(17)</sup>, Khaledi<sup>(18)</sup>, Wang<sup>(19)</sup>, a that have shown that people with type 2 diabetes are two or three times more likely than other people to experience depression. One such study suggests that patients with type 2 diabetes may be susceptible to several internal and environmental factors that might be responsible for this issue. Numerous investigations and perspectives on this subject have been conducted. Because the research populations were diverse and lived in varied environments, it is to be expected that the percentages would range from one study to the next. This is what Alajmani<sup>(20)</sup> demonstrated in his research and demonstrated the difference in the prevalence of depression among diabetic patients according to the environment and living conditions of the groups participating in the study.

Concerning age, the present study found the mean age of diabetics with depression (53.64 $\pm$ 8.90) was somewhat the same as the mean age of those without depression

(53.70 $\pm$ 9.93). Additionally, a majority of depressed individuals in this study were 50 and over, and there was no statistically significant difference in this finding, the present results are consistent with Bruce<sup>(21)</sup>, which demonstrated that there was no statistically significant difference between the two groups and that the average age of depressed individuals was lower than that of non-depressed individuals and contradict with Lloyd<sup>(22)</sup>. Based on what was mentioned previously, we can say perhaps the age factor may have a smaller effect when compared to the other factors that have a greater effect on creating depression.

Our study found a significant difference in depression rates between males and females with type 2 diabetes (p-value = 0.002). A significantly higher number of women 72(74.2%) experienced depression compared to men 25 (25.8%). This aligns with previous research by Yang<sup>(23)</sup>, which obtained similar and statistically significant results that showed that the prevalence of depression in women with type 2 diabetes was higher than in men in different regions of the world. There are many factors, such as continuous hormonal changes in women and other physiological factors that contribute to increasing the possibility of depression in women compared to men. Suggesting that sex is an important factor to consider when evaluating mental health in this population.

Patients were categorized into three groups based on the duration of their diabetes: less than 10 years, from 10 to 15 years, and more than 15 years. Among these groups, the majority of patients who were suffering from depression had a diabetes duration of less than 10 years, while those without depression had a diabetes duration ranging from less than 10 years to 15 years. After conducting a statistical analysis, the difference was not considered statistically significant with a p-value of (0.128). These results agree with Ahmad <sup>(24)</sup>.

The majority of participants in this study, both those experiencing depression and those who were not (non-depressed 93.5%, depressed 97.9%), were married. Only 3.1% of participants (non-depressed 6.5%, depressed 2.1%) were widows, and the difference between the two groups was not statistically significant (p-value=0.247). This finding aligns with Al-Mohameed <sup>(25)</sup> and Al-Ozairi <sup>(26)</sup> who also found no significant difference in the marital status of participants.

The study shows that illiterates, those with secondary school, and those with higher education were suffer from depression more than those with primary school; this finding is in line with Mushtaque <sup>(27)</sup> since it was demonstrated that the degree of education significantly contributes to the development of depression in people with diabetes. It is possible that some patients provided inaccurate or incomplete information about their education level, while others may have chosen not to disclose this information due to embarrassment. In this case, It is important to distinguish between health awareness and educational background because it is not always the case that those with less education will not be aware of health awareness.

Participant's positive family history of diabetes was (nondepressed 77.4%, depressed 77.3%), and the p-value (0.991), the difference did not indicate statistical significance, and this is consistent with Kim <sup>(28)</sup>, which reached a

result similar to what this study reached and lagged with Wu <sup>(29)</sup> who found that a family history of diabetes has an important relationship with depression. Regularity in taking diabetes treatment was studied for the participants in this study, and more than 90% of both depressed and non-depressed groups were regular in taking treatment, and the p-value (0.594), which was not statistically significant and agrees with Lunghi <sup>(30)</sup>, differs with Mendes <sup>(31)</sup>. Adherence to treatment alone may not be enough to control the disease. The patient needs to have a special diet and a healthy lifestyle in addition to medication adherence to achieve this. The study involved routine screening for diabetes in both the depressed and non-depressed groups. The mean of FBS for the depressed group ( $211.25 \pm 102.28$ ) and the non-depressed group ( $222.28 \pm 128.93$ ) were compared, and the results showed no statistically significant difference between the two groups p-value (0.687). Although the study agrees with Albekairy <sup>(32)</sup> and disagrees with Mallorquí-Bagué <sup>(33)</sup>. The HbA1c levels were, DM with the depressed group ( $8.83 \pm 3.13$ ) and DM nondepressed ( $8.52 \pm 3.10$ ), but the difference was not significant p-value (0.741). Although the study agrees with Mansori <sup>(34)</sup>, it differs from Amelia & Yunanda <sup>(35)</sup>

## CONCLUSION

Our study highlights a striking connection between type 2 diabetes and depression, with a significantly higher incidence of depression in diabetic patients. Additionally, the fact that females are more likely to suffer from depression compared to males also calls for further research into other factors that could potentially exacerbate mental health issues in type 2 diabetes women.

## RECOMMENDATIONS

In-depth studies are crucial to explore the multifaceted link between type 2 diabetes and depression. This will help us understand the

underlying mechanisms and potential contributing factors. It is also very important to create special departments and cadres in the specialized centers for diabetics to provide psychological support to patients and follow up on the condition. Psychological treatment of patients and including this matter in the treatment plan for diabetics in general.

## REFERENCES

1. Wu H, Yang S, Huang Z, He J, Wang X. Type 2 diabetes mellitus prediction model based on data mining. *Informatics Med Unlocked* [Internet]. 2018;10(August 2017):100–7. Available from: <https://doi.org/10.1016/j.imu.2017.12.006>
2. Galicia-Garcia U, Benito-Vicente A, Jebari S, Larrea-Sebal A, Siddiqi H, Uribe KB, et al. Pathophysiology of Type 2 Diabetes Mellitus. *Int J Mol Sci* [Internet]. 2020 Aug 30;21(17):6275. Available from: <https://www.mdpi.com/1422-0067/21/17/6275>
3. Westman EC. Type 2 Diabetes Mellitus: A Pathophysiologic Perspective. *Front Nutr*. 2021;8(August):1–5. Available from: <https://doi.org/10.3389/fnut.2021.707371>
4. Olokoba AB, Obateru OA, Olokoba LB. Type 2 diabetes mellitus: A review of current trends. *Oman Med J*. 2012;27(4):269–73. Available from: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3464757/>
5. Lloyd CE, Sartorius N, Ahmed HU, Alvarez A, Bahendeka S, Bobrov AE, et al. Factors associated with the onset of major depressive disorder in adults with type 2 diabetes living in 12 different countries: Results from the INTERPRET-DD prospective study. *Epidemiol Psychiatr Sci*. 2020;. Available from: <https://doi.org/10.1017/S2045796020000438>
6. Liu D, McIntyre RS, Li R, Yang M, Xue Y, Cao B. Genetic association between major depressive disorder and type 2 diabetes mellitus: Shared pathways and protein networks. *Prog Neuro-Psychopharmacology Biol Psychiatry* [Internet]. 2021;111(2):110339. Available from: <https://doi.org/10.1016/j.pnpbp.2021.110339>
7. Liu D, McIntyre RS, Li R, Yang M, Xue Y, Cao B. Genetic association between major depressive disorder and type 2 diabetes mellitus: Shared pathways and protein networks. *Prog Neuro-Psychopharmacology Biol Psychiatry* [Internet]. 2021;111(2):110339. Available from: <https://doi.org/10.1016/j.pnpbp.2021.110339>
8. Berge LI, Riise T. Comorbidity between Type 2 Diabetes and Depression in the Adult Population: Directions of the Association and Its Possible Pathophysiological Mechanisms. *Int J Endocrinol*. 2015;2015. Available from: <http://dx.doi.org/10.1155/2015/164760>
9. Mukherjee N, Chaturvedi SK. Depressive symptoms and disorders in type 2 diabetes mellitus. *Curr Opin Psychiatry*. 2019;32(5):416–21. Available from: [https://journals.lww.com/co-psychiatry/FullText/2019/09000/Depressive\\_symptoms\\_and\\_disorders\\_in\\_type\\_2.9.aspx](https://journals.lww.com/co-psychiatry/FullText/2019/09000/Depressive_symptoms_and_disorders_in_type_2.9.aspx)
10. Alhunayni NM, Mohamed AE, Hammad SM. Prevalence of Depression among Type-II Diabetic Patients Attending the Diabetic Clinic at Arar National Guard Primary Health Care Center, Saudi Arabia. *Psychiatry J*. 2020;2020:1–7. Available from: <https://doi.org/10.1155/2020/9174818>
11. Al-Hakeim HK, Al-Fadhel SZ, Al-Dujaili AH, Carvalho A, Sriswasdi S, Maes M. Development of a Novel Neuro-immune and Opioid-Associated Fingerprint with a Cross-Validated Ability to Identify and Authenticate Unknown Patients with Major Depression: Far Beyond Differentiation, Discrimination, and Classification. *Mol Neurobiol*. 2019;56(11):7822–35. Available from: <https://www.researchgate.net/publication/338351750>

12. Alshaya DS. Genetic and epigenetic factors associated with depression: An updated overview. *Saudi J Biol Sci* [Internet]. 2022;29(8):103311. Available from: <https://doi.org/10.1016/j.sjbs.2022.103311>
13. García-Martínez J. Defining Depression: Endogenous Materialities, Exogenous Immaterialities. *Debats*. 2022;136(1):29–42. Available from: <http://doi.org/10.28939/iam.debats-en.2021-2>
14. Hu Y, Yiu V, Clark R. Etiology of Depression: Biological and Environmental Factors in the Development of Depression. *J Student Res*. 2021;10(4):1–8. Available from: <http://dx.doi.org/10.1136/bmj.k1497>
15. Das R, Singh O, Thakurta RG, Khandakar MR, Ali SN, Mallick AK, et al. Prevalence of depression in patients with type II diabetes mellitus and its impact on quality of life. *Indian J Psychol Med*. 2013;35(3):284–9. Available from: <https://journals.sagepub.com/doi/pdf/10.4103/0253-7176.119502>
16. Kawada T. Depressive symptoms and HbA1c in patients with Type 1 and Type 2 diabetes. *Diabet Med*. 2014;31(6):759–60. Available from: <https://doi.org/10.1007/s00281-019-00730-x>
17. Kalantari S, Jafarinezhad A, Zohrevand B. Association of depression with type 2 diabetes and relevant factors. *Adv Biomed Res*. 2014;3(1):244. Available from: [https://journals.lww.com/adbm/\\_layouts/15/oaks.journals/downloadpdf.aspx?an=01679891-201403000-00244](https://journals.lww.com/adbm/_layouts/15/oaks.journals/downloadpdf.aspx?an=01679891-201403000-00244)
18. Khaledi M, Haghghatdoost F, Feizi A, Aminorroaya A. The prevalence of comorbid depression in patients with type 2 diabetes: an updated systematic review and meta-analysis on huge number of observational studies. *Acta Diabetol* [Internet]. 2019;0(0):0. Available from: <http://dx.doi.org/10.1007/s00592-019-01295-9>
19. Wang D, Wang H, Gao H, Zhang H, Zhang H, Wang Q, et al. P2X7 receptor mediates NLRP3 inflammasome activation in depression and diabetes. *Cell Biosci* [Internet]. 2020;10(1):1–9. Available from: <https://doi.org/10.1186/s13578-020-00388-1>
20. Alajmani DSA, Alkaabi AM, Alhosani MW, Foad AA, Abdouli FA, Carrick FR, et al. Prevalence of undiagnosed depression in patients with type 2 diabetes. *Front Endocrinol (Lausanne)*. 2019;10(MAY). Available from: <https://doi.org/10.3389/fendo.2019.00259>
21. Bruce DG, Davis WA, Hunter ML, Peters KE, Davis TME, Starkstein SE. Lifetime depression history and depression risk in type 2 diabetes: A case-control study. *J Diabetes Complications* [Internet]. 2016;30(1):38–42. Available from: <http://dx.doi.org/10.1016/j.jdiacomp.2015.10.010>
22. Lloyd CE, Nouwen A, Sartorius N, Ahmed HU, Alvarez A, Bahendeka S, et al. Prevalence and correlates of depressive disorders in people with Type 2 diabetes: results from the International Prevalence and Treatment of Diabetes and Depression (INTERPRET-DD) study, a collaborative study carried out in 14 countries. *Diabet Med*. 2018;35(6):760–9. Available from: <https://onlinelibrary.wiley.com/doi/abs/10.1111/dme.13611>
23. Yang QQ, Shao D, Li J, Yang CL, Fan MH, Cao FL. Positive Association Between Serum Levels of High-Sensitivity C-Reactive Protein and Depression/Anxiety in Female, but Not Male, Patients With Type 2 Diabetes Mellitus. *Biol Res Nurs*. 2020;22(2):178–87. Available from: <https://journals.sagepub.com/doi/abs/10.1177/1099800419894641>
24. Ahmad A, Abujbara M, Jaddou H, Younes NA, Ajlouni K. Anxiety and Depression Among Adult Patients With Diabetic Foot: Prevalence and Associated Factors. *J Clin Med*

- Res. 2018;10(5):411–8. Available from:<https://doi.org/10.14740/jocmr3352w>
25. Al-Mohaimed AA. Prevalence and factors associated with anxiety and depression among type 2 diabetes in Qassim: A descriptive cross-sectional study. *J Taibah Univ Med Sci* [Internet]. 2017;12(5):430–6. Available from: <http://dx.doi.org/10.1016/j.jtumed.2017.04.002>. Available from: <http://dx.doi.org/10.1016/j.jtumed.2017.04.002>
26. Al-Ozairi E, Al Ozairi A, Blythe C, Taghadom E, Ismail K. The Epidemiology of Depression and Diabetes Distress in Type 2 Diabetes in Kuwait. *J Diabetes Res*. 2020;2020. Available from: <https://doi.org/10.1155/2020/7414050>
27. Mushtaque A, Gulati R, Hossain MM, Azmi SA. Prevalence of depression in patients of type 2 diabetes mellitus: A cross sectional study in a tertiary care centre. *Diabetes Metab Syndr Clin Res Rev* [Internet]. 2016;10(4):238–41. Available from: <http://dx.doi.org/10.1016/j.dsx.2016.06.016>
28. Kim YH, Jung KI, Song CH. Men With Both Diabetes and a Family History of Diabetes Were Associated With Depressed Mood in Korean Adults. *Am J Mens Health*. 2023;17(3). Available from: <https://journals.sagepub.com/doi/abs/10.1177/15579883231180982>
29. Yanli Wu<sup>1</sup> | Min Chen<sup>1</sup> | Tao Liu<sup>1</sup> | Jie Zhou<sup>1</sup> | Yiying Wang<sup>1</sup> | Lisha Yu<sup>1</sup> | Ji Zhang<sup>1</sup> | Kunming Tian<sup>2</sup> 3, 1Guizhou. Association between depression and risk of type 2 diabetes and its sociodemographic factors modifications: A prospective cohort study in southwest China. *J Diabetes*. 2023;(June):994–1004. Available from: <https://onlinelibrary.wiley.com/doi/abs/10.1111/1753-0407.13453>
30. Lunghi C, Zongo A, Moisan J, Grégoire JP, Guénette L. The impact of incident depression on medication adherence in patients with type 2 diabetes. *Diabetes Metab*. 2017;43(6):521–8. Available from: <https://doi.org/10.1016/j.diabet.2017.07.003>
31. Mendes R, Martins S, Fernandes L. Adherence to Medication, Physical Activity and Diet in Older Adults With Diabetes: Its Association With Cognition, Anxiety and Depression. *J Clin Med Res*. 2019;11(8):583–92. Available from: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6681861/>
32. Albekairy A, Aburuz S, Alsabani B, Alshehri A, Aldebasi T, Alkatheri A, et al. Exploring factors associated with depression and anxiety among hospitalized patients with type 2 diabetes mellitus. *Med Princ Pract*. 2018;26(6):547–53. Available from: <https://doi.org/10.1159/000484929>
33. Mallorquí-Bagué N, Lozano-Madrid M, Toledo E, Corella D, Salas-Salvadó J, Cuenca-Royo A, et al. Type 2 diabetes and cognitive impairment in an older population with overweight or obesity and metabolic syndrome: baseline cross-sectional analysis of the PREDIMED-plus study. *Sci Rep*. 2018;8(1):1–9. Available from: <https://www.nature.com/articles/s41598-018-33843-8>
34. Mansori K, Shiravand N, Shadmani FK, Moradi Y, Allahmoradi M, Ranjbaran M, et al. Association between depression with glycemic control and its complications in type 2 diabetes. *Diabetes Metab Syndr Clin Res Rev* [Internet]. 2019;13(2):1555–60. Available from: <https://doi.org/10.1016/j.dsx.2019.02.010>
35. Amelia R, Yunanda Y. Relationship between depression and glycemic control among patients with type 2 diabetes in Medan. *IOP Conf Ser Earth Environ Sci*. 2018;125(1):0–6. Available from: <https://iopscience.iop.org/article/10.1088/1755-1315/125/1/012170/meta>

## Role of Cathepsin G in Rheumatoid Arthritis Diagnosis and Disease Activity Evaluation

Mays Saleh Khamees<sup>1</sup> and Raad Abdulameer Alasady<sup>2</sup>

<sup>1,2</sup> University of Kufa, Faculty of Medicine, Medical Microbiology, Iraq.

E-mail: [mays.s.khamees@gmail.com](mailto:mays.s.khamees@gmail.com)

### ABSTRACT

**Background:** "Rheumatoid arthritis (RA) is an autoimmune disease that affects approximately 1% of the global population and results in chronic synovitis and joint destruction." It is believed that elevated serum level of cathepsin G (CTSG), a serine protease, contribute to RA pathogenesis and exacerbate disease activity. However, the data about the potential role of CTSG in the diagnosis of RA and disease activity evaluation are limited. The objective of this research is to determine whether CTSG could serve as a potential biomarker for the diagnosis and evaluation of RA activity.

**Methods:** One hundred and thirty-two patients with inflammatory arthritis from the Rheumatology Department at Al-Sader Medical City in Al-Najaf City participated in this cross-sectional study from July 2023 to September 2023. The study included 121 females and 11 males, ranging in age from 18 to 70 years. The level of CTSG and anti-citrullinated peptide antibodies (ACPA) in the serum was assessed using ELISA. Other routine tests were evaluated, including erythrocyte sedimentation rate (ESR), C-reactive protein (CRP), and rheumatoid factor (RF). **Results:** The mean of CTSG was significantly lower in RA patients (110.53 pg/ml  $\pm$  49.959) than in those with other types of inflammatory arthritis (132.65 pg/ml  $\pm$  30.199). According to "DAS-28 ESR" and "DAS-28 CRP", the study found no significant difference in CTSG levels across the four disease activity groups ( $P = 0.585$ ,  $P = 0.823$ , respectively). Additionally, CTSG had a significant negative correlation with diabetes mellitus and treatment intake in newly diagnosed RA ( $P = 0.009$ ,  $P = 0.041$ , respectively). This study is the first to evaluate CTSG as an RA diagnostic tool, showing a sensitivity of 70.1% and a specificity of 60.0% at a cut-off value of  $\leq 133.33$  pg/ml. **Conclusions:** The study results suggest that CTSG has potential as a diagnostic biomarker for RA when used alongside other clinical and laboratory criteria. However, it should not be solely relied upon for evaluating RA activity.

**Key words:** Rheumatoid Arthritis , Cathepsin G , DAS-28 ESR, DAS-28 CRP, Disease activity.

### Article Information

Received: March 22, 2024; Revised May 25, 2024, 2024; Online: June, 2024

## INTRUCTION

Rheumatoid arthritis (RA) is a chronic autoimmune disorder that causes inflammation and affects the synovial joints, leading to joint destruction and deformity<sup>(1)</sup>. The cause of RA is unknown<sup>(2)</sup>. This condition can affect people of all ages, but it is more common in women between the third and fifth decades of life<sup>(3)</sup>.

Early diagnosis and treatment are critical for a better prognosis, as well as preventing irreversible joint damage and disability. In fact, up to 90% of patients can avoid or significantly delay disease progression with early intervention. Late diagnosis of RA and lack of treatment can cause severe damage to multiple tissues and organs, leading to high disability, reduced quality of life, and mortality<sup>(4)</sup>.

The RA occurrence rate is consistently around 0.5–1.0% across the world <sup>(3)</sup>. In Iraq, a study conducted between 2014 and 2019 reported increased RA incidence from 1.1 in 2014 to 1.7 in 2019, with a cumulative risk of 10.0 <sup>(5)</sup>. Rheumatoid arthritis is a complicated condition that exhibits several clinical symptoms and varying therapeutic responses. However, as researchers have gained a better understanding of the underlying pathogenesis of the disorder, there has been a growing interest in identifying biomarkers that could help diagnose and monitor its progression at various stages <sup>(6)</sup>. “Biomarkers play a crucial role in guiding the clinical and therapeutic management of all phases of RA because they can help predict disease development in individuals at risk, improve diagnosis by closing the serological gap, provide prognostic information for treatment choices, assess treatment responses and outcomes, and monitor disease activity and progression” <sup>(7)</sup>.

When comparing RA diagnostic criteria, the significant role of biomarkers can be seen <sup>(6)</sup>. Rheumatoid factor is the only biomarker for the “American College of Rheumatology (ACR) 1987 criteria” <sup>(8)</sup>. Four serological tests (ACPA, RF, ESR, and CRP) are used in the “American College of Rheumatology and the European League Against Rheumatism (ACR/EULAR) 2010 criteria” for the diagnosis of RA <sup>(4,8)</sup>. There are certain limitations to the biomarkers that are frequently used to diagnose RA. For example, RF is found in 80% of patients with RA, but it can also be found in healthy individuals and those with other diseases <sup>(9)</sup>. The ACPA test is more accurate, but both ACPA and RF still fail to detect 20-25% of RA-negative patients. Moreover, ESR is not specific and can be affected by various factors <sup>(10)</sup>. Furthermore, CRP levels can be normal in 40% of RA patients and elevated in others diseases <sup>(11)</sup>. Despite some progress in including ACPA in the updated criteria, there remains a clear need for new biomarkers in the diagnosis of RA <sup>(12)</sup>.

Cathepsin G (CTSG) is a serine protease that is produced in the bone marrow and stored in azurophilic neutrophil granules. It’s can also be found in other myeloid cells. When activated, CTSG is released into the extracellular space and plays a role in degrading chemokines and extracellular matrix proteins, as well as regulating pro-inflammatory cytokines. It has been linked to chronic inflammatory diseases and was found to be highly active in the synovial fluid of rheumatoid arthritis patients <sup>(13,14)</sup>. Cathepsin G is thought to be involved in inflammation and immune reactions by facilitating immune cell movement <sup>(14)</sup>, making it a potential biomarker or therapeutic target for autoimmune diseases <sup>(15)</sup>.

The research aimed to determine the potential of CTSG as a biomarker for diagnosis rheumatoid arthritis and distinguishing it from other types of inflammatory arthritis, as well as investigate the role of CTSG in assessing RA activity.

## Samples Collection and Methods

A cross-sectional study was conducted on 132 patients with inflammatory arthritis. In this study, all participants were pioneers in the Rheumatology Department at Al-Sader Medical City in Al-Najaf City from July 2023 to September 2023. The study involved 107 patients had RA and 25 patients who had other types of inflammatory arthritis such as systemic lupus erythematosus (15 patients), Sjögren's syndrome (3 patients), Behçet's disease (2 patients), polymyalgia rheumatica (2 patients), psoriatic arthritis (1 patient), palindromic rheumatism (1 patient), and monoarticular arthritis (1 patient). The study included 121 females and 11 males participants, ranging in age from 18 to 70 years. Based on the inclusion criteria, patients with other inflammatory arthritis and those diagnosed with RA by a physician using the “2010 ACR/EULAR” diagnostic criteria for RA were included. However, the study excluded patients who were under 18 or over 70 years old, as well as those

with any other autoimmune diseases, central nervous system diseases, acute local inflammation, wounds, recent surgeries, cancer, chronic infections, or immunodeficiency diseases.

The participants were randomly selected and divided into two groups. The first group consisted of patients with RA classified into various disease activity levels, “remission, mild, moderate, or severe, based on their disease activity score (DAS-28 ESR and DAS-28 CRP)”. The second group included patients with other types of inflammatory arthritis. The patients completed a questionnaire providing information on their name, age, gender, BMI, contraceptive pill use, the family history of RA, as well as any history of chronic diseases like diabetes mellitus, hypertension, and other relevant details.

During the patient examination, a specialist evaluated the number of tender and swollen joints used to determine the disease activity score. In addition, serum levels of CTSG and ACPA were measured in patients with inflammatory arthritis using the ELISA kit from Sunlong, China. The study also measured the ESR using the Westergren method, assessed RF using the sandwich immunodetection method from Boditech, Korea, and measured CRP using

a particle-enhanced immune-turbidimetry assay from Cobas, Germany.

## STATISTICAL ANALYSIS

The data was analyzed using Statistical Package of Social Science (SPSS) software version 21 (San Diego, California, USA). Continuous variables were presented as means and SD, while categorical variables were expressed as frequency and percentage. The chi-square test was used to determine the significance of categorical variables. Pearson and Spearman correlations were used to measure the correlation between nominal and ordinal scales. An independent t-test and an ANOVA test were used to measure serological parameters between study groups and assess the significance level of different clinical and laboratory parameters. Median  $\pm$  IQR was reported when variance was not found. Furthermore, ROC curves were used to evaluate the diagnostic utility of CTSG, RF, and ACPA. Sensitivity, specificity, PPV, and NPV were calculated for inflammatory arthritis patients. A significance level of  $P < 0.05$  was considered statistically significant, while  $P < 0.01$  was deemed highly significant.

## RESULTS

A cross-sectional study was conducted on 132 patients with inflammatory arthritis, including 107 patients with RA and 25 patients with other types of inflammatory arthritis. The age mean and SD (46.85 year  $\pm$ 10.564) of patients with RA were significantly higher than those of patients with other types of inflammatory arthritis (39.48 year  $\pm$ 12.460), indicating a significant difference ( $P = 0.003$ ) between the two groups. However, RA patients had higher BMI means and SD (29.93 kg/m<sup>2</sup>  $\pm$ 5.424) than those with other types of inflammatory arthritis (27.40 kg/m<sup>2</sup>  $\pm$ 5.874);

this difference was statistically significant ( $P = 0.04$ ). Furthermore, the smoking index means and SD of RA patients (15.24 $\pm$ 14.629) and those of patients with other types of inflammatory arthritis (40.00 $\pm$ 0.0) did not differ significantly ( $P = 0.141$ ). Also, there were no significant differences between the two groups of patients in terms of sex ( $P = 0.999$ ), hypertension ( $P = 0.099$ ), smoking ( $P = 0.152$ ), or contraceptive pill use ( $P = 0.744$ ). However, a significant difference was observed ( $P = 0.005$ ) between the two patient groups

according to diabetes mellitus, as shown in Tab.1.

Moreover, the median (IQR) of ESR level was higher in RA patients [38.00 mm/hr (30.00)] than the median (IQR) [30.00 mm/hr (32.50)] of patients with other types of inflammatory arthritis. However, the difference between these two groups was not significant. However, the median (IQR) of CRP, RF, and ACPA levels were higher in RA patients [5.49 mg/L (9.31), 18.00 IU/mL (32.00), 12.896 U/ml (5.042), respectively] than the median (IQR) [1.68 mg/L (4.46), 8.00 IU/mL (4.00), 5.884 U/ml (2.572), respectively] of patients with the other types of inflammatory arthritis. There was a significant difference ( $P = 0.01$ ,  $P = 0.001$ , and  $P = < 0.001$ , respectively) in these levels between the two groups of patients. On the other hand, the mean and standard deviation (SD) of CTSG level were lower in patients with RA ( $110.53 \text{ pg/ml} \pm 49.959$ ) compared to those with other types of inflammatory arthritis ( $132.65 \text{ pg/ml} \pm 30.199$ ). There was a significant difference between the two groups of patients ( $P = 0.006$ ), as shown in Tab.1. There was no significant difference ( $P = 0.727$ ) in CTSG level between RA patients with a disease duration of less than six months ( $113.379 \text{ pg/ml} \pm 37.064$ ) and those with more than six months ( $109.520 \text{ pg/ml} \pm 53.461$ ). Rheumatoid arthritis patients with a good response to treatment had a higher mean of CTSG ( $113.41 \text{ pg/ml} \pm 50.155$ ) than those with a poor response ( $102.27 \text{ pg/ml} \pm 55.288$ ), but the difference was not significant ( $P = 0.311$ ). Similarly, untreated newly diagnosed RA patients had a higher mean of CTSG ( $123.00 \text{ pg/ml} \pm 22.68$ ) compared to those with long-term regularly treated RA ( $113.52 \text{ pg/ml} \pm 54.03$ ). However, the two groups had no significant difference ( $P = 0.55$ ). In addition, untreated newly diagnosed RA patients had a significantly ( $P = 0.031$ ) higher mean of CTSG ( $123.00 \text{ pg/ml} \pm 22.68$ ) compared to those with regularly treated newly

diagnosed RA ( $97.30 \text{ pg/ml} \pm 40.019$ ), as shown in Tab. 2.

According to the DAS-28 ESR and DAS-28 CRP indices, there was no statistically significant difference in CTSG level among the four disease activity groups ( $P = 0.585$  and  $P = 0.823$ , respectively), as shown in Tab.3. Additionally, there was no significant correlation between CTSG and RA activity according to DAS-28 ESR and DAS-28 CRP ( $R = -0.011$ ,  $P = 0.911$ ;  $R = -0.032$ ,  $P = 0.742$ , respectively), as shown in Fig.1 and Fig.2.

However, CTSG had a significant negative correlation with diabetes mellitus and treatment intake in newly diagnosed RA ( $P = 0.009$ ,  $P = 0.041$ , respectively). There was no significant correlation with other factors such as age, sex, hypertension, smoking index, BMI, family history, disease duration, regularity of treatment intake, response to treatment, untreated newly diagnosed RA/treated long-term RA, and contraceptive pill use ( $P = 0.598$ ,  $P = 0.518$ ,  $P = 0.727$ ,  $P = 0.992$ ,  $P = 0.973$ ,  $P = 0.865$ ,  $P = 0.316$ ,  $P = 0.531$ ,  $P = 0.263$ ,  $P = 0.194$ ,  $P = 0.835$ , respectively), as shown in Tab.4. As well, CTSG showed no significant correlation with hemoglobin level, WBC, or platelet count [ $(P = 0.765)$ , ( $P = 0.199$ ), and ( $P = 0.95$ ), respectively], as shown in Tab.5.

Furthermore, CTSG had a non-significant correlation with ESR, CRP, RF, and ACPA ( $P > 0.05$ ). On the other hand, ESR had a statistically significant correlation with CRP ( $P < 0.001$ ). Furthermore, CRP had a low positive correlation with RF but was statistically insignificant ( $P = 0.059$ ). However, RF had a highly statistically significant correlation with ACPA ( $P < 0.001$ ), as shown in Tab.6.

The study evaluated the diagnostic performance of CTSG compared to RF and ACPA in distinguishing between patients with RA and other types of inflammatory arthritis using the Receiver Operator Characteristic (ROC) Curve, as shown in Fig.3. The cut-off value for CTSG was determined to be  $\leq 133.33$

pg/ml, and the AUC of CTSG was 0.656. Additionally, ACPA showed excellent diagnostic performance with an AUC of 0.813, which was highly significant ( $P < 0.001$ ). Rheumatoid factor also had good diagnostic performance, with an AUC of 0.743, which was highly significant. Cathepsin G has a sensitivity

of 70.1%, almost equal to the sensitivity of RF (72.0%) and lower than the sensitivity of ACPA (85.0%). CTSG has a specificity of 60.0%, lower than the specificities of RF (84.0%) and ACPA (80.0%), as shown in Tab.7.

**Table 1:** Demographic and clinical characteristics of the Inflammatory Arthritis Patients (n= 132)

| Characteristic  | RA patients<br>(n=107) | Other inflammatory<br>arthritis patients (n=25) | Characteristic |
|---|------------------------|---|----------------|
| Demographics  |                        |   |                |
| Age, mean (year) $\pm$ S.D.   | 46.85 $\pm$ 10.564     | 39.48 $\pm$ 12.460                              | 0.003**        |
| Sex [females/males (% of females)]  | 98/9 (91.6%)           | 23/2 (92.0%)                                    | 0.999          |
| BMI, mean (kg/m <sup>2</sup> ) $\pm$ S.D.   | 29.93 $\pm$ 5.424      | 27.40 $\pm$ 5.874                               | 0.04*          |
| Hypertension [Hypertensive/Non-hypertensive (% of Hypertensive)]  | 42/65 (39.3%)          | 7 /18 (28.0%)                                   | 0.099          |
| Diabetes mellitus [Diabetic/Non-diabetic (% of Diabetic)]   | 17 /90 (15.9%)         | 1 /24 (4.0%)                                    | 0.005**        |
| Smoking [Smoker/Non-smoker (% of Smoker)]   | 10 /97 (9.3%)          | 1 /24 (4.0%)                                    | 0.152          |
| Smoking index, mean pack per year $\pm$ S.D.  | 15.24 $\pm$ 14.629     | 40.00 $\pm$ 0.0                                 | 0.141          |
| Contraceptive pill use [user/Non-user (% of Contraceptive pills user)]  | 6 /91 (6.2%)           | 1 /21 (4.5%)                                    | 0.744          |
| Clinical data   |                        |   |                |
| ESR level (mm/hr), median (IQR)   | 38.00 (30.00)          | 30.00 (32.50)                                   | 0.657          |
| CRP level (mg/L), median (IQR)  | 5.49 (9.31)            | 1.68 (4.46)                                     | 0.01*          |
| RF level (IU/mL), median (IQR)  | 18.00 (32.00)          | 8.00 (4.00)                                     | 0.001**        |
| ACPA level (U/ml), median (IQR)   | 12.896 (5.042)         | 5.884 (2.572)                                   | <0.001**       |
| CTSG level (pg/ml), mean $\pm$ S.D.   | 110.53 $\pm$ 49.959    | 132.65 $\pm$ 30.199                             | 0.006**        |
| BMI body mass index, ESR erythrocyte sediment rate, CRP C-reactive protein, RF rheumatoid factor, ACPA anti-citrullinated peptide antibody, CTSG cathepsin G, IQR interquartile range, S.D standard deviation *: significant difference, **: highly significant difference. |                        |   |                |

**Table 2:** Comparison of CTSG According to the Disease Duration and Features of Treatment Intake

| Characteristic                                 | CTSG mean $\pm$ SD (pg/ml) | P-value |
|--|----------------------------|---------|
| Less than 6 months<br>(n=28)                   | 113.379 $\pm$ 37.064       | 0.727   |
| More than 6 months<br>(n=79)                   | 109.520 $\pm$ 53.461       |         |
| Good response to treatment<br>(n= 57)          | 113.41 $\pm$ 50.155        | 0.311   |
| Poor response to treatment<br>(n= 38)          | 102.27 $\pm$ 55.288        |         |
| Untreated newly diagnosed RA<br>(n=12)         | 123.00 $\pm$ 22.68         | 0.55    |
| Long-term regularly treated RA<br>(n=54)       | 113.52 $\pm$ 54.03         |         |
| Untreated newly diagnosed RA<br>(n=12)         | 123.00 $\pm$ 22.68         | 0.031*  |
| Regularly treated newly diagnosed RA<br>(n=13) | 97.30 $\pm$ 40.019         |         |

CTSG cathepsin G. \*: significant difference

**Table 3:** CTSG comparison among RA patients' disease activity groups using DAS-28 ESR and DAS-28 CRP

|                                     |                         |                               |                           |         |
|-------------------------------------|-------------------------|-------------------------------|---------------------------|---------|
| DAS-28 ESR of RA patients (n=107)   |                         |                               |                           |         |
| CTSG level (pg/ml), mean $\pm$ S.D. |                         |                               |                           |         |
| Remission<br>(n =2)                 | Low activity<br>(n =2)  | Moderate activity<br>(n =33)  | High activity<br>(n =70)  | P-Value |
| 149.61 $\pm$ 22.237                 | 80.13 $\pm$ 51.942      | 109.95 $\pm$ 39.846           | 110.55 $\pm$ 54.594       | 0.585   |
| DAS-28 CRP of RA patients (n=107)   |                         |                               |                           |         |
| CTSG level (pg/ml), mean $\pm$ S.D. |                         |                               |                           |         |
| Remission<br>(n = 6)                | Low activity<br>(n = 6) | Moderate activity<br>(n = 61) | High activity<br>(n = 34) | P-Value |
| 97.01 $\pm$ 49.416                  | 123.59 $\pm$ 36.756     | 111.63 $\pm$ 46.993           | 108.64 $\pm$ 57.942       | 0.823   |

CTSG cathepsin G, DAS28 disease activity score, ESR erythrocyte sediment rate, CRP C-reactive protein.

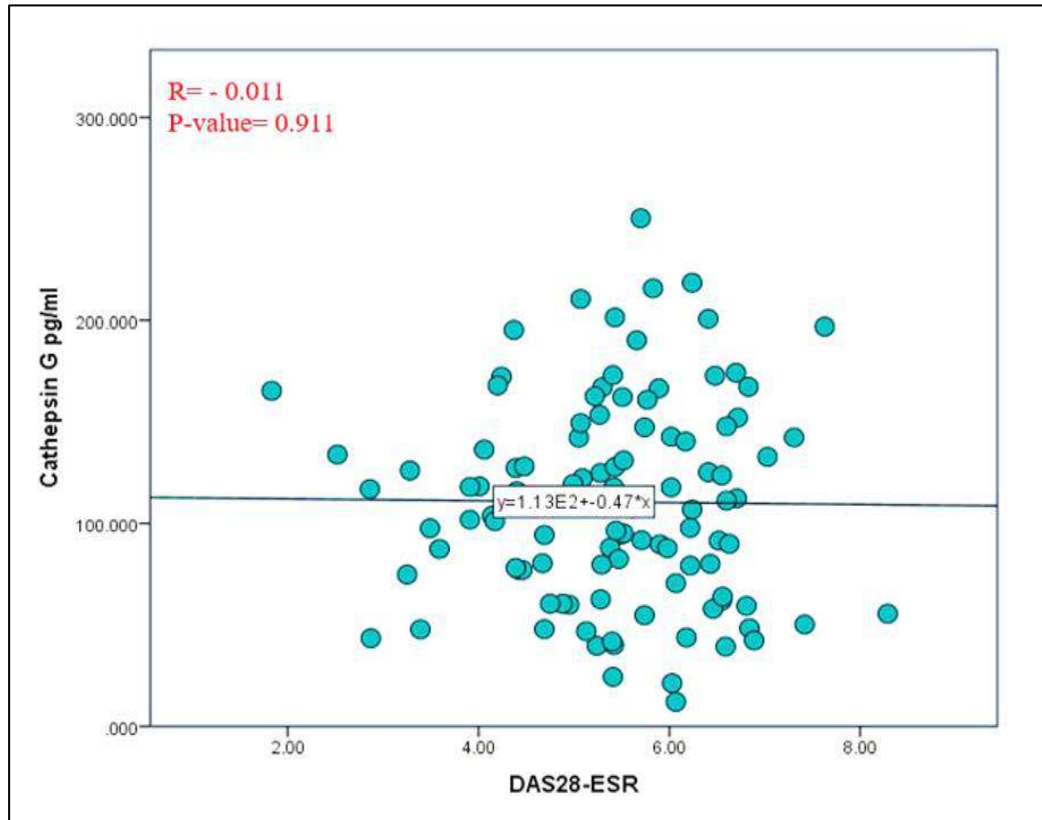


Figure 1: Correlations of CTSG with RA Activity According to DAS-28 ESR.

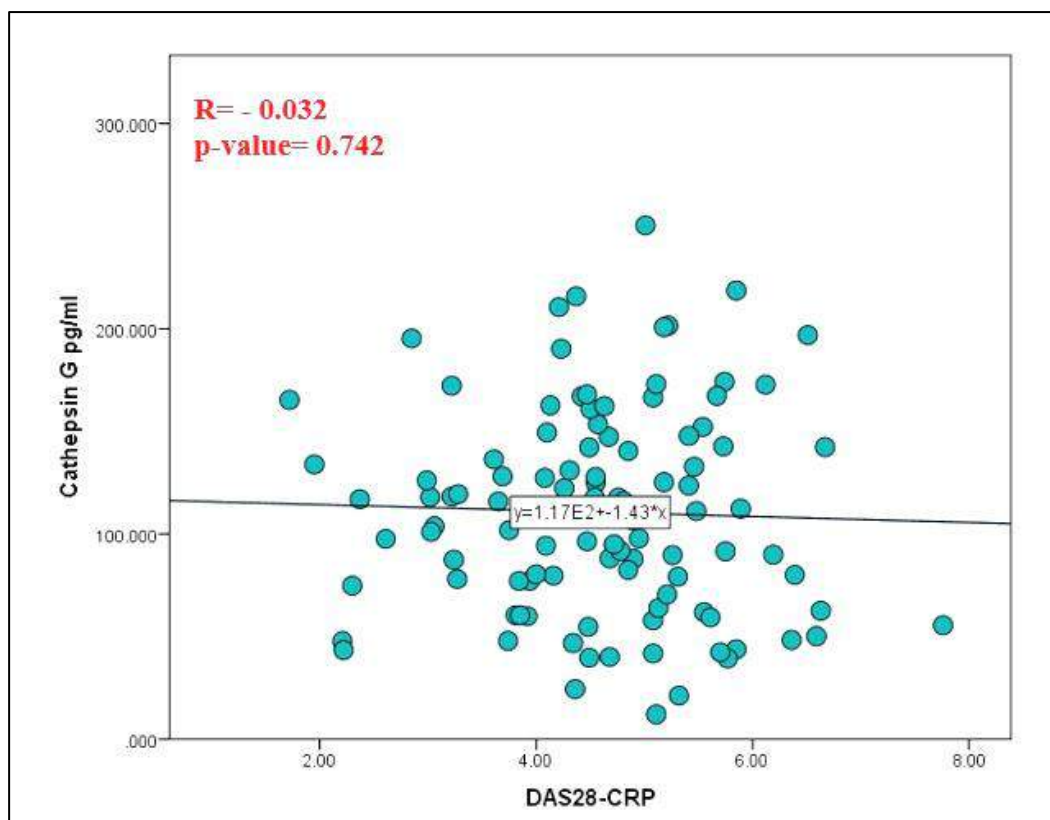


Figure 2: Correlations of CTSG with RA Activity According to DAS-28 CRP.

**Table 4:** Correlations of Demographic Characteristics, BMI and Features of Treatment Intake with CTSG Values in the RA Patients

| Characteristic of RA Patients   |   | CTSG    |
|---|---|---------|
| Age (years)   | r | -0.052  |
|   | P | 0.598   |
| Sex   | r | -0.063  |
|   | P | 0.518   |
| Hypertension  | r | -0.034  |
|   | P | 0.727   |
| Diabetes Mellitus   | r | -0.252  |
|   | P | 0.009** |
| Smoking index,<br>(pack per year)   | r | 0.001   |
|   | P | 0.992   |
| BMI   | r | 0.003   |
|   | P | 0.973   |
| Family History  | r | -0.017  |
|   | P | 0.865   |
| Disease Duration  | r | -0.097  |
|   | P | 0.316   |
| Regularity of treatment<br>intake   | r | -0.061  |
|   | P | 0.531   |
| Response to Treatment   | r | 0.115   |
|   | P | 0.263   |
| Untreated newly diagnosed<br>RA/ treated long-term RA                     | r | -0.137  |
|   | P | 0.194   |
| Untreated, newly<br>diagnosed/Regularly<br>treated, newly diagnosed<br>RA | r | -0.410  |
|   | P | 0.041*  |
| Contraceptive pill use  | r | 0.021   |
|   | P | 0.835   |

CTSG cathepsin G, BMI body mass index, *r* correlation coefficient, *P* P-value. \*: Significant, \*\*: highly significant

**Table 5:** Correlations of Haematological Parameters with CTSG Values in the RA Patients

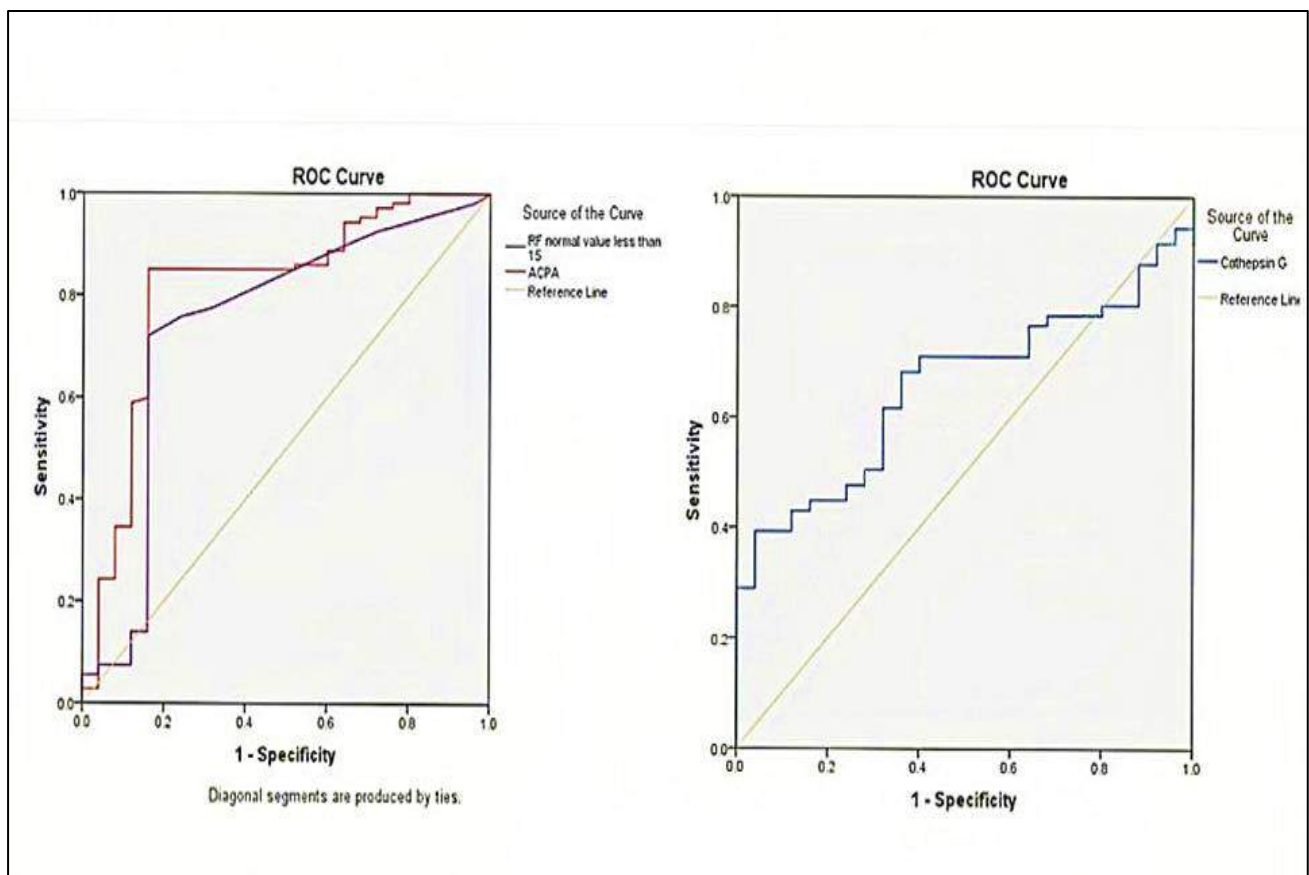
| Characteristic of RA patients              |   | CTSG   |
|--|---|--------|
| Haemoglobin level (mg/dl)                  | r | -0.029 |
|  | p | 0.765  |
| WBC Count (x 10 <sup>9</sup> /liter)       | r | -0.125 |
|  | p | 0.199  |
| Platelets Count (x 10 <sup>9</sup> /liter) | r | -0.006 |
|  | p | 0.95   |

CTSG cathepsin G, WBC white blood cell, *r* correlation coefficient, *P* P-value

**Table 6:** Correlations between Biomarkers in the RA patients

| Parameters  |   | CTSG   | ESR (mm/h) | CRP (mg/L) | RF (IU/mL) | ACPA(U/ml) |
|-------------|---|--------|------------|------------|------------|------------|
| CTSG        | P | 1      |            |            |            |            |
|             | r | -      |            |            |            |            |
| ESR (mm/h)  | r | -0.056 | 1          |            |            |            |
|             | P | 0.566  | -          |            |            |            |
| CRP (mg/L)  | r | 0.05   | 0.537      | 1          |            |            |
|             | P | 0.609  | <0.001**   | -          |            |            |
| RF (IU/mL)  | r | -0.096 | 0.097      | 0.183      | 1          |            |
|             | P | 0.328  | 0.318      | 0.059      | -          |            |
| ACPA (U/ml) | r | 0.073  | 0.061      | 0.006      | 0.549      | 1          |
|             | P | 0.453  | 0.534      | 0.949      | <0.001**   | -          |

CTSG cathepsin G, ESR erythrocyte sediment rate, CRP C-reactive protein, RF rheumatoid factor, ACPA anti-citrullinated peptide antibody, *r* Correlation Coefficient, *P* P-value. \*\*: highly significant

**Figure 3: The Diagnostic Performance of RF, ACPA, and CTSG.**

**Table 7:** Receiver Operator Characteristic (ROC) Curve for Biomarkers of Patients with Inflammatory Arthritis

| Characteristic                      | CTSG        | RF           | ACPA         |
|-------------------------------------|-------------|--------------|--------------|
| AUC                                 | 0.656       | 0.743        | 0.813        |
| SE                                  | 0.050       | 0.063        | 0.053        |
| Sig.                                | 0.015*      | <0.001**     | <0.001**     |
| 95% confidence interval             | 0.559-0.754 | 0.619 -0.867 | 0.709 -0.917 |
| Optimal cut-point value (pg/ml)     | 133.33      | 12.500       | 9.920        |
| Sensitivity (%)                     | 70.1%       | 72.0%        | 85.0%        |
| Specificity (%)                     | 60.0%       | 84.0%        | 80.0%        |
| PPV (%)                             | 88.2%       | 71.96%       | 85.04%       |
| NPV (%)                             | 31.9%       | 84%          | 80%          |
| Diagnostic effectiveness (accuracy) | 68.18%      | 74.24%       | 84.09%       |
| Youden's index                      | 0.3         | 0.56         | 0.65         |

*CTSG* cathepsin G, *RF* rheumatoid factor, *ACPA* anti-citrullinated peptide antibody *AUC* area under the curve, *SE* standard error *Sig* significant, *PPV* positive predictive value, *NPV* negative predictive value. \*: Significant difference, \*\*: highly significant difference

## DISCUSSION

The current study found that the mean level of CTSG was significantly lower in patients with RA compared to patients with other types of inflammatory arthritis. Although previous studies have shown a correlation between CTSG levels and many other inflammatory arthritis or autoimmune diseases, such as psoriatic arthritis (PsA) and systemic lupus erythematosus (SLE), there have been no studies specifically comparing CTSG levels between RA and other types of inflammatory arthritis.

Popova-Belova et al. <sup>(16)</sup> found significantly higher CTSG levels in patients with PsA compared to a control group of gouty arthritis patients and healthy controls. Kida et al. <sup>(17)</sup> found that CTSG is the main antigen for anti-neutrophil cytoplasmic antibodies in systemic SLE. They reported that patients with active SLE displayed considerably higher levels of CTSG antibodies in their serum than those with inactive SLE or healthy controls. These high levels decreased rapidly after

corticosteroid therapy. Patients with other types of inflammatory arthritis have higher levels of CTSG compared to those with RA. These high levels are potentially due to CTSG's contribution to the development of autoimmune disorders characterized by cytokine production and neutrophil infiltration in the joints. However, additional studies are required to understand CTSG's involvement in different types of inflammatory arthritis.

The relatively small sample size of patients with other types of inflammatory arthritis compared to those with RA may contribute to the lower levels of CTSG in RA patients. Furthermore, in the present study, SLE patients dominated the other inflammatory arthritis group. As previously mentioned, CTSG is known to impact SLE and is linked to disease activity. Despite this lower level of CTSG in RA patients, Gao et al. <sup>(15)</sup> found that the synovial fluid of RA patients has a higher level of CTSG than that of healthy controls, which leads this study to suspect a

higher level of CTSG in those patients compared to healthy controls. It is also believed that this increase in CTSG level may be due to the release of a protease enzyme by neutrophils during inflammation<sup>(18)</sup>.

Cathepsin G can change and activate molecules like cytokines, chemokines, and cell surface receptors that are important for the immune system and the inflammatory response<sup>(14)</sup>. It can also attract more monocytes to the inflammation site. In RA, immune cells such as monocytes and neutrophils infiltrate and lead to inflammation of the synovial tissue that lines the joints<sup>(19)</sup>. These cells release CTSG and other pro-inflammatory cytokines, which lead to inflammation and joints damage<sup>(20)</sup>. The high level of CTSG may participate in the RA pathogenesis by recruitment cells to the affected joints and initiating an inflammatory response<sup>(14)</sup>. It may also be used as an indicator for RA diagnosis and progression. However, additional researches are necessary to clarify the role and effects of CTSG in the pathogenesis of RA.

The current study revealed that RA patients with disease duration less than six months had slightly higher CTSG levels compared to those with disease duration more than six months. However, the difference did not reach statistical significance. According to the researcher's knowledge, there are no studies available to compare this result. Rheumatoid arthritis patients with disease duration less than six months have slightly higher CTSG levels, may due to increased inflammatory immune response in the early stages of RA that stimulates the recruitment and activation of neutrophils in the joints<sup>(21)</sup>. As part of the immunological response, neutrophils produce and release CTSG<sup>(18)</sup>. The low levels of CTSG in RA patients with disease duration more than six months may be related to long-term use of medications for RA, which can reduce inflammation and slow

disease progression. This may result in decreased CTSG levels.

The current study did not find a significant difference in CTSG level based on response to treatment in RA patients. According to previous study conducted by Miyata et al.<sup>(19)</sup>, CTSG levels have been found to be affected by RA treatment and decrease after treatment, suggesting that it may be a potential biomarker of response to treatment.

The current study found there was no statistically significant difference in the levels of CTSG between patients with long-term, regularly treated RA and those with newly diagnosed, untreated RA. According to the researcher's knowledge, there are no studies available to compare this result. However, the levels of CTSG were slightly higher in the untreated, newly diagnosed RA group compared to the regularly treated long-term RA group. This increase can be attributed to excessive inflammation and neutrophil infiltration in the joints, which leads to the release of CTSG from various immune cells and neutrophil granules. Cathepsin G also attracts monocytes and regulates immune responses, thus increasing inflammation and joint damage<sup>(14)</sup>.

The study found that regularly treated newly diagnosed RA patients had significantly lower CTSG levels than untreated newly diagnosed RA patients. The reason behind this significantly lower level of CTSG was explained previously. This finding suggests that CTSG could serve as a new diagnostic biomarker or treatment target for RA. This idea is supported by previous studies that found the activity of human leukocyte CTSG can be inhibited by anti-rheumatic drugs. As a result of this finding, the authors recommend CTSG inhibition as a potential therapeutic approach for treating autoimmune diseases such as RA<sup>(19,22,15)</sup>.

To the best of our knowledge, this is the first study to evaluate the role of CTSG in RA activity. The findings of this study revealed a non-significant association between CTSG and disease activity (according to the DAS-28 ESR and DAS-28 CRP indices). Additionally, a study by Popova-Belova et al. <sup>(16)</sup> found that CTSG did not show strong associations with disease activity in patients with PsA. Another study by Ruge et al. <sup>(23)</sup>, who work on other types of cathepsins (S and L), also found no significant association between these cathepsins and RA activity, as characterized by DAS-28 ESR. The level of serum cathepsins cannot precisely indicate the level of inflammation present in the joints <sup>(24)</sup>.

The current study showed that CTSG had a significant negative correlation with diabetes mellitus. The findings differ from the study which reported increased CTSG in patients with Type 1 diabetes <sup>(25)</sup>. Furthermore, this study has shown a negative correlation between CTSG and treatment intake in newly diagnosed RA patients. The elevated CTSG levels observed in RA patients could be attributed to joint inflammation triggering the release of CTSG by immune cells like neutrophils and monocytes as part of the inflammatory process <sup>(14)</sup>. Conversely, RA medications that reduce immune responses and inflammation may have an impact on the lower levels of CTSG in regularly treated, newly diagnosed RA patients. These results indicate that CTSG might hold potential as a biomarker for monitoring treatment outcomes in diagnosed RA patients and as a target for RA therapy.

This is the first study that used a receiver operator characteristic (ROC) curve analysis to measure the diagnostic value of CTSG and its usefulness in differentiation of RA from other types of inflammatory arthritis. According to the results, CTSG has demonstrated reliable diagnostic performance with an AUC of 0.656 at a cut-off value of  $\leq 133.33$  pg/ml, a sensitivity

of 70.1%, and a specificity of 60.0%. Cathepsin G had lower accuracy, sensitivity, and AUC than ACPA and RF; the specificity was similar to RF but lower than ACPA.

## CONCLUSION

In conclusion, the findings showed that CTSG could be a useful diagnostic biomarker for RA when combined with other clinical and laboratory criteria but is unreliable for RA activity evaluation.

## Ethical Approval

Before commencing the study project, the ethical committee of the College of Medicine at the University of Kufa had approved. The Rheumatology Department of Al-Sadr Medical City also granted permission, and the patient's consent was obtained to conduct a questionnaire and collect a blood sample.

## REFERENCES

1. Yap HY, Tee SZY, Wong MMT, Chow SK, Peh SC, Teow SY. Pathogenic role of immune cells in rheumatoid arthritis: Implications in clinical treatment and biomarker development. *Cells*. 2018; 7:1–19. <https://doi.org/10.3390/cells7100161>.
2. Schechter S, Lamps L. Epstein-Barr Virus Hepatitis: A Review of Clinicopathologic Features and Differential Diagnosis. *Arch Pathol Lab Med*. 2018;1191–1195. <https://doi.org/10.5858/arpa.2018-0208-ra>.
3. Gravallesse EM, Firestein GS. Rheumatoid Arthritis — Common Origins, Divergent Mechanisms. *N Engl J Med*. 2023; 388:529–42. <https://doi.org/10.1056/nejmra2103726>.
4. Jiang Y, Zhong S, He S, Weng J, Liu L, Ye Y, et al. Biomarkers (mRNAs and non-coding RNAs) for the diagnosis and prognosis of rheumatoid arthritis. *Front*

- Immunol. 2023; 14:1087925.  
<https://doi.org/10.3389/fimmu.2023.1087925>.
5. Al\_Badran AHK, Algabri HC, Saeedi KRH Al, Alqazzaz AM. Incidence of Rheumatoid Arthritis at Marjan Teaching Hospital in Babylon, Iraq (2014–2019). *Medical Journal of Babylon*. 2022; 19:358.
  6. Gavrilă BI, Ciofu C, Stoica V. Biomarkers in Rheumatoid Arthritis, what is new? *J Med Life*. 2016; 9:144–8.
  7. Malhotra H, Kamboj A. Role of Biomarkers in Rheumatoid Arthritis. In: Gautam RK, Deb L, Dua K, editors. *Natural Products for the Management of Arthritic Disorders*. 1st ed., Singapore: Bentham Science Publishers. 2022, p. 50–71.
  8. Zhu J, Nie L, Lu X, Wu H. Meta-analysis: compared with anti-CCP and rheumatoid factor, could anti-MCV be the next biomarker in the rheumatoid arthritis classification criteria? *Chem Lab Med*. 2019;1668–1679.  
<https://doi.org/10.1515/cclm-2019-0167>.
  9. Weisman MH. *Rheumatoid Arthritis*. New York, USA: Oxford American Rheumatology; 2011.
  10. Shapiro SC. Biomarkers in rheumatoid arthritis. *Cureus*. 2021;13(5): e15063.  
<https://doi.org/10.7759/cureus.15063>.
  11. Shervington L, Darekar A, Shaikh M, Mathews R, Shervington A. Identifying Reliable Diagnostic/Predictive Biomarkers for Rheumatoid Arthritis. *Biomark Insights*. 2018; 13:1–9.  
<https://doi.org/10.1177/1177271918801005>.
  12. Burska A, Boissinot M, Ponchel F. Cytokines as Biomarkers in Rheumatoid Arthritis. *Mediators of Inflammation* 2014; 2014:1-24.  
<https://doi.org/10.1155/2014/545493>.
  13. Huang S, Thomsson KA, Jin C, Alweddi S, Struglics A, Rolfson O, et al. Cathepsin g Degrades Both Glycosylated and Unglycosylated Regions of Lubricin, a Synovial Mucin. *Sci Rep*. 2020;10.  
<https://doi.org/10.1038/s41598-020-61161-5>.
  14. Behl T, Chadha S, Sehgal A, Singh S, Sharma N, Kaur R, et al. Exploring the role of cathepsin in rheumatoid arthritis. *Saudi J Biol Sci*. 2022; 29:402–10.  
<https://doi.org/10.1016/j.sjbs.2021.09.014>.
  15. Gao S, Zhu H, Zuo X, Luo H. Cathepsin G and Its Role in Inflammation and Autoimmune Diseases. *Arch Rheumatol*. 2018; 33:498–504.  
<https://doi.org/10.5606/archrheumatol.2018.6595>.
  16. Popova-Belova SD, Geneva-Popova MG, Kraev KI, Popova VZ. Serum and Synovial Levels of Cathepsin G and Cathepsin K in Patients with Psoriatic Arthritis and Their Correlation with Disease Activity Indices. *Diagnostics*. 2023; 13:3250.  
<https://doi.org/10.3390/diagnostics13203250>.
  17. Kida I, Kobayashi S, Takeuchi K, Tsuda H, Hashimoto H, Takasaki Y. Antineutrophil cytoplasmic antibodies against myeloperoxidase, proteinase 3, elastase, cathepsin G and lactoferrin in Japanese patients with rheumatoid arthritis. *Mod Rheumatol*. 2011; 21:43–50.  
<https://doi.org/10.3109/s10165-010-0356-9>.
  18. Huang S, Thomsson KA, Jin C, Alweddi S, Struglics A, Rolfson O, et al. Cathepsin g degrades synovial fluid lubricin: relevance for osteoarthritis pathogenesis. *BioRxiv*. 2019:792184.  
<https://doi.org/10.1101/792184>.
  19. Miyata J, Tani K, Sato K, Otsuka S, Urata T, Lkhagvaa B, et al. Cathepsin G: the significance in rheumatoid arthritis as a monocyte chemoattractant. *Rheumatol Int*.

- 2007; 27:375–82.  
<https://doi.org/10.1007/s00296-006-0210-8>.
20. O’Neil LJ, Kaplan MJ. Neutrophils in Rheumatoid Arthritis: Breaking Immune Tolerance and Fueling Disease. *Trends Mol Med.* 2019; 25:215–27.  
<https://doi.org/10.1016/j.molmed.2018.12.008>.
21. Cascão R, Rosário HS, Souto-Carneiro MM, Fonseca JE. Neutrophils in rheumatoid arthritis: More than simple final effectors. *Autoimmun Rev.* 2010; 9:531–5.  
<https://doi.org/10.1016/j.autrev.2009.12.013>.
22. Kosikowska P, Lesner A. Inhibitors of cathepsin G: a patent review (2005 to present). *Expert Opin Ther Pat.* 2013; 23:1611–24.  
<https://doi.org/10.1517/13543776.2013.835397>.
23. Ruge T, Södergren A, Wällberg-Jonsson S, Larsson A, Ärnlov J. Circulating plasma levels of cathepsin S and L are not associated with disease severity in patients with rheumatoid arthritis. *Scand J Rheumatol.* 2014; 43:371–3.  
<https://doi.org/10.3109/03009742.2014.882979>.
24. Weitoft T, Larsson A, Manivel VA, Lysholm J, Knight A, Rönnelid J. Cathepsin S and cathepsin L in serum and synovial fluid in rheumatoid arthritis with and without autoantibodies. *Rheumatol.* 2015; 54:1923–8.  
<https://doi.org/10.1093/rheumatology/keu486>.
25. Zou F, Schäfer N, Palesch D, Brücken R, Beck A, Sienczyk M, et al. Regulation of Cathepsin G Reduces the Activation of Proinsulin-Reactive T Cells from Type 1 Diabetes Patients. *PLoS ONE.* 2011;6: e22815.  
<https://doi.org/10.1371/journal.pone.0022815>.

# Admission Pattern and Treatment Outcome in Pediatric Intensive Care Unit in Al Zahraa Teaching Hospital, Iraq, Najaf

Neam Arkan Mohsen<sup>1</sup> and Alaa Jumaah Manji Nasrawi<sup>2</sup>

<sup>1,2</sup> University of Kufa, Faculty of Medicine, Department of Pediatrics, Iraq.

E-mail: [alaa.j.nasrawi@uokufa.edu.iq](mailto:alaa.j.nasrawi@uokufa.edu.iq)

## ABSTRACT

**Background:** The Pediatric Intensive Care Unit (PICU) represents a specialized healthcare setting dedicated to providing critical care for infants, children, and adolescents facing severe and life-threatening medical conditions. The rate of pediatric mortality in the Pediatric Intensive Care Unit (PICU) varies worldwide, reflecting the diverse healthcare landscapes and socioeconomic factors influencing pediatric critical care outcomes. The objective of this study was to describe the pattern of admission and outcome of patients who received intensive care.

**The objective of this study** was to describe the pattern of admission and outcome of patients who received intensive care.

**Patients and methods:** A retrospective study in which records of admissions (from 1<sup>st</sup> of January 2019 to 31 December 2023) were obtained from the PICU patient's file in Al Zahraa Teaching, Najaf, Iraq. Data used from the records included age, sex, residency, address, source of admission, diagnosis, duration of stay in the unit, need for intubation and mechanical ventilation, and patient outcome. **Results:** A total number of 1501 patients were admitted to the PICU during the study period. The patients were referred mainly from the Emergency Room 47% followed by the neonatal intensive care unit 21%. The case fatality rate was 54.1%. The main cause of death was RDS, sepsis, meningitis, and congenital heart diseases (29.2, 8.5, 6.6, and 6.6% respectively). 47.9% of PICU deaths occurred in patients admitted from the ER followed by NICU 24.2%. (P value 0.043, OR 1.082, 95% CI 1.002-1.168). The mean age of dead PICU patients was 15.697±32.79 months versus 23.301±39.91 months for discharged outcome (P value 0.000). Hospital stay length mean for PICU dead patients was 5.1±3.8 days versus 6.173±3.8 days for discharged patients (P value 0.000). That means the smaller age patients carry a higher risk of death in PICU, also the situation with fewer hospital stays days.

**Conclusions:** This study showed the case fatality rate was high (54.7%). The most common causes of admission and death were RDS (22.7% and 29.2% respectively). The highest percentage of death occurs in the infant age group (78.1%). Neither gender nor residency represents a risk factor for PICU patient's death but endotracheal intubation does. The fewer days of hospital stay carry a higher risk factor for death.

**Keywords:** PICU, NICU, Mortality, Outcome, Cause of death.

## Article Information

Received: March 25, 2024; Revised: May 30, 2024; Online: June, 2024

## INTRUDUCTION

The Pediatric Intensive Care Unit (PICU) represents a specialized healthcare setting dedicated to providing critical care for infants, children, and adolescents facing severe and life-threatening medical conditions. Essential for managing a diverse range of cases, including respiratory distress, cardiac emergencies, neurological disorders, and traumatic injuries, the PICU serves as a vital component within the broader healthcare system <sup>(1)</sup>. Research plays a pivotal role in enhancing the care provided in Pediatric Intensive Care Units (PICUs) and ultimately contributes to improving pediatric mortality outcomes. <sup>(6)</sup> Translating research findings from the Pediatric Intensive Care Unit (PICU) into clinical practice is a critical step in improving patient outcomes. Successful translation involves collaboration among healthcare professionals, administrators, and researchers to overcome barriers and facilitate the adoption of proven interventions <sup>(2)</sup>. Continuous education and training programs ensure that healthcare providers are informed about the latest evidence and can apply it in real-world patient care <sup>(3)</sup>.

### Aims of the Study

The objective of this study was to describe the pattern of admission and outcome of patients who received intensive care.

## PATIENTS AND METHODS

A retrospective study in which records of admissions (from 1<sup>st</sup> of January 2019 to 31 December 2023) were obtained from the PICU records in Al Zahraa Teaching Hospital for child health and maternity. This hospital is a tertiary care center in Al Najaf city, Iraq. It is equipped with a six-bedded modern PICU, which admits pediatric patients from both medical and surgical subspecialties for immediate critical postoperative care. PICU records of all admissions, transfer out, discharges, and deaths were used for this study. Data used from the records included age, sex, residency, address,

source of admission, diagnosis, duration of stay in the unit, need for intubation and mechanical ventilation, and patient outcome.

Ethical approval was given by the Ethics Committee of the pediatrics department.

The data obtained were entered into the Statistical Package for Scientific Solutions (SPSS) version 28.0 spreadsheet and analyzed. Means, standard deviations, percentages, and ranges were used as appropriate to describe continuous variables

## RESULTS

A total number of 1501 patients were admitted to the PICU in Al Zahraa Teaching Hospital for Child Health and Maternity in a period between 1<sup>st</sup> of January 2019 to 31 December 2023. See Figure 1.

The patient's age ranges from 1 to 288 months with an average of  $19.2 \pm 36.4$  months. They had stayed in PICU for an average of  $5.6 \pm 3.48$  days ranging from 30 to 1 day. About Forty percent of patients who admitted to PICU were lived outside Al Najaf city. Other Socio-demographic variables are shown in Table 1.

The main cause of PICU admission was respiratory distress syndrome (RDS), 22.7% followed by pneumonia 7.8%. Other causes are shown in Table 2 in sequences.

The most common body system involved in patients who had been admitted to PICU was the Respiratory system 47.7% followed by surgical cases 14.1%. Other systems involved are shown in Figure 2.

The main hospital department that referred most of the patients to the PICU was the Emergency Room 47% followed by neonatal intensive care unit 21%. Other department percentages are shown in Figure 3.

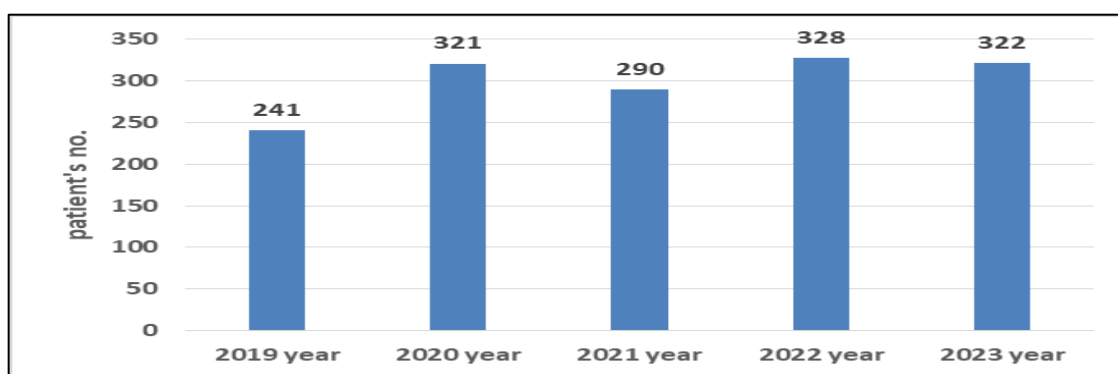
The case fatality in Al Zahraa Teaching Hospital is 54.7%. About 54% of PICU patients required endotracheal intubation and mechanical ventilation. The case fatality in Al Zahraa Teaching Hospital is 54.7%. About 54% of PICU patients required endotracheal intubation

and mechanical ventilation. 64.4% of intubated patients died versus 41.8% discharged (P value 0.000, OR 0.403 95%CI 0.325-0.501). Other patient's characteristics pose no risk factor for death as shown in Table 4.

Respiratory distress syndrome (RDS) followed by sepsis represents the main cause of death in PICU, 29.2% and 8.5% respectively. (P value 0.000, OR 1.058, 95%CI 1.042-1.074). Other diagnoses of PICU patients with their frequencies and percentages are stated in Table 5. , 54.3% of deaths were due to diseases of the respiratory tract followed by the central nervous system at 12.9%. (P value 0.630, OR 0.986, 95%

CI 0.932-1.044). Other systems involved are described in table 6.

47.9% of PICU deaths occurred in patients admitted from the emergency room (ER) followed by neonatal intensive care unit (NICU) 24.2%. (P value 0.043, OR 1.082, 95% CI 1.002-1.168). Other referral wards and their contribution to PICU deaths are shown in Table 7., The mean age of dead PICU patients was  $15.697 \pm 32.79$  months versus  $23.301 \pm 39.91$  months for discharged outcome. (P value 0.000). Hospital stay length also represents a risk factor for PICU patient's death as shown in Table 8.



**Figure 1: Number of patients admitted to PICU for each year.**

**Table 1: Socio-demographic variable of PICU patients.**

| Age group (months) | Patient no. | %          |
|--------------------|-------------|------------|
| < 12               | 1109        | 73.9       |
| 13 to 59           | 192         | 12.8       |
| 60 to 120          | 168         | 11.2       |
| > 120              | 32          | 2.1        |
| <b>Gender</b>      |             |            |
| Male               | 828         | 55.2       |
| Female             | 673         | 44.8       |
| <b>Residency</b>   |             |            |
| Rular              | 842         | 56.1       |
| Urban              | 659         | 43.9       |
| <b>Address</b>     |             |            |
| Najaf              | 876         | 58.4       |
| Diwaniyah          | 303         | 20.2       |
| Samawah            | 224         | 14.9       |
| Hila               | 54          | 3.6        |
| Baghdad            | 36          | 2.4        |
| Others             | 8           | 0.5        |
| <b>Total</b>       | <b>1501</b> | <b>100</b> |

**Table 2: The causes of PICU admission.**

| <b>Diagnosis</b>     | <b>Frequency</b> | <b>Percent</b> |
|----------------------|------------------|----------------|
| RDS                  | 340              | 22.7           |
| Pneumonia            | 117              | 7.8            |
| CHD                  | 99               | 6.6            |
| Sepsis               | 94               | 6.3            |
| Meningitis           | 81               | 5.4            |
| Intussusception      | 79               | 5.3            |
| DKA                  | 67               | 4.5            |
| Renal Failure        | 61               | 4.1            |
| Status Epilepticus   | 50               | 3.3            |
| Pneumothorax         | 49               | 3.3            |
| Diaphragmatic Hernia | 46               | 3.1            |
| Surgical Cases       | 45               | 3.0            |
| ICH                  | 40               | 2.7            |
| Metabolic            | 34               | 2.3            |
| Unknown              | 30               | 2.0            |
| HIEP                 | 28               | 1.9            |
| TEF                  | 23               | 1.5            |
| Electrical Shock     | 22               | 1.5            |
| Poisoning            | 20               | 1.3            |
| Hepatic Failure      | 20               | 1.3            |
| Severe Dehydration   | 20               | 1.3            |
| Scorpion Bite        | 18               | 1.2            |
| COVID-19             | 16               | 1.1            |
| Status Asthmaticus   | 16               | 1.1            |
| GBS                  | 14               | 0.9            |
| Submersion           | 14               | 0.9            |
| CRRT                 | 11               | 0.7            |
| Gastroschisis        | 10               | 0.7            |
| Omphalocele          | 9                | 0.6            |
| Burn                 | 9                | 0.6            |
| HBV                  | 6                | 0.4            |
| Croup                | 5                | 0.3            |
| Tetanus              | 4                | 0.3            |
| Drug Poisoning       | 2                | 0.1            |
| Miscellaneous        | 2                | 0.1            |
| Total                | 1501             | 100.0          |

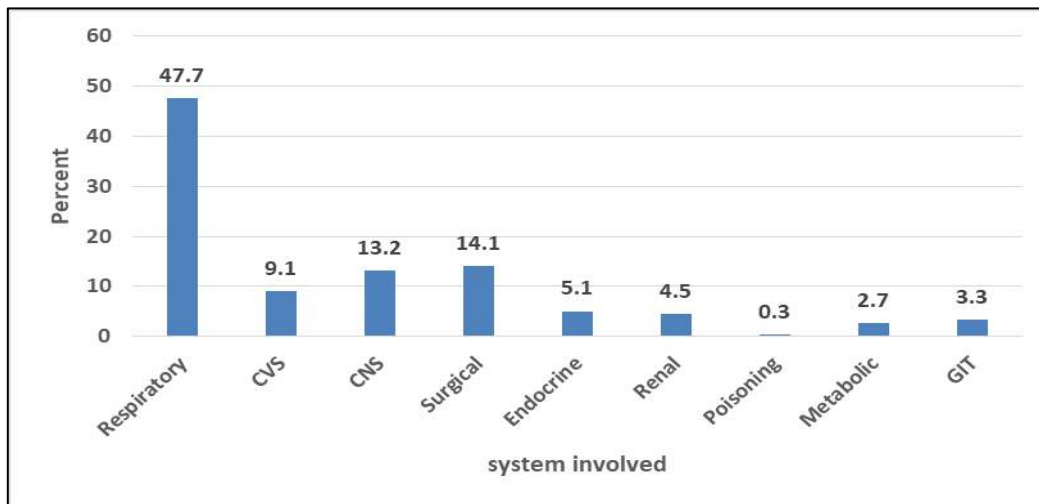


Figure 2: System involved in patients admitted to PICU.

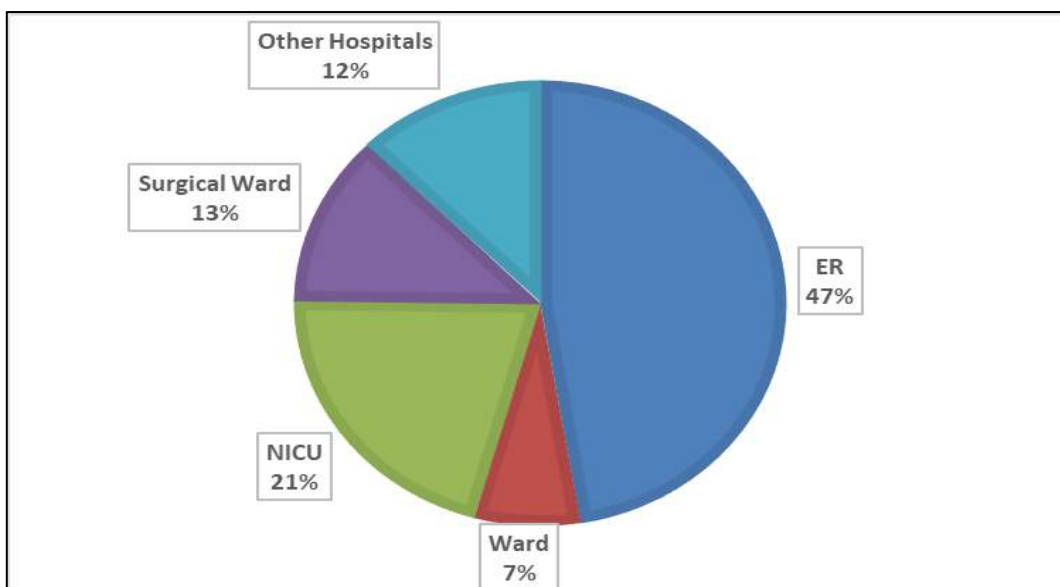


Figure 3: the main hospital departments that referred patients to PICU.

Table 3: The outcome of patients admitted to PICU.

| Variables |            | Frequency | Percent |
|-----------|------------|-----------|---------|
| ET        | No         | 687       | 45.8    |
|           | Yes        | 814       | 54.2    |
| Fate      | Dead       | 821       | 54.7    |
|           | Discharged | 680       | 45.3    |
| Total     |            | 1501      | 100.0   |

Table 4: Socio-demographic variable and outcome of patients in PICU.

|                     |           | Fate |      |            |      | P value | OR    | 95% CI |       |
|---------------------|-----------|------|------|------------|------|---------|-------|--------|-------|
|                     |           | Dead |      | Discharged |      |         |       | Lower  | Upper |
|                     |           | N    | %    | N          | %    |         |       |        |       |
| Gender              | Male      | 442  | 53.8 | 386        | 56.8 | 0.197   | 0.859 | 0.683  | 1.082 |
|                     | Female    | 379  | 46.2 | 293        | 43.2 |         |       |        |       |
| Residency           | Rular     | 471  | 57.4 | 370        | 54.5 | 0.298   | 1.130 | 0.898  | 1.422 |
|                     | Urban     | 350  | 42.6 | 309        | 45.5 |         |       |        |       |
| ET                  | NO        | 292  | 35.6 | 395        | 58.2 | 0.000   | 0.403 | 0.325  | 0.501 |
|                     | Yes       | 529  | 64.4 | 284        | 41.8 |         |       |        |       |
| Age Groups (months) | < 12      | 641  | 78.1 | 468        | 68.9 | 0.004   | 1.253 | 1.077  | 1.458 |
|                     | 13 to 59  | 99   | 12.1 | 93         | 13.7 |         |       |        |       |
|                     | 60 to 120 | 66   | 8    | 101        | 14.9 |         |       |        |       |
|                     | > 120     | 15   | 1.8  | 17         | 2.5  |         |       |        |       |

Table 5: Diagnosis of patients admitted to PICU and their outcome.

| Diagnosis            | Fate |      |            |      |       |      | P value | OR    | 95% CI |       |
|----------------------|------|------|------------|------|-------|------|---------|-------|--------|-------|
|                      | Dead |      | Discharged |      | Total |      |         |       | Lower  | Upper |
|                      | N    | %    | N          | %    | N     | %    |         |       |        |       |
| Unknown              | 30   | 3.7  | 0          | 0    | 30    | 2    | 0.000   | 1.058 | 1.042  | 1.074 |
| Meningitis           | 54   | 6.6  | 27         | 4    | 81    | 5.4  |         |       |        |       |
| RDS                  | 239  | 29.2 | 101        | 14.9 | 340   | 22.7 |         |       |        |       |
| Pneumothorax         | 16   | 2    | 33         | 4.9  | 49    | 3.3  |         |       |        |       |
| CHD                  | 54   | 6.6  | 45         | 6.6  | 99    | 6.6  |         |       |        |       |
| Sepsis               | 70   | 8.5  | 24         | 3.5  | 94    | 6.3  |         |       |        |       |
| Surgical Cases       | 15   | 1.8  | 30         | 4.4  | 45    | 3    |         |       |        |       |
| GBS                  | 12   | 1.5  | 2          | 0.3  | 14    | 0.9  |         |       |        |       |
| Renal Failure        | 42   | 5.1  | 19         | 2.8  | 61    | 4.1  |         |       |        |       |
| Status Epilepticus   | 13   | 1.6  | 37         | 5.4  | 50    | 3.3  |         |       |        |       |
| Pneumonia            | 51   | 6.2  | 65         | 9.6  | 116   | 7.7  |         |       |        |       |
| DKA                  | 21   | 2.6  | 46         | 6.8  | 67    | 4.5  |         |       |        |       |
| Poisoning            | 13   | 1.6  | 7          | 1    | 20    | 1.3  |         |       |        |       |
| TEF                  | 16   | 2    | 7          | 1    | 23    | 1.5  |         |       |        |       |
| Intussusception      | 39   | 4.8  | 40         | 5.9  | 79    | 5.3  |         |       |        |       |
| Diaphragmatic Hernia | 18   | 2.2  | 28         | 4.1  | 46    | 3.1  |         |       |        |       |
| Omphalocele          | 2    | 0.2  | 7          | 1    | 9     | 0.6  |         |       |        |       |
| Metabolic            | 28   | 3.4  | 6          | 0.9  | 34    | 2.3  |         |       |        |       |
| Electrical Shock     | 7    | 0.9  | 15         | 2.2  | 22    | 1.5  |         |       |        |       |
| ICH                  | 22   | 2.7  | 18         | 2.7  | 40    | 2.7  |         |       |        |       |
| Burn                 | 4    | 0.5  | 5          | 0.7  | 9     | 0.6  |         |       |        |       |
| HBV                  | 2    | 0.2  | 4          | 0.6  | 6     | 0.4  |         |       |        |       |
| Scorpion Bite        | 1    | 0.1  | 17         | 2.5  | 18    | 1.2  |         |       |        |       |
| Submersion           | 6    | 0.7  | 8          | 1.2  | 14    | 0.9  |         |       |        |       |
| Hepatic Failure      | 5    | 0.6  | 15         | 2.2  | 20    | 1.3  |         |       |        |       |
| HIEP                 | 19   | 2.3  | 9          | 1.3  | 28    | 1.9  |         |       |        |       |
| COVID-19             | 6    | 0.7  | 10         | 1.5  | 16    | 1.1  |         |       |        |       |

|                    |   |     |    |     |    |     |  |  |  |
|--------------------|---|-----|----|-----|----|-----|--|--|--|
| Gastroschisis      | 1 | 0.1 | 9  | 1.3 | 10 | 0.7 |  |  |  |
| Status Asthmaticus | 3 | 0.4 | 13 | 1.9 | 16 | 1.1 |  |  |  |
| Drug Poisoning     | 2 | 0.2 | 0  | 0   | 2  | 0.1 |  |  |  |
| Tetanus            | 4 | 0.5 | 0  | 0   | 4  | 0.3 |  |  |  |
| Severe Dehydration | 2 | 0.2 | 18 | 2.7 | 20 | 1.3 |  |  |  |
| Croup              | 2 | 0.2 | 3  | 0.4 | 5  | 0.3 |  |  |  |
| CRRT               | 0 | 0   | 11 | 1.6 | 11 | 0.7 |  |  |  |

Table 6: Body systems involved and PICU patient outcomes.

| System Involved | Fate |      |            |      | P value | OR    | 95% CI |       |
|-----------------|------|------|------------|------|---------|-------|--------|-------|
|                 | Dead |      | Discharged |      |         |       | Lower  | Upper |
|                 | N    | %    | N          | %    |         |       |        |       |
| Respiratory     | 446  | 54.3 | 269        | 39.6 | 0.630   | 0.986 | 0.932  | 1.044 |
| CVS             | 65   | 7.9  | 72         | 10.6 |         |       |        |       |
| CNS             | 106  | 12.9 | 92         | 13.5 |         |       |        |       |
| Surgical        | 89   | 10.8 | 122        | 18   |         |       |        |       |
| Endocrine       | 27   | 3.3  | 49         | 7.2  |         |       |        |       |
| Renal           | 40   | 4.9  | 28         | 4.1  |         |       |        |       |
| Poisoning       | 3    | 0.4  | 2          | 0.3  |         |       |        |       |
| Metabolic       | 33   | 4    | 7          | 1    |         |       |        |       |
| GIT             | 12   | 1.5  | 38         | 5.6  |         |       |        |       |

Table 7: Referral wards to PICU and patient outcomes.

| Referral Ward   | Fate |      |            |      | P value | OR    | 95% CI |       |
|-----------------|------|------|------------|------|---------|-------|--------|-------|
|                 | Dead |      | Discharged |      |         |       | Lower  | Upper |
|                 | N    | %    | N          | %    |         |       |        |       |
| ER              | 393  | 47.9 | 317        | 46.7 | 0.043   | 1.082 | 1.002  | 1.168 |
| Ward            | 56   | 6.8  | 49         | 7.2  |         |       |        |       |
| NICU            | 199  | 24.2 | 114        | 16.8 |         |       |        |       |
| Surgical Ward   | 72   | 8.8  | 114        | 16.8 |         |       |        |       |
| Other Hospitals | 101  | 12.3 | 85         | 12.5 |         |       |        |       |

Table 8: hospital stay and patient age in relation to their outcome.

|                      | fate       | N   | Mean   | Std. Deviation | P value |
|----------------------|------------|-----|--------|----------------|---------|
| hospital stay (days) | Dead       | 821 | 5.107  | 3.8            | 0.000   |
|                      | Discharged | 676 | 6.173  | 3.8            |         |
| Age (months)         | Dead       | 821 | 15.697 | 32.79          | 0.000   |
|                      | Discharged | 677 | 23.301 | 39.91          |         |

## DISCUSSION

This study looked at treatment outcomes and associated factors in pediatric patients admitted to the PICU. The PICU is a special unit that focuses on the care of critically ill patients. To achieve a positive outcome, all aspects of patient management must be thoroughly understood. <sup>(4)</sup>A pediatric intensive care unit's goal is to prevent death by closely monitoring and treating critically ill children who are considered to be at higher risk of death.

During the study period (from 2019 till 2023) a total number of 1501 patients had been admitted to PICU. This admission rate was less than that described by Parasher et al <sup>(5)</sup> who counted 2810 patients admitted into PICU in Udaipur district of Rajasthan in India from January 2016 to December 2020. However, their PICU was of ten beds and the city has a higher population number. The highest percentage of patients age group admitted to PICU was infants (73.9%), this goes with Parasher et al <sup>(5)</sup>, Edae et al <sup>(6)</sup>, and Gresh et al <sup>(7)</sup>.

Of PICU patients, 55.2% were male versus 44.8% female. Male predominance also has been described by Parasher et al <sup>(5)</sup>, Edae et al <sup>(6)</sup>, and Abhulimhen-Iyoha <sup>(17 8)</sup>. Male gender is a known risk factor for many diseases. <sup>(9)</sup> Rural residents resemble 56.1% versus 53.9% of urban residents. This finding is against Dendir et al <sup>(10)</sup> who count 53.3% urban versus 46.7% rural residents. A total percent of 43.6 PICU patients were outside Najaf city, many of them from rural areas in which the health care standards are below optimum.

The main cause of PICU admission was respiratory distress syndrome (RDS), 22.7% followed by pneumonia, congenital heart diseases, and sepsis, 7.8%, 6.6%, and 6.3% respectively. Parasher et al <sup>(5)</sup> describes a 39.2% of admission were due to surgical diseases followed by pneumonia 17.3% and sepsis 15.2%. Edae et al <sup>(6)</sup> show that 14.2% of patients were admitted due to acute kidney injury followed by meningitis and pneumonia, 12.3%

and 11.5% respectively. This difference may be attributed to the presence of assisted ventilation facilities within neonatal intensive care in these hospitals which is not the situation in ours that required referral of neonates with RDS to PICU. According to the above-mentioned findings, logically respiratory system is the most involved system in PICU patients accounting for 47.7%. Tazebew et al [11], also describe that the respiratory system is the mainly involved system in PICU patients in Addis Ababa, the capital city of Ethiopia but with a lower percentage (10%). Unlike Abhulimhen-Iyoha <sup>(8)</sup> who stated that 41.1% of PICU patients in India were admitted due to cardiovascular diseases.

By far, the emergency room (ER) was the main referral hospital ward to PICU with 47% followed by NICU at 21%. This is quite logical and supported by Edae et al <sup>(47)</sup> who found that 52.3% of PICU patients were referred from ER. The case fatality in Al Zahraa Teaching Hospital PICU was 54.7%. this mortality rate is lower than that found by Dendir et al <sup>(10)</sup> (71.8%) and much higher than that found by Parasher et al <sup>(14 5)</sup> and Abhulimhen-Iyoha <sup>(8)</sup>, 2.1% and 2.4% respectively. I think that adding the NICU critically ill patients to the PICU may augment its mortality rate as the highest death in the pediatric age group is during the neonatal period.

54.2% of PICU patients required intonation and mechanical ventilation, again this is logical because the main system involved was the respiratory. This is close to Dendir et al <sup>(10)</sup> findings, of 43.4%, and against Tazebew et al <sup>(11)</sup> who count only 10% of PICU patients who need mechanical ventilation.

Neither gender nor residency resembles a risk factor for death in PICU patients (P value 0.197, OR 0.859, 95% CI 0.683-1.082, P value 0.298, OR 1.130, 95% CI 0.898-1.422 respectively). These findings are in agreement with Edae et al <sup>(6)</sup>, Abhulimhen-Iyoha <sup>(17 8)</sup>, and Tazebew et al <sup>(11)</sup>. We have found that 64.4% of intubated

PICU patients, were died (P value 0.000, OR 0.403, 95% CI 0.325-0.501). This came in agreement with Dendir et al <sup>(10)</sup> who found that 61% mortality rate in PICU intubated patients. The infant age group resembles 78.1% of the case mortality rate in comparison to other age groups in PICU patients. A closer mortality rate for this age group was also described by Parasher et al <sup>(5)</sup> and Edae et al <sup>(6)</sup>.

We have found that the main cause of death in PICU patients was RDS followed by sepsis, meningitis, congenital heart disease, and pneumonia (29.2%, 8.5%, 6.6%, 6.6%, and 6.2% respectively). According to Parasher et al [5], 38% of PICU deaths were due to sepsis, followed by meningitis (32.2%), congenital heart disease (29.9%), and pneumonia (22%). If we omit the burden of NICU-referred patients we can match the results of the leading cause of death. According to the above-mentioned results, the main system involved in PICU death was the respiratory system (54.3%) followed by the central nervous system, surgical cases, and cardiovascular systems (12.9%, 10.8%, and 7.9 respectively).

Out of total PICU death; 47.9% occurred in patients admitted from the emergency room (ER) followed by neonatal intensive care unit (NICU) 24.2%. (P value 0.043, OR 1.082, 95% CI 1.002-1.168). both of these wards deal with critically ill patients and resemble the main referral source for PICU admission. Edae et al <sup>(6)</sup> have found that 52% of PICU patients were admitted from ER, however, NICU contributed to only 1.2%.

The mean age of dead PICU patients was  $15.697 \pm 32.79$  months versus  $23.301 \pm 39.91$  months for discharged outcome (P value 0.000). Hospital stay length mean for PICU dead patients was  $5.1 \pm 3.8$  days versus  $6.173 \pm 3.8$  days for discharged patients (P value 0.000). That means the smaller age patients carry a higher risk of death in PICU, also the situation with fewer hospital stays days. Tazebew et al <sup>(11)</sup>

state that the majority of the children (84.2%) stayed in the ICU for less than seven days.

## CONCLUSIONS

In conclusion, this study showed the case fatality rate was high (54.7%). The most common causes of admission and death were RDS (22.7% and 29.2% respectively). The highest percentage of death occurs in the infant age group (78.1%). Nearly half of PICU cases were referred from ER (47%) followed by NICU (21%). Neither gender nor residency represents a risk factor for PICU patient's death but endotracheal intubation does. The fewer days of hospital stay carry a higher risk factor for death

## RECOMMENDATIONS

1. Minimizing the death rate in Pediatric Intensive Care Units (PICU) involves a multifaceted approach that includes:
  - A. Improving Quality of Care: Implementing evidence-based clinical guidelines, continuous staff education, and training can enhance patient care.
  - B. Early Recognition and Management: Prompt identification and treatment of critical conditions can improve outcomes. This includes the use of early warning systems and rapid response teams.
  - C. Regular Audits and Feedback: Conducting regular audits of PICU practices and outcomes, followed by feedback, can help identify areas for improvement.
2. Respiratory support by mechanical ventilation should be settled within the NICU to minimize the burden upon the PICU.
3. More focus should be directed toward the main cause of PICU death namely RDS, by revision of standard management consequences and staff training.

## Limitations

Paperwork with patient's files is time-consuming with missing information. An electronic database may give more accurate and easy-to-access patient information.

## REFERENCES

1. Tasker R. C., & Pediatric Intensive Care Society Study Group. (2005). Paediatric intensive care: principles of practice. *Pediatric Anesthesia*, 15(10), 801-805.
2. Curley, M. A. Q., Wypij, D., Watson, R. S., Grant, M. J., Asaro, L. A., Cheifetz, I. M., ... & Matthay, M. A. (2016). Protocolized sedation vs usual care in pediatric patients mechanically ventilated for acute respiratory failure: a randomized clinical trial. *JAMA*, 315(5), 456-465.
3. Kleinpell, R., Ely, E. W., Williams, G., Liolios, A., Ward, N., & Tisherman, S. A. (2017). Promoting evidence-based practice and nursing research through a mentoring program for acute care nurse practitioners. *AACN Advanced Critical Care*, 28(4), 359-366.
4. Downes JJ. Development of pediatric critical care medicine—how did we get here and why? In: *Science and Practice of Pediatric Critical Care Medicine*. Springer; 2009:1–28.
5. Parasher, V., Shaha, S., Khatri, R., Yadav, S., Das, S., & Mittal, U. (2021, April 27). Pattern of admission and clinical outcome of patients admitted in pediatric intensive care unit of a rural tertiary health care centre. *International Journal of Contemporary Pediatrics*, 8(5), 849. <https://doi.org/10.18203/2349-3291.ijcp20211675>.
6. Edae, G., Tekleab, A. M., Getachew, M., & Bacha, T. (2022). Admission Pattern and Treatment Outcome in Pediatric Intensive Care Unit, Tertiary Hospital, Addis Ababa, Ethiopia. *Ethiopian journal of health sciences*, 32(3), 497–504. <https://doi.org/10.4314/ejhs.v32i3.4>.
7. Gresh, H., & Othman, R. (2018, December 31). Admission Patterns and Outcome in a Pediatric Intensive Care Unit at Tobruk Hospital. *Al-Mukhtar Journal of Sciences*, 33(4), 298–305. <https://doi.org/10.54172/mjsc.v33i4.293>.
8. Abhulimhen-Iyoha, B. I., Pooboni, S. K., & Vuppali, N. K. K. (2014, January). Morbidity Pattern and Outcome of Patients Admitted into a Pediatric Intensive Care Unit in India. *Indian Journal of Clinical Medicine*, 5, IJCM.S13902. <https://doi.org/10.4137/ijcm.s13902>.
9. Vlassoff C. (2007). Gender differences in determinants and consequences of health and illness. *Journal of health, population, and nutrition*, 25(1), 47–61.
10. Dendir, G., Awoke, N., Alemu, A., Sintayhu, A., Eanga, S., Teshome, M., Zerfu, M., Tila, M., Dessu, B. K., Efa, A. G., & Gashaw, A. (2023, March). Factors Associated with the Outcome of a Pediatric Patients Admitted to Intensive Care Unit in Resource-Limited Setup: Cross-Sectional Study. *Pediatric Health, Medicine and Therapeutics*, Volume 14, 71–79. <https://doi.org/10.2147/phmt.s389404>.
11. Tazebew A, Tilahun BC, Heye TB. Admission Pattern and Outcome in a Pediatric Intensive Care Unit of Gondar University Hospital. *Ethiop Med J*. 2019;57(2).

## Causes of Neonatal Re-admission in 24 hours after Cesarean Section

Hayder Abdulsalam Alnakkash<sup>1</sup>, Raid Mohammed Ridha Umran<sup>2</sup> and Alaa Jumaah Manji Nasrawi<sup>3</sup>

<sup>1</sup> AL Resafah Health Directorate, Baghdad, Iraq.

<sup>2,3</sup> University of Kufa, Faculty of Medicine, Department of Pediatrics, Iraq.

Email: [alaaj.nasrawi@uokufa.edu.iq](mailto:alaaj.nasrawi@uokufa.edu.iq)

### ABSTRACT

**Background:** According to the American Academy of Pediatrics (AAP), a mother who gives birth to a healthy, term baby should stay in the hospital for as long as necessary to detect any possible issues and give caregivers time to get the child (and family) ready for release. The infant's risk of readmission may be heightened by diagnostic mistakes, or misdiagnosis, and inadequate assessment by healthcare personnel. In order to assess the frequency and cause of hospital readmissions within the first twenty-four hours of life, this study will examine the local pattern of neonatal readmissions in healthy infants.

**Patients and methods:** This cross-sectional study included 33 neonates who had a c-section birth, after being discharged, and readmission within 24 hours of delivery. The following newborn characteristics were examined: gestational age, birth weight, gender, duration of initial hospital stay (LOS), infant feeding method, and the type of ward from which the neonate was released following the initial evaluation. The following factors related to pregnancy and delivery were evaluated: advanced maternal age (>35 years), low socioeconomic status, low maternal education, high birth order (>2), joint family, rural area residents, primigravida, premature rupture of membranes (PROM), chorioamnionitis, preeclampsia, diabetes, psychosocial issues, thyroid disorders, and epidural/spinal anesthesia.

**Results:** Sixteen patients out of 33 readmitted neonates were male. the rate of neonatal readmission was 1.34%. Their average birth weight and gestational age were  $2918.2 \pm 619.2$  gm and  $36.8 \pm 21.72$  weeks respectively. They spent  $2.62 \pm 1.83$  hrs. and readmitted at  $7.82 \pm 5.35$  hrs. intervals. The main causes of readmission were vomiting and poor feeding. Regarding the maternal factors; epidural anesthesia and low education was the most significant risk factors.

**Conclusions:** An important component of the quality-of-care consequences of existing discharge rules and procedures may be revealed by this study. We come to the conclusion that vomiting and poor feeding are the main causes of hospital readmission in the first 24 hours of life, necessitating a proper intervention in the early hours of life. The early development of efficient lactation should be the focus of efforts to lessen digestive issues.

**Keywords:** Neonatal Readmission, Hospital Stay, Early Discharge.

### Article Information

Received: March 28, 2024; Revised: May 25, 2024; Online: June, 2024

## INTRUDUCTION

According to the American Academy of Pediatrics (AAP), a mother who gives birth to a healthy-term baby should stay in the hospital for as long as necessary to detect any possible issues and give caregivers time to get the child (and family) ready for release. Diagnostic mistakes, or misdiagnosis, and inadequate assessment by healthcare personnel may heighten the infant's risk of readmission.<sup>[1]</sup> Over the past 20 years, there has been a noticeable decline in the average length of hospital stay for women and babies. This decrease can be attributed to the hospital's bed capacity limitations as well as the desire of families to lower hospital stay costs.<sup>[2]</sup> Iraq's "Early Discharge" (ED) policy is primarily motivated by a shortage of hospital beds rather than budgetary limitations because the country's healthcare system is essentially free. There are 1.3 hospital beds in Iraq for every 1,000 people. Here, 2.9 beds is the worldwide mean.<sup>[3]</sup> A readmission occurs when a patient is admitted to the same hospital or another hospital within 30 days of being discharged.<sup>[4]</sup> Over the last ten years, readmission rates for term-born babies have been less than 1.3% in the US and less than 8% worldwide. The literature has examined factors that may have an effect on readmission of neonates. These include readmission-influencing variables related to mothers, newborns, institutions, and healthcare providers.<sup>[5]</sup>

Many studies examined the causes of neonatal readmission. In 2013, Young et al conducted a study in the USA and found that 5308 out of 296,114 births of health discharge neonates were readmitted to the hospital within 28 days, i.e., (1.8%). Feeding problems were the cause for the majority of readmissions (41%), followed by jaundice (35%).<sup>[6]</sup> A study by Alsulami and Al Saif<sup>[7]</sup> conducted at King Abdulaziz Medical City (KAMC), Riyadh, Saudi Arabia, between 2010 and 2011, showed that 947 out of 16,844 neonates (1.34%) were either readmitted to the hospital or had visited

the emergency room (ER) within 7 days from discharge. Moreover, jaundice was the most common cause of readmission/ER visits<sup>(38%).(8)</sup>. No study has assessed the etiology of neonatal readmissions in Iraq. Normal vaginal deliveries of newborns are released from most public sector hospitals as soon as the mother and child are stable, whereas cesarean deliveries usually result in the mother and child being released on the second day following birth. To assess the frequency and cause of hospital readmissions within the first 24 hours of life, the current study examined the local pattern of neonatal readmissions in healthy infants.

## PATIENTS AND METHODS

This cross-sectional study was carried out between December 1, 2022, and June 1, 2023. The study included 33 neonate patients

### The Study Design

It is a cross-sectional study.

### Exclusion criteria

1. Newborns who were deemed healthy and free of prenatal or postnatal issues at the time of discharge.
2. A c-section birth result.
3. After being discharged, readmission within 24 hours of delivery.
4. Unexpected visit to the hospital's emergency room or NICU.

Neonatal patients delivered in another hospital were an exclusion criterion. The investigation did not include mothers with a history of preterm birth, infants with intrauterine growth retardation (IUGR), congenital malformations, birth asphyxia, or respiratory distress.

Retrospective file reviews of the hospital's medical records were used to gather clinical information on both the mother and the child. Three files per readmission were examined: one from the readmission of the infant, one from the admission of the newborn's birth, and one from the admittance of the mother's. The following

newborn characteristics were examined: gestational age, birth weight, gender, duration of initial hospital stay (LOS), infant feeding method, and the type of ward from which the neonate was released following the initial evaluation.

The following factors related to pregnancy and delivery were evaluated: advanced maternal age (>35 years), low socioeconomic status, low maternal education, high birth order (>2), joint family, rural area residents, primigravida, premature rupture of membranes (PROM), chorioamnionitis, preeclampsia, diabetes,

psychosocial issues, thyroid disorders, and epidural/spinal anesthesia delivery.

### Data collection

Using Excel from Microsoft Office 2019, all information was gathered and examined. All neonatal and maternal variables' means, standard deviations, maximums, minimums, and frequencies were computed. The scientific committee of the pediatrics division at the University of Kufa's faculty of medicine gave the study its ethical blessing.

## RESULTS

During the study period, a total number of 33 neonates were enrolled in the study as their readmission criteria fit the study's inclusion criteria. The average birth weight of enrolled patients was  $2918.2 \pm 619.2$  gm, ranging from 4800 to 2000 gm. Other neonatal criteria were listed in Table 1. Sixteen patients out of a total of 33 readmitted neonates were male, Figure 1.

The number of cesarean sections that had been done in Al Zahraa teaching hospital in the study period (i.e., between the 1st of September 2022 till the 1st of June 2023) were 3118 cesareans, of which 657 neonates had been admitted directly to NICU due to various medical problems. So, 2461 cesareans produce healthy neonates that considered for home discharge with their mothers was 2461, so the rate of neonatal readmission was 1.34%. The causes of neonatal readmission within 24 hours of birth were as the following: About half of thirty-three readmitted neonate patients were exclusively breastfed. About 90% of readmitted neonate patients were initially discharged to shared hospital wards. Many maternal risk factors had been studied that may be related to neonatal readmission. All of the thirty-three readmitted neonate mothers were delivered by spinal anesthesia. Other risk factors are stated in

Figure 4. Each presumed maternal risk factor was studied in comparison with the length of initial hospital stay, Table 2.

All the thirty-three readmitted neonates were discharged well to home. Twenty-two patients out of a total number of 33 enrolled neonates were discharged initially from NICU within a period of less than 2 hours, Figure 6.

**Table (1): The neonatal criteria of readmitted patients.**

| Variables                                 | Mean    | Std. Deviation |
|---|---------|----------------|
| GA (wks.)                                 | 36.82   | 1.72           |
| Birth Weight (gm)                         | 2918.18 | 619.2          |
| Length of Initial Stay (hrs.)             | 2.62    | 1.83           |
| Interval Discharge and Readmission (hrs.) | 7.82    | 5.35           |
| APGAR score 1 min                         | 6.6     | 1.1            |
| APGAR score 5 min                         | 8.7     | 0.8            |

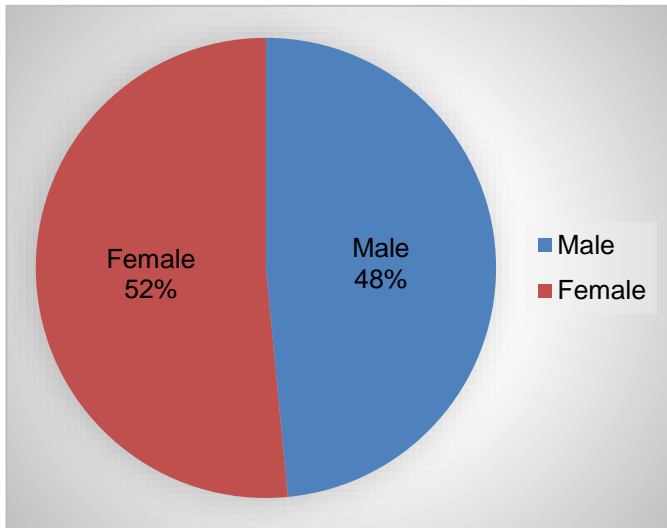


Figure 1: Sex distribution of enrolled patients.

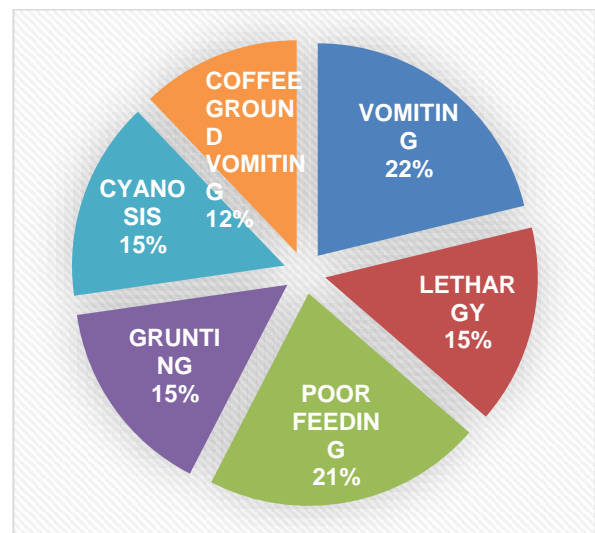


Figure 2: causes of neonatal readmission.

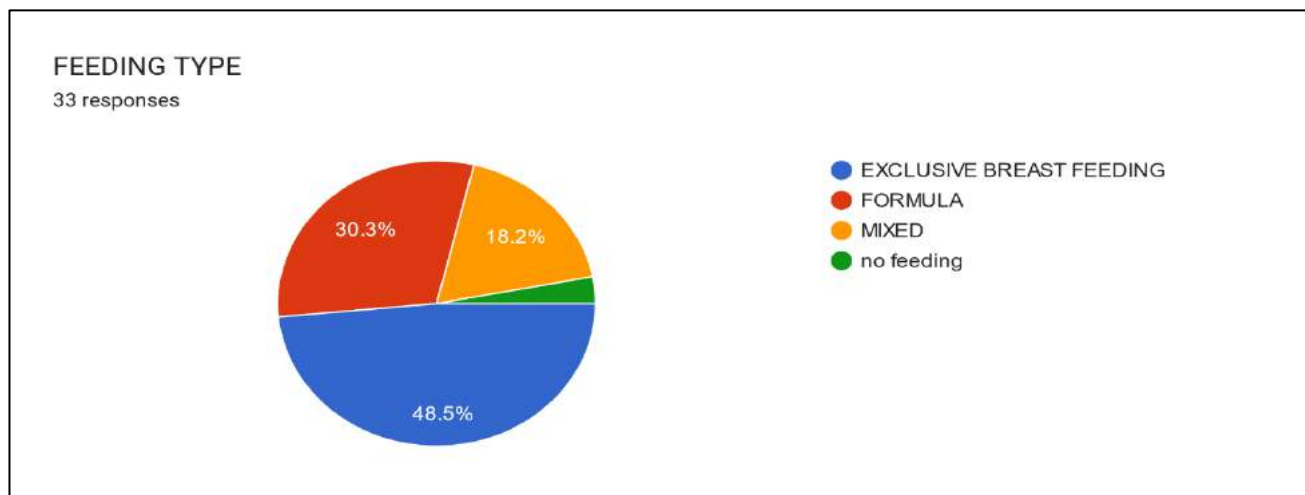


Figure 3: Type of feeding in the enrolled patients.

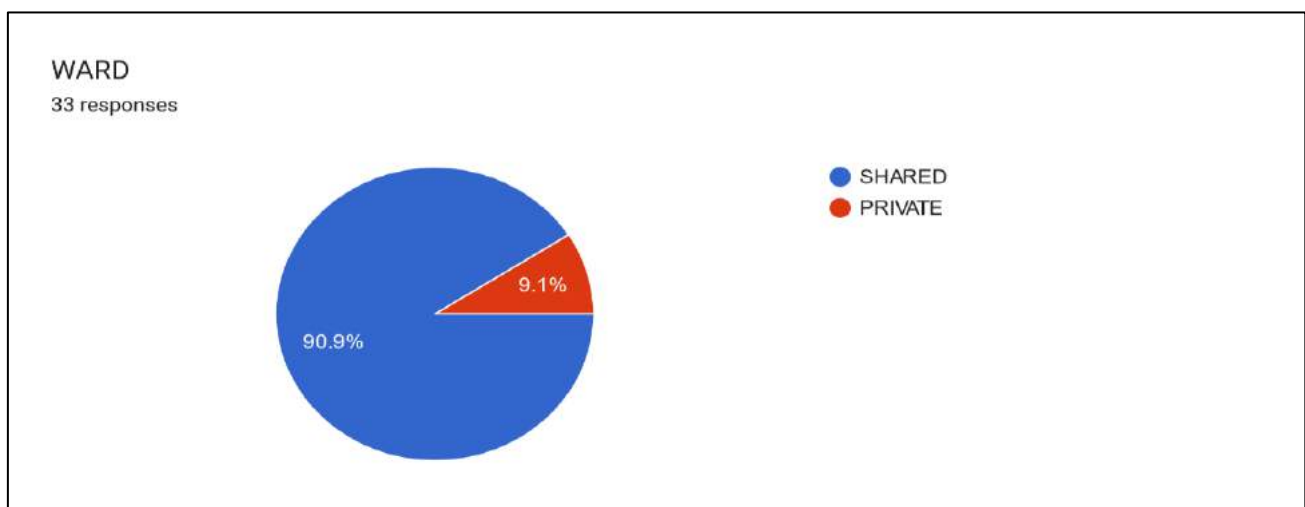


Figure 4: Type of discharged hospital ward.

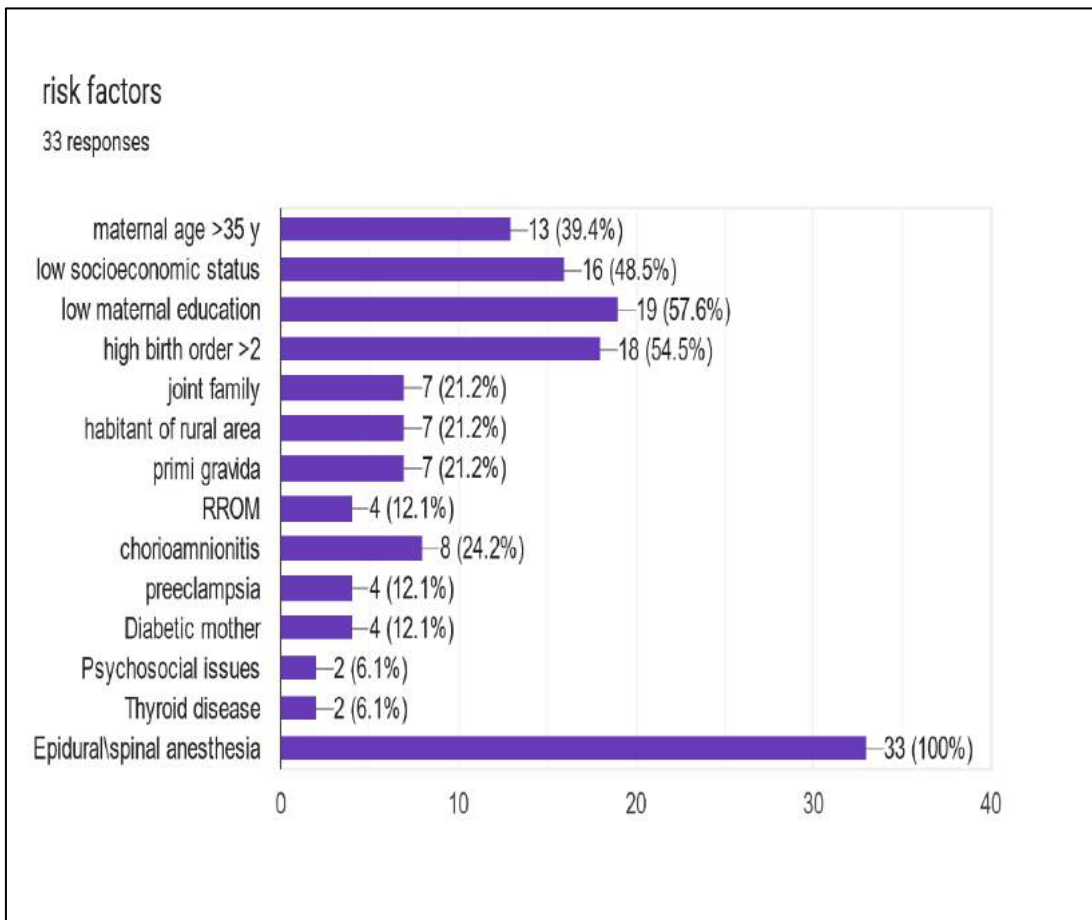


Figure 5: Maternal risk factors that may be associated with neonatal readmission.

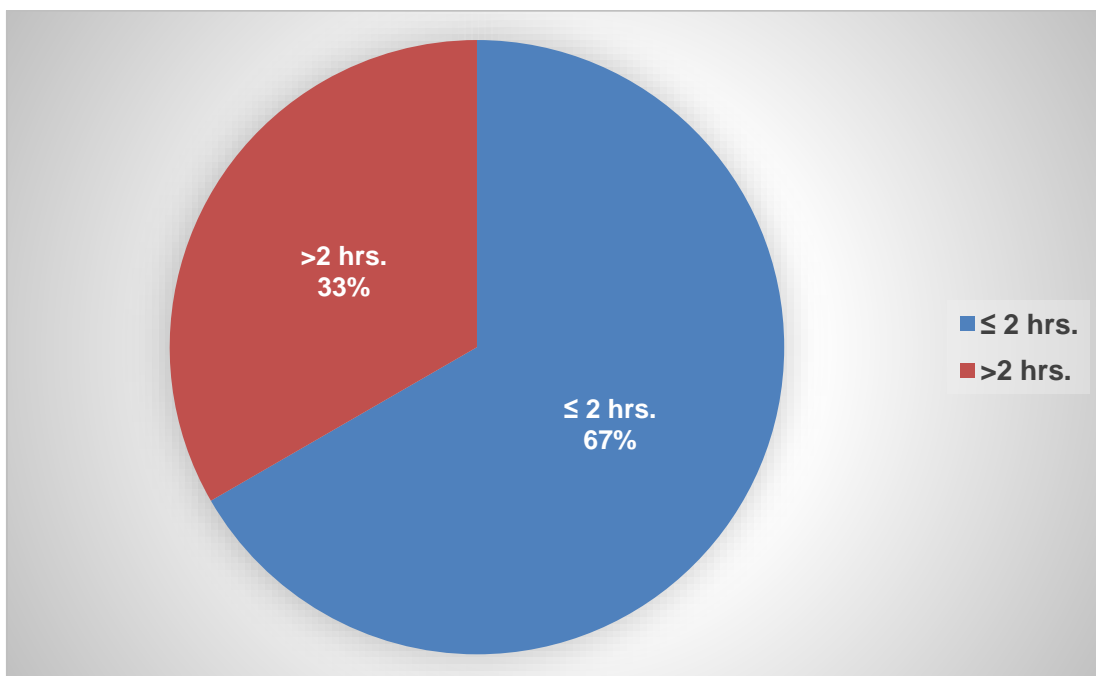


Figure 6: the percent of early vs late initial NICU discharge.

**Table 2: Comparison of maternal risk factors with the initial hospital stay.**

|                          |         | Length of stay groups |         | Total | Odd ratio | P value | 95% CI        |
|--------------------------|---------|-----------------------|---------|-------|-----------|---------|---------------|
|                          |         | ≤2 hrs.               | >2 hrs. |       |           |         |               |
| Sex                      | Male    | 11                    | 5       | 16    | 1.2       | 1.000   | 0.281 – 5.124 |
|                          | female  | 11                    | 6       | 17    |           |         |               |
| Ward                     | Private | 3                     | 0       | 3     | 1.579     | 0.534   | 1.2 – 2.07    |
|                          | Shared  | 19                    | 11      | 30    |           |         |               |
| Advanced maternal age    | No      | 13                    | 7       | 20    | 0.825     | 1.000   | 0.185 – 3.676 |
|                          | yes     | 9                     | 4       | 13    |           |         |               |
| Low socioeconomic status | No      | 12                    | 6       | 18    | 1.000     | 1.000   | 0.234 – 4.278 |
|                          | yes     | 10                    | 5       | 15    |           |         |               |
| low maternal education   | No      | 11                    | 3       | 14    | 2.667     | 0.278   | 0.55 – 12.79  |
|                          | yes     | 11                    | 8       | 19    |           |         |               |
| high birth order         | No      | 10                    | 5       | 15    | 1.000     | 1.000   | 0.234 – 4.278 |
|                          | Yes     | 12                    | 6       | 18    |           |         |               |
| joint Family             | No      | 18                    | 7       | 25    | 2.571     | 0.391   | 0.5 – 13.22   |
|                          | Yes     | 4                     | 4       | 8     |           |         |               |
| Habitants of rural area  | No      | 16                    | 9       | 25    | 0.593     | 0.687   | 0.098 – 3.57  |
|                          | Yes     | 6                     | 2       | 8     |           |         |               |
| Primi gravida            | No      | 18                    | 9       | 27    | 1.000     | 1.000   | 1.53 – 6.53   |
|                          | Yes     | 4                     | 2       | 6     |           |         |               |
| PROM                     | No      | 19                    | 10      | 29    | 0.633     | 1.000   | 0.058 – 6.9   |
|                          | Yes     | 3                     | 1       | 4     |           |         |               |
| Chorioamnionitis         | No      | 18                    | 7       | 25    | 2.57      | 0.391   | 0.5 – 13.22   |
|                          | Yes     | 4                     | 4       | 8     |           |         |               |
| Preeclampsia             | No      | 19                    | 10      | 29    | 0.633     | 1.000   | 0.058 – 6.9   |
|                          | Yes     | 3                     | 1       | 4     |           |         |               |
| Diabetes                 | No      | 19                    | 10      | 29    | 0.633     | 1.000   | 0.058 – 6.9   |
|                          | Yes     | 3                     | 1       | 4     |           |         |               |
| Psychosocial issues      | No      | 20                    | 11      | 31    | 0.645     | 0.542   | 0.497 – 0.83  |
|                          | Yes     | 2                     | 0       | 2     |           |         |               |
| Thyroid Diseases         | No      | 21                    | 10      | 31    | 2.1       | 1.000   | 0.12 – 37.1   |
|                          | Yes     | 1                     | 1       | 2     |           |         |               |
| Feeding Type             | No feed | 1                     | 0       | 1     | 0.665     |         |               |
|                          | EBF     | 10                    | 6       | 16    |           |         |               |
|                          | BF      | 6                     | 4       | 10    |           |         |               |
|                          | MF      | 5                     | 1       | 6     |           |         |               |

## DISCUSSION

The healthcare institution is greatly impacted by readmissions or rehospitalizations, which result in a strain on staff, resources, expertise, tools, and procedures. The first hospital stay

after delivery offers a chance to learn how to nurse, become a better parent, and spot any early newborn health issues. Many of these abilities, nevertheless, take time to develop; for example,

well-established breastmilk, which is linked to a lower risk of jaundice, often takes three or four days to build and is less likely to occur in situations where early discharge occurs.<sup>[9]</sup> The ever-growing demands on hospital resources and patient turnover are in competition with this time-sensitive service.<sup>[10, 11]</sup> The goal of the current study was to evaluate the outcomes, causes, risk factors, and readmission rate of neonates who were released from tertiary care institutions in Najaf City, Iraq.

The rate of neonatal readmission in Al Zahraa Teaching Hospital in our study was 1.34%, this rate is lower than that found by Bawazeer, et al<sup>[12]</sup>, 2.1%. However, Bawazeer, et al studied neonatal readmission during 28 days (neonatal period) which is not the situation in our study (first 24 hours of life). Habib H. S.<sup>[13]</sup>, give a neonatal readmission rate close to our findings, 1.3%. Bayoumi et al<sup>[10]</sup> found a higher neonatal readmission rate (10.1%). This controversy may be justified by different assessments of discharge readiness following childbirth in these study centers.

The period of time from the time of birth till the patient is released to go home is referred to as the initial hospital stay. In our study, this equated to  $2.62 \pm 1.83$  hours. When compared to US standards, which generally recommend regular newborn discharge 24 hours after vaginal delivery and 72 hours following cesarean delivery, this is a fairly short period of time. Nonetheless, during the past ten years, the average duration of stay in the hospital following birth for healthy, full-term infants in the US has decreased significantly. Many managed care companies are trying to speed up this trend by enacting policies that demand an earlier release for full-term newborns without evident health issues, all in an effort to control the cost of medical treatment.<sup>[14-16]</sup>

The main causes of neonatal readmission during the first 24 hours in our study were: poor feeding (22%), vomiting (21%) cyanosis, grunting, lethargy (15% for each), and coffee

ground vomiting, (12%). According to Farahat<sup>[17]</sup>, the main cause of neonatal readmission was hyperbilirubinemia followed by suspected neonatal sepsis (84.2% and 10.5% respectively). This is quite logical and highly anticipated because this study considered readmission during the first 28 days of life which is not the situation in our study. Bawazeer, et al<sup>[12]</sup> studied early neonatal readmission (less than 7 days) and found that the main causes of readmission were: jaundice (54.8%), suspected sepsis (11.5%), and gastrointestinal tract disorders (6.4%). Brown et al<sup>[18]</sup> had correlate the length of the Initial Hospital Stay with the causes of neonatal readmission and found that apnea was the most common cause of neonatal readmission in correlation with a shorter period of Initial Hospital Stay, followed by birth defects and feeding and gastrointestinal problems.

Neonatal and maternal risk factors have been studied to anticipate the probability of them as potential risk factors for readmission. Regarding neonatal risk factors, our study found that 52% of readmitted neonates were female versus 48% male. This variable was statistically insignificant to be regarded as a potential risk factor for neonatal readmission. This is against Bawazeer, et al<sup>[12]</sup>, who found that the readmitted neonates were mainly male (54.7%) in comparison to (45.3%) females with a statistically significant P value (0.01). This difference could be attributed to big differences in the population sample size (33 neonates in our study versus 570 in Bawazeer, et al study). The mean Birth Weight of readmitted neonates was  $2918.18 \pm 619.2$  gm. That is so close to Bawazeer, et al [12] findings ( $3000 \pm 50$ ) gm and lower than that for Jarrett et al, [19] ( $3387 \pm 575$  gm). Again, different sample sizes may attribute this variance. Sixteen out of a total of 33 readmitted neonates (48.5%) had started exclusive breastfeeding, 10 (30%) neonates fed by the bottle, 6 (18.2%) by mixed feeding and lastly, one neonate (3%) did not commence

feeding at all. This variable was insignificant as a potential neonatal readmission risk factor (P value 0.665). Jarrett et al, [19] reported that breasts 69%, artificial (bottle, syringe) 10%, breast and artificial 20%, and No documentation 1%. The lower percentage of exclusive breastfeeding in our center notifies poor counseling skills about the importance of exclusive breastfeeding.

Many maternal variables had been evaluated in our study searching for their significance as neonatal readmission risk factors; these variables were: advanced maternal age (>35 years), low socioeconomic status, low maternal education, high birth order (>2), joint family, rural area residents, primigravida, premature rupture of membranes (PROM), chorioamnionitis, preeclampsia, diabetes, psychosocial issues, thyroid disorders, and epidural/spinal anesthesia. No one of these maternal variables was statistically significant as a risk factor for neonatal readmission during the first 24 hours of life. This is the same in Jarrett et al, [51] study, however; he studies only preeclampsia and maternal diabetes mellitus. Bawazeer, et al [44] studied more maternal diseases such as anemia, obesity, and asthma but they also did not record a statistically significant odd ratio. Farahat [49], gets a significant odd ratio regarding maternal variables (odd ratio 1.985, 95% CI 0.962 -0.097, P value 0.05) but he studies all the maternal risk factors in one scope i.e., obstetric complications.

Another variable that was neither neonatal nor maternal was the ward type to which the neonate with his mother had been discharged for. About 90% of neonates were discharged to shared wards in comparison to only 10% to private ones. The odd ratio points toward the possible contribution of this variable as a risk factor for neonatal readmission.

## CONCLUSIONS

An important component of the quality-of-care consequences of existing discharge rules and procedures may be revealed by this study. We come to the conclusion that vomiting and poor feeding are the main causes of hospital readmission in the first 24 hours of life, necessitating a proper intervention in the early hours of life. The early development of efficient lactation should be the focus of efforts to lessen digestive issues.

## RECOMMENDATIONS

- 1) To support and inform nursing mothers through medical professionals.
- 2) To design a systematic strategic plan for the infants who were released within two hours; this includes altering the post-discharge care routines, such as early follow-up or home visits from medical personnel.
- 3) Future prospective studies on the factors that influence newborn readmission are urged as they may aid in the early detection and evaluation of these factors prior to discharge.
- 4) As a final point, we propose that by adhering to the proper national guidelines, the morbidity and mortality caused by early neonatal discharge can be significantly reduced and managed.

## REFERENCES

1. American Academy of Pediatrics & American Academy of Family Physicians. (2011). Supporting the health care transition from adolescence to adulthood in the medical home.
2. Eidelman AI. Early discharge—Early trouble [editorial]. *J. Perinatol.* 1992; 12: 101–2.
3. Public health and Covid-19 in Iraq. (n.d.). Worlddata.info.

- <https://www.worlddata.info/asia/iraq/health.php>
4. Centers for Disease Control and Prevention. (2016). GBS Prevention in Newborns Group B Strep. Retrieved April 8, 2018, from Centers for Disease Control and Prevention: <https://www.cdc.gov/groupbstrep/about/prevention.html>.
  5. Jarrett, O., Gim, D., Puusepp-Benazzouz, H., Liu, A., & Bhurawala, H. (2022). Factors contributing to neonatal readmissions to a level 4 hospital within 28 days after birth. *Journal of paediatrics and child health*, 58(7), 1251–1255. <https://doi.org/10.1111/jpc.15970>.
  6. Young, P. C., Korgenski, K., & Buchi, K. F. (2013, May 1). Early Readmission of Newborns in a Large Health Care System. *Pediatrics*, 131(5), e1538–e1544. <https://doi.org/10.1542/peds.2012-2634>.
  7. Alsulami M, Al Saif S. Causes of readmission of newborns within 7 days post discharge from the newborn nursery 2010-2011. *Int J Acad Sci Res* 2016;4:182-6.
  8. Centers for Disease Control and Prevention. Trends in Length of Stay for Hospital Deliveries - United States, 1970-1992. *MMWR* 1995; 44:335-337.
  9. Jing, L., Bethancourt, C. N., & McDonagh, T. (2017, October). Assessing infant and maternal readiness for newborn discharge. *Current Opinion in Pediatrics*, 29(5), 598–605. <https://doi.org/10.1097/mop.00000000000000526>.
  10. Bayoumi, Y. A., Bassiouny, Y. A., Hassan, A. A., Gouda, H. M., Zaki, S. S., & Abdelrazek, A. A. (2016). Is there a difference in the maternal and neonatal outcomes between patients discharged after 24 h versus 72 h following cesarean section? A prospective randomized observational study on 2998 patients. *The journal of maternal-fetal & neonatal medicine : the official journal of the European Association of Perinatal Medicine, the Federation of Asia and Oceania Perinatal Societies, the International Society of Perinatal Obstetricians*, 29(8), 1339–1343. <https://doi.org/10.3109/14767058.2015.1048678>.
  11. Harron, K., Gilbert, R., Cromwell, D., Oddie, S., & van der Meulen, J. (2017). Newborn Length of Stay and Risk of Readmission. *Paediatric and perinatal epidemiology*, 31(3), 221–232. <https://doi.org/10.1111/ppe.12359>.
  12. Bawazeer, M., Alsalamah, R., Almazrooa, D., Alanazi, S., Alsaif, N., Alsubayyil, R., Althubaiti, A., & Mahmoud, A. (2021). Neonatal hospital readmissions: Rate and associated causes. *Journal of Clinical Neonatology*, 10(4), 233. [https://doi.org/10.4103/jcn.jcn\\_64\\_21](https://doi.org/10.4103/jcn.jcn_64_21).
  13. Habib H. S. (2013). Impact of discharge timings of healthy newborns on the rates and etiology of neonatal hospital readmissions. *Journal of the College of Physicians and Surgeons--Pakistan : JCPSP*, 23(10), 715–719. <https://doi.org/10.2013/JCPSP.715719>.
  14. Committee on Fetus and Newborn, 1994 to 1995. Hospital stay for healthy term newborns. *Pediatrics*. 1995;96:788–790.
  15. Kessel, W., Kiely, M., Nora, A. H., & Sumaya, C. V. (1995, October 1). Early Discharge: In the End, It Is Judgment. *Pediatrics*, 96(4), 739–742. <https://doi.org/10.1542/peds.96.4.739>.
  16. Parisi, V. M., & Meyer, B. A. (1995, December 14). To Stay or Not to Stay? That is the Question. *New England Journal of Medicine*, 333(24), 1635–1637.

- <https://doi.org/10.1056/nejm199512143332412>.
17. Farhat, R., & Rajab, M. (2011). Length of postnatal hospital stay in healthy newborns and re-hospitalization following early discharge. *North American Journal of Medical Sciences*, 146–151.  
<https://doi.org/10.4297/najms.2011.3146>.
  18. Brown, A. K., Damus, K., Kim, M. H., King, K., Harper, R., Campbell, D., Crowley, K. A., Lakhani, M., Cohen-Addad, N., Kim, R., & Harin, A. (1999). Factors relating to readmission of term and near-term neonates in the first two weeks of life. Early Discharge Survey Group of the Health Professional Advisory Board of the Greater New York Chapter of the March of Dimes. *Journal of perinatal medicine*, 27(4), 263–275.  
<https://doi.org/10.1515/JPM.1999.037>.
  19. Jarrett, O., Gim, D., Puusepp-Benazzouz, H., Liu, A., & Bhurawala, H. (2022). Factors contributing to neonatal readmissions to a level 4 hospital within 28 days after birth. *Journal of paediatrics and child health*, 58(7), 1251–1255.  
<https://doi.org/10.1111/jpc.15970>.

# Knowledge and Attitude of The Medical Staff Toward Poliomyelitis and Polio Vaccination Campaign: A Cross-Sectional Study in Al-Najaf Al -Ashraf City-Iraq

Hawraa Makki Kareem<sup>1</sup> and Huda Ghazi Hameed<sup>2</sup>

<sup>1</sup>Al-Najaf Al-Ashraf Health Directorate.

<sup>2</sup>University of Kufa, Faculty of Medicine, Department of Family and Community Medicine, Iraq.

E-mail [hawra.makki1987@gmail.com](mailto:hawra.makki1987@gmail.com)

## ABSTRACT

**Background:** Medical staff needs to understand factors that affect poliomyelitis vaccination because their attitudes about vaccination are highly associated with rates of childhood vaccination if medical staff do not view a specific vaccine as necessary or acceptable, vaccination campaigns can be ineffective. **Aim of the study:** To assess the knowledge and attitudes of the medical staff toward poliomyelitis and polio vaccination campaigns. **Patients and methods:** A cross-sectional study conducted from 1<sup>st</sup> of January 2019 to 29<sup>th</sup> February 2020, and included (332) medical staff from randomly selected hospitals and primary health centers. Data were collected using a questionnaire designed for the study, which consists of three parts: socio-demographic and personal information, knowledge, and attitude. For knowledge, each correct answer scored 1 while the wrong one scored 0. For attitude, each “agree” answer scored 1 while “disagree” or “I don’t know” scored 0. Statistical analyses were done using SPSS version 26 and a P-value  $\leq 0.05$  was considered statistically significant. **Results:** The study included 332 medical staff: 143 (43.1%) doctors, 77 (23.2%) dentists, and 112 (33.7%) pharmacists. The mean knowledge score was higher among doctors than dentists and pharmacists (18.22, 15.38, and 15.67, respectively,  $p = 0.0001$ ). As age increased, the mean knowledge score also increased (20.22 for 50-59 years old vs. 14.81 for 23-29 years old,  $P = 0.0001$ ). The attitude score followed the same trend, being higher among doctors and older age groups (2.97 vs. 2.68 and 2.82,  $P = 0.0001$ ) and higher for the older age group (3 vs. 2.82,  $P = 0.0001$ ). **Conclusions:** The mean knowledge and attitude scores of doctors are significantly higher than dentists and pharmacists and for the older age group.

**Keywords:** Attitude, Knowledge, Polio Campaign, Poliomyelitis.

## Article Information

Received: March 25, 2024; Revised: May 21, 2024, Online June 2024

## INTRUCTION

Poliomyelitis (polio) is a highly contagious viral disease caused by the poliovirus that most commonly affects children under 5 years of age. It is a serious problem in large parts of the developing world and continually poses threat to the child population with critical concerns for social and economic development <sup>(1)</sup>.

Poliomyelitis is mainly transmitted via the fecal-oral route and through person-to-person contact with infected secretions from the nose and mouth. Approximately 95% of individuals infected with polio show no apparent symptoms, while another 4%–8% experience minor, non-specific symptoms such as sore throat, fever, nausea, and vomiting, which are common to many viral illnesses <sup>(2)</sup>.

There are two rounds per year for the polio vaccine campaign, each round consists of two campaigns one month apart, the first campaign runs in March and the second one usually runs in October and the target group includes all the children from birth to five years. Polio vaccine is one of the most important vaccinations imposed on children. It is therefore included in the compulsory vaccination program in all countries, it is essential for the prevention of polio, which affects the nervous system and the life of the person in general <sup>(3)</sup>.

Improving the immunization-related knowledge and attitudes of medical staff is vital. Enhanced knowledge about the risks and benefits of vaccination can significantly influence the success of immunization campaigns. Medical staff plays a critical role in child immunization and have a positive impact on parents' decisions regarding vaccinations. Therefore, medical staff needs to understand the factors that influence polio vaccination. Their attitudes towards vaccination are closely linked to children's vaccination rates. If medical staff do not perceive a particular vaccine as necessary or acceptable, vaccination campaigns may become ineffective. Barriers to immunization include misinformation about vaccine-preventable diseases, concerns about adverse effects, and misunderstandings about the development of diseases post-vaccination. Gaps in medical staff's knowledge about adverse effects and contraindications often lead to decreased immunization rates <sup>(4 5 6)</sup>.

The medical staff is considered as an important member of society to fight endemic diseases like polio. The role of medical staff is exceptional for improving access to healthcare and health-seeking behavior <sup>(7)</sup>. Medical staff forms an important part of immunization campaigns this is expected to increase vaccination coverage. Furthermore, the medical staff is also responsible for ensuring the effectiveness of polio vaccines by adhering to cold chain guidelines <sup>(8)</sup>. They are the source of information to the parents and the community

about the importance and benefits of vaccination. Therefore, the medical staff needs to have the proper knowledge and positive attitudes toward polio vaccination as it is crucial to the success of immunization campaigns. Furthermore, assessment of knowledge and attitudes is an ongoing process that serves as an educational diagnosis among medical staff to assess changing beliefs and behaviors over time <sup>(9)</sup>. So that this study aimed to assess the knowledge and attitude of the medical staff toward poliomyelitis and polio vaccination campaigns.

## PATIENTS AND METHODS

**The Study Design:** A cross-sectional study from 1<sup>st</sup> January 2019 to 28<sup>th</sup> February 2020.

**Setting:** Primary health care centers and Hospitals in Al - Najaf city - Iraq.

**Subjects:** By using a simple random sampling, four major hospitals.

**Controls:** Seventeen primary health care centers were selected. The participants were selected conveniently.

### Instruments

Data were collected by a questionnaire constructed by the researchers and derived from previous articles on medical staff knowledge and attitudes toward the poliomyelitis vaccination campaign (10 7) the questionnaire was reviewed by a panel of five experts in the field with an experience of more than five years. It includes full Socio-demographic and personal information and an assessment of medical staff knowledge and attitude toward the poliomyelitis and polio vaccination campaign. The data were collected by direct interviews with the medical staff.

**Scoring:** Regarding knowledge, each correct answer was given a score of 1, and each incorrect answer was given a score of 0. For attitude, each 'Agree' response was given a score of 1, while 'Disagree' or 'I don't know' responses were given a score of 0."

## Sample size calculation

The sample size estimation was according to the following equation

$$\text{Sample size} = \frac{Z_{1-\alpha/2}^2 P(1-P)}{d^2} [11]$$

Where  $Z_{1-\alpha/2}$  equals 1.96 at 95% confidence intervals,  $d$  was decided to be 5%, and the expected proportion of the population with good knowledge about the polio vaccination Campaign based on previous studies in Pakistan is 70% of good knowledge, so that the minimal sample size equals 332.

**Pilot Study:** Before collecting information, a two-week pilot study was conducted in Al - Sader Medical City from first to 14<sup>th</sup>. March 2019 on 20 medical personnel who were excluded from the study sample. It aimed to test the validity and reliability of the questionnaire and estimate the time needed for the collection of data, in addition to find any other difficulties. Modifications were made to the questionnaire according to the pilot study.

## RESULTS

The current study consists of 332 medical staff. They were distributed as follows: 143 (43.1%) doctors, 77 (23.2%) dentists, and 112 (33.7%) pharmacists. Regarding sex distribution, 134 (40.4%) were males and 198 (59.6%) were females. The mean age is  $32.5 \pm 6.3$  years with a minimum of 23 years and a maximum age of 55 years, the details of the socio-demographic features of the medical staff are shown in Table 1. The mean knowledge score was significantly higher among doctors than dentists and pharmacists (18.22, 15.38 and 15.67, respectively)  $P = 0.0001$ . As the age increases, the mean knowledge score increases (20.22 for 50-59 years old vs 14.81 for 23-29 years old)  $P = 0.0001$ . The attitude score followed the same role as it was higher among doctors (2.97 vs 2.68 and 2.82 with  $P = 0.0001$ ) and older age group staff (3 vs 2.82 with a  $P =$

## Ethical approval

The study was approved by the Iraqi Council for medical specialization, an official agreement from al Najaf – al Ashraf general health directorate and verbal consent was obtained from all participants. the data were kept confidentially.

## STATISTICAL ANALYSIS

The collected data were summarized and analyzed statistically using the statistical package for the social science (SPSS) software. Mean and SD for continuous variables, t-test was used to compare the mean knowledge and attitude score between males and females, and ANOVA test was used to compare mean knowledge score among different occupations and age groups. Frequency and percentage illustrated categorical data; chi-square test was used to find associations between categorical variables.  $P\text{-value} \leq 0.05$  was considered Statistically significant.

0.0001). There was no significant difference in the knowledge and attitude regarding sex, as shown in Table 2.

Regarding the percentage of correct answers to knowledge questions, in general, the doctors had a higher percentage of correct answers and this is significant in questions about the causative agent, the main route of transmission, other possible routes of transmission, the routes of vaccine administration, immunization through a campaign to prevent poliomyelitis if poliomyelitis vaccine can cause paralysis, Poliomyelitis vaccination can be given with other vaccines in the same time, lack of immunization against polio is a major risk factor of poliomyelitis, More than one dose of vaccine required for complete protection, poliomyelitis drops vaccine can be given to a child with a mild illness, vomiting, and diarrhea are not

contraindicated to oral poliomyelitis vaccination, Poliomyelitis can cause the death of the patient and inactivated poliomyelitis vaccine (IPV) can't cause poliomyelitis, as shown in Table 3. For the attitude of the medical staff toward the polio campaign, 326 (98.2 %) supported immunization, with doctors being (100 %) agreed than others but with no

significant relationship, while 309 (93.1 %) accepted immunization, which was significantly higher in doctors (100 %) than others.

Of the total sample, 305 (91.9 %) preferred immunization which again was significantly higher in doctors (97.2 %) than others, as shown in Table 4.

**Table (1): Socio-demographic features of the sample (N= 332).**

| Feature               |                                   | No. | %    |
|-----------------------|-----------------------------------|-----|------|
| Age group (years)     | 23-29                             | 102 | 30.7 |
|                       | 30-39                             | 184 | 55.4 |
|                       | 40-49                             | 37  | 11.1 |
|                       | 50-59                             | 9   | 2.7  |
| Sex                   | Male                              | 134 | 40.4 |
|                       | Female                            | 198 | 59.6 |
| Occupation            | Doctor                            | 143 | 43.1 |
|                       | Dentist                           | 77  | 23.2 |
|                       | Pharmacist                        | 112 | 33.7 |
| Address of work place | Primary health care centers (PHC) | 222 | 66.9 |
|                       | Hospitals                         | 110 | 33.1 |
| Marital Status        | Married                           | 263 | 79.2 |
|                       | Unmarried                         | 69  | 20.8 |
| Number of children    | No                                | 87  | 26.2 |
|                       | 1-3                               | 196 | 59.0 |
|                       | 4+                                | 49  | 14.8 |

**Table (2): The mean knowledge and attitudes scores according to sex, occupation, and age group.**

| Variables         | Subgroup   | Knowledge mean scores | P value | Attitudes mean scores | P value |
|-------------------|------------|-----------------------|---------|-----------------------|---------|
| Sex               | Male       | 16.81                 | 0.99    | 2.84                  | 0.9     |
|                   | Female     | 16.80                 |         | 2.82                  |         |
| Occupation        | Doctor     | 18.22                 | 0.0001  | 2.97                  | 0.0001  |
|                   | Dentist    | 15.83                 |         | 2.79                  |         |
|                   | Pharmacist | 15.67                 |         | 2.68                  |         |
| Age group (years) | 23-29      | 14.83                 | 0.0001  | 2.82                  | 0.0001  |
|                   | 30-39      | 17.45                 |         | 2.83                  |         |
|                   | 40-49      | 18.22                 |         | 2.81                  |         |
|                   | 50-59      | 20.22                 |         | 3.00                  |         |

**Table 3: The distribution of medical staff knowledge about poliomyelitis and poliomyelitis vaccination campaign.**

| Item name  | Doctors<br>N=143 | Dentists<br>N=77 | Pharmacists<br>N=112 | Total          | P value |
|--|------------------|------------------|----------------------|----------------|---------|
| Poliomyelitis is a viral disease   | 140<br>(97.9%)   | 64<br>(83.1%)    | 103<br>(92%)         | 307<br>(92.5%) | 0.002*  |
| The main route of transmission through feco- oral route  | 134<br>(93.7%)   | 49<br>(63.6%)    | 76<br>(67.9%)        | 76<br>(67.9%)  | 0.0001* |
| Route of vaccine administration through oral and injectable route  | 48<br>(33.6%)    | 17<br>(22.1%)    | 41<br>(36.6%)        | 106<br>(31.9%) | 0.01*   |
| What is the target age group for the Poliomyelitis Vaccination Campaign (0-5 years)                          | 133<br>(93.0%)   | 67<br>(87.0%)    | 102 (91.1%)          | 302<br>(91.0%) | 0.3     |
| The target group includes children Who are all children regardless of immunization status                    | 129<br>(90.2%)   | 64<br>(83.1%)    | 88<br>(78.6%)        | 281<br>(84.6%) | 0.08    |
| Immunize your child through a campaign, to prevent poliomyelitis   | 84<br>(58.7%)    | 34<br>(44.2%)    | 36<br>(32.1%)        | 154<br>(46.4%) | 0.0001* |
| All children should be vaccinated in the campaign  | 136<br>(95.1%)   | 71<br>(92.2%)    | 110 (98.2%)          | 317<br>(95.5%) | 0.1     |
| Paralysis in Poliomyelitis is not curable  | 120<br>(83.9%)   | 53<br>(68.8%)    | 86<br>(76.8%)        | 259<br>(78.0%) | 0.1     |
| Poliomyelitis is preventable   | 142<br>(99.3%)   | 72<br>(93.5%)    | 105 (93.8%)          | 319<br>(96.1%) | 0.1     |
| Poliomyelitis can also be transmitted through contaminated food and water by the feces of an infected person | 128<br>(89.5%)   | 64<br>(83.1%)    | 91<br>(81.3%)        | 283<br>(85.2%) | 0.04*   |
| poliomyelitis vaccine can cause paralysis  | 123<br>(86.0%)   | 66<br>(85.7%)    | 72<br>(64.3%)        | 261<br>(78.6%) | 0.0001* |

|   |                |               |               |                |         |
|---|----------------|---------------|---------------|----------------|---------|
| Immunization is the most effective way of preventing poliomyelitis  | 123<br>(86.0%) | 59<br>(76.6%) | 86<br>(76.8%) | 268<br>(80.7%) | 0.1     |
| Poliomyelitis vaccination can be given with other vaccines at the same time                                   | 140<br>(97.9%) | 64<br>(83.1%) | 93<br>(83.0%) | 297<br>(89.5%) | 0.0001* |
| Lack of immunization against poliomyelitis is a major risk factor for poliomyelitis                           | 127<br>(88.8%) | 72<br>(93.5%) | 103 (92.0%)   | 302<br>(91.0%) | 0.001*  |
| More than one dose of vaccine is required for complete protection   | 140<br>(97.9%) | 56<br>(72.7%) | 91 (81.3%)    | 287(86.4%)     | 0.0001* |
| Healthy children also need vaccination  | 134<br>(93.7%) | 71<br>(92.2%) | 99<br>(88.4%) | 304<br>(91.6%) | 0.1     |
| Poliomyelitis drops vaccine can be given to children with a mild illness                                      | 87<br>(60.8%)  | 38<br>(49.4%) | 52<br>(46.4%) | 177<br>(53.3%) | 0.0001* |
| Vomiting and diarrhea are not contraindicated by oral Poliomyelitis vaccination                               | 54<br>(37.8%)  | 23<br>(29.9%) | 18<br>(16.1%) | 95<br>(28.6%)  | 0.0001* |
| Poliomyelitis can cause the death of the patient  | 77<br>(53.8%)  | 41<br>(53.2%) | 47<br>(42.0%) | 165<br>(49.7%) | 0.01*   |
| Inactivated poliomyelitis vaccine (IPV) can't cause poliomyelitis   | 83<br>(58.0%)  | 31<br>(40.3%) | 44<br>(39.3%) | 158<br>(47.6%) | 0.005*  |
| The poliomyelitis campaigns are necessary   | 136<br>(95.1%) | 72<br>(93.5%) | 108 (96.4%)   | 316<br>(95.2%) | 0.5     |
| If the child completes vaccination on a card regularly, it is not sufficient, and need a vaccination campaign | 74<br>(51.7%)  | 26<br>(33.8%) | 50<br>(44.6%) | 150<br>(45.2%) | 0.1     |
| Repeated vaccination in poliomyelitis campaign can't cause vaccination overdose                               | 114<br>(79.7%) | 47<br>(61.0%) | 55<br>(49.1%) | 216<br>(65.1%) | 0.0001  |

**Table 4: Distribution of attitude questions in the studied sample.**

| Attitude   | The variables | Group            |                  |                      | Total      | p      |
|--|---------------|------------------|------------------|----------------------|------------|--------|
|  |               | Doctors<br>N=143 | Dentists<br>N=77 | Pharmacists<br>N=112 |            |        |
| Do you support the immunization activity against Poliomyelitis in the campaign | Agree         | 143(100%)        | 75(97.4%)        | 108(96.4%)           | 326(98.2%) | 0.1    |
|  | Disagree      | 0 (0.0%)         | 2 (2.6%)         | 2 (1.8%)             | 4 (1.2%)   |        |
|  | I don't know  | 0 (0.0%)         | 0 (0.0%)         | 2 (1.8%)             | 2 (0.6%)   |        |
| Do you accept the immunization with Poliomyelitis vaccination campaign         | Agree         | 143(100%)        | 70(90.9%)        | 96 (85.7%)           | 309(93.1%) | 0.0001 |
|  | Disagree      | 0 (0%)           | 6 (7.8%)         | 14 (12.5%)           | 20 (6.0%)  |        |
|  | I don't know  | 0 (0.0%)         | 1 (1.3%)         | 2 (1.8%)             | 3 (0.9%)   |        |
| Do you prefer immunization with a vaccination campaign                         | Agree         | 139(97.2%)       | 70(90.9%)        | 96 (85.7%)           | 305(91.9%) | 0.02   |
|  | Disagree      | 4 (2.8%)         | 5 (6.5%)         | 11 (9.8%)            | 20 (6.0%)  |        |
|  | I don't know  | 0 (0.0%)         | 2 (2.6%)         | 5 (4.5%)             | 7 (2.1%)   |        |

## DISCUSSION

Poliomyelitis (polio) is a highly infectious viral disease; that most commonly affects children under the age of 5 years. <sup>(1)</sup>. To our knowledge, this is one of the few studies to assess medical staff knowledge and attitude towards the polio vaccination campaign. The results revealed that doctors had a significantly higher mean knowledge score than dentists and pharmacists. This finding is consistent with previous studies conducted in Nigeria, Pakistan, and India <sup>(12 13 14)</sup>. This disparity might be attributed to the fact that polio is more extensively covered in the curriculums of medical schools compared to dentistry and pharmacy schools, highlighting a gap in the teaching of this important subject.

In the current study, medical staff aged 50 - 59 years; were found to have a higher mean knowledge score than younger medical staff, this finding is similar to studies conducted in Pakistan and Saudi Arabia <sup>(13) (15)</sup>. This could be

explained by the older the medical staff, the more the experience with poliomyelitis and polio vaccination campaigns. In contrast to a study conducted in Nigeria <sup>(16)</sup>, which showed that a high percentage of medical staff with good knowledge had few years of employment. our findings indicate otherwise. These differences could be attributed to variations in the medical school curricula, postgraduate training programs, and sample characteristics.

Regarding sex, there was no significant difference between males and females in the mean knowledge score, while a study conducted in Pakistan <sup>(13)</sup> reported a higher percentage of males than females had good knowledge this might be explained by similar academic achievement in males and female medical staff in Iraq and both have participated in regular and obligatory training courses designed by the ministry of health in Iraq.

For the attitude, there was a significant relationship between medical staff attitude and occupation where doctors had significantly higher mean attitude scores than dentists and pharmacists, this difference might be related to the lack of educational programs about the benefits of poliomyelitis vaccination campaigns for these groups and less contribution to the vaccination and campaign activities for dentists and pharmacists. This agreed with a study conducted in Pakistan where the doctors had significantly higher mean attitude scores than other groups of medical staff. <sup>(13)</sup>.

With increasing age, the mean attitude score significantly increases, which is agreed with a study conducted in India <sup>(14)</sup>. This could be explained by the older medical staff the more experience with poliomyelitis and polio vaccination campaigns. In contrast to a study conducted in Pakistan where the younger medical staff had a positive attitude this might be attributed to the health system in Pakistan <sup>(13)</sup>.

Again, there was no significant difference between males and females in the mean score of attitudes this might be due to both males and females being afraid of mistakenly conserved vaccines. The results from previous studies regarding attitude and sex are controversial as a study in Saudi Arabia showed that the positive attitude was associated with the female sex and the most likely reason for this discrepancy because the females regard the vaccine as an important issue to enhance their child health as well as prevention of disease; however, education program still needs to improve the information <sup>(17)</sup>.

Opposite to it, a study conducted in Pakistan showed that the positive attitude was associated with the male sex than females. The most likely reason for this discrepancy might be due to the male more attending the conferences about the recent intensification of the polio education program in Pakistan. <sup>(13)</sup>. A study conducted in Malaysia found that the attitude of medical staff both males and females was poor and negative toward the vaccination in

campaigns due to geographical factors and deprived equipment. <sup>(18)</sup>.

This study also shed light on the areas where knowledge gaps were identified such as vomiting and diarrhea are contraindications to an oral poliomyelitis vaccination (71.4 %) and there is no possibility of death with polio (50.3%) this result was agreed with a study in Pakistan <sup>(19)</sup>. As (65.3 %) of the participants answered that vomiting and diarrhea are contraindicated to oral poliomyelitis vaccination and (36.9 %) there is no possibility of death with polio and disagreed with a study in Saudi Arabia <sup>(20)</sup>, as the vomiting and diarrhea are contraindicated to oral poliomyelitis vaccination (19 %) and there is no possibility of death associated with polio (24%).

In the current study the percentage of medical staff that refused to immunize their child through campaign was (53.6%), and the rate of the participants that answered the question (Poliomyelitis is not preventable) was (3.9 %), and (8.4%) responded that the healthy child does not need vaccination, this result disagreed with a study in Saudi Arabia that identified the percentage of medical staff that refused to immunize their child through campaign was (12.8%), poliomyelitis is not preventable (20.3%), healthy child did not need vaccination (3.7%) <sup>(20)</sup>, and about polio is not a viral disease (7.5%), lack of immunization against poliomyelitis is not a major risk factor of polio (9%) the main route of transmission is not through feco- oral route (32.1%), Paralysis in Poliomyelitis is curable (22%), Immunization is not the most effective way of preventing poliomyelitis (19.3%) and these results also disagreed with a study in Pakistan that identified poliomyelitis is not a viral disease (3.8%), lack of immunization against polio is not a major risk factor of polio (19.2%) the main route of transmission is not through the fecal-oral route (18.6%), Paralysis in Poliomyelitis is curable (68.1%), immunization is not the most effective way of preventing poliomyelitis (5.1%).<sup>(19)</sup>. These findings might be due to a lack of

information about the administration of the polio vaccine, the contraindications, and fear of the expiration of the vaccine these causes might have far-reaching implications as it could be a contributing factor towards the failure of immunization campaigns<sup>(19 20)</sup>.

## CONCLUSIONS

The knowledge and attitude of doctors are higher than those of dentists and pharmacists. There is no difference between males and females in the knowledge and attitude. Additionally, the knowledge and attitude are higher among the older age group.

## ACKNOWLEDGMENT

The authors express appreciation to the medical staff for their help in this study.

## REFERENCES

1. [www.who.int/news-room/fact-sheets/detail/poliomyelitis](http://www.who.int/news-room/fact-sheets/detail/poliomyelitis). Available at Accessed in May 2024.
2. Centers for Disease Control and Prevention (CDC). Epidemiology and Prevention of Vaccine-Preventable Diseases, <https://www.cdc.gov/polio/what-is-polio/index.htm>. Available at Accessed in April 2024.
3. Centers for Disease Control and Prevention. Global polio eradication. Available at <https://www.cdc.gov/polio/global-polio-eradication.html>. Accessed in April 2024.
4. World Health Organization: Knowledge, Attitude and Beliefs studies understanding barriers to immunization. Accessed 11 January 2015. Available: [[http://www.poliopipeline\\_02.pdf](http://www.poliopipeline_02.pdf)].
5. Shanda B. B. Analysis of Independent Monitoring Data from Polio National Immunization Day Campaigns in Kinshasa Province, Democratic Republic of Congo: Proportions of Missed Children from 2010 - 2013 [Internet]. 1st ed. University of Washington; 2014 [cited 25 April 2016. Available from: <https://digital.lib.washington.edu/researchworks/handle/1773/27494>
6. United Nations Office for the Coordination of Humanitarian Affairs. Relief Web: Nigeria: humanitarian dashboard. New York, NY: United Nations Office for the Coordination of Humanitarian Affairs; Nigeria-humanitarian-dashboard-january-december-2016 <https://reliefweb.int/report/nigeria>
7. Gunnala R, Ogbuanu IU, Adegoke OJ, et al. Routine vaccination coverage in northern Nigeria: results from 40 district-level cluster surveys, 2014–2015. PLoS One 2016;11: e0167835. <https://doi.org/10.1371/journal.pone.0167835>
8. World Health Organization: Knowledge, Attitude and Beliefs studies understanding barriers to immunization. 11 January 2015. Available: [[http://www.poliopipeline\\_02.pdf](http://www.poliopipeline_02.pdf)].
9. Khan MU, Ahmad A, Aqeel T, Akbar N, Salman S, Idress J. A Cross-Sectional Survey of Healthcare Workers on the Knowledge and Attitudes towards Polio Vaccination in Pakistan. PLoS One. 2015 Nov 11;10(11):e0142485. doi: 10.1371/journal.pone.0142485.
10. Raosoft. An Online Sample Size Calculator: Accessed 15 January 2014] Accessed 11 March 2015. [<http://www.raosoft.com/samplesize.html>].
11. National Population Commission (NPC) [Nigeria] and ICF International. 2014. Nigeria Demographic and Health Survey 2014. Abuja, Nigeria, and Rockville, MD: NPC and ICF International; 2013.

12. Khan MU, Ahmad A, Aqeel T, Akbar N, Salman S, Idress J A Cross-Sectional Survey of Healthcare Workers on the Knowledge and Attitudes towards Polio Vaccination in Pakistan. PLoS ONE 2015 10(11): e0142485. doi: 10.1371/journal.pone.0142485.
13. Introductory note on pulse polio programme 2004-05 with proposed newer initiatives appraisal. Pulse polio cell, Directorate of family welfare, Government of Delhi. [last accessed on 2010 Jan 8] [URL:http://delhigovt.nic.in/dept/health/dfw/pulse\\_polio.htm](http://delhigovt.nic.in/dept/health/dfw/pulse_polio.htm).
14. Yousif M, Albarraq A, Abdallah M, Elbur A : Parents' knowledge and attitudes on childhood immunization, Taif, Saudi Arabia. J Vaccines Vaccin. 2013, 5: 2.
15. Falade BA: Vaccination resistance, religion and attitudes to science in Nigeria: The London School of Economics and Political Science (LSE). Available at 2014: [http://etheses.lse.ac.uk/911/1/Falade\\_V](http://etheses.lse.ac.uk/911/1/Falade_V) [accination-resistance-religion-and-attitudes-to-science-in- Nigeria.pdf](http://accination-resistance-religion-and-attitudes-to-science-in-Nigeria.pdf)
16. Alfahl SO, Alharbi KM: Parents' Knowledge, Attitude and Practice towards Childhood Vaccination, Al-Madinah, Saudi Arabia. Neonatal and Pediatric Medicine, 2017, 3: 126 doi:10.4172/2572-4983.1000126.
17. Azira B, Norhayati MN, Norwati D . Knowledge, Attitude and Adherence to Cold Chain among General Practitioners in Kelantan, Malaysia. Int J Colla Res Int Med Pub Heal. 2013. 5:157–67.
18. Mushtaq MU, Majrooh MA, Ullah MZS, Akram J, Siddiqui AM et al. Are we doing enough? Evaluation of the Polio Eradication Initiative in a district of Pakistan's Punjab province: a LQAS study. BMC Public Health 10:60. Doi 2010: [10.1186/1471-2458-10-60](https://doi.org/10.1186/1471-2458-10-60). pmid:20144212
19. Montasser NAE-H, Helal RM, Eladawi N, Mostafa E, Rahman FAE, Saad M et al.: Knowledge, Attitude and Beliefs of Caregivers of Children below 2 Years of Age towards Immunization. Br J Med Res. 2014, 4: 2757-2767.

## Effect of Depressive symptoms on Weight among Adults at Al-Najaf Province: A case-control Study

Mohammed Abbas Mohammed<sup>1</sup> and Salam Jasim Mohammed<sup>2</sup>

<sup>1,2</sup>University of Kufa, Faculty of Medicine, Department of Family and Community Medicine, Iraq.

E-mail: [bilharzia92@gmail.com](mailto:bilharzia92@gmail.com)

### ABSTRACT

**Background:** Obesity and major depression disorder are two of the most common diseases in the world. They are also big problems because they have a very strong effect on people's health and well-being, but also because of their high morbidity and mortality rates and socioeconomic effect.

**Aim of the study:** This study to study the association between obesity and depression, and to explore the link between body mass index and socio-demographic characteristics.

**Patients and methods:** A case-control study utilized a random sample of 300 subjects (100 obese group and 200 normal weight group) attending diabetes and endocrinology center at Al-Najaf province. All subjects have been taken randomly from adult individuals with age range between (18-60) years in Al-Najaf province. The data were collected from October to the February. The obtained data analyzed by Version 28 of the Statistical Package for the Social Sciences (SPSS).

**Results:** The current study found a significant association between obesity and depression, indicates that those with moderate/severe depression scores are more likely to be obese than those with mild depression or no depression (odds ratio = 2.306 times, 95% CI: 1.360-3.910). With ( $p = 0.002$ ).

The relationship between obesity and socio-demographic factors, this study found positive association between obesity and exercise ( $p = 0.001$ ) and family history of obesity ( $p = 0.037$ ), while the other characteristics were not significant.

**Conclusions:** The current study concluded that those who had moderate or severe depression symptoms were more likely for developing obesity than those who had a mild or no depression symptoms.

**Keywords:** Depression, obesity and case-control study.

### Article Information

Received: March 29, 2024; Revised: May 21, 2024; Online: June, 2024

## INTRUDUCTION

Obesity and major depressive disorder are two of the most prevalent health issues globally, and they pose serious challenges from both an individual and societal perspective. Not only that, but these two diseases are becoming increasingly common globally. Strong positive connections between depression and obesity have been identified by epidemiological research<sup>(1)</sup>. The World Health Organization

(WHO) define obesity as" abnormal and excessive fat accumulation in the body that presents a risk to health<sup>(2)</sup>. obesity is commonly measured in terms of body mass index (BMI) more than 30 kg/m<sup>2</sup><sup>(2)</sup>. Obesity is a multifaceted illness on the biological basis which includes genetic and biological components that are involved in normal body growth, eating habits, energy expenditure, and adipose tissue function<sup>(3)</sup>. According to (WHO) In 2022, 1 in 8 people in the world were living with obesity, about 16% of adults aged 18 years and

older worldwide were obese in 2022<sup>(2)</sup>. An increased risk of metabolic, anxiety, cardiovascular, chronic inflammatory, and some cancers is linked to obesity<sup>(4)</sup>. Depression, often known as major depressive disorder or clinical depression, is a mood illness that causes people to be unhappy, hopeless, and uninterested in activities<sup>(5)</sup>. There are about 280 million depressed people in the world, which is about 3.8% of the population. This includes 5% of adults (4% of men and 6% of women) and 5.7% of adults over 60 years old<sup>(6)</sup>. Depression is about 50% more common among women than among men. Worldwide, more than 10% of pregnant women and women who have just given birth experience depression more than 700 000 people die due to suicide every year<sup>(7)</sup>. The positive links between depressive disorders and obesity has been argued; several research have been shown that depressive symptoms is also a contribute as a risk factor for obesity<sup>(8)</sup>. The researcher Faith in (2011) detected obesity and depression associations in 25 studies, of which 15 tested studies “depression a risk factor for obesity” paths and 10 tested “obesity a risk factor for depression” paths<sup>(9)</sup>. Although about 80% of the studies reported significant obesity-to depression associations (odds ratios 1.0-2.0), while 53% of analyzed studies reported a positive significant depression-to-obesity association [9]. While other studies found no significant association between obesity and depression<sup>(10 12)</sup>.

## PATIENTS AND METHODS

A case-control study was conducted in Al- Najaf governorate (Diabetes and endocrinology center) at Al-Sadr Medical City. The study had been conducted from October 2023 until the end of January 2024. The size of the sample comprises 300 subjects (two controls for every case), 100 obese subjects with Body Mass Index ( $BMI \geq 30 \text{ kg/m}^2$ ) as a cases group and 200 normal weight participants with BMI around ( $18.5\text{--}24.9 \text{ kg/m}^2$ ) as a control group selected after being matched with (sex and age). All participant from the same geographical area.

Sample selected randomly from subjects attending Nutrition and Endocrinology Unit.

**Data Collection:** Study data has been obtaining by using a questionnaire form that includes three domains.

**Socio-demographic characteristics:** This includes age, residence, educational level, occupation, economic status, physical activity and family history of obesity.

**Anthropometric Measurements:** Body Mass Index (BMI): Height and weight were computed to measure the BMI measurement by the equation  $BMI = \text{weight kg} / \text{height m}^2$ <sup>(2)</sup>.

**Beck's depression inventory II:** The validated Arabic form of Beck's Depression Inventory-II (BDI-II) was used to measure depression symptoms<sup>(13)</sup>. The BDI-II is a self-administered assessment that typically requires 5-10 minutes to complete. The assessment comprises 21 items, each rated on a 4-point scale that ranges from 0 (indicating the absence of symptoms) to 3 (indicating the presence of severe symptoms). The scoring is determined by summing the highest ratings for each of the twenty-one items. The minimum score is zero and the maximum score is 63. A score of zero to thirteen indicates the absence of depression, whereas a score of fourteen to nineteen indicates mild depression. Depression is indicated by scores above 20, with scores ranging from 20-28 indicating moderate depression and scores ranging from 29-63 indicating severe depression. Several studies have investigated the validity and reliability of the BDI-II in various groups and nations. These investigations have consistently found that the BDI-II has good internal consistency (Cronbach's alpha = 0.91) and high test-retest reliability (Pearson r = 0.93)<sup>(14)</sup>.

## STATISTICAL ANALYSIS

Version 28 of the Statistical Package for the Social Sciences (SPSS) was used to analyze data. To find the mean, standard deviation, rates, and percentages, descriptive statistics were used. Chi-square, t-test, odds ratio used for analysis.

## RESULTS

A total of 300 subjects had been included in this study matched for age and gender the mean age of cases was and controls ( $37.1 \pm 10.6$  years vs.  $34.6 \pm 11.4$  years). Table (1) compares obese subjects and normal weight subjects according to sociodemographic characteristics. An about (71%) of cases and control lives in urban areas. Regarding education level intermediate school and bachelor degree are a highest percentage (28 %) of cases, while the high school or vocational is highest percentage (28 %) of control group (27.5%).

Regarding occupational level, the highest percentage (31.0%) of cases were housewives compared to control individuals (27.5%) who were self-employed. Most cases and controls groups have a moderate- economic level. Regarding exercise (73%) of controls practice physical activity compare (37%) of cases group. Table (2) represents depression indicators for

obese and control groups. The results of this study indicates that the indicators "Permission," " Loss of Pleasure," " Guilty feeling," " Punishment feeling," " Self-dislike," "Criterion-self," " Changes in sleeping pattern," " Change in appetite " and " Body image " show significant differences between cases and controls ( $p < 0.05$ ). While other indicators of depression have no significant association between cases and controls ( $P. \text{ value} > 0.05$ ). In Table 3, the results of this study indicate that those with moderate/severe depression scores are more likely to be obese than those with mild depression or no depression (odds ratio = 2.306 times, 95% CI: 1.360-3.910). With  $p. \text{ Value} = 0.002$ .

**Table (1): The distribution of obese subjects and normal weight subjects according to socio-demographic characteristics.**

|            |                           | Groups                     |      |                            |      | P. value |
|------------|---------------------------|----------------------------|------|----------------------------|------|----------|
|            |                           | Obese                      |      | Normal weight              |      |          |
|            |                           | No.                        | %    | No.                        | %    |          |
| Age        | Mean $\pm$ SD<br>(Range)  | 37.1 $\pm$ 10.6<br>(18-57) |      | 34.6 $\pm$ 11.4<br>(18-56) |      | 0.078    |
| Residence  | Urban                     | 71                         | 71.0 | 146                        | 73.0 | 0.716    |
|            | Rural                     | 29                         | 29.0 | 54                         | 27.0 |          |
| Education  | Primary school            | 15                         | 15.0 | 42                         | 21.0 | 0.153    |
|            | Intermediate school       | 28                         | 28.0 | 33                         | 16.5 |          |
|            | High school or vocational | 21                         | 21.0 | 52                         | 26.0 |          |
|            | Diploma (institute)       | 17                         | 17.0 | 23                         | 11.5 |          |
|            | Bachelor degree (college) | 28                         | 28.0 | 41                         | 20.5 |          |
| Occupation | Government employee       | 26                         | 26.0 | 46                         | 23.0 | 0.331    |
|            | Private sector employee   | 6                          | 6.0  | 20                         | 10.0 |          |
|            | Self-employed             | 21                         | 21.0 | 55                         | 27.5 |          |

|                           |            |    |      |     |      |       |
|---------------------------|------------|----|------|-----|------|-------|
|                           | Housewife  | 31 | 31.0 | 43  | 21.5 |       |
|                           | Student    | 7  | 7.0  | 21  | 10.5 |       |
|                           | Retired    | 1  | 1.0  | 4   | 2.0  |       |
|                           | Unemployed | 8  | 8.0  | 11  | 5.5  |       |
| Economic                  | Low        | 30 | 30.0 | 49  | 24.5 | 0.491 |
|                           | Moderate   | 56 | 56.0 | 126 | 63.0 |       |
|                           | High       | 14 | 14.0 | 25  | 12.5 |       |
| Exercise                  | Yes        | 37 | 37.0 | 148 | 74.0 | 0.001 |
|                           | No         | 63 | 63.0 | 52  | 26.0 |       |
| Family history of obesity | Yes        | 48 | 48.0 | 71  | 35.5 | 0.037 |
|                           | No         | 52 | 52.0 | 129 | 64.5 |       |

Table (2): The distribution of patients with obesity and control subjects according to depression in indicator.

|                  |                     | Groups |      |         |      | P. value |
|------------------|---------------------|--------|------|---------|------|----------|
|                  |                     | Case   |      | Control |      |          |
|                  |                     | No.    | %    | No.     | %    |          |
| Sadness          | No depression       | 42     | 42.0 | 95      | 47.5 | 0.472    |
|                  | Mild depression     | 47     | 47.0 | 76      | 38.0 |          |
|                  | Moderate depression | 9      | 9.0  | 22      | 11.0 |          |
|                  | Severe depression   | 2      | 2.0  | 7       | 3.5  |          |
| Permission       | No depression       | 44     | 44.0 | 113     | 56.5 | <0.001   |
|                  | Mild depression     | 48     | 48.0 | 70      | 35.0 |          |
|                  | Moderate depression | 2      | 2.0  | 16      | 8.0  |          |
|                  | Severe depression   | 6      | 6.0  | 1       | 0.5  |          |
| Past Failure     | No depression       | 60     | 60.0 | 139     | 69.5 | 0.198    |
|                  | Mild depression     | 28     | 28.0 | 36      | 18.0 |          |
|                  | Moderate depression | 10     | 10.0 | 23      | 11.5 |          |
|                  | Severe depression   | 2      | 2.0  | 2       | 1.0  |          |
| Loss of Pleasure | No depression       | 39     | 39.0 | 111     | 55.5 | 0.002    |
|                  | Mild depression     | 41     | 41.0 | 59      | 29.5 |          |
|                  | Moderate depression | 14     | 14.0 | 29      | 14.5 |          |
|                  | Severe depression   | 6      | 6.0  | 1       | .5   |          |
| Guilty feeling   | No depression       | 42     | 42.0 | 90      | 45.0 | 0.025    |
|                  | Mild depression     | 38     | 38.0 | 94      | 47.0 |          |
|                  | Moderate depression | 13     | 13.0 | 10      | 5.0  |          |
|                  | Severe depression   | 7      | 7.0  | 6       | 3.0  |          |

**Table (3): Association between depression and obesity.**

| Overall Depression Score    | Groups |      |         |      | P. value | OR    | 95% C. I for OR |
|-----------------------------|--------|------|---------|------|----------|-------|-----------------|
|                             | Case   |      | Control |      |          |       |                 |
|                             | No.    | %    | No.     | %    |          |       |                 |
| Mild or no depression       | 62     | 62.0 | 158     | 79.0 | R        |       |                 |
| Moderate/ Severe depression | 38     | 38.0 | 42      | 21.0 | 0.002    | 2.306 | 1.360-3.910     |

## DISCUSSION

The current study found through the results in table <sup>(1)</sup> of this study of the sample that there was no significant difference between cases and controls in terms of age, residence, education, occupation, and economic status (P. value > 0.05). This result similar to study conducted in Finland 2017 that found no association between cases and controls in above terms <sup>(15)</sup>. While exercise the current study results indicates a significant association between exercise and obesity ( $p < 0.001$ ), this agreed with a study was conducted in Erbil, Iraq in 2019 that was found there is a significant difference between obesity and practice regular exercise ( $p < 0.001$ ) <sup>(16)</sup>. Furthermore, new research shows that practice moderate physical activities per day prevents the progress of obesity and fat mass without restricting calories <sup>(17)</sup>.

This study finding also show a significant association between obesity and family history of obesity, this explained that those have family history of obesity more likely for developing obesity and this corresponds with study conducted in Germany that showed people with a family history of obesity have a high prevalence of severe obesity compared with those without a family history of obesity <sup>(18)</sup>. The results of this study indicates that the indicators "Permission," " Loss of Pleasure," " Guilty feeling," " Punishment feeling," " Self-dislike," "Criterion-self," " Changes in sleeping pattern," " Change in appetite " and " Body image " show significant differences between cases and controls. These differences explained

that the cases have high score of depression compared to the control subjects. While other indicators of depression have no significant association between cases and controls. Also, the results of current study found a significant association between depression and obesity. It indicates that moderate or severe depression increases the odds of being obese by more than twofold with an odds ratio of (2.306). This agreed with numerous studies have reported that obesity was linked with depression symptoms with an odds ratio (1.18 to 5.25), depending on the studies and evaluation ways <sup>(19-21)</sup>. Another recent study conducted by Almarhoon in Saudi Arabia in 2021 that show a significant association between moderate or severe depression and obesity <sup>(22)</sup>. In contrast, our results disagreed with a study conducted in Iran that reported no association between obesity and depression <sup>(12)</sup>.

Increase of severity of depression has been shown to be associated with decreased physical activity and increased calorie intake, with a consequent increase in the risk of obesity <sup>(23)</sup>. Depression may be a strong predictor of obesity for a number of reasons. First, hypersomnia and hyperplasia are caused by depression <sup>(24)</sup>. which consequently increased energy consumption and decreased energy expenditure, respectively <sup>(25)</sup>. Secondly, people who are depressed tend to eat worse than healthy people, and this can lead to more calories being consumed <sup>(26)</sup>. Third, people with atypical major depressive disorder had more disability days and days with reduced activities, which may have made them use less

energy expenditure<sup>(27)</sup>. The raised levels of systemic inflammation and metabolic dysregulation observed in obese individuals are identified as the fourth factor contributing to the association between obesity and depression<sup>(28)</sup>.

## CONCLUSIONS

The current study concluded that those who had moderate or severe depression symptoms were more likely for developing obesity than those who had a mild or no depression symptoms.

## REFERENCES

- [1] Milaneschi, Y.; Lamers, F.; Peyrot, W.J.; Baune, B.T.; Breen, G.; Dehghan, A.; Forstner, A.J.; Grabe, H.J.; Homuth, G.; Kan, C.; et al. Genetic Association of Major Depression With Atypical Features and Obesity-Related Immunometabolic Dysregulations. *JAMA Psychiatry* **2017**, *74*, 1214–1225.
- [2] World Health Organization. Obesity (Internet). (cited 22.02.2023). Available from: [https://www.who.int/healthtopics/obesity#tab=tab\\_1](https://www.who.int/healthtopics/obesity#tab=tab_1).
- [3] Koski, M. and Naukkarinen, H. (2017) The Relationship between Stress and Severe Obesity: A Case-Control Study. Biomedicine Hub.
- [4] Piché ME, Tchernof A, Després JP. Obesity Phenotypes, Diabetes, and Cardiovascular Diseases. *Circ Res.* 2020; 126:1477–500. 10.1161/CIRCRESAHA.120.316101.
- [5] Daly, M., Sutin, A. R., & Robinson, E. (2021). Depression reported by US adults in 2017–2018 and March and April 2020. *Journal of Affective Disorders*, *278*, 131–135.
- [6] Institute of Health Metrics and Evaluation. Global Health Data Exchange (GHDx). <https://vizhub.healthdata.org/gbd-results/> (Accessed 4 March 2023).
- [7] Woody CA, Ferrari AJ, Siskind DJ, Whiteford HA, Harris MG. A systematic review and meta-regression of the prevalence and incidence of perinatal depression. *J Affect Disord.* 2017;219:86–92.
- [8] Luppino, F. S., de Wit, L. M., Bouvy, P. F., Stijnen, T., Cuijpers, P., Penninx, B. W., & Zitman, F. G. (2010). Overweight, obesity, and depression: A systematic review and meta-analysis of longitudinal studies. *Archives of General Psychiatry*, *67*(3), 220–229.
- [9] Faith, M., Butryn, M., Wadden, T., Fabricatore, A., Nguyen, A., & Heymsfield, S. (2011). Evidence for prospective associations among depression and obesity in population-based studies. *Obesity Reviews*, *12*(5), e438–e453.
- [10] Nigatu YT, Bültmann U, Reijneveld SA. The prospective association between obesity and major depression in the general population: does single or recurrent episode matter? *BMC Public Health.* 2015;15:350.
- [11] Kinley DJ, Lowry H, Katz C, Jacobi F, Jassal DS, Sareen J. Depression and anxiety disorders and the link to physician diagnosed cardiac disease and metabolic risk factors. *Gen Hosp Psychiatry.* 2015;37:288–293.
- [12] Askari, J., Hassanbeigi, A., Khosravi, H. M., Malek, M., Hassanbeigi, D., Pourmovahed, Z., & Alagheband, M. (2013). The relationship between obesity and depression. *Procedia-Social and Behavioral Sciences*, *84*, 796–800.
- [13] Rudwan, S. (2003). Beck Depression Inventory (BDI). *Journal of King Saud University - Science*, *1*, 453–486.
- [14] García-Batista, Z. E., Guerra-Peña, K., Cano-Vindel, A., Herrera-Martínez, S. X., & Medrano, L. A. (2018). Validity and reliability of the Beck Depression Inventory (BDI-II) in general and hospital population of Dominican Republic. *PloS One*, *13*(6), e0199750.
- [15] Koski, M. and Naukkarinen, H. (2017) The Relationship between Depression and Severe Obesity: A Case-Control Study. *Open Journal of Psychiatry*, *7*, 276-293.
- [16] Shabu, S. A. (2019). Prevalence of overweight/obesity and associated factors in adults in Erbil, Iraq: A household survey.

*Zanco Journal of Medical Sciences (Zanco J Med Sci)*, 23(1), 128–134.

[17] Tittlbach SA, Hoffmann SW, Bennie JA. Association of meeting both muscle strengthening and aerobic exercise guidelines with prevalent overweight and obesity classes—results from a nationally representative sample of German adults. *Eur J Sport Sci*. 2022;22(3):436–46.

[18] Corica, D., Aversa, T., Valenzise, M., Messina, M. F., Alibrandi, A., De Luca, F., & Wasniewska, M. (2018). Does family history of obesity, cardiovascular, and metabolic diseases influence onset and severity of childhood obesity?. *Frontiers in endocrinology*, 9, 339184.

[19] De Wit, L.; Luppino, F.; Van Straten, A.; Penninx, B.; Zitman, F.; Cuijpers, P. Depression and obesity: A meta-analysis of community-based studies. *Psychiatry Res. Neuroimaging* **2010**, 178, 230–235.

[20] Ma, J.; Xiao, L. Obesity and Depression in US Women: Results From the 2005–2006 National Health and Nutritional Examination Survey. *Obesity* **2009**, 18, 347–353.

[21] Simon, G.E.; Ludman, E.J.; A Linde, J.; Operskalski, B.H.; Ichikawa, L.; Rohde, P.; Finch, E.A.; Jeffery, R.W. Association between obesity and depression in middle-aged women. *Gen. Hosp. Psychiatry* **2008**, 30, 32–39.

[22] Almarhoon, F. H., Almubarak, K. A., Alramdhan, Z. A., Albagshi, R. S., Alotayriz, J. K., Alqahtani, A. H., Almubarak, K.,

Alramdhan II, Z., Albagshi, R., & Alotayriz, J. (2021). The association between depression and obesity among adults in the Eastern province, Saudi Arabia. *Cureus*, 13(10).

[23] Simon, GE, Ludman, EJ, Linde, JA, et al. (2008) Association between obesity and depression in middle aged women. *General Hospital Psychiatry*, 30: 32.

[24] C. M. Patist, N. J. C. Stapelberg, E. F. Du Toit, and J. P. Headrick, “The brain-adipocyte-gut network: Linking obesity and depression subtypes,” *Cogn. Affect. Behav. Neurosci.*, vol. 18, pp. 1121–1144, 2018.

[25] A. Bornstein, A. Hedström, and P. Wasling, “Actigraphy measurement of physical activity and energy expenditure in narcolepsy type 1, narcolepsy type 2 and idiopathic hypersomnia: a Sensewear Armband study,” *J. Sleep Res.*, vol. 30, no. 2, p. e13038, 2021.

[26] D. Gibson-Smith, M. Bot, I. A. Brouwer, M. Visser, and B. W. J. H. Penninx, “Diet quality in persons with and without depressive and anxiety disorders,” *J. Psychiatr. Res.*, vol. 106, pp. 1–7, 2018.

[27] L. S. Matza, D. A. Revicki, J. R. Davidson, and J. W. Stewart, “Depression with atypical features in the National Comorbidity Survey: classification, description, and consequences,” *Arch. Gen. Psychiatry*, vol. 60, no. 8, pp. 817–826, 2003.

[28] J. Schachter *et al.*, “Effects of obesity on depression: a role for inflammation and the gut microbiota,” *Brain. Behav. Immun.*, vol. 69, pp. 1–8, 2018.